

# Executive Branch Personnel

## Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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Cohen, David L.

Ambassador to Canada, Department of State

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Other Federal Government Positions Held During the Preceding 12 Months:

United States Semiquincentennial Commission (11/2016 - Present)

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Names of Congressional Committees Considering Nomination:

- Committee on Foreign Relations
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Cohen, David L. [electronically signed on 04/26/2021 by Cohen, David L. in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Huitema, David, Certifying Official [electronically signed on 07/27/2021 by Huitema, David in Integrity.gov]

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Other review conducted by

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U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 08/01/2021 by Apol, David in Integrity.gov]

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Barnes Foundation Corporation Council	Philadelphia, Pennsylvania	Non-Profit	Chair	1/2012	Present
2	City Year	Boston, Massachusetts	Non-Profit	Trustee	8/2004	Present
3	City Year	Boston, Massachusetts	Non-Profit	Co-Chair of National Board	8/2018	8/2019
4	City Year	Boston, Massachusetts	Non-Profit	Chair of National Board	7/2019	Present
5	Comcast Corporation	Philadelphia, Pennsylvania	Corporation	Senior Executive Vice President	1/2017	12/2020
6	Comcast Corporation	Philadelphia, Pennsylvania	Corporation	Senior Advisor to CEO of Comcast Corporation	1/2021	Present
7	FS Global Credit Opportunities Fund	Philadelphia, Pennsylvania	Investment Firm	Member Board of Directors (Chair of Governance and Nominating Committee)	6/2013	Present
8	Greater Philadelphia Chamber of Commerce	Philadelphia, Pennsylvania	Community Business Organization	Member Board of Directors and Member Executive Committee (Chair - 2008-2010)	9/1998	Present
9	Greater Philadelphia Chamber of Commerce CEO Council of Growth	Philadelphia, Pennsylvania	Non-Profit	Member	1/2003	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
10	National Urban League	New York, New York	Non-Profit	Member of the Board, Chair of the Audit Committee (May 2011)	1/2007	Present
11	Penn Medicine	Philadelphia, Pennsylvania	Academic Medical Center	Member of the Trustee Board and Executive Committee	5/1999	Present
12	Pennsylvania Early Learning Investment Commission	Harrisburg, Pennsylvania	State Government Commission	Commissioner	1/2018	Present
13	Philadelphia Soccer 2026	Philadelphia, Pennsylvania	Civic Non-Profit	Chair	1/2019	Present
14	Philadelphia Theatre Company	Philadelphia, Pennsylvania	Non-Profit	Chair Board of Trustees	1/2018	Present
15	Philadelphia Theatre Company	Philadelphia, Pennsylvania	Non-Profit	Trustee	1/2015	Present
16	PNC Financial Services Group and PNC Bank, National Association	Pittsburgh, Pennsylvania	Bank Holding Company	Member Board of Directors (Member of Audit, Personnel & Compensation, Equity & Inclusion Committees)	10/2020	Present
17	The Huron Foundation	Philadelphia, Pennsylvania	Private Foundation	Foundation Chairman	1/2007	Present
18	The Pennsylvania Society	Pittsburgh, Pennsylvania	Non-Profit	Treasurer (2020 - current), Councilor (2016 - current), Member since 1999	1/1999	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
19	The Philadelphia Award	Philadelphia, Pennsylvania	Non-Profit	Trustee	7/2011	Present
20	The Philadelphia Award	Philadelphia, Pennsylvania	Non-Profit	Chairman	7/2016	Present
21	UnidosUS – Corporate Board of Advisors	Washington, District of Columbia	Non-Profit	Member (Chair 2014)	1/2004	Present
22	University of Pennsylvania	Philadelphia, Pennsylvania	University/College	Chair of the Trustees and Executive Committee	7/2009	Present
23	University of Pennsylvania	Philadelphia, Pennsylvania	University/College	Trustee	7/2001	Present
24	2019 David L. Cohen Grantor Retained Annuity Trust (GRAT)	Philadelphia, Pennsylvania	Trust	Trustee	3/2019	Present
25	David L. Cohen 2017 Revocable Trust	Philadelphia, Pennsylvania	Trust	Trustee	10/2017	Present
26	America250 Foundation	Washington, District of Columbia	Non-Profit	Member, Board of Directors	5/2016	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Comcast Corporation Salary and Benefits	N/A		Salary	\$1,801,089
2	Comcast Corporation Bonus	N/A		Bonus	\$7,210,211
3	Comcast Corporation, Restricted Stock Units (Cash Dividend)	N/A		Cash	\$254,795

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Comcast Corporation - Restricted Stock Units	N/A			
4.1	Comcast Corporation - Restricted Stock Units - Vesting	N/A		RSU Delivery in 2020	\$5,456,886
4.2	Comcast Corporation - Restricted Stock Units - Vesting	N/A		RSU Delivery in 2021	\$5,888,954
4.3	Comcast Corporation, Vested RSUs 3/17/2017 Grant	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
4.4	Comcast Corporation, Vested RSUs 3/16/2018 Grant	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
4.5	Comcast Corporation, Vested RSUs 3/15/2019 Grant	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
4.6	Comcast Corporation, Vested RSUs 3/02/2020 Grant	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
4.7	Comcast Corporation, Vested RSUs 1/26/2021 Grant	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
4.8	Comcast Corporation, Unvested RSUs 1/26/2021 Grant	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
5	Comcast Corporation - Non-Qualified Stock Options	N/A			
5.1	Comcast Corporation - Vested Non-Qualified Stock Options 3/23/2012 Grant 750,000 Shares	N/A	\$25,000,001 - \$50,000,000		None (or less than \$201)
5.2	Comcast Corporation - Vested Non-Qualified Stock Options 3/22/2013 Grant 628,000 Shares	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
5.3	Comcast Corporation - Vested Non-Qualified Stock Options 3/21/2014 Grant 499,200 Shares	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.4	Comcast Corporation - Vested Non-Qualified Stock Options 3/20/2015 Grant 469,800 Shares	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
5.5	Comcast Corporation - Vested Non-Qualified Stock Options 3/18/2016 Grant 318,000 Shares	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
5.6	Comcast Corporation - Vested Non-Qualified Stock Options 3/17/2017 Grant 256,880 Shares	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
5.7	Comcast Corporation - Vested Non-Qualified Stock Options 3/16/2018 Grant 346,500 Shares	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
5.8	Comcast Corporation - Vested Non-Qualified Stock Options 3/15/2019 Grant 295,375 Shares	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
5.9	Comcast Corporation - Vested Non-Qualified Stock Options 3/02/2020 Grant 514,480 Shares	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
5.10	Comcast Corporation - Vested Non-Qualified Stock Options 1/26/2021 Grant 475,175 Shares	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
5.11	Comcast Corporation - Unvested Non-Qualified Stock Options 3/17/2017 Grant 19,760 unvested shares (value not readily ascertainable), vests 9/2026, exp. 3/2027	N/A			None (or less than \$201)
5.12	Comcast Corporation - Unvested Non-Qualified Stock Options 3/16/2018 Grant 38,500 unvested shares (value not readily ascertainable), vests 3&9/2027, exp. 3/2028	N/A			None (or less than \$201)
5.13	Comcast Corporation - Unvested Non-Qualified Stock Options 3/15/2019 Grant 52,125 unvested shares (value not readily ascertainable), vests beginning 3/2027, exp. 3/2029	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.14	Comcast Corporation - Unvested Non-Qualified Stock Options 3/02/2020 Grant 128,620 unvested shares (value not readily ascertainable), vests beginning 3/2027, exp. 3/2030	N/A			None (or less than \$201)
6	Comcast Corporation - Non-Qualified Deferred Compensation Plan	N/A			
6.1	12% Guaranteed Account (Cash Receivable)		Over \$50,000,000		None (or less than \$201)
6.2	9% Guaranteed Account (Cash Receivable)		\$5,000,001 - \$25,000,000		None (or less than \$201)
7	Comcast Corporation - Employee Stock Purchase Plan	N/A	None (or less than \$1,001)		None (or less than \$201)
8	Comcast Corporation Common Stock - Fidelity Brokerage Account	N/A	\$5,000,001 - \$25,000,000	Dividends	\$100,001 - \$1,000,000
9	Comcast Corporation - 401(k) Plan - Large Cap Stock Fund	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
10	Comcast Corporation - 401(k) Plan - Small/MID Cap Stock Fund	Yes	\$250,001 - \$500,000		None (or less than \$201)
11	PNC Financial Services Group - Deferred Compensation Account; 23% Fixed Interest Rate, 77% PNC Stock	N/A	\$50,001 - \$100,000		None (or less than \$201)
11.1	PNC Financial Services Group - Board of Directors - 2020 Compensation	N/A		Board Compensation	\$7,500
12	PNC Financial Services Group Common Stock - Fidelity Brokerage Account	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
13	Franklin Square Global Credit Opportunities Fund	N/A		Board Compensation	\$158,250
13.1	Franklin Square Global Credit Opportunities Fund	See Endnote	No	Dividends Capital Gains	\$1,001 - \$2,500



#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.2	Franklin Square Credit Income Fund - Class I	See Endnote	No	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
13.3	Franklin Square Multi-Strategy Alternatives Fund - Class I	See Endnote	No	\$250,001 - \$500,000	Dividends Capital Gains	\$2,501 - \$5,000
14	City of Philadelphia Deferred Compensation (Cash Receivable)		N/A	\$50,001 - \$100,000		None (or less than \$201)
15	Trust #1 - Comcast Corporation Common Stock (99,640 shares)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Dividends	\$100,001 - \$1,000,000
16	Trust #2 - Comcast Corporation Common Stock (7,068 shares)	See Endnote	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
17	Trust #3 - Comcast Corporation Common Stock (166,840 shares)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Dividends	\$100,001 - \$1,000,000
18	Trust #4 - Comcast Corporation Common Stock (70,484 shares)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Dividends	\$50,001 - \$100,000
19	Trust #5 - Comcast Corporation Common Stock (38,186 shares)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000
20	Trust #6 - Comcast Corporation Common Stock (38,186 shares)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000
21	Trust #7 - Comcast Corporation Common Stock (103,331 shares)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Dividends	\$100,001 - \$1,000,000
22	Trust #8 - Comcast Corporation Common Stock (45,944 shares)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Dividends	\$50,001 - \$100,000
23	Trust #9 - Comcast Corporation Common Stock (89,809 shares)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Dividends	\$100,001 - \$1,000,000
24	Trust #10	See Endnote				
24.1	Comcast Corporation Common Stock (144,432 shares)		N/A	\$5,000,001 - \$25,000,000	Dividends	\$100,001 - \$1,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.2	Comcast Corporation - Vested Non-Qualified Stock Options 3/18/2016 Grant 162,000 Shares	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
24.3	Comcast Corporation - Vested Non-Qualified Stock Options 3/17/2017 Grant 118,560 Shares	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
25	IRA #1	No			
25.1	AB SMALL CAP VALUE PORTFOLIO	Yes	\$15,001 - \$50,000		None (or less than \$201)
25.2	AMERICAN BEACON AHL TARGETRISK FUND	Yes	\$15,001 - \$50,000		None (or less than \$201)
25.3	AMERICAN BEACON BRIDGEWAY LRG CAP VAL	Yes	\$50,001 - \$100,000		None (or less than \$201)
25.4	AMERICAN BEACON LONDON INCOME EQUITY	Yes	\$50,001 - \$100,000		None (or less than \$201)
25.5	BLACKROCK STRATEGIC INCOME OPP	Yes	\$15,001 - \$50,000		None (or less than \$201)
25.6	DFA INTERNATIONAL CORE EQUITY	Yes	\$50,001 - \$100,000		None (or less than \$201)
25.7	GLENMEDE CORE FIXED INCOME	Yes	\$250,001 - \$500,000		None (or less than \$201)
25.8	GLENMEDE QUANT US LARGE CAP CORE EQUITY	Yes	\$100,001 - \$250,000		None (or less than \$201)
25.9	GLENMEDE QUANT US LONG/SHORT EQUITY	Yes	None (or less than \$1,001)		None (or less than \$201)
25.10	GQG EMERGING MARKETS EQUITY FUND	Yes	\$1,001 - \$15,000		None (or less than \$201)
25.11	GQG PARTNERS US SELECT QUALITY EQUITY FD	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25.12	GS FS GOVERNMENT FUND	Yes	\$50,001 - \$100,000		None (or less than \$201)
25.13	HARTFORD SCHRODERS INTL MULTI-CAP VAL FD	Yes	\$15,001 - \$50,000		None (or less than \$201)
25.14	INFINITY Q DIVERSIFIED ALPHA FUND	Yes	\$15,001 - \$50,000		None (or less than \$201)
25.15	ISHARES S & P SMALLCAP 600	Yes	\$15,001 - \$50,000		None (or less than \$201)
25.16	ISHARES S & P SMALLCAP 600/GRO	Yes	None (or less than \$1,001)		None (or less than \$201)
25.17	MATTHEWS JAPAN FUND INST	Yes	\$15,001 - \$50,000		None (or less than \$201)
25.18	MATTHEWS PACIFIC TIGER FD - IS	Yes	\$15,001 - \$50,000		None (or less than \$201)
25.19	MPROVED SYSTEMATIC MERGER ARBITRAGE FD	Yes	\$15,001 - \$50,000		None (or less than \$201)
25.20	OAKMARK INTERNATIONAL INSTITUTIONAL FD	Yes	\$15,001 - \$50,000		None (or less than \$201)
25.21	PGIM SELECT REAL ESTATE FUND	Yes	\$15,001 - \$50,000		None (or less than \$201)
25.22	POLEN GROWTH FUND - INS	Yes	\$50,001 - \$100,000		None (or less than \$201)
25.23	POLEN US SMALL COMPANY GROWTH	Yes	\$15,001 - \$50,000		None (or less than \$201)
25.24	VANGUARD GLOBAL EX US REAL ESTATE	Yes	None (or less than \$1,001)		None (or less than \$201)
25.25	VANGUARD INDEX FDS REAL ESTATE ETF	Yes	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25.26	VANGUARD PRIMECAP FUND ADMIRAL SHARES	Yes	None (or less than \$1,001)		None (or less than \$201)
25.27	WCM FOCUSED INTERNATIONAL GROWTH FUND	Yes	\$15,001 - \$50,000		None (or less than \$201)
26	Comcast Corporation - Income from Non-Qualified Stock Options	N/A		Options Exercised in 2021 - cash payment	\$18,315,198

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Comcast Corporation	Philadelphia, Pennsylvania	<p>Employment Agreement: In December 2020, I stepped down from my position as Senior Executive Vice President of Comcast Corporation and entered into an amended Employment Agreement codifying a part-time work commitment with the Company as a Senior Advisor to the CEO. In anticipation of this change in status, in July 2020, I renegotiated my employment agreement ("the July 2020 Amendment") which provides:</p> <ul style="list-style-type: none"> <li>• A five-year term – from January 1, 2021 to December 31, 2025, with a salary of a fixed amount per annum for the term.</li> <li>• Elimination of future annual cash bonuses or future equity, RSU grants, Deferred Compensation contributions, or Stock Option grants</li> <li>• a limitation on my ability to work for other employers (non-profit and civic engagements were exempted), without the consent of the Company.</li> </ul> <p>The five-year term with base salary was designed to provide the benefits that had been afforded to previous Named Executive Officers ("NEOs") and other senior executives who had terminated employment and were not going into the government, including continued participation in the Company's equity compensation plans for vesting purposes only and continued access to health and welfare benefits for a period after they had terminated employment with the Company. Based on further discussions with the Company, the Company has agreed to modifications to my employment agreement to permit me to maintain my interests in my Deferred Compensation Account at certain interest rates and to allow for accelerated vesting and delivery of Restricted Stock Units and the Stock Options, as described elsewhere in this Part 3. All salary payments will end upon separation from the Company.</p>	1/2021

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
2	Comcast Corporation	Philadelphia, Pennsylvania	<p>Deferred Compensation Plan: I participated in the Company's Deferred Compensation Plans, which permitted me the right to defer certain compensation earned for services provided to the Company. Effective January 2021, I was no longer entitled to make additional deferrals. My current deferred compensation balances are in accounts that accrue yields on the balance at the annual rates of 9% and 12%, depending on the dates of deferral. Typically, retiring NEOs and senior executives are afforded additional years of crediting at the 9% and 12% rates. The July 2020 Amendment was in part designed to afford me that benefit – i.e., to continue receiving interest crediting to plans' balances at the 9% and 12% rates for five-years. This treatment is comparable to the treatment afforded other retiring NEOs and senior executives. After the end of the employment agreement in five years, the interest crediting rate on my deferred compensation plans' balances under the agreement would drop to prime plus 1%, unless I choose to "re-defer" the compensation pursuant to the terms of the deferred compensation plan and the July 2020 Amendment. As set out in the plan documents, I am entitled to "re-defer" balances in my deferred compensation accounts, or allow them to be distributed. Once I am no longer employed by the Company, however, my redeferred balances will no longer be in a cash account accruing at 9% and 12%, but instead the yield on such accounts will be calculated based upon the yield of selected market-based funds similar to mutual funds. The first such re-deferral date will be in 2025. The Company has agreed to permit me to maintain my deferred compensation accounts continued interest crediting at the 9% and 12% rates following my termination for the five years contemplated by the July 2020 Amendment. This period will end on December 31, 2025, at which time my deferred compensation will drop to prime plus 1% for any compensation that I have not redeferred.</p>	1/2021

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
3	Comcast Corporation	Philadelphia, Pennsylvania	<p>Stock Option Plan: I participated in the Company's Nonqualified Stock Option Plan (the "stock option plan") under which I have been awarded stock option grants, either as part of an annual management grant or for extraordinary events such as signing a new employment agreement. My last grant of options was issued in January 2021. I currently have 2,952,480 vested stock options. I also have 1,901,250 options that are not formally vested, but, because of my age and years of service, under the terms of the stock option plan, such stock options would continue to vest and would not be forfeited if I terminated my employment. I also have 219,245 options that are subject to forfeiture in the event of termination of my employment. Except for my last option grant in January 2021, my options become exercisable over a 9.5 year period (the January 2021 grant becomes exercisable over five years). All of my options have a ten-year life. Under the terms of the stock option plan, all of my options continue to be delivered and become exercisable in annual increments for a period of five years following my termination of employment because I have qualified for retirement as that term is defined in the stock option plans. Pursuant to the stock option plan, I am entitled to five years of continued delivery following my termination of employment and then am afforded an additional three months to exercise my options or until the expiration date of the options if the option term expires sooner. In view of my outstanding service to the Company and its performance, the Company has agreed that I am entitled to the full vesting and accelerated delivery of my stock options to be distributed by July 1, 2021. (subject to required tax withholding).</p>	1/2021

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
4	Comcast Corporation	Philadelphia, Pennsylvania	<p>Restricted Stock Plan: I participated in the Company's Restricted Stock Plans (the "RSU plan"). Pursuant to the RSU plan, I have been awarded restricted stock units ("RSUs"). My last grant of RSUs was in January 2021. All RSUs convert to Comcast stock as they vest, which occurs over a five-year period. I currently have been granted 340,859 RSUs that are not formally vested and that have not yet become Comcast stock. Of these, in accordance with the terms of the RSU plan and because of my age and years of service, I have the fixed right to continued vesting for four years for 307,323 RSUs, which right is not revocable, leaving 33,536 RSUs that are subject to forfeiture in the event of termination of my employment. Pursuant to the RSU plan, the vesting schedule is as follows: 15% of the award after year one through four years, and 40% on the fifth anniversary of the award. In view of my outstanding service to the Company and its performance, the Company has agreed that I am entitled to the full vesting and accelerated delivery of my RSUs at the greater of their last respective achievement levels or 100% and be distributed by July 1, 2021 (subject to required tax withholding).</p>	1/2021
5	Comcast Corporation	Philadelphia, Pennsylvania	<p>Health and Welfare Benefits: I participate in the Company's health and welfare benefit plans. Upon termination of employment for government service, the Company's payments for such plans would terminate.</p>	1/2021
6	Comcast Corporation	Philadelphia, Pennsylvania	<p>Employee Stock Purchase Plan: I participate in the Company's employee stock purchase plan. Each year, I am permitted to purchase up to \$21,250 of stock at a 15% discount (off the lower of the closing price on the first business day of a quarter and the closing price on the last business day of a quarter). Under the terms of the plan, I must hold that stock for a period of one year.</p>	1/2021



#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
7	Comcast Corporation	Philadelphia, Pennsylvania	401(k) Plan : As a Comcast Corporation employee, I am permitted to contribute a portion of my annual compensation to a 401(k) plan. Pursuant to the terms of the Comcast Corporation 401(k) plan I direct the investment of my account. In addition, based on my 2021 employee contributions to the plan of \$19,500, I was granted a 2021 firm matching contribution in the amount of \$17,400, which was allocated to my account during the first quarter of 2021. Upon leaving Comcast, I plan to roll the 401(k) into the existing IRA reported on Line 25 in Part 2.	7/2002
8	Comcast Corporation	Philadelphia, Pennsylvania	Courtesy Cable Services -- I have received courtesy cable, internet, and telephone services under Comcast's courtesy cable policy at my two residences. Pursuant to the policy, courtesy services will continue for three years following my retirement or following the termination of severance payments pursuant to a retirement employment agreement.	7/2002
9	PNC Financial Services Group	Pittsburgh, Pennsylvania	Directors Compensation -- As part of my compensation as a director of the Company, I am paid cash compensation. A portion of that cash compensation is deferred in a deferred compensation account. The yield on the account balance is determined by a fixed rate of interest and by reference to shares of the Company.	10/2020
10	PNC Financial Services Group	Pittsburgh, Pennsylvania	Directors Shares -- As part of my compensation for service as a director of the Company, I receive vested shares of the Company.	10/2020
11	Franklin Square Global Credit Opportunities Fund	Philadelphia, Pennsylvania	Directors Compensation -- As part of my compensation for service as a director of the Company, I am paid cash compensation.	6/2013
12	Franklin Square Global Credit Opportunities Fund	Philadelphia, Pennsylvania	Directors Equity -- As part of my compensation for service as a director of the Company, I receive vested shares of certain funds that are sponsored by the Company.	6/2013

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
13	City of Philadelphia	Philadelphia, Pennsylvania	Deferred Compensation Plans --I participate in the City's deferred compensation plans, which provide the right to defer certain compensation earned for services provided to the City. The plan sponsor will not make further contributions after my separation.	5/2007

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Comcast Corporation	Philadelphia, Pennsylvania	Senior Advisor to CEO of Comcast Corporation
2	PNC Financial Services Group - Board of Directors	Pittsburgh, Pennsylvania	Member Board of Directors (Member of Audit, Personnel & Compensation, Equity & Inclusion Committees)
3	Franklin Square Global Credit Opportunities Fund - Board of Directors	Philadelphia, Pennsylvania	Member Board of Directors (Chair of Governance and Nominating Committee)

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Glenmede Trust Company Board of Directors	N/A		Board Compensation	
2	The Glenmede Corporation Stock	N/A	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000
3	IRA #1	No			
3.1	AB SMALL CAP VALUE PORTFOLIO	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2	AMERICAN BEACON AHL TARGETRISK FUND	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.3	AMERICAN BEACON BRIDGEWAY LRG CAP VAL	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.4	AMERICAN BEACON LONDON INCOME EQUITY	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.5	AQR STYLE PREMIA ALTERNATIVE FUND	Yes	None (or less than \$1,001)		None (or less than \$201)
3.6	BLACKROCK STRATEGIC INCOME OPP	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.7	DFA INTERNATIONAL CORE EQUITY	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.8	GLENMEDE CORE FIXED INCOME	Yes	\$250,001 - \$500,000		None (or less than \$201)
3.9	GLENMEDE QUANT US LARGE CAP CORE EQUITY	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.10	GLENMEDE QUANT US LONG/SHORT EQUITY	Yes	None (or less than \$1,001)		None (or less than \$201)
3.11	GQG EMERGING MARKETS EQUITY FUND	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.12	GQG PARTNERS US SELECT QUALITY EQUITY FD	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.13	GS FS GOVERNMENT FUND	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.14	HARTFORD SCHRODERS INTL MULTI-CAP VAL FD	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.15	INFINITY Q DIVERSIFIED ALPHA FUND	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.16	ISHARES S & P SMALLCAP 600	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.17	ISHARES S & P SMALLCAP 600/GRO	Yes	None (or less than \$1,001)		None (or less than \$201)
3.18	MATTHEWS JAPAN FUND INST	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.19	MATTHEWS PACIFIC TIGER FD - IS	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.20	MPROVED SYSTEMATIC MERGER ARBITRAGE FD	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.21	OAKMARK INTERNATIONAL INSTITUTIONAL FD	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.22	PGIM SELECT REAL ESTATE FUND	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.23	POLEN GROWTH FUND - INS	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.24	POLEN US SMALL COMPANY GROWTH	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.25	VANGUARD GLOBAL EX US REAL ESTATE	Yes	None (or less than \$1,001)		None (or less than \$201)
3.26	VANGUARD INDEX FDS REAL ESTATE ETF	Yes	None (or less than \$1,001)		None (or less than \$201)
3.27	VANGUARD PRIMECAP FUND ADMIRAL SHARES	Yes	None (or less than \$1,001)		None (or less than \$201)
3.28	WCM FOCUSED INTERNATIONAL GROWTH FUND	Yes	\$50,001 - \$100,000		None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Brokerage Acct #1	No			
1.1	Fidelity Money Market Portfolio: Class I (FMPXX)	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
1.2	U.S. Brokerage Cash Acct #1	N/A	\$25,000,001 - \$50,000,000	Interest	\$15,001 - \$50,000
2	Intentionally Left Blank				
3	Benjo LTD	No			
3.1	Grace Bay Club, Turks & Caicos Real Estate (Residential)	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4	US Bank Acct #1 (Cash)	N/A	\$1,000,001 - \$5,000,000	Interest	\$5,001 - \$15,000
5	Vertex Inc.	N/A	\$250,001 - \$500,000		None (or less than \$201)
6	Promissory Note -Trust #2 (m. 2027)	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
7	Promissory Note -Trust #2 (m. 2028)	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
8	Promissory Note - Trust #3 (m. 2029)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
9	Promissory Note -Trust #4 (m. 2029)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
10	Promissory Note -Trust #7 (m. 2029)	N/A	\$5,000,001 - \$25,000,000	Interest	\$100,001 - \$1,000,000
11	Promissory Note -Trust #8 (m. 2028)	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
12	Promissory Note - Trust #9 (m. 2029)	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13	2019 GRAT (2021 March Termination) 100% Comcast Corp Stock	N/A	None (or less than \$1,001)	Dividends	\$15,001 - \$50,000
14	ESF Camps Subordinated Debt Loan	N/A	\$250,001 - \$500,000		None (or less than \$201)
15	SeventySix Capital Fund, LP	See Endnote	No		None (or less than \$201)
15.1	Kwik (retail, IoT)	N/A			
15.2	Nerd Street Gamers (esports)	N/A			
15.3	Nourish (sports retail / robotics)	N/A			
15.4	ShotTracker (sports tech)	N/A			
15.5	Play By Play (sports media)	N/A			
15.6	Swish Analytics (sports betting analytics)	N/A			
15.7	VSIN (sports betting media)	N/A			
15.8	Diamond Kinetics (sports tech)	N/A			
15.9	U.S. Integrity (sports betting integrity)	N/A			
15.10	Vigtory (sports betting)	N/A			
15.11	Maestro (sports streaming)	N/A			
15.12	Forte (fitness streaming)	N/A			
15.13	C360 (sports tech / media)	N/A			
16	Intentionally Left Blank				
17	Intentionally Left Blank				
18	CMS Investments - Merion Multifamily Fund - Joint	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.1	Merion Chase Oaks, L.P. - Jacksonville, FL Apartment Building	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$5,001 - \$15,000
18.2	Merion Chase Oaks, GP, L.P. - Jacksonville, FL Apartment Building	N/A	\$1,001 - \$15,000	Rent or Royalties	\$5,001 - \$15,000
18.3	Merion Locust Grove, L.P. - Deptford, NJ Apartment Building	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
18.4	Merion Timberwalk, L.P. - Jacksonville, FL Apartment Building	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$5,001 - \$15,000
18.5	Merion Timberwalk GP, L.P. - Jacksonville, FL Apartment Building	N/A	\$1,001 - \$15,000	Rent or Royalties	\$5,001 - \$15,000
18.6	Merion Timberwood, L.P. - Lebanon, NH Apartment Building	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$5,001 - \$15,000
18.7	Merion Riverside Parc, L.P. - Birmingham, AL Apartment Building	N/A	None (or less than \$1,001)	Rent or Royalties Capital Gains	\$100,001 - \$1,000,000
19	FS Credit Income Fund I	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
20	FS Credit Real Estate Income Trust F	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
21	FS Credit Real Estate Income Trust I	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
22	FS Energy and Power Fund	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
23	FS KKR Capital Corp II	Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000
24	FS Multi-Strategy Alternatives Fund Class I	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
25	FS Tactical Opportunities Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26	FS Global Credit Opportunities Fund	See Endnote	No	\$250,001 - \$500,000		\$1,001 - \$2,500
27	FS Global Credit Opportunities Fund -A	See Endnote	No	None (or less than \$1,001)		\$15,001 - \$50,000
28	FS Global Credit Opportunities Fund -ADV	See Endnote	No	None (or less than \$1,001)		\$2,501 - \$5,000
29	FS Energy Total Return Fund Class I		Yes	None (or less than \$1,001)		\$15,001 - \$50,000
30	U.S. Brokerage Acct #2		No			
30.1	AB SMALL CAP VALUE PORTFOLIO		Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
30.2	ABBOTT LABORATORIES		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.3	ABBVIE INC COM		N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
30.4	ACADIA PHARMACEUTICALS INC		N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
30.5	ACCENTURE PLC		N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
30.6	ACTIVISION BLIZZARD INC		N/A	\$15,001 - \$50,000		None (or less than \$201)
30.7	ADOBE INC		N/A	\$50,001 - \$100,000		None (or less than \$201)
30.8	AES CORP.		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.9	AGILENT TECHNOLOGIES INC		N/A	\$15,001 - \$50,000		None (or less than \$201)
30.10	AIR PRODUCTS & CHEMICALS INC.		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.11	AKAMAI TECHNOLOGIES	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
30.12	ALABAMA ST PUB SCH & COLLEGE CAP IMPT Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.13	ALBANY INTL CORP CL-A	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.14	ALBUQUERQUE NEW MEXICO GO Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
30.15	ALEXANDRIA VA CAP IMPT GO Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
30.16	ALEXION PHARMACEUTICALS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
30.17	ALIGN TECHNOLOGY INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$5,001 - \$15,000
30.18	ALLEGHENY CNTY PA GO BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.19	ALLEGHENY CNTY PA HIGHER EDUCATION REV Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
30.20	ALLY FINANCIAL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.21	ALPHABET INC CAP STK CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.22	ALPHABET INC CAP STK CL C	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
30.23	ALTRA HOLDINGS INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
30.24	ALTRIA GROUP INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.25	AMAZON.COM INC	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
30.26	AMDOCS LTD	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.27	AMEDISYS INC	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
30.28	AMERICAN BEACON AHL TARGETRISK FUND	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
30.29	AMERICAN BEACON BRIDGEWAY LRG CAP VAL	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
30.30	AMERICAN BEACON LONDON INCOME EQUITY	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
30.31	AMERICAN INTERNATIONAL GROUP INC.	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.32	AMERICAN TOWER CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.33	AMERIPRISE FINANCIAL INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.34	AMETEK INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.35	AMGEN	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.36	AMN HEALTHCARE SERVICES	N/A	None (or less than \$1,001)		None (or less than \$201)
30.37	ANTHEM INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
30.38	APPLE INC.	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.39	APPLIED MATERIALS INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
30.40	ARISTA NETWORKS INC COM	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.41	ARRAY TECHNOLOGIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.42	ASCENDIS PHARMA	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
30.43	ASGN INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.44	AUTODESK INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
30.45	AUTOMATIC DATA PROCESSING INC.	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.46	AXSOME THERAPEUTICS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.47	BAKER HUGHES COMPANY	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.48	BALCHEM CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.49	BANDWIDTH INC CL A	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
30.50	BANK OF AMERICA CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
30.51	BANK OF NEW YORK MELLON CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.52	BERKSHIRE HATHAWAY INC-CL B	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.53	BEST BUY CO. INC.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.54	BICYCLE THERAPEUTICS PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.55	BIOGEN IDEC INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
30.56	BIOXCEL THERAPEUTICS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
30.57	BJ'S WHOLESALE CLUB HOLDINGS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.58	BLACKLINE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.59	BLACKROCK INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.60	BLACKROCK STRATEGIC INCOME OPP	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
30.61	BLOOM ENERGY CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.62	BOOZ ALLEN HAMILTON HOLDINGS	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.63	BORG WARNER AUTOMOTIVE	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.64	BRISTOL MYERS SQUIBB CO.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
30.65	BROOKHAVEN GA DEV AUTH REV BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.66	BROOKLINE BANCORP INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
30.67	BROOKS AUTOMATION INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.68	BROWARD CNTY FLA SCH BRD CTFS COPS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.69	CADENCE DESIGN SYSTEM	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.70	CALIFORNIA ST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.71	CARDINAL HEALTH INC.	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
30.72	CARRIER GLOBAL CORPORATION COM	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.73	CBRE GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.74	CDW CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
30.75	CENTENE CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
30.76	CENTRAL PUGET SOUND WA REGL TRANSPRTN Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.77	CERENCE INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
30.78	CHARLES SCHWAB CORP.	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.79	CHART INDUSTRIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.80	CHEMOCENTRYX INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.81	CHESAPEAKE UTILITIES CORP.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.82	CHEVRON CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.83	CHICAGO ILL O HARE INTL AIRPORT REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.84	CHICAGO ILL O HARE INTL ARPT REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.85	CHILLICOTHE TX INDPT SCH DIST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.86	CHURCH & DWIGHT INC DEL COM	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.87	CIENA CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.88	CIGNA CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.89	CINCINNATI FINANCIAL CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
30.90	CIRRUS LOGIC INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
30.91	CISCO SYSTEMS	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.92	CITIZENS FINANCIAL GROUP INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.93	CITIZENS PPTY INS CORP FLA SENIOR REV Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
30.94	CMC MATERIALS INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
30.95	COCA COLA CO.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
30.96	COGNIZANT TECH SOLUTIONS CRP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.97	COHEN & STEERS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.98	COLGATE PALMOLIVE CO.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
30.99	COLONIAL PA SCH DIST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.100	COLORADO RIVER TX MUNI WTR DIST REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.101	COLUMBIA BANKING SYSTEM	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.102	COLUMBUS OHIO GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.103	COLUMBUS OHIO VAR PURP Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
30.104	COMFORT SYSTEMS USA INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.105	CONAGRA BRANDS INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.106	CONMED CORP.	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
30.107	CONNECTICUT ST HEALTH & EDL FAC REV Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
30.108	CORELOGIC INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
30.109	COTULLA TEX INDPT SCH DIST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.110	CROWN CASTLE INTL CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.111	CRYOPORT INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.112	CSX CORP.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.113	CVS CORP	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.114	D.R. HORTON INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
30.115	DANIMER SCIENTIFIC INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.116	DAVIDSON CNTY NC LTD OBLIG Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
30.117	DAVITA HEALTHCARE PARTNERS INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.118	DECIPHERA PHARMACEUTICALS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.119	DECKERS OUTDOOR CORP	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
30.120	DESKTOP METAL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.121	DFA INTERNATIONAL CORE EQUITY	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
30.122	DIAGEO PLC SPONSORED ADR	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.123	DICERNA PHARMACEUTICALS INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.124	DICKINSON TEX INDPT SCH DIST GO Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
30.125	DISCOVERY COMMUNICATIONS INC CL C	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.126	DISTRICT COLUMBIA GO BDS Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
30.127	DOLLAR GENERAL CORP	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$5,001 - \$15,000
30.128	DOLLAR TREE INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
30.129	DOMINION ENERGY INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.130	DORCHESTER CNTY SC WTRWKS & SW Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
30.131	DOW INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.132	DROPBOX INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.133	DUKE ENERGY CORP	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.134	EAGLE MATERIALS INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
30.135	EASTMAN CHEMICAL CO.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.136	EATON CORP PLC ORDINARY	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
30.137	EBAY INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.138	EHEALTH INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
30.139	ELECTRONIC ARTS	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.140	ELI LILLY & CO.	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.141	ENERGY NORTHWEST WASH ELEC REV Bond	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
30.142	ENERGY NORTHWEST WASHINGTON ELEC REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.143	ENERSYS	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
30.144	ENPHASE ENERGY INC COM	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
30.145	EQUINIX INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.146	ERNEST N MORIAL-NEW ORLEANS LA SPL TAX Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.147	ESCO TECHNOLOGIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.148	ESSENT GROUP LTD	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.149	EVERCORE PARTNERS INC-CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.150	EXPEDIA GROUP INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
30.151	EXPONENT INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
30.152	EXXON MOBIL CORPORATION	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.153	F5 NETWORKS INC	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.154	FACEBOOK INC -A	N/A	\$50,001 - \$100,000		None (or less than \$201)
30.155	FASTENAL CO.	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
30.156	FAYETTEVILLE ARK SALES & USE T REF BDS Bond	N/A	\$1,001 - \$15,000	Interest Capital Gains	\$201 - \$1,000
30.157	FIDELITY NATIONAL FINANCIAL IN FNF GROUP	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.158	FIRST HORIZON NATIONAL CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.159	FISERV INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
30.160	FIVE9 INC COM	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
30.161	FLORIDA ST GO REF BD Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.162	FOCUS FINL PARTNERS INC COM CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.163	FORT SMITH AR SALES & USE TAX Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
30.164	FORTINET INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.165	FORTUNE BRANDS HOME & SECURITY INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.166	FORWARD AIR CORPORATION	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
30.167	FOX CORP CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.168	FOX FACTORY HLDG CORP COM	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.169	FRANKLIN RESOURCES INC.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
30.170	FRONTDOOR INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
30.171	GARTNER GROUP CLASS A	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
30.172	GENERAC HOLDINGS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
30.173	GENERAL MILLS INC.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.174	GENERAL MOTORS CO	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.175	GEORGIA ST GO DTD 7/12/2018 5% 7/1/2027 Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.176	GILEAD SCIENCES INC.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
30.177	GLENMEDE HIGH YIELD MUNICIPAL	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
30.178	GLENMEDE QUANT US LARGE CAP CORE EQUITY	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
30.179	GLOBUS MEDICAL INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
30.180	GQG EMERGING MARKETS EQUITY FUND	Yes	\$250,001 - \$500,000		\$201 - \$1,000
30.181	GQG PARTNERS US SELECT QUALITY EQUITY FD	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
30.182	GRAYS HARBOR CNTY WASH PUB UTILITY REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.183	GREEN PLAINS RENEWABLE ENERGY	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.184	GS INVESTOR TAX-EXEMPT MONEY MARKET FUND	Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
30.185	H&E EQUIPMENT SERVICES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.186	HALLIBURTON CO	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
30.187	HARRIS CNTY TEX TOLL RD S Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.188	HARRIS CNTY TEXAS HOSPITALS REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.189	HARTFORD SCHRODERS INTL MULTI-CAP VAL FD	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
30.190	HAWAII ST GO DTD 12/4/2012 5% 11/1/2021 Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
30.191	HEALTH EQUITY INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
30.192	HELEN OF TROY LTD	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
30.193	HEXCEL CORP	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
30.194	HILLSBOROUGH CNTY FLA SCH BRD COPS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.195	HOME DEPOT INC.	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.196	HONEYWELL INTERNATIONAL INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.197	HONOLULU HAWAII CITY & CNTY GO BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.198	HOUSTON TEX CMNTY COLLEGE LTD TAX REV Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
30.199	HOUSTON TEX INDPT SCH DIST LTD GO Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
30.200	HP INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.201	HUDSON PACIFIC PROPERTIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.202	HUMANA INC.	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
30.203	IGM BIOSCIENCES INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
30.204	ILLINOIS FIN AUTH REV Bond	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
30.205	ILLINOIS FIN AUTH REV BDS Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
30.206	ILLINOIS FIN AUTH REV REF BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.207	ILLINOIS FIN AUTH REV REV BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
30.208	ILLINOIS ST TOLL HWY AUTH TOLL SR REF BD Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
30.209	ILLUMINA INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.210	INDEPENDENT BANK CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.211	INFINITY Q DIVERSIFIED ALPHA FUND	Yes	\$500,001 - \$1,000,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.212	INPHI CORP COM	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
30.213	INSTALLED BUILDING PRODUCTS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.214	INTEL CORP	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.215	INTERCONTINENTAL EXCHANGE GROUP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
30.216	INTERNATIONAL PAPER CO.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.217	INTERPUBLIC GROUP COS	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.218	INTUITIVE SURGICAL INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.219	INVITAE CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.220	IOVANCE BIOTHERAPEUTICS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
30.221	IOWA FIN AUTH REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.222	JETBLUE AIRWAYS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.223	JOHNSON & JOHNSON	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.224	KANSAS ST DEPT TRANSN HWY REV Bond	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
30.225	KARUNA THERAPEUTICS INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.226	KB HOME	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.227	KELLOGG CO.	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.228	KENNAMETAL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.229	KEYCORP - NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.230	KEYSIGHT TECHNOLOGIES INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
30.231	KROGER CO.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.232	LAM RESEARCH CORP	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
30.233	LANCASTER COLONY CORP.	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
30.234	LENNAR CORP.	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.235	LHC GROUP INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
30.236	LITHIA MOTORS INC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.237	LIVEPERSON INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.238	LOUISIANA PACIFIC CORP.	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.239	LOWER COLO RIV AUTH TEX TRANSM REF REV B Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.240	LOWER MERION PA SCH DIST GO Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
30.241	LOWER NECHES VALLEY AUTH TEX VAR REV	N/A	None (or less than \$1,001)		None (or less than \$201)
30.242	LOWES COS INC	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
30.243	LUMENTUM HOLDINGS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
30.244	M/A-COM TECHNOLOGY SOLUTIONS	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.245	MAGNITE INC COM	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
30.246	MALIBU BOATS INC COM CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.247	MARRIOTT VACATIONS WORLDWIDE CORP	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
30.248	MARSH & MCLENNAN COMPANIES INC.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
30.249	MARYLAND ST GO ST AND Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.250	MASSACHUSETTS ST COMMONWEALTH GO Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
30.251	MASSACHUSETTS ST GO BDS Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
30.252	MASSACHUSETTS ST SCH BLDG AUTH SUB BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.253	MASTERCARD INC-CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.254	MATTHEWS JAPAN FUND INST	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
30.255	MATTHEWS PACIFIC TIGER FD - IS	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
30.256	MAXLINEAR INC CL A	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
30.257	MCKESSON HBOC INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
30.258	MERCK & CO INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.259	MERIDIAN BIOSCIENCE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.260	MESA LABORATORIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.261	METLIFE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.262	METTLER-TOLEDO INTERNATIONAL	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.263	MICHIGAN ST BLDG AUTH REV REF BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.264	MICROSOFT CORP.	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
30.265	MISSISSIPPI ST GO REF BD Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
30.266	MOMENTA PHARMACEUTICALS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
30.267	MONDELEZ INTL INC CL A	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.268	MONSTER BEVERAGE CORP NEW	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.269	MONTGOMERY CNTY PA INDL DEV AU REV BDS Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
30.270	MORGAN STANLEY	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
30.271	MP MATERIALS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.272	MPROVED SYSTEMATIC MERGER ARBITRAGE FD	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
30.273	MSC INDUSTRIAL DIRECT CO-A	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
30.274	MSCI INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
30.275	MYOKARDIA INC COM	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
30.276	NATERA INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.277	NEOGENOMICS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.278	NESTLE SA ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.279	NETFLIX INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.280	NEW HANOVER CNTY NC HOSP REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.281	NEW YORK CITY TRANSITIONAL FUTURE TAX Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.282	NEW YORK N Y GO BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.283	NEW YORK ST DORM AUTH ST INCOME TAX REV Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
30.284	NEW YORK ST URBAN DEV CORP REV Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$1,001 - \$2,500
30.285	NEWMONT GOLDCORP CORPORATION	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
30.286	NIELSEN HLDGS PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.287	NIKE INC CL B	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
30.288	NINTENDO CO LTD - UNSPON ADR	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.289	NOMAD FOODS LTD USD ORD	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
30.290	NORFOLK SOUTHERN CORP.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.291	NORTH SLOPE BORO ALASKA GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.292	NORTH TEX TWY AUTH REV SYS AND R Bond	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
30.293	NORTHEAST OHIO REGL SEWER DIST REV Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
30.294	NORTHRIDGE OHIO LOC SCH DIST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.295	NOVAVAX INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.296	OAKMARK INTERNATIONAL INSTITUTIONAL FD	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
30.297	OHIO ST ADULT CORRECTIONAL CAP LEASE APP Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.298	OHIO ST PARKS & REC CAP FACS LEASE APP Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.299	OLLIES BARGAIN OUTLET HOLDINGS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
30.300	ORACLE CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.301	ORANGE CNTY FLA SALES TAX REV Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
30.302	OREGON ST DEPT TRANSN HIGHWAY Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
30.303	ORLANDO & ORANGE CNTY EXPWY AUTH REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.304	ORLANDO FLA UTILS COMMN UTIL S REF BDS Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
30.305	PACKAGING CORP OF AMERICA	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
30.306	PARKER-HANNIFIN CORP	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
30.307	PAYCHEX INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.308	PAYPAL HOLDINGS INC	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
30.309	PENNSYLVANIA ST GO REF BD Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.310	PENNSYLVANIA ST HIGHER EDL Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
30.311	PENNSYLVANIA ST TPK COMMN OIL REV REF B Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.312	PENNSYLVANIA ST UNIV REF BDS Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
30.313	PERKINELMER INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.314	PFIZER INC.	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
30.315	PGIM SELECT REAL ESTATE FUND	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
30.316	PHILADELPHIA PA GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.317	PHILIP MORRIS INTERNATIONAL	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.318	PINNACLE WEST CAP CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
30.319	PITTSBURGH PA SCH DIST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.320	PLUG POWER INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
30.321	POLEN GROWTH FUND - INS	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
30.322	POLEN US SMALL COMPANY GROWTH	Yes	\$250,001 - \$500,000		\$201 - \$1,000
30.323	PORTLAND OREGON CMNTY COLLEGE DIST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.324	POWER INTEGRATIONS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.325	PPG INDUSTRIES INC.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
30.326	PPL CORPORATION	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.327	PRINCE GEORGES CNTY MD GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.328	PRIVATE COLLEGES & UNIVS AUTH BDS Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
30.329	PROLOGIS INC.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.330	PROVO UTAH CITY SCH DIST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.331	PULTE CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
30.332	QORVO INC COM	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.333	QTS REALTY TR INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
30.334	QUALYS INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.335	QUANTA SERVICES INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.336	QUANTERIX CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
30.337	QUEST DIAGNOSTICS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.338	RADNOR TWP PA GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.339	RAY JAMES FINL INC.	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.340	RBC BEARINGS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.341	REALPAGE INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
30.342	REGENERON PHAR	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
30.343	REGIONAL TRANSPORTATION AUTH ILL REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.344	REGIONS FINANCIAL CORP	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
30.345	RENEWABLE ENERGY GROUP INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
30.346	REPLIGEN CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
30.347	REPUBLIC SERVICES INC - CL A	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
30.348	REXFORD INDL RLTY INC COM	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
30.349	REXNORD CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.350	S&P 500 DEPOSITORY RECEIPT (SPY)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
30.351	SALESFORCE.COM INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
30.352	SEATTLE WASH DRAIN & WASTE WATER REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.353	SEMTECH CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.354	SERVICENOW INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
30.355	SILICON LABORATORIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.356	SIMPSON MANUFACTURING CO INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.357	SITEONE LANDSCAPE SUPPLY INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.358	SKYWORKS SOLUTIONS INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
30.359	SOLAREEDGE TECHNOLOGIES INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
30.360	SOUTH JERSEY INDUSTRIES	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.361	SPIRIT AEROSYSTEMS HOLD-CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.362	SPROUT SOCIAL INC	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
30.363	SS&C TECHNOLOGIES HOLDINGS	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.364	STARBUCKS CORP.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.365	STATE PUB SCH BLDG AUTH PA LEASE REV Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
30.366	STATE STREET CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.367	STEVEN MADDEN LTD	N/A	None (or less than \$1,001)		None (or less than \$201)
30.368	STIFEL FINANCIAL CORP	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
30.369	SUMMIT MATERIALS INC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.370	SUNPOWER CORPORATION	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
30.371	SUSQUEHANNA TWP PA SCH DIST GO NTS Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
30.372	SWITCH INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.373	SYNAPTICS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.374	SYNCHRONY FINANCIAL	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.375	SYNOPSIS INC.	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.376	T ROWE PRICE GROUP INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
30.377	TAKE-TWO INTERACTIVE SOFTWARE	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.378	TARGET CORP	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
30.379	TCR2 THERAPEUTICS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.380	TECHTARGET INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.381	TELADOC HEALTH INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.382	TERADYNE INC.	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.383	TERRENO REALTY CORP	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
30.384	TETRA TECH INC.	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.385	TEXAS A & M UNIV REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.386	TEXAS INSTRUMENTS INC.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.387	TEXAS ST TAX REV Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
30.388	TEXAS WATER DEV BRD ST IMPLM REV Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
30.389	TG THERAPEUTICS INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.390	THERMO FISHER SCIENTIFIC INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.391	TJX COS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
30.392	TPI COMPOSITES INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
30.393	TREDYFFRIN TWP PA GO Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
30.394	TREX COMPANY INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
30.395	TRIMBLE NAVIGATION LTD	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.396	TRIUMPH BANCORP INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
30.397	TWITTER INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
30.398	ULTRAGENYX PHARMACEUTICAL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.399	UNION PACIFIC CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
30.400	UNITED PARCEL SERVICE - CL B	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.401	UNITEDHEALTH GROUP INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
30.402	UNIVERSITY AKRON OHIO GEN RCPT REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.403	UNIVERSITY ARK UNIV REV STUDENT F Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.404	UNIVERSITY TEX PERM UNIV FD REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.405	US BANCORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.406	VARONIS SYS INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.407	VERIZON COMMUNICATIONS	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
30.408	VERTEX INC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.409	VERTEX PHARMACEUTICALS INC.	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.410	VIRGINIA ST PUB BLDG AUTH PUB REV Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$2,501 - \$5,000
30.411	VIRGINIA ST PUB BLDG AUTH REV Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
30.412	VISA INC-CLASS A SHARES	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.413	VISTEON CORP	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
30.414	WALKER & DUNLOP INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
30.415	WASHINGTON ST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.416	WATERS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.417	WCM FOCUSED INTERNATIONAL GROWTH FUND	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
30.418	WERNER ENTERPRISES INC.	N/A	\$1,001 - \$15,000		None (or less than \$201)
30.419	WHITMORE LAKE MICHIGAN PUB SCH DIST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.420	WILLIAMSON CNTY TENN DIST SCHOOL REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.421	WINTRUST FINANCIAL CORP	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
30.422	WISCONSIN ST GO DTD 5/1/2012 5% 5/1/2028 Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.423	WISCONSIN ST HEALTH & EDL FACS REV Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.424	WOLVERINE WORLD WIDE	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.425	WORKHORSE GROUP INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
30.426	WSFS FINANCIAL CORP	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
30.427	YUMA ARIZ MUN PPTY CORP REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
30.428	ZOETIS INC CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
30.429	ZYMEWORKS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
31	U.S. Brokerage Acct #3	No			
31.1	AB SMALL CAP VALUE PORTFOLIO	Yes	\$100,001 - \$250,000		\$201 - \$1,000
31.2	ABBOTT LABORATORIES	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
31.3	ACCENTURE PLC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
31.4	ADOBE INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
31.5	AIR PRODUCTS & CHEMICALS INC.	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
31.6	ALBUQUERQUE NEW MEXICO GO Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
31.7	ALIGN TECHNOLOGY INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$5,001 - \$15,000
31.8	ALPHABET INC CAP STK CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31.9	ALPHABET INC CAP STK CL C	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
31.10	ALTRIA GROUP INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
31.11	AMAZON.COM INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
31.12	AMERICAN BEACON AHL TARGETRISK FUND	Yes	\$100,001 - \$250,000		\$201 - \$1,000
31.13	AMERICAN BEACON BRIDGEWAY LRG CAP VAL	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
31.14	ANDERSON CNTY S C SCH DIST NO GO BDS Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$1,001 - \$2,500
31.15	ANNE ARUNDEL CNTY MD GO BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.16	APPLE INC.	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
31.17	ATLANTA GA AIRPORT GENERAL REV Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
31.18	AUSTIN TEX INDPT SCH DIST ULTD TAX GO Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$1,001 - \$2,500
31.19	AUTODESK INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
31.20	AUTOMATIC DATA PROCESSING INC.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
31.21	BERKSHIRE HATHAWAY INC-CL B	N/A	\$15,001 - \$50,000		None (or less than \$201)
31.22	BLACKROCK INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31.23	BLACKROCK STRATEGIC INCOME OPP	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
31.24	BOOKING HOLDINGS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
31.25	BROADSTONE NET LEASE INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
31.26	CENTRAL BUCKS PA SCH DIST GO BDS	N/A	\$15,001 - \$50,000		None (or less than \$201)
31.27	CHEVRON CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
31.28	CINCINNATI FINANCIAL CORP	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
31.29	CISCO SYSTEMS	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
31.30	CLARK CNTY NEV WTR RECLAMATION LTD TAX Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
31.31	COCA COLA CO.	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
31.32	COLORADO RIVER TEX MUN WTR DIST REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.33	CRANBERRY TWP PA GO Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$1,001 - \$2,500
31.34	CROWN CASTLE INTL CORP	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
31.35	DAVIDSON CNTY NC LTD OBLIG Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$201 - \$1,000
31.36	DELAWARE CNTY PA AUTH REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.37	DETROIT MICH CITY SCH DIST REF GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31.38	DFA INTERNATIONAL CORE EQUITY	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
31.39	DIAGEO PLC SPONSORED ADR	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
31.40	DOLLAR GENERAL CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
31.41	DOMINION ENERGY INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
31.42	EAST STROUDSBURG PA AREA SCH DIST GO Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
31.43	ERNEST N MORIAL-NEW ORLEANS LA SPL TAX R Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.44	FACEBOOK INC -A	N/A	\$50,001 - \$100,000		None (or less than \$201)
31.45	FAIRFAX CNTY VA PUB IMPT GO Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$201 - \$1,000
31.46	FASTENAL CO.	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
31.47	FIRST HORIZON NATIONAL CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
31.48	FLORIDA ST MUN PWR AGY REV Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
31.49	FLORIDA ST RIGHT WAY Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$2,501 - \$5,000
31.50	FRANKLIN RESOURCES INC.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
31.51	FREDERICK CNTY MD GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.52	GARTNER GROUP CLASS A	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31.53	GEORGIA ST GO DTD 7/9/2015 5% 2/1/2025 Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.54	GLENMEDE GLOBAL GROWTH FUND LLC	Yes	\$15,001 - \$50,000		None (or less than \$201)
31.55	GLENMEDE HIGH YIELD MUNICIPAL	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
31.56	GLENMEDE PRIVATE INVESTMENT FUND X LLC	Yes	\$100,001 - \$250,000		None (or less than \$201)
31.57	GLENMEDE PRIVATE INVESTMENT FUND XI LL	Yes	\$250,001 - \$500,000		None (or less than \$201)
31.58	GLENMEDE QUANT US LARGE CAP CORE EQUITY	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
31.59	GQG EMERGING MARKETS EQUITY FUND	Yes	\$100,001 - \$250,000		\$201 - \$1,000
31.60	GQG PARTNERS US SELECT QUALITY EQUITY FD	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
31.61	GRAYS HARBOR CNTY WASH PUB UTI REF BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.62	GS INVESTOR TAX-EXEMPT MONEY MARKET FUND	Yes	\$500,001 - \$1,000,000		\$1,001 - \$2,500
31.63	HARRIS CNTY TEX CULTURAL ED Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
31.64	HARRIS CNTY TEX TOLL RD SR LIEN REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.65	HARTFORD SCHRODERS INTL MULTI-CAP VAL FD	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
31.66	HAWAII ST GO BDS Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31.67	HEMPFIELD PA SCH DIST LANCASTER GO Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
31.68	HONOLULU HAWAII CITY & CNTY GO	N/A	\$15,001 - \$50,000		None (or less than \$201)
31.69	HOUSTON TEX CMNTY COLLEGE LTD TAX REV Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
31.70	IBERIABANK CORP	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
31.71	ILLINOIS FIN AUTH REV REF BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.72	ILLINOIS ST TOLL HWY AUTH TOLL SR REF BD	N/A	\$15,001 - \$50,000		None (or less than \$201)
31.73	ILLUMINA INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
31.74	INFINITY Q DIVERSIFIED ALPHA FUND	Yes	\$250,001 - \$500,000		\$201 - \$1,000
31.75	INTEL CORP	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
31.76	INTUITIVE SURGICAL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
31.77	IOWA FIN AUTH REV Bond	N/A	\$15,001 - \$50,000	Interest Capital Gains	\$2,501 - \$5,000
31.78	IPS MULTI-SCH BLDG CORP IND FIRST MTG Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
31.79	ISHARES RUSSELL MIDCAP INDEX	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
31.80	ISHARES S & P SMALLCAP 600	Yes	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31.81	JOHNSON & JOHNSON	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
31.82	KINDER MORGAN INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
31.83	KNOX CNTY TENNESSEE GO Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
31.84	LANCASTER CNTY PA HOSP AUTH REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.85	LOWES COS INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
31.86	MARYLAND ST GO BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.87	MASTERCARD INC-CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
31.88	MATTHEWS JAPAN FUND INST	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
31.89	MATTHEWS PACIFIC TIGER FD - IS	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
31.90	MECKLENBURG CNTY NC LTD OBLIG GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.91	MERCK & CO INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
31.92	MICROSOFT CORP.	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
31.93	MISSISSIPPI ST GO REF BD Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.94	MONROE CNTY N Y INDL DEV CORP REV BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31.95	MONTGOMERY CNTY PA HIGHER ED & HOSP REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.96	MPROVED SYSTEMATIC MERGER ARBITRAGE FD	Yes	\$100,001 - \$250,000		\$201 - \$1,000
31.97	MSCI INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
31.98	NEBRASKA PUB PWR DIST REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.99	NESTLE SA ADR	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
31.100	NETFLIX INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
31.101	NEW YORK CITY NY MUN WTR AUTH REV Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$1,001 - \$2,500
31.102	NEW YORK N Y CITY TRANSITIONAL FUTURE TA	N/A	\$15,001 - \$50,000		None (or less than \$201)
31.103	NEW YORK NY GO Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
31.104	NEW YORK ST URBAN DEV CORP REV Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$2,501 - \$5,000
31.105	NIKE INC CL B	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
31.106	NINTENDO CO LTD - UNSPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
31.107	NORFOLK SOUTHERN CORP.	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
31.108	NORTH SLOPE BORO ALASKA GO Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31.109	OAKMARK INTERNATIONAL INSTITUTIONAL FD	Yes	\$100,001 - \$250,000		\$201 - \$1,000
31.110	OHIO ST HIGHER ED Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.111	OHIO ST PARKS & REC CAP FACS LEASE APP Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.112	OREGON ST DEPT ADMINISTRATIVE REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.113	OREGON ST DEPT TRANSN HIGHWAY Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$1,001 - \$2,500
31.114	O'REILLY AUTOMOTIVE INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
31.115	PACCAR INC.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
31.116	PAYCHEX INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
31.117	PAYPAL HOLDINGS INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
31.118	PENNSYLVANIA ST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.119	PENNSYLVANIA ST TPK COMMN OIL REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.120	PFIZER INC.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
31.121	PHILIP MORRIS INTERNATIONAL	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
31.122	POLEN US SMALL COMPANY GROWTH	Yes	\$100,001 - \$250,000		None (or less than \$201)
31.123	REGENERON PHAR	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31.124	REGIONAL TRANSPORTATION AUTH ILL REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.125	RHODE ISLAND ST & PROVIDENCE GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.126	RICHLAND CNTY S C SCH DIST NO GO REF BD Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.127	SALESFORCE.COM INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
31.128	SALT RIVER PROJ ARIZ AGRIC IMP ELEC SYS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.129	SANDY SPRING BANCORP INC COM	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
31.130	SEATTLE WASH DRAIN & WASTE WATER REV Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$1,001 - \$2,500
31.131	SERVICENOW INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
31.132	SNOHOMISH CNTY WASH SCH DIST N ULTD TAX Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.133	STARBUCKS CORP.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
31.134	TARGET CORP	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
31.135	TEXAS INSTRUMENTS INC.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
31.136	TEXAS ST HWY IMPT GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.137	TEXAS WATER DEV BRD ST IMPLEM REV Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31.138	UNIONVILLE CHADDS FORD PA SCH GO Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
31.139	UNITED PARCEL SERVICE - CL B	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
31.140	UNITEDHEALTH GROUP INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
31.141	UNIVERSITY AKRON OHIO GEN RCPTS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.142	UNIVERSITY TEX FIN SYS REV Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$1,001 - \$2,500
31.143	VANGUARD PRIMECAP FUND ADMIRAL SHARES	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
31.144	VERIZON COMMUNICATIONS	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
31.145	VISA INC-CLASS A SHARES	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
31.146	WCM FOCUSED INTERNATIONAL GROWTH FUND	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
31.147	WESTMORELAND CNTY PA GO BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.148	WISCONSIN ST ENVIRONMENTAL IMP REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.149	YORK CNTY SC SCH DIST NO 4 GO Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
31.150	YUMA ARIZ MUN PPTY CORP EXCISE LIEN REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31.151	ZOETIS INC CL A	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
32	U.S. Brokerage Acct #4	No			



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32.1	American Express Company	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
32.2	Apple Inc.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
32.3	AT&T Inc.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
32.4	Bank of America Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
32.5	Bank of New York Mellon Corporation	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
32.6	BERKSHIRE HATHAWAY CLASS B	N/A	\$250,001 - \$500,000	Dividends	\$201 - \$1,000
32.7	Boeing Company	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
32.8	Brookfield Asset Management Inc. Class A	N/A	\$15,001 - \$50,000	Dividends Interest	\$201 - \$1,000
32.9	Brookfield Infrastructure Corporation Class A	N/A	\$1,001 - \$15,000		None (or less than \$201)
32.10	Brookfield Infrastructure Partners L.P.	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
32.11	Carrier Global Corp.	N/A	\$1,001 - \$15,000		None (or less than \$201)
32.12	Cheesecake Factory Incorporated	N/A	\$1,001 - \$15,000		None (or less than \$201)
32.13	Chevron Corporation	N/A	\$1,001 - \$15,000		None (or less than \$201)
32.14	Coca-Cola Company	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
32.15	Comcast Corporation Class A	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32.16	ConocoPhillips	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
32.17	Corteva Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
32.18	Costco Wholesale Corporation	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
32.19	Diageo plc Sponsored ADR	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
32.20	Discovery, Inc. Class A	N/A	\$1,001 - \$15,000		None (or less than \$201)
32.21	Discovery, Inc. Class C	N/A	\$1,001 - \$15,000		None (or less than \$201)
32.22	Dow, Inc.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
32.23	DuPont de Nemours, Inc.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
32.24	Eli Lilly and Company	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
32.25	Energy Transfer, L.P.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
32.26	Enterprise Products Partners L.P.	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
32.27	Estee Lauder Companies Inc. Class A	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
32.28	Expedia Group, Inc.	N/A	\$1,001 - \$15,000		None (or less than \$201)
32.29	General Mills, Inc.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
32.30	Goldman Sachs Group, Inc.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
32.31	Harley-Davidson, Inc.	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32.32	iShares MSCI Emerging Markets ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
32.33	iShares MSCI Pacific ex-Japan ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
32.34	iShares S&P 500 Index Fund - Class A	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
32.35	Jacobs Engineering Group Inc.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
32.36	Jefferies Financial Group Inc.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
32.37	Johnson & Johnson	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
32.38	JPMorgan Chase & Co.	N/A	\$1,001 - \$15,000		None (or less than \$201)
32.39	Liberty Broadband Corp. Class A	N/A	\$1,001 - \$15,000		None (or less than \$201)
32.40	Liberty Broadband Corp. Class C	N/A	\$1,001 - \$15,000		None (or less than \$201)
32.41	Liberty Global Plc Class A	N/A	\$1,001 - \$15,000		None (or less than \$201)
32.42	Liberty Global Plc Class C	N/A	\$1,001 - \$15,000		None (or less than \$201)
32.43	Liberty Media Corp. Series A Liberty SiriusXM	N/A	\$1,001 - \$15,000		None (or less than \$201)
32.44	Liberty Media Corp. Series C Liberty Braves	N/A	None (or less than \$1,001)		None (or less than \$201)
32.45	Liberty Media Corp. Series C Liberty SiriusXM	N/A	\$1,001 - \$15,000		None (or less than \$201)
32.46	Liberty Media Corporation Series C Liberty Formula One	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32.47	Lord Abbett Tax-Free Income Fund National Series CI A	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
32.48	Medtronic Plc	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
32.49	Microsoft Corporation	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
32.50	Mondelez International, Inc. Class A	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
32.51	Moody's Corporation	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
32.52	New York Community Bancorp, Inc.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
32.53	NIKE, Inc. Class B	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
32.54	NXP Semiconductors NV	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
32.55	Otis Worldwide Corporation	N/A	\$1,001 - \$15,000		None (or less than \$201)
32.56	PayPal Holdings Inc	N/A	\$100,001 - \$250,000		None (or less than \$201)
32.57	PepsiCo, Inc.	N/A	\$1,001 - \$15,000		None (or less than \$201)
32.58	Phillips 66	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
32.59	Procter & Gamble Company	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
32.60	Qurate Retail, Inc. Class A	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
32.61	Raytheon Technologies Corporation	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
32.62	Redwood Trust, Inc.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32.63	S&P Global, Inc.	N/A	\$15,001 - \$50,000		None (or less than \$201)
32.64	Schwab Advantagetrust Tax Exempt Money Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
32.65	Starbucks Corporation	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
32.66	Tootsie Roll Industries, Inc.	N/A	\$1,001 - \$15,000		None (or less than \$201)
32.67	UnitedHealth Group Incorporated	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
32.68	Virtus Seix High Income Fund I	Yes	\$15,001 - \$50,000		\$201 - \$1,000
32.69	Walt Disney Company	N/A	\$1,001 - \$15,000		None (or less than \$201)
33	Trust #1 - U.S. Brokerage Acct #5	No			
33.1	U.S. Bank Account (Cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
33.2	AB SMALL CAP VALUE PORTFOLIO	Yes	\$15,001 - \$50,000		None (or less than \$201)
33.3	AMERICAN BEACON AHL TARGETRISK FUND	Yes	\$15,001 - \$50,000		\$201 - \$1,000
33.4	AMERICAN BEACON BRIDGEWAY LRG CAP VAL	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
33.5	AMERICAN BEACON LONDON INCOME EQUITY	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
33.6	BLACKROCK STRATEGIC INCOME OPP	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
33.7	DFA INTERNATIONAL CORE EQUITY	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33.8	GLENMEDE CORE FIXED INCOME	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
33.9	GLENMEDE HIGH YIELD MUNICIPAL	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
33.10	GLENMEDE QUANT US LARGE CAP CORE EQUITY	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
33.11	GQG EMERGING MARKETS EQUITY FUND	Yes	\$15,001 - \$50,000		None (or less than \$201)
33.12	GQG PARTNERS US SELECT QUALITY EQUITY FD	Yes	\$50,001 - \$100,000		\$201 - \$1,000
33.13	GS INVESTOR TAX-EXEMPT MONEY MARKET FUND	Yes	\$100,001 - \$250,000		\$201 - \$1,000
33.14	HARTFORD SCHRODERS INTL MULTI-CAP VAL FD	Yes	\$15,001 - \$50,000		\$201 - \$1,000
33.15	INFINITY Q DIVERSIFIED ALPHA FUND	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
33.16	ISHARES S & P SMALLCAP 600	Yes	\$50,001 - \$100,000		None (or less than \$201)
33.17	MATTHEWS JAPAN FUND INST	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
33.18	MATTHEWS PACIFIC TIGER FD - IS	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
33.19	MPROVED SYSTEMATIC MERGER ARBITRAGE FD	Yes	\$15,001 - \$50,000		None (or less than \$201)
33.20	OAKMARK INTERNATIONAL INSTITUTIONAL FD	Yes	\$15,001 - \$50,000		None (or less than \$201)
33.21	PGIM SELECT REAL ESTATE FUND	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33.22	POLEN GROWTH FUND - INS	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
33.23	POLEN US SMALL COMPANY GROWTH	Yes	\$15,001 - \$50,000		None (or less than \$201)
33.24	WCM FOCUSED INTERNATIONAL GROWTH FUND	Yes	\$50,001 - \$100,000		\$201 - \$1,000
34	Trust #2 - U.S. Brokerage Acct #6	No			
34.1	U.S. Bank Account (Cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
34.2	AB SMALL CAP VALUE PORTFOLIO	Yes	\$500,001 - \$1,000,000		\$2,501 - \$5,000
34.3	ABBOTT LABORATORIES	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
34.4	ABBVIE INC COM	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.5	ACADIA PHARMACEUTICALS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.6	ACCENTURE PLC	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$2,501 - \$5,000
34.7	ACI WORLDWIDE INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.8	ACTIVISION BLIZZARD INC	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
34.9	ADOBE INC	N/A	\$100,001 - \$250,000		None (or less than \$201)
34.10	AES CORP.	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
34.11	AFLAC CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.12	AGILENT TECHNOLOGIES INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.13	AIMMUNE THERAPEUTICS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.14	AIR PRODUCTS & CHEMICALS INC.	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
34.15	AKAMAI TECHNOLOGIES	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.16	ALABAMA FED AID HWY FIN AUTH REV Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
34.17	ALABAMA ST PUB SCH & COLLEGE CAP IMPT Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.18	ALARM COM HOLDINGS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.19	ALASKA ST GO DTD 1/23/2013 5% 8/1/2022 Bond	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
34.20	ALBANY INTL CORP CL-A	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.21	ALEXION PHARMACEUTICALS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.22	ALIGN TECHNOLOGY INC	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$201 - \$1,000
34.23	ALLEGHENY CNTY PA HIGHER ED REV Bond	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
34.24	ALLY FINANCIAL INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.25	ALPHABET INC CAP STK CL A	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$1,001 - \$2,500



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.26	ALPHABET INC CAP STK CL C	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$201 - \$1,000
34.27	ALTAIR ENGINEERING INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.28	ALTRA HOLDINGS INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
34.29	ALTRIA GROUP INC	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
34.30	AMAZON.COM INC	N/A	\$100,001 - \$250,000		None (or less than \$201)
34.31	AMDOCS LTD	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
34.32	AMEDISYS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.33	AMERICAN BEACON AHL TARGETRISK FUND	Yes	\$500,001 - \$1,000,000		\$2,501 - \$5,000
34.34	AMERICAN BEACON BRIDGEWAY LRG CAP VAL	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
34.35	AMERICAN INTERNATIONAL GROUP INC.	N/A	\$50,001 - \$100,000		None (or less than \$201)
34.36	AMERICAN TOWER CORP	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
34.37	AMERIPRISE FINANCIAL INC	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$1,001 - \$2,500
34.38	AMETEK INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
34.39	AMGEN	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.40	AMICUS THERAPEUTICS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
34.41	AMN HEALTHCARE SERVICES	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.42	ANNE ARUNDEL CNTY MD CONS GEN IMPT GO Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
34.43	ANTHEM INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.44	APPFOLIO INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.45	APPLE INC.	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$2,501 - \$5,000
34.46	APPLIED MATERIALS INC	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
34.47	ARENA PHARMACEUTICALS INC NEW	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
34.48	ARISTA NETWORKS INC COM	N/A	\$50,001 - \$100,000		None (or less than \$201)
34.49	ARRAY TECHNOLOGIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.50	ARVINAS INC	N/A	None (or less than \$1,001)		None (or less than \$201)
34.51	ASCENDIS PHARMA	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.52	ASGN INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.53	ATLANTA GA AIRPORT GENERAL REV Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.54	AUSTIN TEX INDPT SCH DIST ULTD TAX GO Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.55	AUTODESK INC	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
34.56	AUTOMATIC DATA PROCESSING INC.	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
34.57	AXOS FINL INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
34.58	AXSOME THERAPEUTICS INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
34.59	BAKER HUGHES COMPANY	N/A	\$50,001 - \$100,000		None (or less than \$201)
34.60	BALCHEM CORP	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
34.61	BALTIMORE CNTY MD GO Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
34.62	BANDWIDTH INC CL A	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$5,001 - \$15,000
34.63	BANK OF AMERICA CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.64	BANK OF NEW YORK MELLON CORP	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
34.65	BERKSHIRE HATHAWAY INC-CL B	N/A	\$100,001 - \$250,000		None (or less than \$201)
34.66	BEST BUY CO. INC.	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
34.67	BICYCLE THERAPEUTICS PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.68	BIOGEN IDEC INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.69	BIOSPECIFICS TECHNOLOGIES CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.70	BIOXCEL THERAPEUTICS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.71	BJ'S WHOLESALE CLUB HOLDINGS INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.72	BLACKLINE INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.73	BLACKROCK INC	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$1,001 - \$2,500
34.74	BLACKROCK STRATEGIC INCOME OPP	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
34.75	BLOOM ENERGY CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.76	BOOZ ALLEN HAMILTON HOLDINGS	N/A	\$50,001 - \$100,000		None (or less than \$201)
34.77	BORG WARNER AUTOMOTIVE	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
34.78	BRISTOL MYERS SQUIBB CO.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.79	BROADSTONE NET LEASE INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.80	BROOKLINE BANCORP INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
34.81	BROOKS AUTOMATION INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.82	BROWARD CNTY FLA SCH BRD CTFS COPS Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
34.83	CABOT MICROELECTRONICS CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
34.84	CADENCE DESIGN SYSTEM	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
34.85	CALIFORNIA ST GO	N/A	\$100,001 - \$250,000		None (or less than \$201)
34.86	CARDINAL HEALTH INC.	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
34.87	CARDTRONICS PLC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.88	CARRIER GLOBAL CORPORATION COM	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
34.89	CBRE GROUP INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
34.90	CDW CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.91	CENTENE CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.92	CENTERSTATE BANKS OF FLORIDA	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
34.93	CENTRAL FLA EXPWY AUTH SR LIEN REV Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.94	CENTRAL PUGET SOUND WA REGL TRANSPRTN Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
34.95	CERENCE INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.96	CHARLES SCHWAB CORP.	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.97	CHART INDUSTRIES INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.98	CHASKA MINN INDPT SCH DIST GO Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
34.99	CHEMOCENTRYX INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.100	CHESAPEAKE UTILITIES CORP.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.101	CHEVRON CORP	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
34.102	CHURCH & DWIGHT INC DEL COM	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
34.103	CIENA CORP	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.104	CIGNA CORP	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
34.105	CIMPRESS PLC SHS	N/A	None (or less than \$1,001)		None (or less than \$201)
34.106	CINCINNATI FINANCIAL CORP	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
34.107	CIRRUS LOGIC INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
34.108	CISCO SYSTEMS	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
34.109	CITIGROUP INC	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.110	CITIZENS FINANCIAL GROUP INC	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
34.111	CLARK CNTY NEV HWY IMPT REV REF BDS	N/A	\$50,001 - \$100,000		None (or less than \$201)
34.112	CLARK CNTY NEVADA GO Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$1,001 - \$2,500
34.113	CLIFTON TEX HIGHER ED FIN CORP REV Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.114	CMC MATERIALS INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
34.115	COCA COLA CO.	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
34.116	COGNIZANT TECH SOLUTIONS CRP	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
34.117	COHEN & STEERS INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.118	COLGATE PALMOLIVE CO.	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
34.119	COLONIAL PA SCH DIST GO Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
34.120	COLORADO SPRINGS CO UTIL SYSTEMS REV Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
34.121	COLUMBIA BANKING SYSTEM	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.122	COLUMBUS OHIO VAR PURP Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.123	COMFORT SYSTEMS USA INC	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.124	CONAGRA BRANDS INC	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
34.125	CONMED CORP.	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
34.126	CONNECTICUT ST SPL TAX OBLIG R TRANSN IN	N/A	None (or less than \$1,001)		None (or less than \$201)
34.127	CORELOGIC INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.128	COTULLA TEX INDPT SCH DIST GO Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
34.129	CROWN CASTLE INTL CORP	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
34.130	CRYOPORT INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.131	CSX CORP.	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$1,001 - \$2,500
34.132	CVS CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
34.133	CYPRESS SEMICONDUCTOR	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
34.134	D.R. HORTON INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
34.135	DANIMER SCIENTIFIC INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.136	DARDEN RESTAURANTS INC.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
34.137	DAVITA HEALTHCARE PARTNERS INC	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$1,001 - \$2,500



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.138	DECIPHERA PHARMACEUTICALS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.139	DECKERS OUTDOOR CORP	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
34.140	DESKTOP METAL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.141	DETROIT MICH CITY SCH DIST REF GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
34.142	DFA INTERNATIONAL CORE EQUITY	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
34.143	DIAGEO PLC SPONSORED ADR	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
34.144	DICERNA PHARMACEUTICALS INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.145	DISCOVERY COMMUNICATIONS INC CL C	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.146	DOLLAR GENERAL CORP	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
34.147	DOLLAR TREE INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.148	DOMINION ENERGY INC	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
34.149	DOW INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.150	DROPBOX INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.151	DUCK CREEK TECHNOLOGIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.152	DUKE ENERGY CORP	N/A	\$50,001 - \$100,000		None (or less than \$201)
34.153	DUPAGE & WILL CNTYS ILL CMNTY GO	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.154	EAGLE MATERIALS INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
34.155	EASTMAN CHEMICAL CO.	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
34.156	EATON CORP PLC ORDINARY	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.157	EBAY INC	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
34.158	EHEALTH INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.159	ELANCO ANIMAL HEALTH INC COM	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.160	ELECTRONIC ARTS	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$1,001 - \$2,500
34.161	ELI LILLY & CO.	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.162	EMERGENT BIOSOLUTIONS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.163	ENDAVA PLC ADS	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.164	ENERGY NORTHWEST WASH ELEC REV	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
34.165	ENERSYS	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.166	ENPHASE ENERGY INC COM	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.167	ENVESTNET INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.168	EQUINIX INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
34.169	ERNEST N MORIAL-NEW ORLEANS LA SPL TAX R	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
34.170	ESCO TECHNOLOGIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.171	ESPERION THERAPEUTICS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
34.172	ESSENT GROUP LTD	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.173	ETSY INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
34.174	EURONET WORLDWIDE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.175	EVERBRIDGE INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.176	EVERCORE PARTNERS INC-CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.177	EXELON CORPORATION	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
34.178	EXPEDIA GROUP INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.179	EXPONENT INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.180	EXXON MOBIL CORPORATION	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.181	F5 NETWORKS INC	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$1,001 - \$2,500
34.182	FACEBOOK INC -A	N/A	\$250,001 - \$500,000	Dividends Capital Gains	\$2,501 - \$5,000
34.183	FASTENAL CO.	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
34.184	FATE THERAPEUTICS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.185	FIDELITY NATIONAL FINANCIAL IN FNF GROUP	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.186	FIRST HORIZON NATIONAL CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
34.187	FISERV INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.188	FIVE9 INC COM	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.189	FLOOR & DECOR HLDGS INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
34.190	FLORIDA ST BRD ED LOTTERY REV Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
34.191	FLORIDA ST BRD ED PUB CAP OUTLAY Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.192	FLORIDA ST DEPT ENVIRONMENTAL REV Bond	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
34.193	FOCUS FINL PARTNERS INC COM CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.194	FORT SMITH AR SALES & USE TAX Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
34.195	FORTINET INC	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$1,001 - \$2,500
34.196	FORTUNE BRANDS HOME & SECURITY INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
34.197	FORWARD AIR CORPORATION	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.198	FOX CORP CL A	N/A	\$50,001 - \$100,000		None (or less than \$201)
34.199	FOX FACTORY HLDG CORP COM	N/A	\$50,001 - \$100,000		None (or less than \$201)
34.200	FRANKLIN RESOURCES INC.	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
34.201	FRONTDOOR INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
34.202	GARLAND TEX WTR & SWR REV SYS REF B	N/A	\$100,001 - \$250,000		None (or less than \$201)
34.203	GARTNER GROUP CLASS A	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
34.204	GENERAC HOLDINGS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.205	GENERAL MILLS INC.	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
34.206	GENERAL MOTORS CO	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$1,001 - \$2,500
34.207	GEORGETOWN TEX INDPT SCH DIST ULTD TAX Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.208	GEORGIA ST GO DTD 11/17/2016 4% 1/1/2029 Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.209	GEORGIA ST GO DTD 8/27/2020 5% 8/1/2028 Bond	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
34.210	GILEAD SCIENCES INC.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.211	GLENMEDE GLOBAL GROWTH FUND LLC	Yes	\$50,001 - \$100,000		None (or less than \$201)
34.212	GLENMEDE HIGH YIELD MUNICIPAL	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
34.213	GLENMEDE PRIVATE INVESTMENT FUND X LLC	Yes	\$250,001 - \$500,000		None (or less than \$201)
34.214	GLENMEDE PRIVATE INVESTMENT FUND XI LL	Yes	\$250,001 - \$500,000		None (or less than \$201)
34.215	GLOBANT SA	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.216	GLOBUS MEDICAL INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.217	GOOSEHEAD INS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.218	GQG EMERGING MARKETS EQUITY FUND	Yes	\$500,001 - \$1,000,000		\$1,001 - \$2,500
34.219	GQG PARTNERS US SELECT QUALITY EQUITY FD	Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
34.220	GREEN PLAINS RENEWABLE ENERGY	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.221	GS INVESTOR TAX-EXEMPT MONEY MARKET FUND	Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.222	H&E EQUIPMENT SERVICES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.223	HAEMONETICS CORP. MASSACHUSETTS	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
34.224	HALLIBURTON CO	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.225	HARPER CREEK MICH CMNTY SCH DIST GO Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
34.226	HARRIS CNTY TEX TOLL RD REV Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
34.227	HARTFORD SCHRODERS INTL MULTI-CAP VAL FD	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
34.228	HEALTH EQUITY INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
34.229	HELEN OF TROY LTD	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
34.230	HENRY SCHEIN INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
34.231	HEXCEL CORP	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
34.232	HOME DEPOT INC.	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.233	HONEYWELL INTERNATIONAL INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.234	HOULIHAN LOKEY INC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.235	HOUSTON TEX CMNTY COLLEGE LTD TAX REV Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.236	HOUSTON TEX HIGHER ED FIN CORP REF BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
34.237	HP INC	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$1,001 - \$2,500
34.238	HUDSON PACIFIC PROPERTIES INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
34.239	HUMANA INC.	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
34.240	IAA INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.241	IGM BIOSCIENCES INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
34.242	ILLINOIS FIN AUTH REV REF BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
34.243	ILLINOIS ST TOLL HWY AUTH TOLL SR REF BD	N/A	\$100,001 - \$250,000		None (or less than \$201)
34.244	ILLUMINA INC	N/A	\$50,001 - \$100,000		None (or less than \$201)
34.245	IMMUNOMEDICS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.246	INDEPENDENT BANK CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
34.247	INFINITY Q DIVERSIFIED ALPHA FUND	Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
34.248	INGERSOLL RAND INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.249	INPHI CORP COM	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.250	INSMED INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
34.251	INSTALLED BUILDING PRODUCTS INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.252	INTEL CORP	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
34.253	INTELLIA THERAPEUTICS INC	N/A	None (or less than \$1,001)		None (or less than \$201)
34.254	INTERCONTINENTAL EXCHANGE GROUP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.255	INTERNATIONAL PAPER CO.	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
34.256	INTERPUBLIC GROUP COS	N/A	\$50,001 - \$100,000		None (or less than \$201)
34.257	INTUITIVE SURGICAL INC	N/A	\$50,001 - \$100,000		None (or less than \$201)
34.258	INVITAE CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.259	IOVANCE BIOTHERAPEUTICS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.260	IOWA FIN AUTH REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
34.261	IPS MULTI-SCH BLDG CORP IND FIRST MTG	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
34.262	J2 GLOBAL INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.263	JETBLUE AIRWAYS CORP	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.264	JOHNSON & JOHNSON	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$2,501 - \$5,000
34.265	JPMORGAN CHASE & CO	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.266	KARUNA THERAPEUTICS INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
34.267	KB HOME	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.268	KELLOGG CO.	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
34.269	KENNAMETAL INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.270	KEYCORP - NEW	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.271	KEYSIGHT TECHNOLOGIES INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.272	KING CNTY WASH SCH DIST NO 409 GO BDS Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.273	KNOX CNTY TENNESSEE GO Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
34.274	KROGER CO.	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
34.275	LAM RESEARCH CORP	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
34.276	LANCASTER COLONY CORP.	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
34.277	LCI INDUSTRIES	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.278	LENNAR CORP.	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$1,001 - \$2,500
34.279	LHC GROUP INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
34.280	LITHIA MOTORS INC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.281	LIVEPERSON INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.282	LOUISIANA PACIFIC CORP.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
34.283	LOUISIANA ST GO BDS Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.284	LOWER COLO RIV AUTH TEX TRANSM REF REV B Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.285	LOWER MERION PA SCH DIST GO Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
34.286	LOWES COS INC	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$2,501 - \$5,000
34.287	LUMENTUM HOLDINGS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.288	M/A-COM TECHNOLOGY SOLUTIONS	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.289	MAGNITE INC COM	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.290	MALIBU BOATS INC COM CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.291	MARRIOTT VACATIONS WORLDWIDE CORP	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.292	MARSH & MCLENNAN COMPANIES INC.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.293	MARTEN TRANSPORT LTD	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
34.294	MARYLAND ST GO DTD 8/30/2017 5% 8/1/2022 Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
34.295	MASONITE INTL CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.296	MASSACHUSETTS ST COMMONWEALTH GO Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.297	MASSACHUSETTS ST GO BDS Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.298	MASSACHUSETTS ST SCH BLDG AUTH SUB BDS Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
34.299	MASTERCARD INC-CL A	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
34.300	MATTHEWS JAPAN FUND INST	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
34.301	MATTHEWS PACIFIC TIGER FD - IS	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
34.302	MAXIMUS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
34.303	MAXLINEAR INC CL A	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
34.304	MCKESSON HBOC INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.305	MEDIFAST INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.306	MEDPACE HOLDINGS INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.307	MERCK & CO INC	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
34.308	MERCURY COMPUTER SYSTEMS	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
34.309	MERIDIAN BIOSCIENCE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.310	MESA LABORATORIES INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
34.311	METLIFE INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.312	METTLER-TOLEDO INTERNATIONAL	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.313	MICHIGAN FIN AUTH REV Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.314	MICHIGAN ST HOSP FIN AUTH REV Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.315	MICHIGAN ST TRUNK LINE FUND REV Bond	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
34.316	MICROSOFT CORP.	N/A	\$250,001 - \$500,000	Dividends Capital Gains	\$5,001 - \$15,000
34.317	MISSISSIPPI DEV BK SPL OBLIG REV Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.318	MISSISSIPPI ST GO REF BD Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
34.319	MISSOURI ST BRD PUB BLDGS SPL OBLIG Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.320	MOMENTA PHARMACEUTICALS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.321	MONDELEZ INTL INC CL A	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.322	MONSTER BEVERAGE CORP NEW	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$201 - \$1,000
34.323	MONTGOMERY CNTY MD GO Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.324	MONTGOMERY CNTY PA HIGHER ED REV Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.325	MORGAN STANLEY	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.326	MP MATERIALS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.327	MPROVED SYSTEMATIC MERGER ARBITRAGE FD	Yes	\$500,001 - \$1,000,000		\$2,501 - \$5,000
34.328	MSC INDUSTRIAL DIRECT CO-A	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.329	MSCI INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
34.330	MYOKARDIA INC COM	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.331	NATERA INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.332	NEBRASKA PUB PWR DIST REV Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
34.333	NEOGENOMICS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.334	NESTLE SA ADR	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
34.335	NETAPP INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.336	NETFLIX INC	N/A	\$50,001 - \$100,000		None (or less than \$201)
34.337	NEW CASTLE CNTY DEL GO BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
34.338	NEW HANOVER CNTY NC HOSP REV Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
34.339	NEW JERSEY ST EDL FACS AUTH Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.340	NEW MEXICO ST SEVERANCE TAX Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.341	NEW YORK N Y CITY TRANSITIONAL FUTURE TA Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
34.342	NEW YORK N Y GO BDS Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.343	NEW YORK ST DORM AUTH PERSONAL REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
34.344	NEW YORK ST DORM AUTH REV Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
34.345	NEW YORK ST DORM AUTH ST PERS REV Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.346	NEW YORK ST URBAN DEV CORP REV Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$5,001 - \$15,000
34.347	NEWMONT GOLDCORP CORPORATION	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.348	NIELSEN HLDGS PLC	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.349	NIKE INC CL B	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
34.350	NINTENDO CO LTD - UNSPON ADR	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
34.351	NOMAD FOODS LTD USD ORD	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.352	NORFOLK SOUTHERN CORP.	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
34.353	NORTH SLOPE BORO ALASKA GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
34.354	NORTH TEX TWY AUTH SYS REV Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
34.355	NOVAVAX INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$5,001 - \$15,000
34.356	NXP SEMICONDUCTORS N.V.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.357	OAKMARK INTERNATIONAL INSTITUTIONAL FD	Yes	\$500,001 - \$1,000,000		\$2,501 - \$5,000
34.358	OHIO ST HIGHER ED Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.359	OHIO ST PARKS & REC CAP FACS LEASE APP Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
34.360	OHIO ST SPL OBLIG CAPS FACS Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
34.361	OHIO ST WTR DEV AUTH REV Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.362	OHIO UNIV GEN RECEIPTS ATHENS REV Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
34.363	OLLIES BARGAIN OUTLET HOLDINGS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.364	ON SEMICONDUCTOR CORPORATION	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
34.365	ORACLE CORP	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
34.366	OREGON ST DEPT ADMINISTRATIVE REV Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.367	OREGON ST DEPT TRANSN HIGHWAY Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$5,001 - \$15,000
34.368	O'REILLY AUTOMOTIVE INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.369	PACCAR INC.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.370	PACIRA PHARMACEUTICALS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.371	PACKAGING CORP OF AMERICA	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.372	PARKER-HANNIFIN CORP	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
34.373	PAYCHEX INC	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$1,001 - \$2,500
34.374	PAYLOCITY HLDG CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.375	PAYPAL HOLDINGS INC	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.376	PENNSYLVANIA ST GO Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.377	PENNSYLVANIA ST GO REF BD Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
34.378	PENNSYLVANIA ST TPK COMMN OIL REV Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.379	PERKINELMER INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
34.380	PFIZER INC.	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
34.381	PGIM SELECT REAL ESTATE FUND	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
34.382	PGT INNOVATIONS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.383	PHILADELPHIA PA AUTH HOSP REV Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.384	PHILADELPHIA PA WATER & SEWER REV Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
34.385	PHILIP MORRIS INTERNATIONAL	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.386	PINNACLE WEST CAP CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.387	PITTSBURGH PA SCH DIST GO Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
34.388	PLUG POWER INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
34.389	POLEN US SMALL COMPANY GROWTH	Yes	\$100,001 - \$250,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.390	POLK CNTY FL SCH DIST SALES TAX REV Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.391	POOL CORPORATION	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.392	PORTLAND OREGON CMNTY COLLEGE DIST GO Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$5,001 - \$15,000
34.393	POWER INTEGRATIONS INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.394	PPG INDUSTRIES INC.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.395	PPL CORPORATION	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
34.396	PREMIER INC CL A	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
34.397	PRINCE GEORGES CNTY MD GO Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
34.398	PROGYNY INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.399	PROLOGIS INC.	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
34.400	PROVO UTAH CITY SCH DIST GO Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
34.401	PULTE CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.402	QORVO INC COM	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
34.403	QTS REALTY TR INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.404	QUALYS INC COM	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.405	QUANTA SERVICES INC	N/A	\$50,001 - \$100,000		None (or less than \$201)
34.406	QUANTERIX CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.407	QUEST DIAGNOSTICS INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.408	RADNOR TWP PA SCH DIST GO Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
34.409	RADWARE LTD	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
34.410	RAY JAMES FINL INC.	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.411	RAYTHEON COMPANY	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
34.412	RBC BEARINGS INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.413	REALPAGE INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.414	REGENERON PHAR	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.415	REGIONAL TRANSPORTATION AUTH ILL REV Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
34.416	REGIONS FINANCIAL CORP	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
34.417	RENEWABLE ENERGY GROUP INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.418	REPLIGEN CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.419	REPUBLIC SERVICES INC - CL A	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.420	REVANCE THERAPEUTICS INC COM	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.421	REVOLVE GROUP INC CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.422	REXFORD INDL RLTY INC COM	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.423	REXNORD CORP	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.424	RHODE ISLAND ST & PROVIDENCE GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
34.425	ROSS STORES INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.426	SALESFORCE.COM INC	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$2,501 - \$5,000
34.427	SALT RIVER PROJ ARIZ AGRIC IMP ELEC SYS Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.428	SAN DIEGO CALIF CMNTY COLLEGE GO Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
34.429	SEATTLE WASH MUN LT & PWR REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
34.430	SEMTECH CORP	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
34.431	SERVICENOW INC	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.432	SHUTTERSTOCK INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.433	SILICON LABORATORIES INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.434	SILICON MOTION TECHNOL - ADR	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
34.435	SIMPSON MANUFACTURING CO INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.436	SIMULATIONS PLUS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.437	SITEONE LANDSCAPE SUPPLY INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.438	SKYWORKS SOLUTIONS INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
34.439	SOLAREEDGE TECHNOLOGIES INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.440	SOUTH JERSEY INDUSTRIES	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.441	SPIRIT AEROSYSTEMS HOLD-CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.442	SPRING LAKE MICH PUB SCHS GO Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.443	SPRING LAKE PARK MINN INDPT SC GO Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
34.444	SPRING-FORD AREA SCH DIST PA GO Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.445	SPROUT SOCIAL INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.446	SS&C TECHNOLOGIES HOLDINGS	N/A	\$50,001 - \$100,000		None (or less than \$201)
34.447	STARBUCKS CORP.	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
34.448	STATE PUB SCH BLDG AUTH PA LEASE REV Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
34.449	STATE STREET CORP	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
34.450	STEVEN MADDEN LTD	N/A	None (or less than \$1,001)		None (or less than \$201)
34.451	STIFEL FINANCIAL CORP	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
34.452	SUMMIT MATERIALS INC CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.453	SUNPOWER CORPORATION	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$5,001 - \$15,000
34.454	SWARTHMORE BORO PA AUTH Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.455	SWITCH INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.456	SYNAPTICS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.457	SYNCHRONY FINANCIAL	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.458	SYNOPSIS INC.	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
34.459	T ROWE PRICE GROUP INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.460	TACOMA WASH REGL WTR SUPPLY SYS REV Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.461	TAKE-TWO INTERACTIVE SOFTWARE	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.462	TARGET CORP	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
34.463	TCR2 THERAPEUTICS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.464	TECHTARGET INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.465	TELADOC HEALTH INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.466	TERADYNE INC.	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
34.467	TERRENO REALTY CORP	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
34.468	TETRA TECH INC.	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.469	TEXAS INSTRUMENTS INC.	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$1,001 - \$2,500
34.470	TEXAS ST TAX REV Bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
34.471	TEXAS WATER DEV BRD ST REVOLVING REV Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
34.472	TG THERAPEUTICS INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.473	THERMO FISHER SCIENTIFIC INC	N/A	\$15,001 - \$50,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.474	TJX COS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.475	TPI COMPOSITES INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
34.476	TRADE DESK INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.477	TRANE TECHNOLOGIES PLC SHS	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.478	TREDYFFRIN TWP PA GO BDS Bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
34.479	TREX COMPANY INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$5,001 - \$15,000
34.480	TRIMBLE NAVIGATION LTD	N/A	\$50,001 - \$100,000		None (or less than \$201)
34.481	TRIUMPH BANCORP INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
34.482	TWITTER INC	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
34.483	ULTRAGENYX PHARMACEUTICAL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.484	UNIFIRST CORP/MA	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.485	UNION PACIFIC CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.486	UNITED PARCEL SERVICE - CL B	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
34.487	UNITEDHEALTH GROUP INC	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.488	UNIVERSITY AKRON OHIO GEN RCPTS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
34.489	UNIVERSITY MICH UNIV GEN REV Bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
34.490	UNIVERSITY TEX PERM UNIV FD REV Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.491	US BANCORP	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$1,001 - \$2,500
34.492	VAIL RESORTS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
34.493	VALERO ENERGY CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
34.494	VANGUARD PRIMECAP FUND ADMIRAL SHARES	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
34.495	VARONIS SYS INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.496	VERIZON COMMUNICATIONS	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$2,501 - \$5,000
34.497	VERTEX INC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.498	VERTEX PHARMACEUTICALS INC.	N/A	\$50,001 - \$100,000		None (or less than \$201)
34.499	VIRGINIA ST PUB BLDG AUTH PUB REV Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.500	VISA INC-CLASS A SHARES	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
34.501	VISTEON CORP	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.502	WACO TEX INDPT SCH DIST ULTD GO Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
34.503	WALKER & DUNLOP INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
34.504	WASHINGTON BIOMEDICAL LEASE REV Bond	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
34.505	WASHINGTON SUBN SAN DIST MD PUB IMPT GO Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
34.506	WATERS CORP	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
34.507	WCM FOCUSED INTERNATIONAL GROWTH FUND	Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
34.508	WD-40 CO.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.509	WERNER ENTERPRISES INC.	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.510	WILLDAN GROUP INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
34.511	WILLIAMS-SONOMA INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
34.512	WINGSTOP INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.513	WINTRUST FINANCIAL CORP	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
34.514	WISCONSIN ST HEALTH & EDL FACS REF BDS Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
34.515	WISCONSIN ST HEALTH & EDL FACS REV Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.516	WISCONSIN ST TRANSPRTN REV Bond	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
34.517	WNS HOLDINGS LTD	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.518	WOLVERINE WORLD WIDE	N/A	\$15,001 - \$50,000		None (or less than \$201)
34.519	WORKHORSE GROUP INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34.520	WSFS FINANCIAL CORP	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
34.521	XPO LOGISTICS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
34.522	YETI HOLDINGS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
34.523	YUMA ARIZ MUN PPTY CORP REV Bond	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
34.524	ZOETIS INC CL A	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
34.525	ZYMEWORKS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
35	Trust #3 - U.S. Brokerage Acct #7	No			
35.1	U.S. Bank Account (Cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
35.2	AB SMALL CAP VALUE PORTFOLIO	Yes	\$15,001 - \$50,000		None (or less than \$201)
35.3	AMERICAN BEACON AHL TARGETRISK FUND	Yes	\$15,001 - \$50,000		None (or less than \$201)
35.4	AMERICAN BEACON BRIDGEWAY LRG CAP VAL	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35.5	AMERICAN BEACON LONDON INCOME EQUITY	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
35.6	BLACKROCK STRATEGIC INCOME OPP	Yes	\$15,001 - \$50,000		\$201 - \$1,000
35.7	DFA INTERNATIONAL CORE EQUITY	Yes	\$15,001 - \$50,000		\$201 - \$1,000
35.8	GLENMEDE CORE FIXED INCOME	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
35.9	GLENMEDE HIGH YIELD MUNICIPAL	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
35.10	GLENMEDE QUANT US LARGE CAP CORE EQUITY	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
35.11	GQG EMERGING MARKETS EQUITY FUND	Yes	\$15,001 - \$50,000		None (or less than \$201)
35.12	GQG PARTNERS US SELECT QUALITY EQUITY FD	Yes	\$50,001 - \$100,000		\$201 - \$1,000
35.13	GS INVESTOR TAX-EXEMPT MONEY MARKET FUND	Yes	\$50,001 - \$100,000		\$201 - \$1,000
35.14	HARTFORD SCHRODERS INTL MULTI-CAP VAL FD	Yes	\$15,001 - \$50,000		\$201 - \$1,000
35.15	INFINITY Q DIVERSIFIED ALPHA FUND	Yes	\$15,001 - \$50,000		\$201 - \$1,000
35.16	ISHARES S & P SMALLCAP 600	Yes	\$50,001 - \$100,000		None (or less than \$201)
35.17	MATTHEWS JAPAN FUND INST	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
35.18	MATTHEWS PACIFIC TIGER FD - IS	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35.19	IMPROVED SYSTEMATIC MERGER ARBITRAGE FD	Yes	\$15,001 - \$50,000		None (or less than \$201)
35.20	OAKMARK INTERNATIONAL INSTITUTIONAL FD	Yes	\$15,001 - \$50,000		None (or less than \$201)
35.21	PGIM SELECT REAL ESTATE FUND	Yes	\$15,001 - \$50,000		\$201 - \$1,000
35.22	POLEN GROWTH FUND - INS	Yes	\$50,001 - \$100,000		\$201 - \$1,000
35.23	POLEN US SMALL COMPANY GROWTH	Yes	\$15,001 - \$50,000		\$201 - \$1,000
35.24	VANGUARD INDEX FDS REAL ESTATE ETF	Yes	None (or less than \$1,001)		\$201 - \$1,000
35.25	VANGUARD PRIMECAP FUND ADMIRAL SHARES	Yes	None (or less than \$1,001)		\$201 - \$1,000
35.26	WCM FOCUSED INTERNATIONAL GROWTH FUND	Yes	\$15,001 - \$50,000		\$201 - \$1,000
36	Trust #4 - U.S. Brokerage Acct #8	No			
36.1	U.S. Bank Account (Cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
36.2	AB SMALL CAP VALUE PORTFOLIO	Yes	\$1,001 - \$15,000		None (or less than \$201)
36.3	AMERICAN BEACON AHL TARGETRISK FUND	Yes	\$1,001 - \$15,000		None (or less than \$201)
36.4	AMERICAN BEACON BRIDGEWAY LRG CAP VAL	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
36.5	AMERICAN BEACON LONDON INCOME EQUITY	Yes	\$15,001 - \$50,000		\$201 - \$1,000
36.6	BLACKROCK STRATEGIC INCOME OPP	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
36.7	DFA INTERNATIONAL CORE EQUITY	Yes	\$15,001 - \$50,000		\$201 - \$1,000
36.8	GLENMEDE CORE FIXED INCOME	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
36.9	GLENMEDE HIGH YIELD MUNICIPAL	Yes	\$15,001 - \$50,000		\$201 - \$1,000
36.10	GLENMEDE QUANT US LARGE CAP CORE EQUITY	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
36.11	GQG EMERGING MARKETS EQUITY FUND	Yes	\$1,001 - \$15,000		None (or less than \$201)
36.12	GQG PARTNERS US SELECT QUALITY EQUITY FD	Yes	\$15,001 - \$50,000		\$201 - \$1,000
36.13	GS INVESTOR TAX-EXEMPT MONEY MARKET FUND	Yes	\$15,001 - \$50,000		None (or less than \$201)
36.14	HARTFORD SCHRODERS INTL MULTI-CAP VAL FD	Yes	\$1,001 - \$15,000		\$201 - \$1,000
36.15	INFINITY Q DIVERSIFIED ALPHA FUND	Yes	\$15,001 - \$50,000		None (or less than \$201)
36.16	ISHARES S & P SMALLCAP 600	Yes	\$15,001 - \$50,000		None (or less than \$201)
36.17	MATTHEWS JAPAN FUND INST	Yes	\$1,001 - \$15,000		\$201 - \$1,000
36.18	MATTHEWS PACIFIC TIGER FD - IS	Yes	\$1,001 - \$15,000		\$201 - \$1,000
36.19	MPROVED SYSTEMATIC MERGER ARBITRAGE FD	Yes	\$1,001 - \$15,000		None (or less than \$201)
36.20	OAKMARK INTERNATIONAL INSTITUTIONAL FD	Yes	\$1,001 - \$15,000		None (or less than \$201)
36.21	PGIM SELECT REAL ESTATE FUND	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
36.22	POLEN GROWTH FUND - INS	Yes	\$15,001 - \$50,000		\$201 - \$1,000
36.23	POLEN US SMALL COMPANY GROWTH	Yes	\$1,001 - \$15,000		None (or less than \$201)
36.24	VANGUARD PRIMECAP FUND ADMIRAL SHARES	Yes	None (or less than \$1,001)		\$201 - \$1,000
36.25	WCM FOCUSED INTERNATIONAL GROWTH FUND	Yes	\$1,001 - \$15,000		None (or less than \$201)
37	Trust #5 - U.S. Brokerage Acct #9	No			
37.1	U.S. Bank Account (Cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
37.2	AB SMALL CAP VALUE PORTFOLIO	Yes	\$15,001 - \$50,000		\$201 - \$1,000
37.3	AMERICAN BEACON AHL TARGETRISK FUND	Yes	\$1,001 - \$15,000		None (or less than \$201)
37.4	AMERICAN BEACON BRIDGEWAY LRG CAP VAL	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
37.5	AMERICAN BEACON LONDON INCOME EQUITY	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
37.6	BLACKROCK STRATEGIC INCOME OPP	Yes	\$1,001 - \$15,000		\$201 - \$1,000
37.7	DFA INTERNATIONAL CORE EQUITY	Yes	\$15,001 - \$50,000		\$201 - \$1,000
37.8	GLENMEDE CORE FIXED INCOME	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
37.9	GLENMEDE HIGH YIELD MUNICIPAL	Yes	\$1,001 - \$15,000		\$201 - \$1,000
37.10	GLENMEDE QUANT US LARGE CAP CORE EQUITY	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
37.11	GQG EMERGING MARKETS EQUITY FUND	Yes	\$1,001 - \$15,000		None (or less than \$201)
37.12	GQG PARTNERS US SELECT QUALITY EQUITY FD	Yes	\$15,001 - \$50,000		\$201 - \$1,000
37.13	GS INVESTOR TAX-EXEMPT MONEY MARKET FUND	Yes	\$15,001 - \$50,000		\$201 - \$1,000
37.14	HARTFORD SCHRODERS INTL MULTI-CAP VAL FD	Yes	\$15,001 - \$50,000		\$201 - \$1,000
37.15	INFINITY Q DIVERSIFIED ALPHA FUND	Yes	\$15,001 - \$50,000		\$201 - \$1,000
37.16	ISHARES S & P SMALLCAP 600	Yes	\$15,001 - \$50,000		None (or less than \$201)
37.17	MATTHEWS JAPAN FUND INST	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
37.18	MATTHEWS PACIFIC TIGER FD - IS	Yes	\$15,001 - \$50,000		\$201 - \$1,000
37.19	MPROVED SYSTEMATIC MERGER ARBITRAGE FD	Yes	\$1,001 - \$15,000		None (or less than \$201)
37.20	OAKMARK INTERNATIONAL INSTITUTIONAL FD	Yes	\$15,001 - \$50,000		None (or less than \$201)
37.21	PGIM SELECT REAL ESTATE FUND	Yes	\$15,001 - \$50,000		\$201 - \$1,000
37.22	POLEN GROWTH FUND - INS	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
37.23	POLEN US SMALL COMPANY GROWTH	Yes	\$15,001 - \$50,000		None (or less than \$201)
37.24	VANGUARD PRIMECAP FUND ADMIRAL SHARES	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
37.25	WCM FOCUSED INTERNATIONAL GROWTH FUND	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
38	Trust #6 - U.S. Brokerage Acct #10	No			
38.1	U.S. Bank Account (Cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
38.2	AB SMALL CAP VALUE PORTFOLIO	Yes	\$15,001 - \$50,000		None (or less than \$201)
38.3	AMERICAN BEACON AHL TARGETRISK FUND	Yes	\$1,001 - \$15,000		None (or less than \$201)
38.4	AMERICAN BEACON BRIDGEWAY LRG CAP VAL	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
38.5	AMERICAN BEACON LONDON INCOME EQUITY	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
38.6	BLACKROCK STRATEGIC INCOME OPP	Yes	\$1,001 - \$15,000		\$201 - \$1,000
38.7	DFA INTERNATIONAL CORE EQUITY	Yes	\$15,001 - \$50,000		\$201 - \$1,000
38.8	GLENMEDE CORE FIXED INCOME	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
38.9	GLENMEDE HIGH YIELD MUNICIPAL	Yes	\$1,001 - \$15,000		\$201 - \$1,000
38.10	GLENMEDE QUANT US LARGE CAP CORE EQUITY	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
38.11	GQG EMERGING MARKETS EQUITY FUND	Yes	\$15,001 - \$50,000		None (or less than \$201)
38.12	GQG PARTNERS US SELECT QUALITY EQUITY FD	Yes	\$50,001 - \$100,000		\$201 - \$1,000
38.13	GS INVESTOR TAX-EXEMPT MONEY MARKET FUND	Yes	\$15,001 - \$50,000		\$201 - \$1,000
38.14	HARTFORD SCHRODERS INTL MULTI-CAP VAL FD	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
38.15	INFINITY Q DIVERSIFIED ALPHA FUND	Yes	\$15,001 - \$50,000		\$201 - \$1,000
38.16	ISHARES S & P SMALLCAP 600	Yes	\$15,001 - \$50,000		None (or less than \$201)
38.17	MATTHEWS JAPAN FUND INST	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
38.18	MATTHEWS PACIFIC TIGER FD - IS	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
38.19	IMPROVED SYSTEMATIC MERGER ARBITRAGE FD	Yes	\$1,001 - \$15,000		None (or less than \$201)
38.20	OAKMARK INTERNATIONAL INSTITUTIONAL FD	Yes	\$15,001 - \$50,000		None (or less than \$201)
38.21	PGIM SELECT REAL ESTATE FUND	Yes	\$15,001 - \$50,000		\$201 - \$1,000
38.22	POLEN GROWTH FUND - INS	Yes	\$50,001 - \$100,000		\$201 - \$1,000
38.23	POLEN US SMALL COMPANY GROWTH	Yes	\$15,001 - \$50,000		None (or less than \$201)
38.24	VANGUARD PRIMECAP FUND ADMIRAL SHARES	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
38.25	WCM FOCUSED INTERNATIONAL GROWTH FUND	Yes	\$15,001 - \$50,000		\$201 - \$1,000
39	Trust #7 - U.S. Brokerage Acct #11	No			
39.1	U.S. Bank Account (Cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
39.2	AB SMALL CAP VALUE PORTFOLIO	Yes	\$50,001 - \$100,000		\$201 - \$1,000
39.3	ALABAMA ST PUB SCH & COLLEGE CAP IMPT Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
39.4	AMERICAN BEACON AHL TARGETRISK FUND	Yes	\$50,001 - \$100,000		\$201 - \$1,000
39.5	AMERICAN BEACON BRIDGEWAY LRG CAP VAL	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
39.6	AMERICAN BEACON LONDON INCOME EQUITY	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
39.7	AUSTIN TEXAS CMNTY COLLEGE DIST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
39.8	BELLA VISTA AR SALES & USE TAX Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
39.9	BLACKROCK STRATEGIC INCOME OPP	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
39.10	CENTRAL FLA EXPWY AUTH SR LIEN BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
39.11	CENTRAL PUGET SOUND WA REGL TRANSIT REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
39.12	CHICAGO ILL O HARE INTL AIRPORT REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
39.13	COLONIAL PA SCH DIST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
39.14	COLUMBUS OHIO GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
39.15	DFA INTERNATIONAL CORE EQUITY	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
39.16	ENERGY NORTHWEST WASHINGTON ELEC REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
39.17	ERNEST N MORIAL-NEW ORLEANS LA SPL TAX Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
39.18	GLENMEDE HIGH YIELD MUNICIPAL	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
39.19	GLENMEDE QUANT US LARGE CAP CORE EQUITY	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
39.20	GQG EMERGING MARKETS EQUITY FUND	Yes	\$50,001 - \$100,000		None (or less than \$201)
39.21	GQG PARTNERS US SELECT QUALITY EQUITY FD	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
39.22	GS FS GOVERNMENT FUND	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
39.23	HARTFORD SCHRODERS INTL MULTI-CAP VAL FD	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
39.24	HEMPFIELD PA SCH DIST LANCASTER GO Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
39.25	HILLSBOROUGH CNTY FLA SCH BRD REF COPS Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
39.26	HOUSTON TEX INDPT SCH DIST LTD GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
39.27	INFINITY Q DIVERSIFIED ALPHA FUND	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
39.28	ISHARES S & P SMALLCAP 600	Yes	\$100,001 - \$250,000		None (or less than \$201)
39.29	KANSAS ST DEPT TRANSN HWY REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
39.30	LOWER COLO RIV AUTH TEX TRANSM REF REV B Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
39.31	MATTHEWS JAPAN FUND INST	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
39.32	MATTHEWS PACIFIC TIGER FD - IS	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
39.33	MINNESOTA ST GO ST TRU Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
39.34	MPROVED SYSTEMATIC MERGER ARBITRAGE FD	Yes	\$50,001 - \$100,000		\$201 - \$1,000
39.35	NEW HANOVER CNTY NC HOSP REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
39.36	NEW YORK ST DORM AUTH ST INCOME TAX REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
39.37	OAKMARK INTERNATIONAL INSTITUTIONAL FD	Yes	\$50,001 - \$100,000		\$201 - \$1,000
39.38	OHIO ST HSG FIN AGY RESIDENTIAL REV Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
39.39	ORANGE CNTY FLA SALES TAX REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
39.40	OREGON ST GO DTD 11/21/2013 5% 8/1/2026 Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
39.41	PENNSYLVANIA ST GO Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$1,001 - \$2,500
39.42	PGIM SELECT REAL ESTATE FUND	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
39.43	PHILADELPHIA PA GO BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
39.44	PINE-RICHLAND SCH DIST PA GO LTD TA Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
39.45	POLEN GROWTH FUND - INS	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
39.46	POLEN US SMALL COMPANY GROWTH	Yes	\$50,001 - \$100,000		None (or less than \$201)
39.47	POLK CNTY FL SCH DIST SALES TAX REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
39.48	PRINCE GEORGES CNTY MD GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
39.49	PROVO UTAH CITY SCH DIST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
39.50	ROSEVILLE MICH CMNTY SCHS GO REF BD Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
39.51	SUSQUEHANNA TWP PA SCH DIST GO NTS Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
39.52	TEXAS A&M UNIV FINANCE REV Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$1,001 - \$2,500
39.53	TEXAS ST TAX REV Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
39.54	TEXAS WATER DEV BRD ST IMPLM REV Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
39.55	WCM FOCUSED INTERNATIONAL GROWTH FUND	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
39.56	WINK LOVING TX INDEP SCH DIST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
39.57	WISCONSIN ST HEALTH & EDL FACS REF BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
39.58	YUMA ARIZ MUN PPTY CORP REV Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$1,001 - \$2,500
40	Trust #8 - U.S. Brokerage Acct #12	No			
40.1	U.S. Bank Account (Cash)	N/A	\$5,000,001 - \$25,000,000	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
40.2	AB SMALL CAP VALUE PORTFOLIO	Yes	\$100,001 - \$250,000		\$201 - \$1,000
40.3	ABBOTT LABORATORIES	N/A	\$1,001 - \$15,000		None (or less than \$201)
40.4	ACCENTURE PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
40.5	ADOBE INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
40.6	AIR PRODUCTS & CHEMICALS INC.	N/A	\$1,001 - \$15,000		None (or less than \$201)
40.7	ALABAMA ST PUB SCH & COLLEGE CAP IMPT Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.8	ALIGN TECHNOLOGY INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
40.9	ALPHABET INC CAP STK CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
40.10	ALPHABET INC CAP STK CL C	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
40.11	ALTRIA GROUP INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
40.12	AMAZON.COM INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
40.13	AMERICAN BEACON AHL TARGETRISK FUND	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
40.14	AMERICAN BEACON BRIDGEWAY LRG CAP VAL	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
40.15	AMERICAN BEACON LONDON INCOME EQUITY	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
40.16	APPLE INC.	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
40.17	AUTODESK INC	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
40.18	BELTON TEX INDPT SCH DIST ULTD TAX Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.19	BERKSHIRE HATHAWAY INC-CL B	N/A	\$1,001 - \$15,000		None (or less than \$201)
40.20	BEXAR CNTY TX HOSP DIST LTD TAX GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.21	BLACKROCK INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
40.22	BLACKROCK STRATEGIC INCOME OPP	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
40.23	BROWARD CNTY FLA SCH BRD CTFS COPS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.24	CALIFORNIA ST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.25	CENTRAL FLA EXPWY AUTH SR LIEN BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.26	CENTRAL PUGET SOUND WA REGL TRANSPRTN Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.27	CHEVRON CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
40.28	CHICAGO ILL O HARE INTL AIRPORT REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.29	CINCINNATI FINANCIAL CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
40.30	CISCO SYSTEMS	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
40.31	CLARK CNTY NEV HWY IMPT REV REF BDS	N/A	\$15,001 - \$50,000		None (or less than \$201)
40.32	COCA COLA CO.	N/A	\$1,001 - \$15,000		None (or less than \$201)
40.33	COLONIAL PA SCH DIST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
40.34	COLUMBUS OHIO VAR PURP Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.35	COTULLA TEX INDPT SCH DIST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.36	CROWN CASTLE INTL CORP Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
40.37	DELAWARE CNTY PA GO BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.38	DETROIT MICH CITY SCH DIST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.39	DFA INTERNATIONAL CORE EQUITY	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
40.40	DIAGEO PLC SPONSORED ADR	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
40.41	DICKINSON TEX INDPT SCH DIST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.42	DOLLAR GENERAL CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
40.43	DOMINION ENERGY INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
40.44	ENERGY NORTHWEST WASH ELEC REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.45	ERNEST N MORIAL-NEW ORLEANS LA SPL TAX Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
40.46	FACEBOOK INC -A	N/A	\$15,001 - \$50,000		None (or less than \$201)
40.47	FASTENAL CO.	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
40.48	FAYETTEVILLE ARK SALES & USE T REF BDS Bond	N/A	\$1,001 - \$15,000	Interest Capital Gains	\$201 - \$1,000
40.49	FLORIDA ST MUN PWR AGY REV Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
40.50	FLORIDA ST TPK AUTH TPK REV REV BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.51	FRANKLIN RESOURCES INC.	N/A	\$1,001 - \$15,000		None (or less than \$201)
40.52	GARTNER GROUP CLASS A	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
40.53	GEORGIA ST GO DTD 7/12/2018 5% 7/1/2027 Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.54	GLENMEDE HIGH YIELD MUNICIPAL	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
40.55	GLENMEDE QUANT US LARGE CAP CORE EQUITY	Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
40.56	GQG EMERGING MARKETS EQUITY FUND	Yes	\$100,001 - \$250,000		\$201 - \$1,000
40.57	GQG PARTNERS US SELECT QUALITY EQUITY FD	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
40.58	GS INVESTOR TAX-EXEMPT MONEY MARKET FUND	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
40.59	HARRIS CNTY TEXAS HOSPITALS REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
40.60	HARTFORD SCHRODERS INTL MULTI-CAP VAL FD	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
40.61	HILLSBOROUGH CNTY FLA SCH BRD REF COPS Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
40.62	HOUSTON TEX CMNTY COLLEGE LTD TAX REV Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
40.63	ILLINOIS FIN AUTH REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.64	ILLINOIS ST TOLL HWY AUTH TOLL SR REF BD	N/A	\$15,001 - \$50,000		None (or less than \$201)
40.65	ILLUMINA INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
40.66	INFINITY Q DIVERSIFIED ALPHA FUND	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
40.67	INTEL CORP	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
40.68	INTUITIVE SURGICAL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
40.69	ISHARES S & P SMALLCAP 600	Yes	\$250,001 - \$500,000		None (or less than \$201)
40.70	JOHNSON & JOHNSON	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
40.71	LOUISIANA ST GO BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.72	LOWER COLO RIV AUTH TEX TRANSM REF REV B Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.73	LOWER MERION PA SCH DIST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.74	LOWES COS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
40.75	MARYLAND ST GO DTD 8/30/2017 5% 8/1/2026 Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.76	MASSACHUSETTS ST COMMONWEALTH GO Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
40.77	MASSACHUSETTS ST SCH BLDG AUTH SUB BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.78	MASTERCARD INC-CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
40.79	MATTHEWS JAPAN FUND INST	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
40.80	MATTHEWS PACIFIC TIGER FD - IS	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
40.81	MERCK & CO INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
40.82	METROPOLITAN TRANSN AUTH N Y D DEDICATED Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.83	MICROSOFT CORP.	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
40.84	MISSISSIPPI ST GO REF BD Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.85	MISSOURI ST BRD PUB BLDGS SPL OBLIG REV Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$1,001 - \$2,500
40.86	MPROVED SYSTEMATIC MERGER ARBITRAGE FD	Yes	\$100,001 - \$250,000		\$201 - \$1,000
40.87	MSCI INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
40.88	NESTLE SA ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
40.89	NETFLIX INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
40.90	NEW HANOVER CNTY NC HOSP REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.91	NEW HOPE-SOLEBURY PA SCH DIST GO BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.92	NEW JERSEY ST ECONOMIC DEV AUTH REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.93	NEW YORK CITY TRANSITIONAL FUTURE TAX Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.94	NEW YORK ST URBAN DEV CORP REV Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
40.95	NIKE INC CL B	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
40.96	NINTENDO CO LTD - UNSPON ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
40.97	NORFOLK SOUTHERN CORP.	N/A	\$1,001 - \$15,000		None (or less than \$201)
40.98	NORTH SLOPE BORO ALASKA GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.99	OAKMARK INTERNATIONAL INSTITUTIONAL FD	Yes	\$100,001 - \$250,000		\$201 - \$1,000
40.100	OHIO STATE CAP FACS Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
40.101	ORANGE CNTY FLA SALES TAX REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.102	OREGON ST DEPT TRANSN HIGHWAY Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
40.103	PACCAR INC.	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
40.104	PAYCHEX INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
40.105	PAYPAL HOLDINGS INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
40.106	PENNSYLVANIA ST GO REF BD Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.107	PENNSYLVANIA ST UNIV REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.108	PFIZER INC.	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
40.109	PGIM SELECT REAL ESTATE FUND	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
40.110	PHILADELPHIA PA GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.111	PHILIP MORRIS INTERNATIONAL	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
40.112	PITTSBURGH PA SCH DIST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.113	POLEN GROWTH FUND - INS	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
40.114	POLEN US SMALL COMPANY GROWTH	Yes	\$100,001 - \$250,000		None (or less than \$201)
40.115	PRINCE GEORGES CNTY MD GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.116	PRIVATE COLLEGES & UNIVS AUTH REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.117	PROVO UTAH CITY SCH DIST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
40.118	REGENERON PHAR	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
40.119	REGIONAL TRANSN AUTH ILL GO BDS Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
40.120	RICHLAND CNTY S C SCH DIST NO GO REF BD Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.121	SALESFORCE.COM INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
40.122	SERVICENOW INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
40.123	STARBUCKS CORP.	N/A	\$1,001 - \$15,000		None (or less than \$201)
40.124	STATE PUB SCH BLDG AUTH PA LEASE REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.125	SUSQUEHANNA TWP PA SCH DIST GO NTS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.126	SWARTHMORE BORO AUTH PA COLLEG REV BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.127	TARGET CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
40.128	TEXAS INSTRUMENTS INC.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
40.129	TEXAS ST GO DTD 7/8/2014 4% 10/1/2029 Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.130	TEXAS ST TAX REV Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
40.131	TREDYFFRIN TWP PA GO Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
40.132	UNITED PARCEL SERVICE - CL B	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
40.133	UNITEDHEALTH GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
40.134	UNIVERSITY ARK UNIV REV STUDENT F Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.135	VANGUARD PRIMECAP FUND ADMIRAL SHARES	Yes	None (or less than \$1,001)		\$201 - \$1,000
40.136	VERIZON COMMUNICATIONS	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
40.137	VISA INC-CLASS A SHARES	N/A	\$1,001 - \$15,000		None (or less than \$201)
40.138	WASHINGTON CNTY UTAH SCH DIST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.139	WASHINGTON ST GENERAL PURPOSE GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.140	WCM FOCUSED INTERNATIONAL GROWTH FUND	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
40.141	WINK LOVING TX INDEP SCH DIST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.142	WISCONSIN INDIANHEAD TECHNICAL GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.143	WISCONSIN ST GO DTD 5/1/2012 5% 5/1/2028 Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.144	WISCONSIN ST HEALTH & EDL FACS REV Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
40.145	YUMA ARIZ MUN PPTY CORP REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40.146	ZOETIS INC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
41	Trust #9 - U.S. Brokerage Acct #13	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
41.1	U.S. Bank Account (Cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
41.2	AB SMALL CAP VALUE PORTFOLIO	Yes	\$50,001 - \$100,000		\$201 - \$1,000
41.3	ALABAMA ST PUB SCH & COLLEGE CAP IMPT Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
41.4	ALLEGHENY CNTY PA HIGHER ED REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
41.5	AMERICAN BEACON AHL TARGETRISK FUND	Yes	\$50,001 - \$100,000		\$201 - \$1,000
41.6	AMERICAN BEACON BRIDGEWAY LRG CAP VAL	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
41.7	AMERICAN BEACON LONDON INCOME EQUITY	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
41.8	BELLA VISTA AR SALES & USE TAX Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
41.9	BEXAR CNTY TX HOSP DIST LTD TAX GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
41.10	BLACKROCK STRATEGIC INCOME OPP	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
41.11	CENTRAL FLA EXPWY AUTH SR LIEN BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
41.12	CENTRAL PUGET SOUND WA REGL TRANSIT REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
41.13	CHICAGO ILL O HARE INTL AIRPORT REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
41.14	COLUMBUS OHIO GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
41.15	COLUMBUS OHIO VAR PURP Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
41.16	DFA INTERNATIONAL CORE EQUITY	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
41.17	ENERGY NORTHWEST WASH ELEC REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
41.18	ERNEST N MORIAL-NEW ORLEANS LA SPL TAX Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$1,001 - \$2,500
41.19	GLENMEDE HIGH YIELD MUNICIPAL	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
41.20	GLENMEDE QUANT US LARGE CAP CORE EQUITY	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
41.21	GQG EMERGING MARKETS EQUITY FUND	Yes	\$50,001 - \$100,000		None (or less than \$201)
41.22	GQG PARTNERS US SELECT QUALITY EQUITY FD	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
41.23	GS FS GOVERNMENT FUND	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
41.24	HARRIS CNTY TEX TOLL RD S Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
41.25	HARTFORD SCHRODERS INTL MULTI-CAP VAL FD	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
41.26	HILLSBOROUGH CNTY FLA SCH BRD REF COPS Bond	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
41.27	ILLINOIS ST TOLL HWY AUTH TOLL SR REF BD	N/A	\$15,001 - \$50,000		None (or less than \$201)
41.28	INFINITY Q DIVERSIFIED ALPHA FUND	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
41.29	ISHARES S & P SMALLCAP 600	Yes	\$100,001 - \$250,000		None (or less than \$201)
41.30	KANSAS ST DEPT TRANSN HWY REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
41.31	MATTHEWS JAPAN FUND INST	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
41.32	MATTHEWS PACIFIC TIGER FD - IS	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
41.33	MPROVED SYSTEMATIC MERGER ARBITRAGE FD	Yes	\$50,001 - \$100,000		\$201 - \$1,000
41.34	NEW HANOVER CNTY NC HOSP REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
41.35	NEW HOPE-SOLEBURY PA SCH DIST GO BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
41.36	NEW YORK NY GO DTD 9/9/2020 5% 8/1/2026 Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
41.37	NEW YORK ST DORM AUTH ST INCOME TAX REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
41.38	OAKMARK INTERNATIONAL INSTITUTIONAL FD	Yes	\$50,001 - \$100,000		\$201 - \$1,000
41.39	OHIO ST HSG FIN AGY RESIDENTIAL REV Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
41.40	ORANGE CNTY FLA SALES TAX REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
41.41	OREGON ST DEPT TRANSN HIGHWAY Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$1,001 - \$2,500
41.42	PENNSYLVANIA ST GO Bond	N/A	\$15,001 - \$50,000	Interest Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
41.43	PGIM SELECT REAL ESTATE FUND	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
41.44	PHILADELPHIA PA GO BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
41.45	PHILADELPHIA PA WTR & WASTEWTR BDS Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
41.46	POLEN GROWTH FUND - INS	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
41.47	POLEN US SMALL COMPANY GROWTH	Yes	\$50,001 - \$100,000		None (or less than \$201)
41.48	POLK CNTY FL SCH DIST SALES TAX REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
41.49	PRINCE GEORGES CNTY MD GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
41.50	PROVO UTAH CITY SCH DIST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
41.51	SUSQUEHANNA TWP PA SCH DIST GO NTS Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
41.52	TEXAS A&M UNIV FINANCE REV Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$1,001 - \$2,500
41.53	TEXAS ST TAX REV Bond	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
41.54	TOHOPEKALIGA WTR AUTH FLA UTIL REV Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
41.55	WCM FOCUSED INTERNATIONAL GROWTH FUND	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
41.56	WINK LOVING TX INDEP SCH DIST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
41.57	WISCONSIN ST GO Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
41.58	YUMA ARIZ MUN PPTY CORP REV Bond	N/A	None (or less than \$1,001)	Interest Capital Gains	\$1,001 - \$2,500
42	Trust #10 - U.S. Brokerage Acct #14	No			
42.1	U.S. Bank Account (Cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
42.2	AB SMALL CAP VALUE PORTFOLIO	Yes	\$15,001 - \$50,000		None (or less than \$201)
42.3	AMERICAN BEACON AHL TARGETRISK FUND	Yes	\$15,001 - \$50,000		None (or less than \$201)
42.4	AMERICAN BEACON BRIDGEWAY LRG CAP VAL	Yes	\$100,001 - \$250,000		None (or less than \$201)
42.5	AMERICAN BEACON LONDON INCOME EQUITY	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
42.6	BLACKROCK STRATEGIC INCOME OPP	Yes	\$15,001 - \$50,000		\$201 - \$1,000
42.7	GLENMEDE CORE FIXED INCOME	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
42.8	GLENMEDE HIGH YIELD MUNICIPAL	Yes	\$100,001 - \$250,000		\$201 - \$1,000
42.9	GQG EMERGING MARKETS EQUITY FUND	Yes	\$50,001 - \$100,000		None (or less than \$201)
42.10	GQG PARTNERS US SELECT QUALITY EQUITY FD	Yes	\$100,001 - \$250,000		None (or less than \$201)
42.11	GS INVESTOR TAX-EXEMPT MONEY MARKET FUND	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
42.12	HARTFORD SCHRODERS INTL MULTI-CAP VAL FD	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
42.13	INFINITY Q DIVERSIFIED ALPHA FUND	Yes	\$100,001 - \$250,000		None (or less than \$201)
42.14	ISHARES S & P SMALLCAP 600	Yes	\$100,001 - \$250,000		\$201 - \$1,000
42.15	MATTHEWS JAPAN FUND INST	Yes	\$50,001 - \$100,000		None (or less than \$201)
42.16	MATTHEWS PACIFIC TIGER FD - IS	Yes	\$15,001 - \$50,000		None (or less than \$201)
42.17	MPROVED SYSTEMATIC MERGER ARBITRAGE FD	Yes	\$15,001 - \$50,000		None (or less than \$201)
42.18	OAKMARK INTERNATIONAL INSTITUTIONAL FD	Yes	\$50,001 - \$100,000		None (or less than \$201)
42.19	PGIM SELECT REAL ESTATE FUND	Yes	\$100,001 - \$250,000		\$201 - \$1,000
42.20	POLEN GROWTH FUND - INS	Yes	\$100,001 - \$250,000		None (or less than \$201)
42.21	POLEN US SMALL COMPANY GROWTH	Yes	\$15,001 - \$50,000		None (or less than \$201)
42.22	WCM FOCUSED INTERNATIONAL GROWTH FUND	Yes	\$50,001 - \$100,000		None (or less than \$201)

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Citizens One Home Loans	Mortgage on Personal Residence	\$100,001 - \$250,000	2010	3.875	15 Yrs
2	Glenmede Global Growth Fund	Capital Commitment	\$500,001 - \$1,000,000	2020	N/A	On Demand
3	Glenmede Private Investments Fund X	Capital Commitment	\$100,001 - \$250,000	2020	N/A	On Demand
4	Glenmede Private Investments Fund XI	Capital Commitment	\$500,001 - \$1,000,000	2020	N/A	On Demand
5	SeventySix Capital Fund, LP	Capital Commitment	\$15,001 - \$50,000	2017	N/A	On Demand

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## Endnotes

PART	#	ENDNOTE
2.	13.1	The investments of the company are publicly disclosed pursuant to SEC regulation 17 CFR 270.30b1-9. I have attached the last publicly available version of the Unaudited Consolidated Schedule of Investments to the 278e. <a href="https://www.sec.gov/Archives/edgar/data/1568194/000138713121003398/fsgco-ncsr_123120.htm">https://www.sec.gov/Archives/edgar/data/1568194/000138713121003398/fsgco-ncsr_123120.htm</a> .
2.	13.2	The investments of the company are publicly disclosed pursuant to SEC regulation 17 CFR 270.30b1-9. I have attached the last publicly available version of the Unaudited Consolidated Schedule of Investments to the 278e. <a href="https://www.sec.gov/Archives/edgar/data/1568194/000138713121003398/fsgco-ncsr_123120.htm">https://www.sec.gov/Archives/edgar/data/1568194/000138713121003398/fsgco-ncsr_123120.htm</a> .



PART	#	ENDNOTE
2.	13.3	The investments of the company are publicly disclosed pursuant to SEC regulation 17 CFR 270.30b1-9. I have attached the last publicly available version of the Unaudited Consolidated Schedule of Investments to the 278e. <a href="https://www.sec.gov/Archives/edgar/data/1568194/000138713121003398/fsgco-ncsr_123120.htm">https://www.sec.gov/Archives/edgar/data/1568194/000138713121003398/fsgco-ncsr_123120.htm</a> .
2.	15	The other underlying assets of this trust are located on line 33 of Part 6.
2.	16	The other underlying assets of this trust are located on line 34 of Part 6.
2.	17	The other underlying assets of this trust are located on line 35 of Part 6.
2.	18	The other underlying assets of this trust are located on line 36 of Part 6.
2.	19	The other underlying assets of this trust are located on line 37 of Part 6.
2.	20	The other underlying assets of this trust are located on line 38 of Part 6.
2.	21	The other underlying assets of this trust are located on line 39 of Part 6.
2.	22	The other underlying assets of this trust are located on line 40 of Part 6.
2.	23	The other underlying assets of this trust are located on line 41 of Part 6.
2.	24	The other underlying assets of this trust are located on line 42 of Part 6.
6.	15	Fund holds interests in Kwik (retail, Internet of Things); Nerd Street Gamers (esports); Nourish (sports retail / robotics); ShotTracker (sports tech); Play By Play (sports media); Swish Analytics (sports betting analytics); VSiN (sports betting media); Diamond Kinetics (sports tech); U.S. Integrity (sports betting integrity); Vigtory (sports betting); Maestro (sports streaming); Fort (fitness streaming); and C360 (sports tech / media).
6.	26	The investments of the company are publicly disclosed pursuant to SEC regulation 17 CFR 270.30b1-9. I have attached the last publicly available version of the Unaudited Consolidated Schedule of Investments to the 278e. <a href="https://www.sec.gov/Archives/edgar/data/1568194/000138713121003398/fsgco-ncsr_123120.htm">https://www.sec.gov/Archives/edgar/data/1568194/000138713121003398/fsgco-ncsr_123120.htm</a> .
6.	27	The investments of the company are publicly disclosed pursuant to SEC regulation 17 CFR 270.30b1-9. I have attached the last publicly available version of the Unaudited Consolidated Schedule of Investments to the 278e. <a href="https://www.sec.gov/Archives/edgar/data/1568194/000138713121003398/fsgco-ncsr_123120.htm">https://www.sec.gov/Archives/edgar/data/1568194/000138713121003398/fsgco-ncsr_123120.htm</a> .

PART	#	ENDNOTE
6.	28	The investments of the company are publicly disclosed pursuant to SEC regulation 17 CFR 270.30b1-9. I have attached the last publicly available version of the Unaudited Consolidated Schedule of Investments to the 278e. <a href="https://www.sec.gov/Archives/edgar/data/1568194/000138713121003398/fsgco-ncsr_123120.htm">https://www.sec.gov/Archives/edgar/data/1568194/000138713121003398/fsgco-ncsr_123120.htm</a> .

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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