

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

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Robiou, Grace

Acting Director, Office of Children's Health Protection, AO, Environmental Protection Agency

Date of Appointment: 08/28/2022

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Other Federal Government Positions Held During the Preceding 12 Months:

OCHP Deputy Director (5/2021 - 8/2022)

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Robiou, Grace [electronically signed on 10/27/2022 by Robiou, Grace in Integrity.gov] - Filer received a 44 day filing extension.

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Mosley, Ferne L, Certifying Official [electronically signed on 11/08/2022 by Mosley, Ferne L in Integrity.gov]

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Other review conducted by

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U.S. Office of Government Ethics Certification

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Data Revised 10/31/2022

Data Revised 10/28/2022

Data Revised 10/27/2022

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## 1. Filer's Positions Held Outside United States Government

None

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## 2. Filer's Employment Assets & Income and Retirement Accounts

None

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## 3. Filer's Employment Agreements and Arrangements

None

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## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

None

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## 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Spouse's Income (Reckitt Inc., Multinational company producer of health, hygiene and nutrition products.)	N/A		salary, bonus	
2	MBB ISHARES MBS ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	ELV ELEVANCE HEALTH INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

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#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	NTR NUTRIEN LTD REG SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	V VISA INC CL A SHRS	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	GOOGL ALPHABET INC SHS CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	ETN EATON CORP PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
8	VZ VERIZON COMMUNICATNS COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
9	O REALTY INCM CRP MD PV\$1. REIT	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	EMR EMERSON ELEC CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
11	SBUX STARBUCKS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
12	COST COSTCO WHOLESALE CRP DEL	N/A	\$1,001 - \$15,000		None (or less than \$201)
13	SYF SYNCHRONY FINL COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
14	AVGO BROADCOM INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
15	HD HOME DEPOT INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
16	HES HESS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
17	CCI CROWN CASTLE INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18	BMO BANK OF MONTREAL COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
19	VNQ VANGUARD REAL ESTATE ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
20	PGX INVESCO PREFERRED ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
21	KMI KINDER MORGAN INC. DEL	N/A	\$1,001 - \$15,000		None (or less than \$201)
22	DE DEERE CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
23	MS MORGAN STANLEY	N/A	\$1,001 - \$15,000		None (or less than \$201)
24	TGT TARGET CORP COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
25	EWJ ISHARES MSCI JAPAN ETF SHS	Yes	\$1,001 - \$15,000		None (or less than \$201)
26	EQNR EQUINOR ASA	N/A	\$1,001 - \$15,000		None (or less than \$201)
27	HLT HILTON WORLDWIDE HOLDINGS INC REG	N/A	\$1,001 - \$15,000		None (or less than \$201)
28	HON HONEYWELL INTL INC DEL	N/A	\$1,001 - \$15,000		None (or less than \$201)
29	MTD METTLER-TOLEDO INTL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
30	MGA MAGNA INTL INC CL A VTG	N/A	\$1,001 - \$15,000		None (or less than \$201)
31	HPE HEWLETT PACKARD ENTERPRISE CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
32	AAPL APPLE INC	N/A	\$1,001 - \$15,000	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33	SCHO SCHWAB SHORT-TERM U.S. TREASURY ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
34	LQD ISHARES IBOXX \$ INVT GRADE CORP BD	Yes	\$1,001 - \$15,000		None (or less than \$201)
35	VCIT VANGUARD INTRMDIATE-TERM CORPORATE BOND	Yes	\$1,001 - \$15,000		None (or less than \$201)
36	MSFT MICROSOFT CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
37	VCSH VANGUARD SHORT-TERM CORPORATE BOND	Yes	\$1,001 - \$15,000		None (or less than \$201)
38	BNDX VANGUARD TOTAL INTERNATL BOND ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
39	TIP ISHARES TIPS BOND ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
40	TLT ISHARES 20+ YEAR TREASURY BOND ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
41	MDT MEDTRONIC PLC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
42	GOOG ALPHABET INC SHS CL C	N/A	\$1,001 - \$15,000		None (or less than \$201)
43	UNH UNITEDHEALTH GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
44	PCY INVESCO EMERGING MARKETS SOVEREIGN DEBT ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
45	TD TORONTO DOMINION BANK	N/A	\$1,001 - \$15,000		None (or less than \$201)
46	BLK BLACKROCK INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
47	AMZN AMAZON COM INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
48	AXP AMER EXPRESS COMPANY	N/A	\$1,001 - \$15,000		None (or less than \$201)
49	CVX CHEVRON CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
50	BMJ BRISTOL-MYERS SQUIBB CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
51	PG PROCTER & GAMBLE CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
52	PFG PRINCIPAL FINANCIAL GRP	N/A	\$1,001 - \$15,000		None (or less than \$201)
53	TXN TEXAS INSTRUMENTS	N/A	\$1,001 - \$15,000		None (or less than \$201)
54	PEP PEPSICO INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
55	NVO NOVO NORDISK A S ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
56	WMB WILLIAMS COMPANIES DEL	N/A	\$1,001 - \$15,000		None (or less than \$201)
57	RACE FERRARI NV	N/A	\$1,001 - \$15,000		None (or less than \$201)
58	PNC PNC FINCL SERVICES GROUP	N/A	\$1,001 - \$15,000		None (or less than \$201)
59	CSX CSX CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
60	MUFG MITSUBISHI UFJ FINL GRP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
61	HDB HDFC BANK LTD ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
62	SKM SK TELECOM CO LTD	N/A	\$1,001 - \$15,000		None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	AMAZON COM INC COM	N/A	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000
2	CHEVRON CORP	N/A	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000
3	DISNEY (WALT) CO COM STK	N/A	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
4	HONEYWELL INTL INC DEL	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	ML BANK DEPOSIT PROGRAM	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	TESLA INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
7	THERMO FISHER SCIENTIFIC	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
8	COMM SERVICES SELECT	Yes	\$1,001 - \$15,000		None (or less than \$201)
9	CONSUMER DISCRETIONARY	Yes	\$1,001 - \$15,000		\$201 - \$1,000
10	FIDELITY MSCI UTILITIES	Yes	\$1,001 - \$15,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11	FIRST TRUST CLOUD	N/A	\$1,001 - \$15,000		None (or less than \$201)
12	GLOBAL X FUNDS SHS US	Yes	\$1,001 - \$15,000		None (or less than \$201)
13	HEALTH CARE SELECT SPDR	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
14	ISHARES BIOTECHNOLOGY	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
15	ISHARES U.S. REGIONAL	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
16	MATERIALS SELECT SECTOR	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
17	PACER BENCHMARK DATA AND	Yes	\$1,001 - \$15,000		None (or less than \$201)
18	REAL ESTATE SELECT	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
19	SECTOR SPDR CONSMRS STPL	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
20	SECTOR SPDR ENERGY	Yes	\$1,001 - \$15,000		\$201 - \$1,000
21	SECTOR SPDR INDUSTRIAL	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
22	VANECK SEMICONDUCTOR	Yes	\$1,001 - \$15,000		\$201 - \$1,000
23	VANGUARD FINANCIALS ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
24	VANGUARD INFORMATION	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
25	AMER EXPRESS COMPANY	N/A	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
26	AMERICAN TOWER REIT INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
27	AMGEN INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
28	APPLIED MATERIAL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29	BANK OF NOVA SCOTIA	N/A	\$1,001 - \$15,000		None (or less than \$201)
30	BROADCOM INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
31	CHENIERE ENERGY	N/A	\$1,001 - \$15,000		None (or less than \$201)
32	COSTCO WHOLESALE CRP DEL	N/A	\$1,001 - \$15,000		None (or less than \$201)
33	CROWN CASTLE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
34	CVS HEALTH CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
35	DEERE CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
36	ECOLAB INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
37	EDWARDS LIFESCIENCES CRP	N/A	\$1,001 - \$15,000		None (or less than \$201)
38	FIFTH THIRD BANCORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
39	GENL DYNAMICS CORP COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
40	HESS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
41	HEWLETT PACKARD	N/A	\$1,001 - \$15,000		None (or less than \$201)
42	HONEYWELL INTL INC DEL	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
43	INTERACTIVE BROKERS GROU	N/A	\$1,001 - \$15,000		None (or less than \$201)
44	ISHARES 20+ YEAR	N/A	\$1,001 - \$15,000		None (or less than \$201)
45	ISHARES IBOXX \$	Yes	\$1,001 - \$15,000		None (or less than \$201)
46	ISHARES IBOXX\$ HIGH YIEL	N/A	\$1,001 - \$15,000		None (or less than \$201)
47	ISHARES MBS ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
48	JPMORGAN CHASE & CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
49	KINDER MORGAN INC. DEL	N/A	\$1,001 - \$15,000		None (or less than \$201)
50	MAGNA INTL INC CL A VTG	N/A	\$1,001 - \$15,000		None (or less than \$201)
51	MASTERCARD INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
52	MCDONALDS CORP COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
53	ML BANK DEPOSIT PROGRAM	N/A	\$1,001 - \$15,000		None (or less than \$201)
54	NUTRIEN LTD REG SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
55	O'REILLY AUTOMOTIVE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
56	PEPSICO INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
57	PROGRESSIVE CRP OHIO	N/A	\$1,001 - \$15,000		None (or less than \$201)
58	REALTY INCM CRP MD PV\$1.	N/A	\$1,001 - \$15,000		None (or less than \$201)
59	SERVICENOW INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
60	SYNCHRONY FINL COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
61	TESLA INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
62	TEXAS INSTRUMENTS	N/A	\$1,001 - \$15,000		None (or less than \$201)
63	TJX COS INC NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
64	T-MOBILE US INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
65	UNITED PARCEL SVC CL B	N/A	\$1,001 - \$15,000		None (or less than \$201)
66	UNITEDHEALTH GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
67	VANECK FALLEN ANGEL	N/A	\$1,001 - \$15,000		None (or less than \$201)
68	VANGUARD INTRMDIATE-TERM	Yes	\$1,001 - \$15,000		None (or less than \$201)
69	VANGUARD SHORT-TERM	Yes	\$1,001 - \$15,000		None (or less than \$201)
70	VANGUARD TOTAL INTERNATL	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
71	VERIZON COMMUNICATNS COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
72	VISA INC CL A SHRS	N/A	\$1,001 - \$15,000		None (or less than \$201)
73	WELLTOWER INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
74	WESTLAKE CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)

## 7. Transactions

(N/A) - Not required for this type of report

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## 8. Liabilities

None

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## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

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## Endnotes

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.



## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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