

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Harris, Benjamin

Assistant Secretary for Economic Policy, Department of the Treasury

Other Federal Government Positions Held During the Preceding 12 Months:

Counselor to the Secretary, Department of the Treasury (1/2021 - Present)

Names of Congressional Committees Considering Nomination:

- Committee on Finance
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Harris, Benjamin [electronically signed on 02/05/2021 by Harris, Benjamin in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Sonfield, Brian, Certifying Official [electronically signed on 04/27/2021 by Sonfield, Brian in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 05/03/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Kellogg School of Management at Northwestern University	Evanston, Illinois	University/College	KPPI Executive Director and Associate Research Professor	6/2017	Present
2	Results for America	Washington, District of Columbia	Non-Profit	Chief Economist	9/2017	1/2021
3	REX Homes	Austin, Texas	Corporation	Consultant	6/2019	1/2021
4	Alliance for Lifetime Income	Washington, District of Columbia	Non-Profit	Scholarly Advisory Group Member	11/2019	1/2021
5	PT Fund Inc. (Presidential Transition Team)	Washington, District of Columbia	Non-Profit	Staff Volunteer	8/2020	1/2021
6	Cherrydale Strategies (dormant)	Arlington, Virginia	Sole Proprietorship	Founder and President	1/2017	Present
7	Everytown for Gun Safety	New York, New York	Non-Profit	Consultant	9/2018	5/2019
8	Partnership for a New American Economy	New York, New York	Non-Profit	Consultant	5/2018	5/2019
9	Biden Foundation	Washington, District of Columbia	Non-Profit	Biden Forum Chief Editor	10/2017	5/2019

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Kellogg School of Management at Northwestern University	N/A		Salary	\$124,068
2	Alliance for Lifetime Income	N/A		Fee for a scholarly advisory call.	\$500
3	Brookings Institution TIAA Retirement Plan	No			
3.1	TIAA Stable Value	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2	TIAA Lifecycle 2040	Yes	\$50,001 - \$100,000		None (or less than \$201)
4	IRA	No			
4.1	iShares Russell 1000 Value ETF (IWD)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.2	iShares US Utilities ETF (IDU)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.3	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	SEP IRA	No			
5.1	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.2	VanguardGlobal ex-US Real Estate Index Fund ETF Shares (VNQI)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.3	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6	Northwestern University TIAA Retirement Plan	No			
6.1	Vanguard Extended Market Index	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.2	Vanguard Total Bond Market Index Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.3	Vanguard Total International Stock Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	Northwestern University Fidelity 403(b)	No			
7.1	BlackRock LifePath Index 2040 Fund Class K Shares (LIKKX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	Brookings Institution	See Endnote	N/A	Subgrantee income.	\$48,000
9	Book: "Retiring Well" (Value not readily ascertainable)	N/A			None (or less than \$201)
10	Cherrydale Strategies; self-owned economic consulting firm	No			
10.1	Results for America	N/A		Consulting Fees	\$180,000
10.2	REX Homes (Real estate transactions)	N/A		Consulting Fees	\$81,000
10.3	Arnold Ventures	See Endnote	N/A	Consulting fees	\$15,000
10.4	Results for America (consulting fee receivable)	See Endnote	N/A	\$1,001 - \$15,000	None (or less than \$201)
11	Washington University Speech Honorarium - March 4, 2020	N/A		Honorarium	\$500
12	Washington University Speech Honorarium - May 19, 2020	N/A		Honorarium	\$500

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Brookings Institution	Washington, District of Columbia	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	10/2013
2	Northwestern University	Evanston, Illinois	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation. (see Part 2, lines 6.1-6.3)	7/2017
3	Northwestern University	Evanston, Illinois	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation. (see Part 2, line 7.1)	11/2019
4	Cherrydale Strategies	Arlington, Virginia	My consulting business will be inactive during my appointment and all outstanding client fees were fixed before I entered government service.	1/2021
5	Kellogg School of Management at Northwestern University	Evanston, Illinois	I am currently on an unpaid leave of absence and receive no benefits. I will resign upon confirmation.	1/2021

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Kellogg School of Management	Evanston, Illinois	Served as KPPI Executive Director and research professor, which required completing research, having a media presence, organizing events, and guest lecturing.
2	Results for America	Washington, District of Columbia	Responsibilities included editing a book, writing various policy briefs on evidence-based policy, speaking at events, and providing strategic guidance.
3	REX Homes	Austin, Texas	Responsibilities included strategic guidance and modest economic analysis.
4	Arnold Ventures	Houston, Texas	Served as a consultant on a one-time project (through Cherrydale Strategies).
5	Cherrydale Strategies	Arlington, Virginia	President of Consulting business.

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
6	Brookings Institution	Washington, District of Columbia	Subgrantee on book authorship project.
7	Partnership for New American Economy	New York, New York	Served as a consultant on immigration policy matters.
8	Everytown for Gun Safety	New York, New York	Served as a consultant on matters related to gun policy.
9	MV Transportation	Dallas, Texas	Drafted a white paper related to school transportation policy in New York city (through Cherrydale Strategies).
10	Biden Foundation	Washington, District of Columbia	Served as the Chief Editor for the Biden Foundation.
11	Tom's Shoes	Los Angeles, California	This company entered into a contract with Cherrydale Strategies, but the entirety of the work was subcontracted out to an affiliate. I did not receive any compensation or perform any work.

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA	No			
1.1	BNY Mellon Bond Market Index Fund Investor Shares (DBMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2	BNY Mellon International Stock Index Fund Investor Class Shares (DIISX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.3	iShares Russell 1000 Value ETF (IWD)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.4	PIMCO International Bond Fund (US Dollar-Hedged) Class A Shares (PFOAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.5	Schwab Core Equity Fund (SWANX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Apple, Inc. (AAPL)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
2	AbbVie, Inc. (ABBV)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
3	Amazon.com, Inc. (AMZN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4	American Express Co. (AXP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	Boston Scientific Corp. (BSX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
6	Church & Dwight Co., Inc. (CHD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7	Colgate-Palmolive Co. (CL)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8	The Cooper Cos., Inc. (COO)	N/A	\$15,001 - \$50,000		None (or less than \$201)
9	Chevron Corp. (CVX)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
10	Danaher Corp. (DHR)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
11	The Walt Disney Co. (DIS)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	Intuit, Inc. (INTU)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
13	McDonald's Corp. (MCD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14	3M Co. (MMM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
15	NIKE, Inc. (NKE)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
16	PepsiCo, Inc. (PEP)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
17	Pfizer Inc. (PFE)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18	Thermo Fisher Scientific, Inc. (TMO)	N/A	\$50,001 - \$100,000		None (or less than \$201)
19	United Airlines Holdings, Inc. (UAL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
20	Vanguard Energy Index Fund ETF Class Shares (VDE)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
21	Vanguard European Stock Index Fund ETF Shares (VGK)	Yes	\$15,001 - \$50,000		None (or less than \$201)
22	Vanguard S&P Small-Cap 600 Value Index Fund ETF Shares (VIOV)	Yes	\$15,001 - \$50,000		None (or less than \$201)
23	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$15,001 - \$50,000		None (or less than \$201)
24	Vanguard Total World Stock Index Fund ETF Class Shares (VT)	Yes	\$15,001 - \$50,000		None (or less than \$201)
25	Vanguard Total Corporate Bond ETF (VTC)	Yes	\$15,001 - \$50,000		None (or less than \$201)
26	Viatis Inc (VTRS)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
28	Vanguard Consumer Staples Index Fund ETF Shares (VDC)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
29	Vanguard Energy Index Fund ETF Class Shares (VDE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
30	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
31	Vanguard Utilities Index Fund ETF Shares (VPU)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
32	Vanguard Short-Term Inflation-Protected Securities Index Fund ETF Class Shares (VTIP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
33	Hennessy Gas Utility Fund Investor Class Shares (GASFX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
34	Vanguard Short-Term Corporate Bond Index Fund ETF Class Shares (VCSH)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
35	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
36	iShares Russell 1000 Growth ETF (IWF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
37	Vanguard Consumer Staples Index Fund ETF Shares (VDC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
38	Vanguard European Stock Index Fund ETF Shares (VGK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
39	Vanguard S&P Mid-Cap 400 Index Fund ETF Shares (IVOO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
40	Janus Henderson Forty Fund Class T Shares (JACTX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
41	Vanguard Mid-Cap Value Index Fund ETF Class Shares (VOE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
42	U.S. Bank #1 (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
43	Harris Family LLC	No			
43.1	Commercial Real Estate, New York, NY	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$15,001 - \$50,000
43.2	Principal Life Insurance Co. Whole Life Insurance	N/A	\$250,001 - \$500,000		None (or less than \$201)
43.3	U.S. Bank Account #2 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
44	Peter H Harris Family Partnership	No			
44.1	Commercial real estate, New York, NY	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$15,001 - \$50,000
44.2	US bank account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
44.3	AbbVie, Inc. (ABBV)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
44.4	Abbott Laboratories (ABT)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
44.5	General Electric Co. (GE)	N/A	\$15,001 - \$50,000		None (or less than \$201)
45	VA 529 Aggressive Growth Portfolio	Yes	\$250,001 - \$500,000		None (or less than \$201)
46	VA 529 Moderate Growth Portfolio	Yes	\$100,001 - \$250,000		None (or less than \$201)
47	VA 529 Total Stock Market Portfolio	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
48	VA 529 International Stock Index Portfolio	Yes	\$250,001 - \$500,000		None (or less than \$201)
49	VA 529 Real Estate Investment Trust Index Portfolio	Yes	\$15,001 - \$50,000		None (or less than \$201)
50	VA 529 Total Bond Market Index Portfolio	Yes	\$100,001 - \$250,000		None (or less than \$201)
51	VA 529 Conservative Income Portfolio	Yes	\$100,001 - \$250,000		None (or less than \$201)
52	EE Savings Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
53	EE Savings Bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
54	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
55	American Express Co. (AXP)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
56	Becton, Dickinson and Company (BDX)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
57	Fortive Corp. (FTV)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
58	Johnson & Johnson (JNJ)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
59	McDonald's Corp. (MCD)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
60	Dodge & Cox International Stock Fund (DODFX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
61	Dodge & Cox Balanced Fund (DODBX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Chase Bank	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2018	3.5	30 years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	8	Subgrantee payment for the book entitled, "Retiring Well." The book is already written, but a publisher has not yet been identified.
2.	10.3	Provided consulting services on potential tax policy funding opportunities.
2.	10.4	Received \$7500 receivable payment subsequent to filing

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

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