

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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NGUYEN, NHIEN

Chief Performance Officer, Department of Housing and Urban Development

Report Year: 2024

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ NGUYEN, NHIEN [electronically signed on 05/12/2024 by NGUYEN, NHIEN in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Baxter, Daniel, Certifying Official [electronically signed on 05/15/2024 by Baxter, Daniel in Integrity.gov]

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Other review conducted by

/s/ Webb, Sharlz, Ethics Official [electronically signed on 05/15/2024 by Webb, Sharlz in Integrity.gov]

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## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Lucky13 LLC	See Endnote	Fredericksburg, Virginia	Limited Liability Company (LLC)	Managing Member/Co-Owner	6/2023	Present

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## 2. Filer's Employment Assets & Income and Retirement Accounts

None

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## 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Lucky13 LLC	Fredericksburg, Virginia	My spouse and I created the Lucky13 LLC in 2023 with the purpose of becoming a franchisee of a cafe/bakery. However, the cafe/bakery will not open before summer of 2024. No employment agreements or arrangements were made during the 2023 annual reporting period.	6/2023

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## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

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## 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA	No	\$1,001 - \$15,000	Interest	None (or less than \$201)
1.1	Cash	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Bank #1 (Cash)	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
2	U.S. Bank #2 (Cash)	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
3	U.S. Bank #3 (Cash)	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
4	U.S. Bank #4 (Cash)	N/A	\$50,001 - \$100,000	Interest	None (or less than \$201)
5	(IRE) Residential Rental Property #1 Fredericksburg, VA	N/A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000
6	(IRE) Residential Rental Property #2 Fredericksburg, VA	N/A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000
7	IRA #1		\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
7.1	(Stock) SKX-SKECHERS USA INC	N/A	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
8	IRA #2	No	\$1,001 - \$15,000	Interest	None (or less than \$201)
8.1	Cash	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9	Virginia Prepaid College Savings Plan - Invest 529 (DC #1)	No	\$15,001 - \$50,000	Prepaid Tuition	
9.1	2030 Portfolio	N/A	\$15,001 - \$50,000	Prepaid Tuition	
10	Virginia Prepaid College Savings Plan - Invest 529 (DC #2)	No	\$1,001 - \$15,000	Prepaid Tuition	
10.1	2030 Portfolio	N/A	\$1,001 - \$15,000	Prepaid Tuition	\$0
11	Virginia Prepaid College Savings Plan - Invest 529 (DC #3)	No	\$1,001 - \$15,000	Prepaid Tuition	\$0
11.1	2033 Portfolio	N/A	\$1,001 - \$15,000	Prepaid Tuition	\$0
12	Virginia Prepaid College Savings Plan - Invest 529 (DC #4)	No	\$1,001 - \$15,000	Prepaid Tuition	\$0
12.1	2033 Portfolio	N/A	\$1,001 - \$15,000	Prepaid Tuition	\$0
13	Virginia Prepaid College Savings Plan - Prepaid 529 (DC #1)	No	\$15,001 - \$50,000	Prepaid Tuition	\$0
13.1	1 Semester Tier I	N/A	\$1,001 - \$15,000	Prepaid Tuition	\$0
13.2	1 Semester	N/A	\$1,001 - \$15,000	Prepaid Tuition	\$0
14	Virginia Prepaid College Savings Plan - Prepaid 529 (DC #2)	No	\$1,001 - \$15,000	Prepaid Tuition	\$0
14.1	1 Semester	N/A	\$1,001 - \$15,000	Prepaid Tuition	\$0
15	Virginia Prepaid College Savings Plan - Prepaid 529 (DC #3)	No	\$1,001 - \$15,000	Prepaid Tuition	\$0
15.1	1 Semester	N/A	\$1,001 - \$15,000	Prepaid Tuition	\$0
16	Virginia Prepaid College Savings Plan - Prepaid 529 (DC #4)	No	\$1,001 - \$15,000	Prepaid Tuition	\$0
16.1	1 Semester	N/A	\$1,001 - \$15,000	Prepaid Tuition	\$0

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17	Investment Account ("Fundrise")	No	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
17.1	Growth eREIT III	N/A	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
17.2	Flagship Real Estate Fund	N/A	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
17.3	Growth eREIT II	N/A	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
17.4	Development eREIT	N/A	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
18	Investment Account ("Prosper")		\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
19	Investment Account ("Fidelity")	Yes	\$15,001 - \$50,000		\$201 - \$1,000
19.1	Joint WROS - TOD ("Fidelity")	Yes	\$15,001 - \$50,000		None (or less than \$201)
19.1.1	VANGUARD TOTAL STOCK MARKET INDEX ADMIRAL (VTSAX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.1.2	The Home Depot, Inc. (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.1.3	TESLA INC COM (TSLA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.1.4	AMAZON.COM INC (AMZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.1.5	Apple, Inc. (AAPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.1.6	APPLE HOSPITALITY REIT INC COM NEW (APLE)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.1.7	REALTY INCOME CORP COM (O)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.1.8	AGNC INVT CORP COM (AGNC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.1.9	Universal Health Services, Inc. (UHS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.1.10	Fidelity Real Estate Index Fund (FSRX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.1.11	Roundhill Ball Metaverse ETF Roundhill Ball Metaverse ETF (METV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.2	UTMA Account (DC #4)	Yes	\$15,001 - \$50,000		None (or less than \$201)
19.2.1	Fidelity Real Estate Index Fund (FSRX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.2.2	AGNC Investment Corp. (AGNC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.3	UTMA Account (DC #2)	Yes	\$1,001 - \$15,000		None (or less than \$201)
19.3.1	Vanguard 500 Index Fund ETF Shares (VOO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.3.2	Fidelity Real Estate Index Fund (FSRX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.3.3	Tesla, Inc. (TSLA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.3.4	AGNC Investment Corp. (AGNC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4	UTMA Account (DC #3)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.4.1	Fidelity Real Estate Index Fund (FSRX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.2	AGNC Investment Corp. (AGNC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.5	UTMA Account (DC #1)	Yes	\$1,001 - \$15,000		None (or less than \$201)
19.5.1	Chipotle Mexican Grill, Inc. (CMG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.5.2	Fidelity Real Estate Index Fund (FSRX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.6	Health Savings Account #1	Yes	\$1,001 - \$15,000		\$201 - \$1,000
19.6.1	iShares Core US REIT ETF (USRT)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
19.6.2	Fidelity 500 Index Fund (FXAIX)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
20	(IRE) Residential Rental Property #3 Fredericksburg, VA	N/A	\$250,001 - \$500,000		\$15,001 - \$50,000
21	Health Savings Account #2	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	NVIDIA Corp. (NVDA)	Purchase	01/20/2023	\$1,001 - \$15,000
2	NVIDIA Corp. (NVDA)	Sale	01/20/2023	\$1,001 - \$15,000
3	Advanced Micro Devices, Inc. (AMD)	Purchase	01/23/2023	\$1,001 - \$15,000
4	Advanced Micro Devices, Inc. (AMD)	Sale	01/23/2023	\$1,001 - \$15,000
5	AMD	Purchase	02/07/2023	\$1,001 - \$15,000



#	DESCRIPTION	TYPE	DATE	AMOUNT
6	AMD	Sale	02/07/2023	\$1,001 - \$15,000
7	BA	Purchase	02/03/2023	\$1,001 - \$15,000
8	BA	Sale	02/06/2023	\$1,001 - \$15,000
9	CMG	Purchase	02/07/2023	\$1,001 - \$15,000
10	CMG	Purchase	02/15/2023	\$15,001 - \$50,000
11	CMG	Purchase	02/17/2023	\$1,001 - \$15,000
12	CMG	Purchase	02/27/2023	\$1,001 - \$15,000
13	CMG	Purchase	03/08/2023	\$15,001 - \$50,000
14	CMG	Purchase	03/13/2023	\$1,001 - \$15,000
15	CMG	Sale	02/07/2023	\$1,001 - \$15,000
16	CMG	Sale	02/16/2023	\$1,001 - \$15,000
17	CMG	Sale	02/17/2023	\$1,001 - \$15,000
18	CMG	Sale	02/28/2023	\$1,001 - \$15,000
19	CMG	Sale	03/08/2023	\$15,001 - \$50,000
20	CMG	Sale	03/14/2023	\$1,001 - \$15,000
21	NFLX	Purchase	03/15/2023	\$1,001 - \$15,000
22	NFLX	Sale	03/16/2023	\$1,001 - \$15,000
23	NVDA	Purchase	01/30/2023	\$1,001 - \$15,000
24	NVDA	Purchase	02/06/2023	\$1,001 - \$15,000
25	NVDA	Purchase	02/17/2023	\$1,001 - \$15,000
26	NVDA	Purchase	03/09/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
27	NVDA	Sale	02/02/2023	\$1,001 - \$15,000
28	NVDA	Sale	02/06/2023	\$1,001 - \$15,000
29	NVDA	Sale	02/23/2023	\$1,001 - \$15,000
30	NVDA	Sale	03/13/2023	\$1,001 - \$15,000
31	ROKU	Purchase	02/24/2023	\$1,001 - \$15,000
32	ROKU	Sale	03/06/2023	\$1,001 - \$15,000
33	TSLA	Purchase	03/02/2023	\$1,001 - \$15,000
34	TSLA	Purchase	03/08/2023	\$1,001 - \$15,000
35	TSLA	Purchase	03/09/2023	\$1,001 - \$15,000
36	TSLA	Purchase	03/14/2023	\$1,001 - \$15,000
37	TSLA	Sale	01/27/2023	\$1,001 - \$15,000
38	TSLA	Sale	03/03/2023	\$1,001 - \$15,000
39	AMD	Purchase	03/23/2023	\$1,001 - \$15,000
40	AMD	Sale	03/30/2023	\$1,001 - \$15,000
41	AMD	Purchase	04/12/2023	\$1,001 - \$15,000
42	AMD	Sale	04/28/2023	\$1,001 - \$15,000
43	CMG	Purchase	03/20/2023	\$15,001 - \$50,000
44	CMG	Sale	03/20/2023	\$15,001 - \$50,000
45	LYFT	Purchase	04/26/2023	\$1,001 - \$15,000
46	NFLX	Purchase	03/21/2023	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
47	NFLX	Sale	03/21/2023	\$15,001 - \$50,000
48	NKE	Purchase	03/22/2023	\$1,001 - \$15,000
49	NKE	Sale	03/30/2023	\$1,001 - \$15,000
50	NVDA	Purchase	04/14/2023	\$1,001 - \$15,000
51	NVDA	Sale	04/17/2023	\$1,001 - \$15,000
52	TSLA	Sale	03/21/2023	\$1,001 - \$15,000
53	TSLA	Purchase	03/30/2023	\$1,001 - \$15,000
54	TSLA	Sale	03/31/2023	\$1,001 - \$15,000
55	TSLA	Purchase	04/03/2023	\$1,001 - \$15,000
56	TSLA	Purchase	04/05/2023	\$1,001 - \$15,000
57	TSLA	Sale	04/05/2023	\$1,001 - \$15,000
58	TSLA	Purchase	04/10/2023	\$1,001 - \$15,000
59	TSLA	Sale	04/12/2023	\$1,001 - \$15,000
60	TSLA	Purchase	04/18/2023	\$1,001 - \$15,000
61	TSLA	Purchase	04/19/2023	\$1,001 - \$15,000
62	TSLA	Purchase	04/26/2023	\$1,001 - \$15,000
63	TSLA	Purchase	04/27/2023	\$1,001 - \$15,000
64	TSLA	Sale	04/27/2023	\$1,001 - \$15,000
65	TSLA	Purchase	05/02/2023	\$1,001 - \$15,000
66	AMD Advanced Micro Devices, Inc.	Purchase	06/20/2023	\$1,001 - \$15,000
67	Advanced Micro Devices, Inc. AMD	Sale	06/22/2023	\$1,001 - \$15,000
68	Advanced Micro Devices, Inc. (AMD)	Purchase	06/29/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
69	Advanced Micro Devices, Inc. (AMD)	Sale	07/14/2023	\$1,001 - \$15,000
70	AutoZone, Inc. (AZO)	Purchase	05/22/2023	\$1,001 - \$15,000
71	AutoZone, Inc. (AZO)	Sale	05/30/2023	\$1,001 - \$15,000
72	AutoZone, Inc. (AZO)	Purchase	05/31/2023	\$1,001 - \$15,000
73	AutoZone, Inc. (AZO)	Sale	06/06/2023	\$1,001 - \$15,000
74	AutoZone, Inc. (AZO)	Sale	06/29/2023	\$1,001 - \$15,000
75	Boeing Co (BA)	Purchase	06/20/2023	\$1,001 - \$15,000
76	Boeing Co (BA)	Sale	06/22/2023	\$1,001 - \$15,000
77	CAVA Group Inc CAVA	Purchase	07/14/2023	\$1,001 - \$15,000
78	Chipotle Mexican Grill, Inc. (CMG)	Purchase	05/16/2023	\$1,001 - \$15,000
79	Chipotle Mexican Grill, Inc. (CMG)	Purchase	05/16/2023	\$1,001 - \$15,000
80	Chipotle Mexican Grill, Inc. (CMG)	Sale	05/17/2023	\$1,001 - \$15,000
81	Chipotle Mexican Grill, Inc. (CMG)	Purchase	05/31/2023	\$1,001 - \$15,000
82	Chipotle Mexican Grill, Inc. (CMG)	Sale	05/31/2023	\$1,001 - \$15,000
83	Chipotle Mexican Grill, Inc. (CMG)	Purchase	06/01/2023	\$1,001 - \$15,000
84	Chipotle Mexican Grill, Inc. (CMG)	Sale	06/01/2023	\$1,001 - \$15,000
85	Chipotle Mexican Grill, Inc. (CMG)	Purchase	07/10/2023	\$1,001 - \$15,000
86	Chipotle Mexican Grill, Inc. (CMG)	Sale	07/10/2023	\$1,001 - \$15,000
87	NVIDIA Corp. (NVDA)	Purchase	06/06/2023	\$1,001 - \$15,000
88	NVIDIA Corp. (NVDA)	Sale	06/06/2023	\$1,001 - \$15,000
89	NVIDIA Corp. (NVDA)	Purchase	07/06/2023	\$1,001 - \$15,000
90	NVIDIA Corp. (NVDA)	Sale	07/06/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
91	Tesla, Inc. (TSLA)	Sale	05/12/2023	\$1,001 - \$15,000
92	Tesla, Inc. (TSLA)	Sale	05/18/2023	\$1,001 - \$15,000
93	Tesla, Inc. (TSLA)	Purchase	06/08/2023	\$1,001 - \$15,000
94	Tesla, Inc. (TSLA)	Sale	06/08/2023	\$1,001 - \$15,000
95	Tesla, Inc. (TSLA)	Purchase	06/26/2023	\$1,001 - \$15,000
96	Tesla, Inc. (TSLA)	Purchase	06/26/2023	\$1,001 - \$15,000
97	Tesla, Inc. (TSLA)	Sale	06/26/2023	\$1,001 - \$15,000
98	Tesla, Inc. (TSLA)	Sale	06/28/2023	\$1,001 - \$15,000
99	Boeing Co (BA)	Purchase	07/18/2023	\$1,001 - \$15,000
100	Boeing Co (BA)	Sale	07/20/2023	\$1,001 - \$15,000
101	CAVA Group Inc CAVA	See Endnote	07/18/2023	\$1,001 - \$15,000
102	Chipotle Mexican Grill, Inc. (CMG)	Purchase	07/20/2023	\$1,001 - \$15,000
103	Chipotle Mexican Grill, Inc. (CMG)	Purchase	08/14/2023	\$1,001 - \$15,000
104	Chipotle Mexican Grill, Inc. (CMG)	Purchase	08/14/2023	\$1,001 - \$15,000
105	Chipotle Mexican Grill, Inc. (CMG)	Sale	08/14/2023	\$1,001 - \$15,000
106	Costco Wholesale Corporation COST	Purchase	07/19/2023	\$1,001 - \$15,000
107	Costco Wholesale Corporation COST	Sale	07/19/2023	\$1,001 - \$15,000
108	Tesla, Inc. (TSLA)	Purchase	07/20/2023	\$1,001 - \$15,000
109	Tesla, Inc. (TSLA)	Sale	07/31/2023	\$1,001 - \$15,000
110	Advanced Micro Devices, Inc. (AMD)	Purchase	08/22/2023	\$1,001 - \$15,000
111	Advanced Micro Devices, Inc. (AMD)	Purchase	08/22/2023	\$1,001 - \$15,000
112	Advanced Micro Devices, Inc. (AMD)	Purchase	08/24/2023	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
113	Boeing Co (BA)		Purchase	09/15/2023	\$1,001 - \$15,000
114	Boeing Co (BA)		Purchase	09/15/2023	\$1,001 - \$15,000
115	Boeing Co (BA)		Purchase	09/15/2023	\$1,001 - \$15,000
116	Boeing Co (BA)		Sale	09/25/2023	\$1,001 - \$15,000
117	NVIDIA Corp (NVDA)		Purchase	09/22/2023	\$1,001 - \$15,000
118	NVIDIA Corp (NVDA)		Sale	09/25/2023	\$1,001 - \$15,000
119	Advanced Micro Devices, Inc. (AMD)	See Endnote	Sale	11/03/2023	\$1,001 - \$15,000
120	Autozone Inc (AZO)		Purchase	10/30/2023	\$1,001 - \$15,000
121	Autozone Inc (AZO)		Sale	10/30/2023	\$1,001 - \$15,000
122	Autozone Inc (AZO)		Purchase	12/15/2023	\$1,001 - \$15,000
123	Autozone Inc (AZO)		Sale	12/15/2023	\$1,001 - \$15,000
124	Chipotle Mexican Grill, Inc. (CMG)		Purchase	12/14/2023	\$1,001 - \$15,000
125	Chipotle Mexican Grill, Inc. (CMG)		Purchase	12/14/2023	\$1,001 - \$15,000
126	Chipotle Mexican Grill, Inc. (CMG)		Sale	12/15/2023	\$1,001 - \$15,000
127	NVIDIA Corp (NVDA)		Purchase	11/28/2023	\$1,001 - \$15,000
128	NVIDIA Corp (NVDA)		Purchase	12/04/2023	\$1,001 - \$15,000
129	NVIDIA Corp (NVDA)		Purchase	12/08/2023	\$1,001 - \$15,000
130	NVIDIA Corp (NVDA)		Sale	12/13/2023	\$1,001 - \$15,000
131	NVIDIA Corp (NVDA)		Sale	12/14/2023	\$1,001 - \$15,000
132	TESLA (TSLA)		Purchase	10/26/2023	\$1,001 - \$15,000
133	TESLA (TSLA)		Sale	10/27/2023	\$1,001 - \$15,000
134	TESLA (TSLA)		Purchase	11/06/2023	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
135	TESLA (TSLA)		Purchase	11/06/2023	\$1,001 - \$15,000
136	TESLA (TSLA)		Purchase	11/09/2023	\$1,001 - \$15,000
137	TESLA (TSLA)		Purchase	11/09/2023	\$1,001 - \$15,000
138	TESLA (TSLA)		Sale	11/14/2023	\$1,001 - \$15,000
139	TESLA (TSLA)		Purchase	11/17/2023	\$1,001 - \$15,000
140	TESLA (TSLA)		Sale	11/28/2023	\$1,001 - \$15,000
141	TESLA (TSLA)		Purchase	12/12/2023	\$1,001 - \$15,000
142	TESLA (TSLA)		Sale	12/14/2023	\$1,001 - \$15,000
143	Autozone Inc (AZO)		Purchase	12/15/2023	\$1,001 - \$15,000
144	Autozone Inc (AZO)		Sale	12/15/2023	\$1,001 - \$15,000
145	Autozone Inc (AZO)		Purchase	12/20/2023	\$1,001 - \$15,000
146	Autozone Inc (AZO)		Purchase	12/21/2023	\$1,001 - \$15,000
147	Autozone Inc (AZO)	See Endnote	Sale	12/22/2023	\$1,001 - \$15,000
148	Chipotle Mexican Grill, Inc. (CMG)		Purchase	12/28/2023	\$1,001 - \$15,000
149	Chipotle Mexican Grill, Inc. (CMG)		Purchase	12/28/2023	\$1,001 - \$15,000
150	Chipotle Mexican Grill, Inc. (CMG)	See Endnote	Sale	12/29/2023	\$1,001 - \$15,000

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Upromise MasterCard by Sallie Mae (Barclaycard); Philadelphia, PA, US	Credit Card	\$10,001 - \$15,000	2017	16.49%	On Demand

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
2	NewRez (Property #1-Rental, Fredericksburg, VA)	Mortgage (investment/rental property)	\$100,001 - \$250,000	2023	8.625%	30-Year Fixed
3	Costco Anywhere Visa® Card by Citi	Credit Card	\$15,001 - \$50,000	2021	11.58%	On Demand
4	Navy Federal Credit Union (Property #2 Rental, Fredericksburg, VA)	Mortgage (investment/rental property)	\$100,001 - \$250,000	2021	4%	15-Year Term
5	Navy Federal Credit Union (Property #3 Rental, Fredericksburg, VA)	Mortgage (investment/rental property)	\$50,001 - \$100,000	2022	10.25%	20 Years (HELOC)

## 9. Gifts and Travel Reimbursements

None

## Endnotes

PART	#	ENDNOTE
1.	1	My spouse and I are created this LLC in 2023 as an initial step to becoming the co-owners of a cafe/bakery (fast casual dining restaurant), which we are currently building out as of May 2024 and that we are planning to open during the summer of 2024.
7.	101	Sale of shares that were purchased on 7/14/2023 and reported as a transaction in the 278-T filed on 7/18/2023.
7.	119	Sale of AMD shares that were purchased on 8/22/2023 and 8/24/2023, as reported on previous 278T periodic transaction report.
7.	147	Sale of shares purchased on 12/20/2023 and 12/21/2023



PART	#	ENDNOTE
7.	150	Sale of shares purchased on 12/28/2023 and 12/28/2023

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

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Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).