

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT: Periodic Transaction Report

Filer's Name (<i>Print Last, First, and Middle Initial</i>)			Title of Position for Which Filing			Department or Agency		
Goldberg Beth L			District Director			SBA		
Certification: I CERTIFY that the statements I have made on this form and any attachments are true, complete, and correct to the best of my knowledge.			Signature of the Filer			Date (<i>Month, Day, Year</i>)		Notice of Extension
			Beth Goldberg			2020-10-13 13:46:38		<input type="checkbox"/> Check if granted Indicate number of days:
Signature of Intermediate Reviewing Official (<i>if required by agency</i>)				Date (<i>Month, Day, Year</i>)		Agency Use Only		OGE Use Only
						10/13/2020		
Signature of Agency's Final Reviewing Official				Date (<i>Month, Day, Year</i>)		Comments of Reviewing Officials		
Marilyn Barnes				2020-10-16 17:54:43				
Signature of Reviewing Official at U.S. Office of Government Ethics (<i>if required</i>)				Date (<i>Month, Day, Year</i>)				

Transactions
 Report any purchase, sale, or exchange by you, your spouse, or dependent child of stocks, bonds, commodity futures, and other securities if the amount of the transaction exceeded \$1,000. You do not need to report: (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between you, your spouse, or dependent child.

Ex.		Identification of Assets	Transaction Date (<i>Mo./Day/Yr.</i>)	Notification Received More Than 30 Days Ago*	Transaction Type (x)			Amount of Transaction (x)											
					Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	
Ex.		Central Airlines Co.	10/1/12		X														
Ex.		BMSL Propulsion, Inc.	9/4/12	X	X				X										
1		SE - Sea Ltd ADR	09/15/2020		X				X										
2		SHOP - Shopify Inc	09/17/2020			X			X										
3		AA - Alcoa Corp	09/17/2020			X			X										
4		KHC - Kraft Heinz Company	09/17/2020			X			X										
5		VRTX - Vertex Pharmaceutic	09/17/2020		X				X										
6		CARR - Carrier Global Corp [Carr/I]	09/17/2020		X				X										
7		IGV - North American Tech-Software Ishares ETF	09/18/2020			X			X										

* Extensions of the due date should be noted in the "Notice of Extension" section of this form.
 ** This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

Filer's Name Goldberg Beth L	Transactions continued (Use only if needed)	Page Number 2
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Identification of Assets		Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Transaction Type (x)			Amount of Transaction (x)											
				Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	
1	SPY - S&P 500 SPDR	09/18/2020			X		X											
2	SHOP - Shopify Inc	09/18/2020			X		X											
3	VUG - Growth ETF Vanguard	09/18/2020			X		X											
4	DOCU - DocuSign Inc	09/18/2020			X		X											
5	SPY - S&P 500 SPDR	09/18/2020			X		X											
6	VUG - Growth ETF Vanguard	09/18/2020			X		X											
7	NFLX - Netflix Inc	09/18/2020			X		X											
8	RSP - S&P 500 EW Invesco ETF	09/18/2020		X			X											
9	RSP - S&P 500 EW Invesco ETF	09/18/2020		X			X											
10	CLF - Cleveland-Cliffs Inc	09/18/2020		X			X											
11	GOOGL - Alphabet Cl A	09/22/2020		X			X											
12	MSFT - Microsoft Corp	09/22/2020		X			X											
13	CLF - Cleveland-Cliffs Inc	09/23/2020			X		X											
14	IJR - S&P Small-Cap Ishares Core ETF	09/24/2020			X		X											
15	IJR - S&P Small-Cap Ishares Core ETF	09/24/2020			X		X											
16	IAU - Gold Trust Ishares	09/28/2020			X		X											

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Identification of Assets		Transaction Date <i>(Mo./Day/Yr.)</i>	Notification Received More Than 30 Days Ago*	Transaction Type (x)			Amount of Transaction (x)											
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1	SLV - Silver Trust Ishares	09/28/2020			X		X											
2	GDX - Gold Miners Vanecck ETF	09/28/2020			X		X											
3	DOCU - Docusign Inc	09/28/2020		X			X											
4	WMT - Wal-Mart Stores	09/28/2020		X			X											
5	TSM - Taiwan Semiconductor Manufacturing	10/05/2020		X			X											
6	IOFIX - Alphacentric Income Opportunities Fund - Class I	10/06/2020		X			X											
7	LFRFX - Lord Abbett Inv TR Lord Abbett Floating Rate Fd	10/06/2020		X			X											
8	LFRFX - Lord Abbett Inv TR Lord Abbett Floating Rate Fd	10/06/2020		X			X											
9	EEM - Emerging Markets Ishares MSCI ETF	10/06/2020		X			X											
10	DKNG - Draftkings Inc	10/07/2020		X			X											
11	CSCO - Cisco Systems Inc	10/09/2020			X		X											
12																		
13																		
14																		
15																		
16																		

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