

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

CORSIGLIA, NANCY

Deputy Assistant Secretary-Chief Management Officer, OCHCO, Department of Housing and Urban Development

Report Year: 2018

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ CORSIGLIA, NANCY [electronically signed on 10/11/2018 by CORSIGLIA, NANCY in Integrity.gov] - Filer received a 30 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Baxter, Daniel, Certifying Official [electronically signed on 05/22/2019 by Baxter, Daniel in Integrity.gov]

Other review conducted by

/s/ Slye, Brandi, Ethics Official [electronically signed on 05/21/2019 by Slye, Brandi in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 05/21/2019

Data Revised 11/06/2018

Data Revised 10/15/2018

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

None

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Alliance Bernstein Private Credit Fund	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	E-Trade Financial	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3	Fidelity Investments Magellan Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4	Hendry Energy Servicer LLC	No	\$1,001 - \$15,000	Rent or Royalties	\$1,001 - \$2,500
5	Brightwood Capital Fund (via Morgan Stanley)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
6	RiverSource Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7	Principal Financial Group Investment Funds	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
8	J.B. Hunt Transport Services, Inc.	No	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
9	Citibank Money Market Account	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
10	Citibank Checking Account	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
11	Bank of America Checking Account	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
12	Greenfield Cooperative Bank Checking Account	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
13	American Water Wrks	No	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
14	Becton Dickinson Co.	No	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
15	Charles River Labs Intrn'l	No	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
16	Merrill Lynch Bank of America	Yes	\$100,001 - \$250,000		None (or less than \$201)
17	Dominion Resources Inc	No	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18	Edison Intl	No	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
19	HCA Holdings Inc	No	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
20	Great Plains Energy	No	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
21	Infareit Inc	No	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
22	Portland Gen Elec Co	No	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
23	Treehouse Foods Inc	No	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500
24	Merrill Lynch Fund	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
25	Logmein Inc	No	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
26	Fidelity Investments Pace International Equity Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
27	Alliance Bernstein Private Financial Institutions Fund	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
28	Alliance Bernstein European Opportunities Fund	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
29	Alliance Bernstein Private Real Estate Fund	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
30	Fidelity Government Cash Reserves	Yes	\$15,001 - \$50,000		\$201 - \$1,000
31	Fidelity Investments Wells Fargo Bond Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32	Freddie Mac	No	\$1,001 - \$15,000		None (or less than \$201)
33	Amn Elec Power Co	No	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
34	Adobe Systems Inc	No	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000
35	Itron	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
36	Honeywell Inc	No	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000
37	Fidelity Investments Pace International Fixed Income Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
38	Northrup Grumman Corp	No	\$15,001 - \$50,000		None (or less than \$201)
39	Fed Ex Corp	No	\$15,001 - \$50,000		None (or less than \$201)
40	Fox Factory Holding Corp.	No	\$1,001 - \$15,000		None (or less than \$201)
41	Fannie Mae	No	\$15,001 - \$50,000		None (or less than \$201)
42	Federal Agricultural Mortgage Corp	No	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
43	Federal National Mortgage Assn 8.25% PFS	No	\$50,001 - \$100,000		None (or less than \$201)
44	Freddie Mac 8.375% Pref	No	\$15,001 - \$50,000		None (or less than \$201)
45	MVC Capital	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
46	Bank of America Corp	No	\$15,001 - \$50,000	Dividends Capital Gains Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
47	District of Columbia Ser 2007	No	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
48	Continental Resources	No	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	PG&E Corp	Sale	12/18/17	\$15,001 - \$50,000
2	AES Corp	Sale	12/18/17	\$15,001 - \$50,000
3	Alarm Com HLDGS Inc Com	Sale	12/22/17	\$15,001 - \$50,000
4	Skyworks Solutions, Inc.	Sale	12/22/17	\$15,001 - \$50,000
5	Alliant Energy Corp	Purchase	12/18/17	\$15,001 - \$50,000
6	NextEra Energy Inc	Purchase	12/18/17	\$15,001 - \$50,000
7	American Express Co	Purchase	09/21/17	\$15,001 - \$50,000
8	Mohawk Industries Inc	Purchase	10/21/17	\$15,001 - \$50,000
9	Alarm Com Hldgs Inc	Purchase	09/22/17	\$15,001 - \$50,000
10	RBC Bearings Inc	Purchase	09/21/17	\$1,001 - \$15,000
11	Zoetis Inc	Purchase	09/21/17	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
12	Mastercard Inc	Purchase	09/22/17	\$15,001 - \$50,000
13	Alarm Com Hldgs Inc	Purchase	09/25/17	\$1,001 - \$15,000
14	Synopsis Inc	Purchase	09/22/17	\$15,001 - \$50,000
15	Platform Specialty	Sale	10/21/17	\$1,001 - \$15,000
16	Adobe Systems Inc	Sale	09/21/17	\$15,001 - \$50,000
17	Amazon Com Inc	Sale	09/21/17	\$1,001 - \$15,000
18	Advanced Energy Industries, Inc.	Sale	09/21/17	\$1,001 - \$15,000
19	Bard C.R. Inc	Sale	09/21/17	\$1,001 - \$15,000
20	Allison Transmission Inc	Sale	09/21/17	\$15,001 - \$50,000
21	Advanced Energy Industries, Inc.	Sale	09/25/17	\$1,001 - \$15,000
22	FCB Finl Holdings Inc	Sale	09/22/17	\$1,001 - \$15,000
23	Advanced Energy Industries, Inc.	Sale	09/27/17	\$1,001 - \$15,000
24	Advanced Energy Industries, Inc.	Sale	09/29/17	\$1,001 - \$15,000
25	Gen'l Dynamics Corp	Sale	09/21/17	\$15,001 - \$50,000
26	FCB Finl Holdings Inc	Sale	09/21/17	\$15,001 - \$50,000
27	SVB Finl Group -	Sale	09/21/17	\$15,001 - \$50,000
28	american express co	Purchase	08/11/17	\$1,001 - \$15,000
29	Mettler-ToledoIntnl Inc	Purchase	06/27/17	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
30	Mastercard Inc		Purchase	06/27/17	\$15,001 - \$50,000
31	Colfax Corp		Sale	06/27/17	\$15,001 - \$50,000
32	Packaging Corp of America		Purchase	06/27/17	\$15,001 - \$50,000
33	Signature Bank		Sale	06/27/17	\$15,001 - \$50,000
34	Citrix Systems inc		Sale	06/27/17	\$15,001 - \$50,000
35	Texas Capital Bancshares		Sale	06/27/17	\$15,001 - \$50,000
36	Skyworks Solutions Inc	See Endnote	Purchase	5/15/17	\$15,001 - \$50,000
37	Skyworks Solutions Inc	See Endnote	Purchase	5/15/17	\$15,001 - \$50,000
38	Parsley Energy Inc	See Endnote	Sale	5/15/17	\$15,001 - \$50,000
39	Alliance Bernstein Private RE Fund		Purchase	5/26/17	\$15,001 - \$50,000
40	Honeywell Intl Inc		Sale	04/27/17	\$50,001 - \$100,000
41	IPG Photonics Corp		Purchase	04/06/17	\$1,001 - \$15,000
42	Adobe Systems Inc		Purchase	04/21/17	\$1,001 - \$15,000
43	Advanced Energy Inds		Purchase	04/26/17	\$1,001 - \$15,000
44	Singature Bank		Purchase	04/26/17	\$1,001 - \$15,000
45	Alaska Air Group		Purchase	04/26/17	\$1,001 - \$15,000
46	Amazon Com		Purchase	04/26/17	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
47	Allison Transmission	Purchase	04/26/17	\$1,001 - \$15,000
48	Applied Material	Purchase	04/26/17	\$1,001 - \$15,000
49	Bard C Inc	Purchase	04/26/17	\$1,001 - \$15,000
50	Citrix Systems Inc	Purchase	04/26/17	\$1,001 - \$15,000
51	Colfax Corp	Purchase	04/26/17	\$1,001 - \$15,000
52	Commission Scope Hlgs	Purchase	04/26/17	\$1,001 - \$15,000
53	First Data Corp	Purchase	04/26/17	\$1,001 - \$15,000
54	Franklin Financial Corporation - Common Stock	Purchase	04/26/17	\$1,001 - \$15,000
55	Fedex Corp	Purchase	04/26/17	\$1,001 - \$15,000
56	FCB Finl Hldg	Purchase	04/26/17	\$1,001 - \$15,000
57	Fox Factory Hldg Corp	Purchase	04/26/17	\$1,001 - \$15,000
58	Genl Dynamics Corp	Purchase	04/26/17	\$1,001 - \$15,000
59	Honeywell Intl Inc	Purchase	04/26/17	\$1,001 - \$15,000
60	Itron, Inc	Purchase	04/26/17	\$1,001 - \$15,000
61	IPG Photonics CorpStock	Purchase	04/26/17	\$1,001 - \$15,000
62	Intuit Inc	Purchase	04/26/17	\$1,001 - \$15,000
63	Microchip Technology Inc	Purchase	04/26/17	\$1,001 - \$15,000
64	Northrup Grumman Corp	Purchase	04/26/17	\$1,001 - \$15,000
65	Skyworks Solutions Inc	Purchase	04/26/17	\$1,001 - \$15,000
66	SVB Finl Group	Purchase	04/26/17	\$1,001 - \$15,000
67	Take Two Inter Software	Purchase	04/26/17	\$1,001 - \$15,000
68	Texas Cap Bnchs Inc	Purchase	04/26/17	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
69	Univar Inc	Purchase	04/26/17	\$1,001 - \$15,000
70	Alliance Bernstein Private RE Fund	Purchase	04/01/17	\$15,001 - \$50,000
71	RBC Bearings Inc	Purchase	10/06/17	\$1,001 - \$15,000
72	Alaska Air Group	Purchase	3/16/17	\$15,001 - \$50,000
73	Applied Material Inc	Purchase	3/14/17	\$15,001 - \$50,000
74	First Data Corp	Purchase	3/14/17	\$15,001 - \$50,000
75	Honeywell Inc	Purchase	4/15/17	\$50,001 - \$100,000
76	Intuit Inc	Purchase	3/15/17	\$15,001 - \$50,000
77	IPG Photonics Corp	Purchase	3/17/17	\$15,001 - \$50,000
78	Microchip Technology Inc	Purchase	3/16/17	\$15,001 - \$50,000
79	Platform Specialty Products	Purchase	3/15/17	\$1,001 - \$15,000
80	Signature Bank - Common Stock	Purchase	3/15/17	\$15,001 - \$50,000
81	Skyworks Solutions, Inc.	Purchase	3/15/17	\$15,001 - \$50,000
82	Texas Cap Bncshs	Purchase	3/15/17	\$15,001 - \$50,000
83	America's Car Mart	Sale	3/22/17	\$15,001 - \$50,000
84	Five9, Inc.	Sale	3/15/17	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
85	Barracuda Networks Inc	Sale	3/16/17	\$15,001 - \$50,000
86	Continental Resources	Sale	3/15/17	\$15,001 - \$50,000
87	Dana Inc	Sale	3/15/17	\$15,001 - \$50,000
88	Spirit Aerosystems	Sale	3/16/17	\$15,001 - \$50,000
89	Packaging Corp of America	Purchase	7/5/17	\$15,001 - \$50,000
90	Bright Horizons Family	Purchase	8/11/17	\$15,001 - \$50,000
91	Cadence Design Systems, Inc	Purchase	8/11/17	\$15,001 - \$50,000
92	Pulte Group Inc	Purchase	8/11/17	\$15,001 - \$50,000
93	Wabco Holdings Inc	Purchase	8/11/17	\$15,001 - \$50,000
94	American Express Co	Purchase	8/15/17	\$15,001 - \$50,000
95	Wabco Holdings Inc	Purchase	8/15/17	\$1,001 - \$15,000
96	Bright Horizons Family	Purchase	8/16/17	\$1,001 - \$15,000
97	Alaska Air Group	Sale	8/11/17	\$15,001 - \$50,000
98	Commscope Holding Co	Sale	8/11/17	\$15,001 - \$50,000
99	First Data Corp	Sale	8/11/17	\$15,001 - \$50,000
100	Franklin Financial Network	Sale	8/11/17	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
101	Univar Inc	Sale	8/11/17	\$1,001 - \$15,000
102	Franklin Financial Network	Sale	8/14/17	\$1,001 - \$15,000
103	Univar Inc	Sale	8/14/17	\$15,001 - \$50,000
104	Franklin Financial Network	Sale	8/15/17	\$1,001 - \$15,000
105	Univar Inc	Sale	8/15/17	\$15,001 - \$50,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
7.	36	Parent Account: Merrill Lynch Blind Third Party Managed Account
7.	37	Parent Account: Merrill Lynch Blind Third Party Managed Account
7.	38	Parent Account: Merrill Lynch Blind Third Party Managed Account

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
