

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Miller, Craig G

General Counsel, Defense Threat Reduction Agency

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Miller, Craig G [electronically signed on 03/24/2020 by Miller, Craig G in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ McGovern, Kelly L, Certifying Official [electronically signed on 04/06/2020 by McGovern, Kelly L in Integrity.gov]

Other review conducted by

/s/ McGovern, Kelly L, Ethics Official [electronically signed on 04/06/2020 by McGovern, Kelly L in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 05/15/2020 by Granahan, Megan in Integrity.gov]

Data Revised 05/14/2020

Data Revised 04/03/2020

Data Revised 03/25/2020

Transactions

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Microsoft Corp (MSFT)	See Endnote	Purchase	03/16/2020	No	\$1,001 - \$15,000
2	Amazon.com Inc (AMZN)	See Endnote	Purchase	03/16/2020	No	\$1,001 - \$15,000
3	Royal Caribbean Cruises Inc		Purchase	03/16/2020	No	\$1,001 - \$15,000
4	Exxon Mobil Corp (XOM)	See Endnote	Sale	03/12/2020	No	\$1,001 - \$15,000
5	Invesco High Income Municipal B (AHMBX)		Purchase	03/06/2020	No	\$15,001 - \$50,000
6	Federated prime cash obligation fund wealth shares		Purchase	03/01/2020	No	\$1,001 - \$15,000
7	AFLAC Inc (AFL)		Purchase	03/23/2020	No	\$1,001 - \$15,000
8	Duke Energy Corp (DUK)	See Endnote	Purchase	03/19/2020	No	\$1,001 - \$15,000
9	Expedia, Inc. - Common Stock (EXPE)		Purchase	03/19/2020	No	\$1,001 - \$15,000
10	JPMorgan Chase & Co		Purchase	03/19/2020	No	\$1,001 - \$15,000
11	JPMorgan TR II		Sale	03/19/2020	No	\$1,001 - \$15,000
12	Medtronic Inc (MDT)	See Endnote	Purchase	03/19/2020	No	\$1,001 - \$15,000
13	MFS Ser TR X		Sale	03/19/2020	No	\$1,001 - \$15,000
14	Truist Financial Corp		Purchase	03/19/2020	No	\$1,001 - \$15,000
15	Verizon Communications Inc (VZ)	See Endnote	Purchase	03/19/2020	No	\$1,001 - \$15,000
16	welltower inc		Purchase	02/26/2020	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
17	Visa Inc (V)		Purchase	02/26/2020	No	\$1,001 - \$15,000
18	victory sycamore small company opportunity fund		Sale	02/26/2020	No	\$1,001 - \$15,000
19	t mobile		Purchase	02/26/2020	No	\$1,001 - \$15,000
20	Proctor and Gamble		Purchase	02/26/2020	No	\$1,001 - \$15,000
21	PGIM Total Return Bond		Purchase	02/26/2020	No	\$1,001 - \$15,000
22	PrimeCap Odyssey FDS		Sale	02/26/2020	No	\$1,001 - \$15,000
23	New World FD Inc		Sale	02/26/2020	No	\$1,001 - \$15,000
24	Nvidia Corp (NVDA)		Purchase	02/26/2020	No	\$1,001 - \$15,000
25	Microsoft Corp (MSFT)	See Endnote	Purchase	02/26/2020	No	\$1,001 - \$15,000
26	manulife Financial Corp		Purchase	02/26/2020	No	\$1,001 - \$15,000
27	Medtronic PLC	See Endnote	Purchase	02/26/2020	No	\$1,001 - \$15,000
28	MFS Corp Bond Fund		Purchase	02/26/2020	No	\$1,001 - \$15,000
29	MFS SER TR I		Purchase	02/26/2020	No	\$1,001 - \$15,000
30	Merck & Co Inc (MRK)		Purchase	02/26/2020	No	\$1,001 - \$15,000
31	Lord Abbett INVT TR Short Duration Income		Sale	02/26/2020	No	\$1,001 - \$15,000
32	Lord Abbett INVT TR FLTG Rate		Sale	02/26/2020	No	\$1,001 - \$15,000
33	JPMorgan Small Cap Growth R6 (JGSMX)		Sale	02/26/2020	No	\$1,001 - \$15,000
34	JP Morgan Chase & Co (JPM)		Purchase	02/26/2020	No	\$1,001 - \$15,000
35	Hartford Mutual FDS Inc		Sale	02/26/2020	No	\$1,001 - \$15,000
36	IShares S&P Growth 500		Sale	02/26/2020	No	\$1,001 - \$15,000
37	Franklin Custodian FDS Inc		Purchase	02/26/2020	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
38	EuroPacific Growth FD		Sale	02/26/2020	No	\$1,001 - \$15,000
39	Dodge & Cox Stock Fund		Sale	02/26/2020	No	\$1,001 - \$15,000
40	Dollar General Corp (DG)		Purchase	02/26/2020	No	\$1,001 - \$15,000
41	Adobe Systems Inc (ADBE)		Purchase	02/26/2020	No	\$1,001 - \$15,000
42	Invesco Oppenheimer Global		Sale	02/26/2020	No	\$1,001 - \$15,000
43	Abbott Laboratories (ABT)	See Endnote	Purchase	02/26/2020	No	\$1,001 - \$15,000
44	Amazon.com Inc (AMZN)		Purchase	02/26/2020	No	\$1,001 - \$15,000
45	PGIM Total Return Bond		Purchase	02/28/2020	No	\$1,001 - \$15,000
46	Nvidia Corp (NVDA)		Purchase	02/28/2020	No	\$1,001 - \$15,000
47	Microsoft Corp (MSFT)	See Endnote	Purchase	02/28/2020	No	\$1,001 - \$15,000
48	PGIM Total Return Bond		Sale	03/10/2020	No	\$1,001 - \$15,000
49	MFS Corp Bond Fund		Sale	03/10/2020	No	\$1,001 - \$15,000
50	Amazon.com Inc (AMZN)	See Endnote	Purchase	03/10/2020	No	\$1,001 - \$15,000
51	PGIM Total Return Bond		Sale	03/10/2020	No	\$1,001 - \$15,000
52	MFS Corp Bond Fund		Sale	03/10/2020	No	\$1,001 - \$15,000
53	Amazon.com Inc (AMZN)	See Endnote	Purchase	03/12/2020	No	\$1,001 - \$15,000
54	PGIM Total Return Bond		Sale	03/16/2020	No	\$1,001 - \$15,000
55	Microsoft Corp (MSFT)	See Endnote	Purchase	03/16/2020	No	
56	Amazon.com Inc (AMZN)	See Endnote	Purchase	03/16/2020	No	\$1,001 - \$15,000
57	John Hancock INVT TR		Sale	03/16/2020	No	\$1,001 - \$15,000
58	Royal Caribbean Cruises Limited		Purchase	03/16/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
59	JPMorgan TR I	Purchase	02/28/2020	No	\$1,001 - \$15,000
60	Advisors Inner Circle FC II	Sale	02/26/2020	No	\$1,001 - \$15,000
61	Tractor Supply Company - Common Stock (TSCO)	Sale	02/26/2020	No	\$1,001 - \$15,000
62	Visa Inc (V)	Purchase	02/26/2020	No	\$1,001 - \$15,000
63	Principal FDS Inc	Purchase	02/26/2020	No	\$1,001 - \$15,000
64	Lord Abbett BD DEB FD	Sale	02/26/2020	No	\$1,001 - \$15,000
65	Enbridge Inc	Sale	02/26/2020	No	\$1,001 - \$15,000
66	Beyond Meat Inc	Purchase	02/26/2020	No	\$1,001 - \$15,000
67	John Hancock INVT TR	Purchase	02/28/2020	No	\$1,001 - \$15,000
68	PGIM Total Return Bond	Purchase	02/26/2020	No	\$1,001 - \$15,000
69	Lord Abbett INVT TR	Sale	02/26/2020	No	\$1,001 - \$15,000
70	Franklin Custodian FDS	Purchase	02/26/2020	No	\$1,001 - \$15,000
71	Pacific FDS Short Duration Income	Sale	02/26/2020	No	\$1,001 - \$15,000
72	Royal Caribbean Cruises LTD	Sale	03/26/2020	No	\$1,001 - \$15,000
73	Invesco High Yield Municipal Fund CL A	Sale	03/19/2020	No	\$1,001 - \$15,000

Endnotes

PART	#	ENDNOTE
Transactions	1	This company is a DOD Contractor, but not a DTRA Contractor, so there is no conflict of interest.
Transactions	2	This company is a DOD Contractor, but not a DTRA Contractor, so there is no conflict of interest.
Transactions	4	This company is a DOD Contractor, but not a DTRA Contractor, so there is no conflict of interest.

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Transactions	8	This company is a DOD Contractor, but not a DTRA Contractor, so there is no conflict of interest.
Transactions	12	This company is a DOD Contractor, but not a DTRA Contractor, so there is no conflict of interest.
Transactions	15	This company is a DOD Contractor, but not a DTRA Contractor, so there is no conflict of interest.
Transactions	25	This company is a DOD Contractor, but not a DTRA Contractor, so there is no conflict of interest.
Transactions	27	This company is a DOD Contractor, but not a DTRA Contractor, so there is no conflict of interest.
Transactions	43	This company is a DOD Contractor, but not a DTRA Contractor, so there is no conflict of interest.
Transactions	47	This company is a DOD Contractor, but not a DTRA Contractor, so there is no conflict of interest.
Transactions	50	This company is a DOD Contractor, but not a DTRA Contractor, so there is no conflict of interest.
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Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the

disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
