

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Femenella, Peggy Bayer

Assistant Director Mergers II, Federal Trade Commission

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Femenella, Peggy Bayer [electronically signed on 04/11/2023 by Femenella, Peggy Bayer in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Pankey, Lorielle L, Certifying Official [electronically signed on 04/13/2023 by Pankey, Lorielle L in Integrity.gov]

Other review conducted by

/s/ Wong, Jeremy, Ethics Official [electronically signed on 04/12/2023 by Wong, Jeremy in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 04/13/2023

Transactions

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	CHARLOTTE N C STORM WTR FEE REV 5% 12/01/25 JD	See Endnote	Sale	03/31/2023	No	\$15,001 - \$50,000
2	AIKEN CNTY S C CONS SCH DIST GO 5% 03/01/24 MS	See Endnote	Sale	03/31/2023	No	\$15,001 - \$50,000
3	PENNSYLVANIA ST GO 5% 10/15/23 AO	See Endnote	Sale	03/31/2023	No	\$15,001 - \$50,000
4	UNIVERSITY NORTH TEX UNIV REV REV 5% 04/15/24 AO	See Endnote	Sale	03/31/2023	No	\$15,001 - \$50,000
5	PORTLAND ORE SWR SYS REV REV 5% 03/01/26 MS	See Endnote	Sale	03/31/2023	No	\$15,001 - \$50,000
6	SPOTSYLVANIA CNTY VA GO 5% 01/15/27 JJ	See Endnote	Sale	03/31/2023	No	\$15,001 - \$50,000
7	PENDER CNTY N C GO 5% 09/01/24 MS	See Endnote	Sale	03/31/2023	No	\$15,001 - \$50,000
8	MASSACHUSETTS ST GO 5% 07/01/26 JJ	See Endnote	Sale	03/31/2023	No	\$15,001 - \$50,000
9	DELAWARE TRANSN AUTH TRANSN REV 5% 07/01/23 JJ	See Endnote	Sale	03/31/2023	No	\$15,001 - \$50,000
10	N Y ST DRM ATH ST PRS INCM TX REV 5% 03/15/24 MS	See Endnote	Sale	03/31/2023	No	\$15,001 - \$50,000
11	PRINCE WILLIAM CNTY VA GO 5% 08/01/27 FA	See Endnote	Sale	03/31/2023	No	\$15,001 - \$50,000
12	OHIO STATE UNIVERSITY (THE) REV 5.0000% 12/01/27 JD	See Endnote	Sale	03/31/2023	No	\$15,001 - \$50,000
13	POLK CNTY IOWA GO 5% 06/01/23 JD	See Endnote	Sale	03/31/2023	No	\$15,001 - \$50,000
14	OREGON ST DEPT ADMINISTRATIVE REV 5% 04/01/25 AO	See Endnote	Sale	03/31/2023	No	\$15,001 - \$50,000
15	OHIO ST GO 5% 08/01/26 FA	See Endnote	Sale	03/31/2023	No	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
16	WASHINGTON SUBN SAN DIST MD DB 5% 06/01/26 JD	See Endnote	Sale	03/31/2023	No	\$15,001 - \$50,000
17	HAWAII ST HWY REV REV 5% 01/01/27 JJ	See Endnote	Sale	03/31/2023	No	\$15,001 - \$50,000
18	HENNEPIN CNTY MINN GO 5% 12/01/26 JD	See Endnote	Sale	03/31/2023	No	\$15,001 - \$50,000
19	NEW HAMPSHIRE ST GO 5% 12/01/28 JD	See Endnote	Sale	03/31/2023	No	\$15,001 - \$50,000
20	MASSACHUSETTS ST GO 5% 07/01/26 JJ	See Endnote	Sale	03/31/2023	No	\$15,001 - \$50,000
21	HARFORD CNTY MD GO 5% 07/01/27 JJ	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000
22	AUSTIN TEX INDPT SCH DIST GO 5% 08/01/24 FA	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000
23	TOWN OF GO 5.0000% 04/01/24 AO	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000
24	NEW MEXICO ST GO 5% 03/01/25 MS	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000
25	LEE CNTY FLA SCH BRD CTFS COPS 5% 08/01/23 FA	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000
26	EAGLE MTN & SAGINAW TEX INDPT GO 5% 08/15/29 FA	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000
27	ENERGY NORTHWEST WASH ELEC REV 5.0000% 07/01/25 JJ	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000
28	SPRINGFIELD MO PUB UTIL REV REV 5% 08/01/23 FA	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000
29	VA CLGE BLDG ATH REV 0.6100% 09/01/25 MS	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000
30	ARAPAHOE CNTY COLO SCH DIST NO GO 5% 12/01/24 JD	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
31	NORTH CHARLESTON S C SWR DIST DB 5% 01/01/29 JJ	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000
32	CYPRESS-FAIRBANKS TEX INDPT GO 5% 02/15/30 FA	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000
33	WISCONSIN ST GO 5% 05/01/30 MN	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000
34	ALLEGHENY CNTY PA PORT AUTH REV 5% 03/01/28 MS	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000
35	CENTRAL KITSAP FIRE & RESCUE GO 5% 12/01/28 JD	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000
36	WISCONSIN (STATE OF) GO 5% 05/01/29 MN	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000
37	ARAPAHOE CNTY COLO SCH DIST NO GO 5.5000% 12/01/28 JD	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000
38	PENNSYLVANIA ST TPK COMMN OIL REV 5% 12/01/26 JD	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000
39	MEMPHIS TENN GO 5% 05/01/28 MN	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000
40	WASHINGTON ST DB 5% 07/01/28 JJ	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000
41	WILLIAMSON CNTY TEX GO 5% 02/15/29 FA	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000
42	VA CLG BLG ATH VA EDL REV 5% 02/01/27 FA	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000
43	DAYTON OHIO CITY SCH DIST GO 5% 11/01/26 MN	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000
44	UNIVERSITY COLO ENTERPRISE SYS REV 5% 06/01/49 JD	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
45	GLENDAL ARIZ SR EXCISE TAX REV 5% 07/01/25 JJ	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000
46	MARYLAND ST GO 5% 06/01/23 JD	See Endnote	Sale	03/31/2023	No	\$50,001 - \$100,000
47	RUTHERFORD CNTY TENN GO 5% 04/01/30 AO	See Endnote	Sale	03/31/2023	No	\$100,001 - \$250,000
48	HALLSVILLE TEX INDPT SCH DIST GO 5% 02/15/25 FA	See Endnote	Sale	03/31/2023	No	\$100,001 - \$250,000
49	NEW HOPE CULTURAL ED FACS FIN REV 5.0000% 04/01/47-CA AO	See Endnote	Sale	03/31/2023	No	\$100,001 - \$250,000
50	CARLSBAD N MEX MUN SCH DIST GO 5% 08/01/24 FA	See Endnote	Sale	03/31/2023	No	\$100,001 - \$250,000
51	CANYONS SCH DIST UTAH GO 5% 06/15/30 JD	See Endnote	Sale	03/31/2023	No	\$100,001 - \$250,000
52	TAYLOR MICH SCH DIST GO 5% 11/01/23 MN	See Endnote	Sale	03/31/2023	No	\$100,001 - \$250,000
53	DISTRICT COLUMBIA INCOME TAX REV 5% 10/01/24 AO	See Endnote	Sale	03/31/2023	No	\$100,001 - \$250,000
54	JEFFERSON CNTY COLO SCH DIST GO 5% 12/15/27 JD	See Endnote	Sale	03/31/2023	No	\$100,001 - \$250,000
55	ALBUQUERQUE NEW MEXICO MUNI GO 5.0000% 08/01/28 FA	See Endnote	Sale	03/31/2023	No	\$100,001 - \$250,000
56	HARRIS CNTY TEX MET TRAN AUTH REV 5% 11/01/24 MN	See Endnote	Sale	03/31/2023	No	\$100,001 - \$250,000
57	WASHINGTON D C MET AREA TRAN REV 5% 07/15/27 JJ	See Endnote	Sale	03/31/2023	No	\$100,001 - \$250,000
58	PEARLAND TEX INDPT SCH DIST GO 5% 02/15/26 FA	See Endnote	Sale	03/31/2023	No	\$100,001 - \$250,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
59	MIAMI UNIV OHIO GEN RCPTS REV 5% 09/01/27 MS	See Endnote	Sale	03/31/2023	No	\$100,001 - \$250,000
60	NEW YORK ST URBAN DEV CORP ST REV 5% 03/15/25 MS	See Endnote	Sale	03/31/2023	No	\$100,001 - \$250,000
61	CLARK CNTY NEV HWY IMPT REV REV 5% 07/01/29 JJ	See Endnote	Sale	03/31/2023	No	\$100,001 - \$250,000
62	UNIVERSITY MINN GO 5% 10/01/26 AO	See Endnote	Sale	03/31/2023	No	\$100,001 - \$250,000
63	GRANITE SCH DIST UTAH SALT GO 5% 06/01/28 JD	See Endnote	Sale	03/31/2023	No	\$100,001 - \$250,000
64	CHARLESTON CNTY S C SCH DIST DB 4% 05/10/23 MN	See Endnote	Sale	03/31/2023	No	\$100,001 - \$250,000
65	GS HIGH YIELD MUNICIPAL FUND	See Endnote	Sale	03/31/2023	No	\$1,000,001 - \$5,000,000
66	GS HIGH YIELD FLOATING RATE FUND	See Endnote	Sale	03/31/2023	No	\$1,000,001 - \$5,000,000
67	GS TAX-ADVANTAGED GLOBAL EQUITY PORTFOLIO	See Endnote	Sale	03/31/2023	No	\$25,000,001 - \$50,000,000

Endnotes

PART	#	ENDNOTE
Transactions	1	Filer was trustee
Transactions	2	Filer was trustee
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PART	#	ENDNOTE
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PART	#	ENDNOTE
Transactions	50	Filer was trustee
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Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
