OGE Form 278-T (January 2013) 5 C.F.R. Part 2634 U.S. Office of Government Ethics

## **Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT: Periodic Transaction Report**

	er's Name (Print La	st, First, and Middle	Initial)	Title of Position for Which Filing						Department or Agency													
Go	ldberg	Beth	L	District Director								SBA											
Cer	tification: I CERTIF	Y that the statements	Signature of the Filer						Date	(Mon	th, Do	ay, Ye	ar)		Notice of Extension								
on this form and any attachments are true, complete, and correct to the best of my knowledge.				Beth Goldberg						2020-10-13 13:46:38							Check if granted Indicate number of days:						
Signature of Intermediate Reviewing Official (if required by agency)						th, Day, Year	r)			Agen	cy Us	e On	ly			OGE Use Only							
										10/1	3/202	20											
Sign	nature of Agency's	Final Reviewing O	fficial		Date (Mona	th, Day, Year	r)			Comi	ments	of R	eview	ing O	fficia	ls							
М	arilyn Barnes				2020-10-1	6 17:54:43																	
Signature of Reviewing Official at U.S. Office of Government Ethics					Date (Mon	th, Day, Year	r)																
Report any purchase, sale, or exchange by you, your spouse, or dependent child of st You do not need to report: (1) mutual funds and other excepted investment funds; (2) notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transact  Deadlines  You must file your report within 30 days of when you receive notification of a					es of deposit.	savings or che	Transaction Type (x)			and m	nonev	marke	t accou	unts; (2	3) U.S.	ransaction (x)							
note De You	s, and bonds; (4) Thrii  adlines  must file your report	ft Savings Plan account within 30 days of whe	ts; (5) real pro	perty; and (6) transactions that a	Transaction Date	nd between you Notification Received	Tra	our sp	ouse, tion x)	or der	oender	nt chile	d. Am	nount c	of Tran	*		001 - ,000,	,001 - ,000,	000;			
De You tran	s, and bonds; (4) Thris  adlines  must file your report saction but not later the	ft Savings Plan accoun	n you receive ansaction. The res you make.	perty; and (6) transactions that a  notification of a  ese deadlines	Transaction Date	Notification Received More Than 30 Days	ou, yo Tra	our sp	ouse, tion x)	or der	oender	nt chile	d. Am	nount c	of Tran	*		\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000			
De You tran do n	adlines must file your report ot apply to any volunt Central Airlines Co.	ft Savings Plan account within <b>30 days</b> of whe han 45 days after the tr ary additional disclosu	n you receive ansaction. The res you make.	perty; and (6) transactions that a  notification of a  ese deadlines	Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Duchase X	our sp insact ype (	ouse, tion x)	or der	\$15,001 - \$50,000		d. Am			*	\$1,000,001 - 85,000,000	\$5,000,001 -	\$25,000,001 - \$50,000,000	Over \$50,000,000			
De You tran do n	adlines must file your report saction but not later to ot apply to any volunt Central Airlines Co. BMSL Propulsion, Inc.	ft Savings Plan account within <b>30 days</b> of whe han 45 days after the tr ary additional disclosu	n you receive ansaction. The res you make.	perty; and (6) transactions that a  notification of a  ese deadlines	Transaction Date (Mo./Day/Yr.)  10/1/12  9/4/12	Notification Received More Than 30 Days Ago*	X X	our sp insact ype (	ouse, tion x)	or der - 100,18 - 000,518	oender	\$50,001 - \$100,000	d. Am	nount c	of Tran	*		\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000			
De You tran do n	adlines must file your report ot apply to any volunt Central Airlines Co.	ft Savings Plan account within <b>30 days</b> of whe han 45 days after the tr ary additional disclosu	n you receive ansaction. The res you make.	perty; and (6) transactions that a  notification of a  ese deadlines	Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Duchase X	our sp insact ype (	ouse, tion x)	or der	\$15,001 - \$50,000	\$50,001 - \$100,000	d. Am	nount c	of Tran	*		\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000			
De You tran do n	adlines must file your report saction but not later to ot apply to any volunt Central Airlines Co. BMSL Propulsion, Inc.	within 30 days of whe han 45 days after the trary additional disclosu	n you receive ansaction. The res you make.	perty; and (6) transactions that a  notification of a  ese deadlines	Transaction Date (Mo./Day/Yr.)  10/1/12  9/4/12	Notification Received More Than 30 Days Ago*	X X	our sp insact ype (	ouse, tion x)	or der - 100,18 - 000,518	\$15,001 - \$50,000	\$50,001 - \$100,000	d. Am	nount c	of Tran	*		\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000			
De You trando n  Ex.  Ex.  1	adlines must file your report saction but not later to ot apply to any volunt  Central Airlines Co. BMSL Propulsion, Inc. SE - Sea Ltd ADR	within 30 days of whe han 45 days after the trary additional disclosu	n you receive ansaction. The res you make.	perty; and (6) transactions that a  notification of a  ese deadlines	Transaction Date (Mo./Day/Yr.)  10/1/12  9/4/12  09/15/2020	Notification Received More Than 30 Days Ago*	X X	Sale (	ouse, tion x)	or dep - 100,18 - 12,000 X	\$15,001 - \$50,000	\$50,001 - \$100,000	d. Am	nount c	of Tran	*		- 100,000,000 \$25,000,000	\$25,000,001 -	Over \$50,000,000			
De You trando n  Ex.  1	adlines must file your report saction but not later to ot apply to any volunt Central Airlines Co. BMSL Propulsion, Inc. SE - Sea Ltd ADR SHOP - Shopify Inc.	within 30 days of whe han 45 days after the trary additional disclosu Identification	n you receive ansaction. The res you make.	perty; and (6) transactions that a  notification of a  ese deadlines	Transaction Date (Mo./Day/Yr.)  10/1/12  9/4/12  09/15/2020	Notification Received More Than 30 Days Ago*	X X	Sale (	ouse, tion x)	- 100°18 × ×	\$15,001 - \$50,000	\$50,001 - \$100,000	d. Am	nount c	of Tran	*		\$5,000,001 -	\$25,000,001 -	Over \$50,000,000			
De You trandon	adlines must file your report saction but not later to ot apply to any volunt Central Airlines Co. BMSL Propulsion, Inc. SE - Sea Ltd ADR SHOP - Shopify Inc. AA - Alcoa Corp	within 30 days of whe han 45 days after the trary additional disclosus Identification	n you receive ansaction. The res you make.	perty; and (6) transactions that a  notification of a  ese deadlines	Transaction Date (Mo./Day/Yr.)  10/1/12  9/4/12  09/15/2020  09/17/2020	Notification Received More Than 30 Days Ago*	X X	X X	ouse, tion x)	- 100°18 × ×	\$15,001 - \$50,000	\$50,001 - \$100,000	d. Am	nount c	of Tran	*		\$5,000,001 -	\$25,000,001 -	Over \$50,000,000			
De You trandon	adlines must file your report saction but not later to ot apply to any volunt Central Airlines Co. BMSL Propulsion, Inc. SE - Sea Ltd ADR SHOP - Shopify Inc. AA - Alcoa Corp KHC - Kraft Heinz C	within 30 days of whe han 45 days after the trary additional disclosurate Identification	n you receive ansaction. The res you make.	perty; and (6) transactions that a  notification of a  ese deadlines	Transaction Date (Mo./Day/Yr.)  10/1/12 9/4/12 09/15/2020 09/17/2020 09/17/2020	Notification Received More Than 30 Days Ago*	X X X	X X	ouse, tion x)	- 100'18 X X X	\$15,001 - \$50,000	\$50,001 - \$100,000	d. Am	nount c	of Tran	*		\$5,000,001 -	\$25,000,001 -	Over \$50,000,000			

<sup>\*\*</sup> This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

Filer's Name  Goldberg	Beth		Transactions continued (Use only if needed)																
						Transaction Type (x)						Am	saction (x)						
	I	dentification	of Assets	Transaction Date (Mo./Day/Yr.,	Notification Received More Than 30 Days Ago*	Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
SPY - S&F	P 500 SPDR			09/18/2020			×		×										
<sup>2</sup> SHOP - S	hopify Inc			09/18/2020			×		×										
3 VUG - Gro	owth ETF Vang	uard		09/18/2020			×		×										
4 DOCU - D	ocusign Inc			09/18/2020			×		×										
5 SPY - S&I	P 500 SPDR			09/18/2020			×		×										
6 VUG - Gro	owth ETF Vang	uard		09/18/2020			×		×										
7 NFLX - Ne	etflix Inc			09/18/2020			×		×										
8 RSP - S&I	P 500 EW Inve	sco ETF		09/18/2020		×			×										
9 RSP - S&I	P 500 EW Inve	sco ETF		09/18/2020		×			×										
10 CLF - Clev	veland-Cliffs Inc	С		09/18/2020		×			×										
11 GOOGL -	Alphabet Cl A			09/22/2020		×			×										
12 MSFT - M	icrosoft Corp			09/22/2020		×			×										
13 CLF - Clev	veland-Cliffs Inc	С		09/23/2020			×		×										
14 IJR - S&P	Small-Cap Ish	ares Core E	TF	09/24/2020			×		×										
15 IJR - S&P	Small-Cap Ish	ares Core E	TF	09/24/2020			×		×										
16 IAU - Gold	Trust Ishares			09/28/2020			X		×										

<sup>\*</sup> Extensions of the due date should be noted in the "Notice of Extension" section of this form.

\*\* This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

Filer's Name  Goldberg Beth L  Transactions continued (Use only if needed)													Page Number 3							
	Transaction Amount of Transaction														ć.T.					
			Identification (	of Assets	Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*		Sale (	x)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000			- 100,000 - 81,000,000		. ,	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
1	SLV - Silver	Trust Ishare	es		09/28/2020			×		×										
2	GDX - Gold	Miners Van	eck ETF		09/28/2020			×		×										
3	DOCU - Doc	cusign Inc			09/28/2020		×			×										
4	WMT - Wal-	Mart Stores	<b>3</b>		09/28/2020		×			×										
5	TSM - Taiwa	an Semicon	ductor Manufa	acturing	10/05/2020		×			×										
6	IOFIX - Alph	acentric Inc	come Opportu	nities Fund - Class I	10/06/2020		×			×										
7	LFRFX - Lor	d Abbett Inv	v TR Lord Abb	bett Floating Rate Fd	10/06/2020		×			×										
8	LFRFX - Lor	d Abbett Inv	v TR Lord Abb	bett Floating Rate Fd	10/06/2020		×			×										
9	EEM - Emer	ging Market	ts Ishares MS	CI ETF	10/06/2020		×			×										
10	DKNG - Dra	ftkings Inc			10/07/2020		×			×										
11	CSCO - Ciso	co Systems	Inc		10/09/2020			×		×										
12																				
13																				
14																				
15																				
16																				
	* Et	. C (1 1 1	41 1.11	ated in the "Notice of Extension" se	ation of this forms		•			•										

<sup>\*</sup> Extensions of the due date should be noted in the "Notice of Extension" section of this form.

\*\* This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.