

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Beshar, Peter J.

General Counsel, United States Air Force, Department of Defense

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Armed Services
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Beshar, Peter J. [electronically signed on 08/07/2021 by Beshar, Peter J. in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Thompson, Scott F, Certifying Official [electronically signed on 10/25/2021 by Thompson, Scott F in Integrity.gov]

Other review conducted by

/s/ Thompson, Scott F, Ethics Official [electronically signed on 10/25/2021 by Thompson, Scott F in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 11/01/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Marsh & McLennan Companies		New York, New York	Public	Executive Vice President and General Counsel	11/2004	Present
2	The 2018 Peter J. Beshar Grantor Retained Annuity Trust u/a/d 10/31/2018		New York, New York	Trust	Co-Trustee	10/2018	Present
3	The Beshar 2018 Descendants Trust Agreement u/a/d 10/31/2018		New York, New York	Trust	Co-Trustee	10/2018	Present
4	The Jacqueline Beshar Trust	See Endnote	New York, New York	Trust	Co-Trustee	1/2016	Present
5	CARE, USA		Atlanta, Georgia	Non-Profit	Trustee and Chair Audit and Risk Management Committee	6/2019	Present
6	John Jay College - Foundation Board		New York, New York	Non-Profit	Trustee and Chair of the Veterans' Committee	6/2012	Present
7	The Wilson International Center for Scholars		Washington, District of Columbia	Non-Profit	Trustee	2/2015	12/2020
8	Jackson Institute for Global Affairs - Yale University		New Haven, Connecticut	Non-Profit	Trustee	6/2016	Present
9	The 2021 Peter J. Beshar Grantor Retained Annuity Trust # 1		New York, New York	Trust	Trustee	3/2021	Present
10	The 2021 Peter J. Beshar Grantor Retained Annuity Trust # 2		New York, New York	Trust	Trustee	6/2021	Present
11	The Peter J. Beshar 2018 Revocable Trust Agreement (unfunded)	See Endnote	New York, New York	Trust	Trustee	10/2018	Present

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
12	The Sarah E. Beshar 2018 Revocable Trust Agreement (unfunded)	See Endnote	New York, New York	Trust	Trustee	10/2018	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Marsh & McLennan Companies	N/A		Salary	\$1,266,667
2	Marsh & McLennan Companies	N/A		Bonus	\$3,650,000
3	2017 Restricted Stock Units (including dividend payments)	N/A	None (or less than \$1,001)	Compensation	\$293,183
4	2018 Restricted Stock Units (including dividend payments)	N/A	None (or less than \$1,001)	Compensation	\$530,743
5	2019 Restricted Stock Units (including dividend payments)	N/A	None (or less than \$1,001)	Compensation	\$478,688
6	2017 Performance Units (including dividend payments)	N/A	None (or less than \$1,001)	Compensation	\$1,477,469
7	2018 Performance Units (including dividend payments)	N/A	None (or less than \$1,001)	Compensation	\$909,737
8	2011 Stock Options (including dividend payments)	N/A	None (or less than \$1,001)	Compensation	\$12,682,990
9	2013 Stock Options (including dividend payments)	N/A	None (or less than \$1,001)	Compensation	\$13,806,666
10	2012 Stock Options (including dividend payments)	N/A	None (or less than \$1,001)	Compensation	\$14,965,189
11	2016 Stock Options (including dividend payments)	N/A	None (or less than \$1,001)	Compensation	\$4,682,504

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	2014 Stock Options (including dividend payments)	N/A	None (or less than \$1,001)	Compensation	\$6,513,478
13	2015 Stock Options (including dividend payments)	N/A	None (or less than \$1,001)	Compensation	\$4,798,643
14	Marsh & McLennan Companies: Outstanding Equity Compensation	No			
14.1	Marsh & McLennan Companies, 74,951 shares of vested stock options granted 2/22/2017, Expires 2/22/2027, Strike Price \$73.195	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
14.2	Marsh & McLennan Companies, 46,133 shares of vested stock options granted 2/21/2018, Expires 2/21/2028, Strike Price \$83.05	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
14.3	Marsh & McLennan Companies, 31,548 shares of vested stock options granted 2/19/2019, Expires 2/19/2029, Strike Price \$90.785	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
14.4	Marsh & McLennan Companies, 14,227 shares of vested stock options granted 2/19/2020, Expires 2/20/2030, Strike Price \$118.865	N/A	\$250,001 - \$500,000		None (or less than \$201)
14.5	Marsh & McLennan Companies, 2018 stock options (value not readily ascertainable):15,378 unvested shares, strike \$83.05, vest 2/21/2022, exp. 2/21/2028	N/A			None (or less than \$201)
14.6	Marsh & McLennan Companies, 2019 stock options (value not readily ascertainable):31,548 unvested shares, strike \$90.785, vest 50% annually on 2/19/2022 and 2/19/2023, expires 2/19/2029	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.7	Marsh & McLennan Companies, 2020 stock options (value not readily ascertainable):42,682 unvested shares, strike \$118.865, vest 33.33% annually on 2/19/22, 2/19/23, 2/19/24, expires 2/19/30	N/A			None (or less than \$201)
14.8	Marsh & McLennan Companies, 2021 stock options (value not readily ascertainable):53,937 unvested shares, strike \$117.53, vest 25% annually on 2/22/22, 2/22/23, 2/24/24, 2/25/25, expires 2/21/31	N/A			None (or less than \$201)
14.9	Marsh & McLennan Companies, 2019 unvested restricted stock units. All shares vest 2/28/2022.	N/A	\$250,001 - \$500,000		None (or less than \$201)
14.10	Marsh & McLennan Companies, 2019 unvested performance stock units. All shares vest 2/28/2022	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
14.11	Marsh & McLennan Companies, 2020 unvested performance stock units. All shares vest 2/19/2023	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
14.12	Marsh & McLennan Companies, 2021 unvested performance stock units. All shares vest 2/22/2024	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
15	IRA	No			
15.1	SPDR S&P 500 ETF	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
15.2	US brokerage account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
16	Marsh & McLennan Companies 401(k) #1	No			
16.1	Goldman Sachs Small Cap Value Instl Fund	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
17	Marsh & McLennan Companies 401(k) #2	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17.1	Dodge & Cox Stock Fund	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
18	Brokerage Acct #1	No			
18.1	Marsh & McLennan Co Inc	N/A	\$25,000,001 - \$50,000,000	Dividends	\$100,001 - \$1,000,000
19	Brokerage Acct #15	No			
19.1	Marsh & McLennan Co Inc	N/A	\$5,000,001 - \$25,000,000	Dividends	\$100,001 - \$1,000,000
19.2	Fidelity Government Money Market	Yes	\$100,001 - \$250,000		None (or less than \$201)
20	Marsh & McLennan Companies Defined Benefit Plan (value not readily ascertainable): eligible for \$15,225/month at age 65	N/A			None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Marsh & McLennan Companies	New York, New York	I may receive a pro-rata performance-based bonus for services rendered during 2021. Any bonus would be paid prior to my assuming the duties of the position of Air Force, General Counsel or I will forfeit it.	11/2004
2	Marsh & McLennan Companies	New York, New York	Pursuant to the company's executive compensation plan, my unvested restricted stock units will vest pro rata upon separation. At that time, I will receive a stock payout. The remainder of any unvested restricted stock units will be forfeited.	11/2004

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
3	Marsh & McLennan Companies	New York, New York	Pursuant to the company's executive compensation plan, my performance stock units will vest pro rata to the extent that Marsh McLennan meets certain pre-established performance metrics. Each grant vests on the third anniversary from the grant date and I have three outstanding annual grants which will be reduced based on the amount of time I spent working from the grant date until my separation date. I will forfeit the remainder of any unvested performance stock units.	11/2004
4	Marsh & McLennan Companies	New York, New York	Pursuant to the company's executive compensation plan, and based upon my age and service, my options will continue to vest with respect to any unvested option shares following separation, and I will have the lesser of 5 years from retirement or the original option expiration date to exercise the options. Upon separation, I will retain my vested and unvested options.	11/2004
5	Marsh & McLennan Companies	New York, New York	401(k) Plans: I will continue to participate in these defined contribution plans. The plan sponsor will not make further contributions to these plans after my separation.	11/2004
6	Marsh & McLennan Companies	New York, New York	Defined Benefit Plan: I will continue to participate in this defined benefit plan.	11/2004

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Marsh & McLennan Companies	New York, New York	I serve as the Executive Vice President and General Counsel of Marsh & McLennan Companies. I lead the Company's Legal, Compliance, Public Affairs and Risk Management Departments

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Davis Polk & Wardwell LLP. (Defined Benefit Plan)	N/A	Over \$1,000,000	Retirement payments.	
2	Invesco Ltd. (Non-Executive Board Member)	N/A		Directors Fees	
3	IRA #1	No			
3.1	U.S. bank #1 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4	IRA #2	No			
4.1	U.S. bank #1 (cash)	N/A	Over \$1,000,000		None (or less than \$201)
4.2	SPDR S&P 500 ETF	Yes	Over \$1,000,000		None (or less than \$201)
5	IRA #3	No			
5.1	SPDR S&P500 ETF	Yes	\$100,001 - \$250,000		None (or less than \$201)
5.2	U.S. bank #2 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	Brokerage Acct #1	No			
6.1	Invesco LTD	N/A	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000
7	Brokerage Acct #2	No			
7.1	Invesco LTD	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Brokerage Acct #1	No			
1.1	U.S. brokerage money market account (cash)	N/A	\$5,000,001 - \$25,000,000	Interest	\$15,001 - \$50,000
1.2	Apple Inc.	N/A	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000
1.3	AbbVie Inc.	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
1.4	AmerisourceBergen Corporation	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$100,001 - \$1,000,000
1.5	Amgen Inc.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$100,001 - \$1,000,000
1.6	Baxter International Inc.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$100,001 - \$1,000,000
1.7	Blackstone Group Inc.	N/A	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000
1.8	Crown Castle International	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$100,001 - \$1,000,000
1.9	CF Industries Holdings, Inc.	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
1.10	Cigna Corp	N/A	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000
1.11	Estee Lauder COS Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$100,001 - \$1,000,000
1.12	Goldman Sachs Group Inc.	N/A	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000
1.13	Lockheed Martin Corporation	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
1.14	MasterCard Incorporated	N/A	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.15	Oracle Corporation	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
1.16	Pfizer Inc.	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
1.17	AT&T Inc.	N/A	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
1.18	Salesforce.com Inc.	N/A	\$500,001 - \$1,000,000	Dividends	None (or less than \$201)
1.19	TripAdvisor, Inc.	N/A	\$100,001 - \$250,000	Dividends	None (or less than \$201)
1.20	Visa Inc.	N/A	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500
1.21	Verizon Communications, Inc.	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
1.22	Viatis, Inc.	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.23	Xylem Inc.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$100,001 - \$1,000,000
1.24	Virtus Vontobel Emerging Markets Opportunities Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.25	Artisan International Fund Investor Class	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.26	Petershill II LP	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
1.27	MD VII PE Access LP	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
1.28	Insight Venture Partners X Access LP	Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.29	KKR Principal Opportunities II Access LLC	Yes	\$250,001 - \$500,000		None (or less than \$201)
1.30	Biogen Inc.	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
1.31	T-Mobile US, Inc.	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
1.32	Autodesk, Inc.	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
1.33	Adidas AG	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
1.34	Palo Alto Networks Inc.	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
1.35	SAP SE	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
2	Brokerage Acct #2	No			
2.1	U.S. brokerage money market account (cash)	N/A	\$5,000,001 - \$25,000,000	Interest	\$15,001 - \$50,000
2.2	Apple Inc.	N/A	\$1,000,001 - \$5,000,000	Dividends	\$2,501 - \$5,000
2.3	Vintage VII, LP	Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000
2.4	Cigna Corp	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
3	Brokerage Acct #3 (closed account)	No			
3.1	Amgen Inc.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
3.2	Ameriprise Financial	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.3	Bank of America Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
3.4	Chubb Limited	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
3.5	Cabot Oil & Gas Corporation	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
3.6	Corteva, Inc.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
3.7	Equity LifeStyle Properties	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
3.8	General Dynamics Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
3.9	Johnson Controls International	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
3.10	JPMorgan Chase & Co	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
3.11	Coca-Cola Company	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
3.12	Microchip Technology Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
3.13	Medtronic Public Limited Company	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
3.14	Microsoft Corporation	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
3.15	Mitsubishi UFJ Financial Group, Inc	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
3.16	Novartis AG	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.17	Oshkosh Corporation	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
3.18	Parker-Hannifin Corp	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
3.19	PNC Financial Services Group	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
3.20	Qualcomm Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
3.21	Sun Communities Inc	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
3.22	Xylem Inc.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
3.23	Adobe Inc.	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
3.24	Alcon, Inc.	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
3.25	Allegion Public Limited Company	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
3.26	Ansys, Inc.	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
3.27	Bok Financial Corp	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
3.28	Capital One Financial Corp	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
3.29	Commerce Bancshares, Inc.	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
3.30	Cullen Frost Bankers Inc	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.31	Danaher Corp	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
3.32	Elanco Animal Health Incorp	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
3.33	Lennar Corporation Class A	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
3.34	Martin Marietta Materials, Inc.	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
3.35	PayPal Holdings, Inc.	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
3.36	PPG Industries, Inc	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
3.37	Procter & Gamble Company	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
3.38	RPM International Inc	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
3.39	Sony Corporation ADR	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
3.40	The Home Depot, Inc.	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
3.41	Twitter, Inc.	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
3.42	Unilever N.V. NY SHS ADR	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
4	Brokerage Acct #4	No			
4.1	U.S. brokerage money market account (cash)	N/A	\$250,001 - \$500,000	Interest	\$1,001 - \$2,500
4.2	Capital Partners VI Parallel/Whitehall Street Global Real Estate 2007 Access LP	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	Brokerage Acct #5	No			
5.1	Fidelity Government Money Market	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	Brokerage Acct #6 (closed account)	No			
6.1	Citigroup Inc.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
6.2	Abbott Laboratories	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
6.3	Alphabet Inc. Class A	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
6.4	American International Group, Inc.	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
6.5	Archer-Daniels-Midland Company	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
6.6	Bank Of America	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
6.7	Berkshire Hathaway	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
6.8	Booking Holdings	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
6.9	Carrier Global Corp	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
6.10	Caterpillar Inc.	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
6.11	Charles Schwab Co.	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
6.12	Charter Communications, Inc.	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.13	Cognizant Technology Solutions Corp	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
6.14	Comcast Corporation	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
6.15	Deere & Company	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
6.16	Facebook, Inc. Class A	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
6.17	Fidelity National Info SVCS	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
6.18	First Republic Bank	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
6.19	General Motors Co.	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
6.20	Hartford Financial SRVCS	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
6.21	Honeywell Intl Inc.	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
6.22	Humana Inc.	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
6.23	JP Morgan Chase & Co	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
6.24	Kimberly-Clark Corp	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
6.25	KKR & Co.	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
6.26	L3Harris Technologies Inc.	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.27	Linde Plc	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
6.28	Medtronic Public Limited Company	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
6.29	Microsoft Corporation	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
6.30	Mondelez International, Inc.	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
6.31	Morgan Stanley	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
6.32	NVR Inc.	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
6.33	O'Reilly Automotive, Inc.	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
6.34	Parker-Hannifin Corp	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
6.35	PepsiCo, Inc.	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
6.36	Pfizer, Inc.	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
6.37	Procter & Gamble Co	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
6.38	Public Storage	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
6.39	Raytheon Technologies Corporation	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
6.40	Roper Technologies Inc.	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.41	Sherwin-Williams Co.	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
6.42	Texas Instruments Inc.	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
6.43	Thermo Fisher Scientific Inc	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
6.44	TJX Companies	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
6.45	Truist Financial Corp	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
6.46	VF Corp	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
6.47	Visa Inc.	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
6.48	Walt Disney Company	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
7	Brokerage Acct #7 (closed account)	No			
7.1	Goldman Sachs Fin Sq Govt Fst Instl	Yes	None (or less than \$1,001)		None (or less than \$201)
7.2	Artisan International Fund Investor Class	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
7.3	Virtus Vontobel Emerging Markets Opportunities Fund	Yes	None (or less than \$1,001)		\$201 - \$1,000
8	Beautiful On Broadway LLC (theatrical production)	N/A	\$1,001 - \$15,000	Cash Distribution	\$2,250
9	Beautiful West End LLC (theatrical production)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10	Beautiful Tour Carole Company LP (theatrical production)	N/A	\$1,001 - \$15,000	Cash Distribution	\$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11	Residential real estate: Cottesloe WA 6011, Australia	N/A	Over \$1,000,000	Rent or Royalties	\$50,001 - \$100,000
12	2021 Peter J. Beshar Grantor Retained Annuity Trust #1	No			
12.1	Amazon.com Inc.	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
13	2021 Peter J. Beshar Grantor Retained Annuity Trust #2	No			
13.1	Alphabet Inc. Class A	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
14	New York 529 College Savings Program Acct #1	No			
14.1	Growth Stock Index Portfolio	Yes	\$100,001 - \$250,000		None (or less than \$201)
14.2	Value Stock Portfolio	Yes	\$100,001 - \$250,000		None (or less than \$201)
15	New York 529 College Savings Program Acct #2	No		Cash Payments	\$84,973
15.1	Growth Stock Index Portfolio	Yes	None (or less than \$1,001)		None (or less than \$201)
15.2	Value Stock Index Portfolio	Yes	None (or less than \$1,001)		None (or less than \$201)
16	New York 529 College Savings Program Acct #3	No		Cash Payments	\$105,751
16.1	Growth Stock Index Portfolio	Yes	\$50,001 - \$100,000		None (or less than \$201)
16.2	Value Stock Index Portfolio	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17	U.S. bank (cash)	N/A	\$1,000,001 - \$5,000,000	Interest	\$201 - \$1,000
18	WestPac (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
19	The Beshar 2018 Descendants Trust Agreement u/a/d 10/31/2018	No			
19.1	Brokerage Acct #16	No			
19.1.1	GOLDMAN SACHS BANK USA DEPOSIT (BDA)	N/A	\$100,001 - \$250,000		None (or less than \$201)
19.1.2	MARSH & MCLENNAN CO INC. (MMC)	N/A	\$5,000,001 - \$25,000,000	Dividends	\$50,001 - \$100,000
19.1.3	ISHARES RUSSELL 2000 ETF (IWM)	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
19.1.4	SPDR S&P 500 ETF TRUST (SPY)	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
19.2	Fiera Large Cap Core	No			
19.2.1	GOLDMAN SACHS BANK USA DEPOSIT (BDA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.2.2	BECTON, DICKINSON AND COMPANY (BDX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.2.3	U.S. BANCORP (USB)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.2.4	CME GROUP INC. (CME)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.2.5	COLGATE-PALMOLIVE CO. (CL)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.2.6	ECOLAB INC. (ECL)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.2.7	PEPSICO, INC. (PEP)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.2.8	TJX COMPANIES INC. (TJX)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
19.2.9	FACTSET RESEARCH SYSTEMS INC. (FDS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.2.10	JOHNSON & JOHNSON (JNJ)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
19.2.11	MASTERCARD INCORPORATED (MA)	N/A	\$50,001 - \$100,000		None (or less than \$201)
19.2.12	ANALOG DEVICES, INC. (ADI)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.2.13	AUTOZONE, INC. (AZO)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.2.14	ORACLE CORPORATION (ORCL)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.2.15	OTIS WORLDWIDE CORPORATION (OTIS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.2.16	LINDE PLC (LIN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.2.17	GRACO INC. (GGG)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.2.18	UNITEDHEALTH GROUP INCORPORATE (UNH)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
19.2.19	MIDDLEBY CORPORATION (MIDD)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.2.20	SHERWIN-WILLIAMS CO. (SHW)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.2.21	MICROSOFT CORPORATION (MSFT)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
19.2.22	MOODY'S CORPORATION (MCO)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
19.2.23	LOWES COMPANIES INC. (LOW)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
19.2.24	NIKE (NKE)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.2.25	METTLER-TOLEDO INTL (MTD)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.2.26	ALPHABET INC. (GOOGL)	N/A	\$50,001 - \$100,000		None (or less than \$201)
19.2.27	MSCI INC. (MSCI)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$1,001 - \$2,500
19.2.28	CARRIER GLOBAL CORPORATION (CARR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.2.29	VARIAN MEDICAL SYSTEMS INC CMN	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
19.3	Aristotle Large Cap Value	No			
19.3.1	PHILLIPS 66 (PSX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.3.2	WALGREENS BOOTS ALLIANCE, INC. (WBA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.3.3	TYSON FOODS INC. (TSN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.3.4	CABOT OIL & GAS CORPORATION (COG)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.3.5	NOVARTIS AG-ADR SPONSORED ADR (NVS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.3.6	GOLDMAN SACHS BANK USA DEPOSIT (BDA) (*BDANOW)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.3.7	GENERAL DYNAMICS CORP. (GD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.3.8	PROCTER & GAMBLE COMPANY (PG)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.3.9	ECOLAB INC. (ECL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.3.10	HONEYWELL INTL INC. (HON)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.3.11	COCA-COLA COMPANY (KO)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.3.12	CULLEN FROST BANKERS INC. (CFR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.3.13	COMPAGNIE GENERALE DES ETABLIS UNSPONSORED ADR (MGDDY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.3.14	MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR (MUFG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.3.15	CHUBB LIMITED (CB)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.3.16	CROWN CASTLE INTL CORP. (CCI)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.3.17	ALCON, INC. (ALC)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.3.18	MEDTRONIC PUBLIC LIMITED COMP. (MDT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.3.19	EQUITY LIFESTYLE PROPERTIES, I (ELS)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.3.20	COMMERCE BANCSHARES, INC. (CBSH)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
19.3.21	AMGEN INC. (AMGN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.3.22	CINCINNATI FINANCIAL CRP (CINF)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.3.23	MICROCHIP TECHNOLOGY INCORP (MCHP)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
19.3.24	RPM INTERNATIONAL INC. (RPM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.3.25	PNC FINANCIAL SERVICES GROUP (PNC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.3.26	EAST WEST BANCORP INC. (EWBC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.3.27	SUN COMMUNITIES, INC. (SUI)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
19.3.28	XYLEM INC. (XYL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.3.29	CAPITAL ONE FINANCIAL CORP. (COF)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.3.30	MARTIN MARIETTA MATERIALS, INC. (MLM)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.3.31	ELANCO ANIMAL HEALTH INCORP. (ELAN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.3.32	CORTEVA, INC. (CTVA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.3.33	TWITTER, INC. (TWTR)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.3.34	AMERIPRISE FINANCIAL, INC. (AMP)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
19.3.35	JOHNSON CONTROLS INTERNATIONAL (JCI)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.3.36	ANSYS, INC. (ANSS)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
19.3.37	PARKER-HANNIFIN CORP. (PH)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.3.38	SONY GROUP CORPORATION SPONSORED ADR (SONY)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.3.39	QUALCOMM INC. (QCOM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.3.40	ADOBE INC. (ADBE)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
19.3.41	MICROSOFT CORPORATION (MSFT)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
19.3.42	DANAHER CORPORATION (DHR)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
19.3.43	LENNAR CORPORATION (LEN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.3.44	PAYPAL HOLDINGS, INC. (PYPL)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
19.3.45	JPMORGAN CHASE & CO CMN (JPM)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
19.3.46	ALLEGION PUBLIC LIMITED COMPAN CMN (ALLE)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
19.3.47	OSHKOSH CORPORATION CMN (OSK)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.3.48	PPG INDUSTRIES, INC. CMN (PPG)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
19.4	Granite Small Cap Core	No			
19.4.1	SIMULATIONS PLUS, INC. (SLP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.2	PAR TECHNOLOGY CORPORATION (PAR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.3	VIZIO HOLDING CORP. (VZIO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.4	BJ'S RESTAURANTS, INC. (BJRI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.5	PROTO LABS, INC. (PRLB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.6	TRONOX HOLDINGS PLC. (TROX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.7	UNIVAR SOLUTIONS INC. (UNVR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.8	ARCOSA INC. (ACA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.9	HERITAGE COMMERCE CORP. (HTBK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.10	SKYWEST, INC. (SKYW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.11	NATIONAL BANK HOLDINGS CORP. (NBHC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.12	ALAMO GROUP INC. (ALG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.13	GOLDMAN SACHS BANK USA DEPOSIT (BDA) (*BDANOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.4.14	COLUMBIA BANKING SYSTEM, INC. (COLB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.15	MEDNAX INC. (MD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.16	AMERICAN ASSETS TRUST, INC. (AAT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.17	CENTRAL PACIFIC FINANCIAL CORP. (CPF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.18	HEALTHSTREAM, INC. (HSTM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.19	GRID DYNAMICS HOLDINGS INC. (GDYN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.20	RANPAK HOLDINGS CORP. (PACK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.21	KRATOS DEFENSE & SECURITY SOL (KTOS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.22	MONTROSE ENVIRONMENTAL GROUP I (MEG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.23	WEIGHT WATCHERS INTERNATIONAL (WW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.24	DMC GLOBAL INC. (BOOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.25	MARTEN TRANSPORT LTD. (MRTN)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
19.4.26	AGILYSYS, INC. (AGYS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.27	KIRBY CORPORATION (KEX)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.4.28	INTER PARFUMS, INC. (IPAR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.29	CALIFORNIA WATER SERVICE GROUP HOLDING COMPANY (CWT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.30	CRYOPORT, INC. (CYRX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.4.31	TERRENO REALTY CORPORATION (TRNO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.32	MATERION CORPORATION (MTRN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.33	RETAIL OPPORTUNITY INVTS CORP. (ROIC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.34	CBIZ, INC. (CBZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.35	H&E EQUIPMENT SERVICES INC. (HEES)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.36	VERACYTE, INC. (VCYT)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
19.4.37	CHILDREN'S PLACE INC. (PLCE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.38	LIONS GATE ENTERTAINMENT CORP. (LGFA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.39	METHANEX CORPORATION (MEOH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.40	U.S. PHYSICAL THERAPY, INC. (USPH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.41	BEACON ROOFING SUPPLY, INC. (BECN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.42	VISTEON CORPORATION (VC)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.4.43	DESCARTES SYSTEMS GRP (DSGX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.44	LIVEPERSON, INC. (LPSN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.45	NEOGENOMICS, INC. (NEO)	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
19.4.46	SPROUT SOCIAL, INC. (SPT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.47	HILTON GRAND VACATIONS INC. (HGV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.48	CERENCE, INC. (CRNC)	N/A	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
19.4.49	GOOSEHEAD INSURANCE, INC. (GSHD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.50	LIVENT CORPORATION (LTHM)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
19.4.51	SITEONE LANDSCAPE SUPPLY, INC. (SITE)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
19.4.52	EVERCORE INC. (EVR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.53	INSPIRE MEDICAL SYSTEMS, INC. (INSP)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
19.4.54	VICOR CORPORATION (VICR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.55	TTEC HOLDINGS, INC. (TTEC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.56	HALOZYME THERAPEUTICS, INC. (HALO)	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
19.4.57	SIGNET JEWELERS LIMITED (SIG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.58	CAPRI HOLDINGS LIMITED (CPRI)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.4.59	SAIA INC. (SAIA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.60	OLIN CORPORATION (OLN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.4.61	CAREDX, INC. (CDNA)	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
19.4.62	ACUSHNET HOLDINGS CORP. CMN (GOLF)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
19.4.63	AEROVIRONMENT INC CMN (AVAV)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
19.4.64	AMERICAN STATES WATER CO CMN (AWR)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
19.4.65	APPFOLIO, INC. CMN CLASS A (APPF)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
19.4.66	BROOKS AUTOMATION, INC. CMN (BRKS)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
19.4.67	CELSIUS HOLDINGS, INC. CMN (CELH)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
19.4.68	CHEESECAKE FACTORY INCORPORATE CMN (CAKE)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
19.4.69	CONTINENTAL BUILDING PRODUCTS, CMN	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
19.4.70	CORPORATE OFFICE PROPERTIES TRUST (MD) (OFC)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
19.4.71	ENCORE WIRE CORP CMN	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
19.4.72	FIVE9, INC. CMN (FIVN)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
19.4.73	HERCULES CAPITAL INC .	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.4.74	HUB GROUP, INC. CMN CLASS A (HUBG)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
19.4.75	KEMET CORPORATION CMN	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
19.4.76	LIGAND PHARMACEUTICALS INCORPORATED CMN	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
19.4.77	MEDALLIA, INC. CMN (MDLA)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
19.4.78	MONMOUTH REAL ESTATE INVESTMENT TRUST CLASS A (MNR)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
19.4.79	NATERA, INC. CMN (NTRA)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
19.4.80	NEW YORK TIMES COMPANY CLASS A (NYT)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
19.4.81	NOVOCURE, LTD. CMN (NVCR)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
19.4.82	PAPA JOHN'S INTERNATIONAL INC. CMN (PZZA)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
19.4.83	PHREESIA INC CMN (PHR)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
19.4.84	SPROUTS FARMERS MARKET INC CMN (SFM)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
19.4.85	TOPBUILD CORP. CMN (BLD)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
19.4.86	USANA HEALTH SCIENCES, INC. CMN (USNA)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
19.5	WELLINGTON: NON-US EQUITY LLC	Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
20	Consolidated Edison Inc	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21	Nokia Corp	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
22	Thomson Reuters Corporation	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
23	Wells Fargo	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
24	Johnson and Johnson	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
25	International Business Machines	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Petershill II LP	Capital Commitments	\$100,001 - \$250,000	2015	N/A	N/A
2	MD VII Access LP	Capital Commitments	\$15,001 - \$50,000	2015	N/A	N/A
3	Insight Venture Partners X Access LP	Capital Commitments	\$10,001 - \$15,000	2017	N/A	N/A
4	KKR Principal Opportunities II Access LLC	Capital Commitments	\$100,001 - \$250,000	2019	N/A	N/A

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
5	Vintage VII LP	Capital Commitments	\$250,001 - \$500,000	2016	N/A	N/A
6	Capital Partners VI Parallel/Whitehall Street Global Real Estate 2007 Access LP	Capital Commitments	\$15,001 - \$50,000	2007	N/A	N/A

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	4	Filer resigned trustee position 9/17/2021
1.	11	No assets in this trust
1.	12	No assets in this trust

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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