

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT: Periodic Transaction Report

Filer's Name (<i>Print Last, First, and Middle Initial</i>)			Title of Position for Which Filing			Department or Agency		
Goldberg Beth L			District Director			SBA		
Certification: I CERTIFY that the statements I have made on this form and any attachments are true, complete, and correct to the best of my knowledge.			Signature of the Filer			Date (<i>Month, Day, Year</i>)		Notice of Extension
			Beth Goldberg			2020-12-15 15:16:26		<input type="checkbox"/> Check if granted Indicate number of days:
Signature of Intermediate Reviewing Official (<i>if required by agency</i>)				Date (<i>Month, Day, Year</i>)		Agency Use Only		OGE Use Only
						12/15/2020		
Signature of Agency's Final Reviewing Official				Date (<i>Month, Day, Year</i>)		Comments of Reviewing Officials		
Marilyn Barnes				2020-12-23 13:31:50				
Signature of Reviewing Official at U.S. Office of Government Ethics (<i>if required</i>)				Date (<i>Month, Day, Year</i>)				

Transactions
 Report any purchase, sale, or exchange by you, your spouse, or dependent child of stocks, bonds, commodity futures, and other securities if the amount of the transaction exceeded \$1,000. You do not need to report: (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between you, your spouse, or dependent child.

Ex.		Identification of Assets	Transaction Date (<i>Mo./Day/Yr.</i>)	Notification Received More Than 30 Days Ago*	Transaction Type (x)			Amount of Transaction (x)											
					Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	
Ex.		Central Airlines Co.	10/1/12		X														
Ex.		BMSL Propulsion, Inc.	9/4/12	X	X				X										
1		MP - Mp Materials Corp	11/04/2020		X				X										
2		LVGO - Livongo Health Inc	11/04/2020					X	X										
3		TDOC - Teladoc Health Inc	11/04/2020					X	X										
4		FXI - China Large-Cap Ishares ETF	11/05/2020		X				X										
5		IAU - Gold Trust Ishares	11/06/2020		X				X										
6		TDOC - Teladoc Health Inc	11/09/2020			X			X										
7		DOCU - DocuSign Inc	11/10/2020			X			X										

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1	ESPO - Vaneck Vectors Video Gaming and Esports ETF	11/10/2020			X		X											
2	ESPO - Vaneck Vectors Video Gaming and Esports ETF	11/10/2020			X		X											
3	IGV - North American Tech-Software Ishares ETF	11/10/2020			X		X											
4	IJR - S&P Small-Cap Ishares Core ETF	11/10/2020		X			X											
5	RSP - S&P 500 EW Invesco ETF	11/10/2020		X			X											
6	IJR - S&P Small-Cap Ishares Core ETF	11/10/2020		X			X											
7	MSFT - Microsoft Corp	11/11/2020			X		X											
8	CHRW - C.H. Robinson Ww	11/11/2020			X		X											
9	ASML - Asml Hld NY Reg	11/11/2020		X			X											
10	FIVE - Five Below Inc	11/13/2020		X			X											
11	SHOP - Shopify Inc	11/16/2020			X		X											
12	ZM - Zoom Video Communications Cl A	11/16/2020			X		X											
13	RSP - S&P 500 EW Invesco ETF	11/16/2020		X			X											
14	VTV - Value ETF Vanguard	11/16/2020		X			X											
15	ZTS - Zoetis Inc Cl A	11/18/2020			X		X											
16	QCOM - Qualcomm Inc	11/18/2020			X		X											

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1	FCX - Freeport-Mcmoran Inc	11/18/2020			X		X											
2	CAT - Caterpillar Inc	11/18/2020			X		X											
3	CARR - Carrier Global Corp [Carr/I]	11/18/2020			X		X											
4	FIVE - Five Below Inc	11/18/2020			X		X											
5	JMIA - Jumia Technologies Ag ADR	11/18/2020		X			X											
6	C - Citigroup Inc	11/18/2020		X			X											
7	XOP - S&P Oil & Gas Expl & Prod SPDR	11/18/2020		X				X										
8	IAU - Gold Trust Ishares	11/20/2020			X		X											
9	IAU - Gold Trust Ishares	11/20/2020			X		X											
10	IAU - Gold Trust Ishares	11/23/2020			X		X											
11	JMIA - Jumia Technologies Ag ADR	11/27/2020			X		X											
12	ORLY - O'Reilly Automotive Inc	12/02/2020			X		X											
13	JBALX - Janus Henderson Balanced Fund - I Shares	12/02/2020			X		X											
14	CRM - Salesforce.com Inc	12/02/2020		X			X											
15	KWEB - KS Trust KS CSI China Internet ETF	12/02/2020		X			X											
16	C - Citigroup Inc	12/02/2020		X			X											

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1	AXP - American Express Company	12/04/2020		<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>											
2	FIVE - Five Below Inc	12/07/2020		<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>											
3	SRAC - Stable Road Acquisition Corp CI A	12/07/2020		<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>											
4	GBTC - Grayscale Bitcoin Trust	11/20/2020		<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>											
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Reviewer Comments and Submission History

for Beth Goldberg

beth.goldberg@sba.gov - Beth Goldberg

12/15/2020 15:16:27

Filing Submitted

Filer Comments for Beth Goldberg

Transaction: LVGO - Livongo Health Inc

Comment: LVGO was a merge out with Teledoc TDOC

Transaction: TDOC - Teladoc Health Inc

Comment: TDOC Teledoc Health was a merge in with LVGO Livongo Health Inc