

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

---

Nussbaum, Jeffrey K

Special Asst to the President & Sr Presidential Speechwriter, White House - Biden-Harris Administration

Date of Termination: 04/29/2022

---

Other Federal Government Positions Held During the Preceding 12 Months:

None

---

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Nussbaum, Jeffrey [electronically signed on 07/27/2022 by Nussbaum, Jeffrey in Integrity.gov]

---

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Clarke, Victoria K, Certifying Official [electronically signed on 08/22/2022 by Clarke, Victoria K in Integrity.gov]

---

Other review conducted by

/s/ Clarke, Victoria K, Ethics Official [electronically signed on 08/22/2022 by Clarke, Victoria K in Integrity.gov]

---

U.S. Office of Government Ethics Certification

---

Data Revised 08/22/2022

Data Revised 08/17/2022

---

## 1. Filer's Positions Held Outside United States Government

None

---

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	West Wing Writers (West Wing Writers is an LLC that provide writing and communication services), bonus	N/A		Bonus	\$132,444
2	West Wing Writers, LLC Empower Retireme401(k) Profit Sharing Plan and Trust	No			
2.1	MFS Value Fund Class R6 Shares (MEIKX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.2	Artisan International Value Fund Institutional Shares (APHKX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.3	Alger Small Cap Growth Fund Class Z Shares (ASCZX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.4	Virtus Ceredex Mid-Cap Value Equity Fund Class R6 Shares (SMVZX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	Dodge & Cox Balanced Fund (DODBX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4	Alger Focus Equity Fund Class Z Shares (ALZFX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
5	Western Asset Core Bond Fund Class IS Shares (WACSX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	"Undelivered: The Never-Hard Speeches that Would Have Rewritten History," Flatiron Press (value not readily ascertainable) anticipated advance	N/A	\$15,001 - \$50,000		None (or less than \$201)
7	SEP IRA	No			
7.1	U.S. Bank (cash) #1	N/A	\$1,001 - \$15,000	Capital Gains Interest	None (or less than \$201)
7.2	Communication Services Select Sector SPDR Fund (XLC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.3	Consumer Discretionary Select Sector SPDR Fund (XLY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.4	First Trust Cloud Computing ETF (SKYY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.5	Health Care Select Sector SPDR Fund (XLV)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.6	iShares Nasdaq Biotechnology ETF (IBB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.7	iShares PHLX Semiconductor ETF (SOXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.8	iShares US Home Construction ETF (ITB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.9	iShares US Healthcare Providers ETF (IHF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.10	John Hancock Funds III International Growth Fund Class I Shares (GOGIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.11	Consumer Staples Select Sector SPDR Fund (XLP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.12	Industrial Select Sector SPDR Fund (XLI)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.13	Financial Select Sector SPDR Fund (XLF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.14	T Rowe Price Emerging Markets Stock Fund (PRMSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.15	Vanguard Materials Index Fund ETF Shares (VAW)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.16	Vanguard Information Technology Index Fund ETF Class Shares (VGT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.17	Vanguard Energy Index Fund ETF Class Shares (VDE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8	"Undelivered: The Never-Heard Speeches that Would have Rewritten History," Flatiron Press (value not readily ascertainable)	N/A			None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	West Wing Writers	Washington, District of Columbia	Pursuant to the partnership agreement, following my withdrawal from the firm, I will receive my final partnership share distribution when the firm does its 2021 year-end calculations, and paid out in early 2022. The total amount of the payment will be calculated as of the date of my withdrawal.	2/2009
2	West Wing Writers	Washington, District of Columbia	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	2/2008
3	West Wing Writers	Washington, District of Columbia	Per the West Wing Writers operating agreement, a separating partner is to be paid out his/her capital account over a period of two years. Current value of my capital account is \$577,000. I have also forfeited my equity stake in West Wing Writers.	2/2008

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
4	Flatiron Press	New York, New York	The remaining \$50,000 of my book advance will be paid, minus agent commission, in two installments: one upon publication of my book which is currently scheduled to be April, 2022, and one upon publication of the softcover version of the book, a year later.	12/2018

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

None

#### 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank #1 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	U.S. bank #2 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	U.S. bank #3 (cash)	N/A	\$250,001 - \$500,000	Interest	\$201 - \$1,000
4	U.S. bank #4 (cash)	N/A	\$250,001 - \$500,000	Interest	\$201 - \$1,000
5	U.S. bank #5 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	U.S. bank #6 (cash)	N/A	\$100,001 - \$250,000	Interest	None (or less than \$201)
7	AutoGrid systems (AutoGrid builds software applications that utilize AI, machine learning, big data and IoT technologies to enable a smarter and cleaner distributed energy world.) (value not readily ascertainable)	N/A		Warrant payout	\$9,595
8	Maryland 529 Plan #1	No			
8.1	T. Rowe Price Portfolio 2024	Yes	\$100,001 - \$250,000		None (or less than \$201)
9	AMERICAN CAPITAL WORLD GROWTH AND INCOME FD A	Yes	\$1,001 - \$15,000		\$5,001 - \$15,000
10	U.S. bank (cash) #7	N/A	\$100,001 - \$250,000	Interest	None (or less than \$201)
11	U.S. bank (cash) #8	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
12	U.S. bank (cash) #9	N/A	\$1,001 - \$15,000		None (or less than \$201)
13	ANGEL OAK MULTI STRATEGY INCOME FUND CL INSTL	Yes	\$15,001 - \$50,000		None (or less than \$201)
14	CALAMOS MARKET NEUTRAL INCOME FD CL I	Yes	\$1,001 - \$15,000		\$201 - \$1,000
15	DIAMOND HILL CORPORATE CREDIT FUND CLASS I	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
16	EATON VANCE FLOATING RATE ADV CL I	Yes	\$1,001 - \$15,000		\$201 - \$1,000
17	EATON VANCE SHT DURATION GOVT INCOME FD I	Yes	\$1,001 - \$15,000		None (or less than \$201)
18	GUGGENHEIM LIMITED DURATION FUND INSTL CL	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19	GUGGENHEIM TOTAL RETURN BOND FD INSTL CLASS	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
20	J P MORGAN EXCHANGE ETF ULTRA SHORT INCOME ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
21	LORD ABBETT SHORT DURATION INCOME FD CL F	Yes	\$1,001 - \$15,000		\$201 - \$1,000
22	MAINSTAY MACKAY HIGH YIELD MUNI BOND FD CL I	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
23	U.S. bank (cash) #10	N/A	\$1,001 - \$15,000		None (or less than \$201)
24	WESTERN ASSET CORE BOND FD CL I	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
25	BLACKSTONE REAL ESTATE INCOME TRUST INC CLASS S BROKERAGE CLASS	N/A	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000
26	EVENTIDE GILEAD FD CLASS C	Yes	\$50,001 - \$100,000		\$201 - \$1,000
27	FIRST EAGLE GOLD FUND CL C	Yes	\$50,001 - \$100,000		None (or less than \$201)
28	JONES LANG LASALLE INCOME PROPERTY TRUST INC CLASS A	N/A	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000
29	U.S. bank (cash) #11	N/A	None (or less than \$1,001)		None (or less than \$201)
30	U.S. bank (cash) #12	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
31	U.S. bank (cash) #13	N/A	\$1,001 - \$15,000		None (or less than \$201)
32	Maryland 529 Plan #2	No			
32.1	T. Rowe Price Portfolio 2027	Yes	\$100,001 - \$250,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33	Calm.com acquisition	N/A	None (or less than \$1,001)	Equity payout	\$73,021
34	Brokerage Account 1	No			
34.1	Communication Services Select Sector SPDR Fund (XLC)	Yes	\$100,001 - \$250,000		None (or less than \$201)
34.2	Consumer Discretionary Select Sector SPDR Fund (XLY)	Yes	\$100,001 - \$250,000		None (or less than \$201)
34.3	First Trust Dow Jones Internet Index Fund (FDN)	Yes	\$100,001 - \$250,000		None (or less than \$201)
34.4	First Trust Cloud Computing ETF (SKYY)	Yes	\$100,001 - \$250,000		None (or less than \$201)
34.5	Global X US Infrastructure Development ETF (PAVE)	Yes	\$15,001 - \$50,000		None (or less than \$201)
34.6	Health Care Select Sector SPDR Fund (XLV)	Yes	\$100,001 - \$250,000		None (or less than \$201)
34.7	iShares Nasdaq Biotechnology ETF (IBB)	Yes	\$50,001 - \$100,000		None (or less than \$201)
34.8	iShares US Regional Banks ETF (IAT)	Yes	\$15,001 - \$50,000		None (or less than \$201)
34.9	Pacer Benchmark Data & Infrastructure Real Estate SCTR ETF (SRVR)	Yes	\$15,001 - \$50,000		None (or less than \$201)
34.10	Proshares Online Retail ETF (ONLN)	Yes	\$15,001 - \$50,000		None (or less than \$201)
34.11	Energy Select Sector SPDR Fund (XLE)	Yes	\$15,001 - \$50,000		None (or less than \$201)
34.12	Financial Select Sector SPDR Fund (XLF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
34.13	Vanguard Consumer Staples Index Fund ETF Shares (VDC)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.14	Vanguard Materials Index Fund ETF Shares (VAW)	Yes	\$50,001 - \$100,000		None (or less than \$201)
34.15	Vanguard Industrials Index Fund ETF Class Shares (VIS)	Yes	\$100,001 - \$250,000		None (or less than \$201)
34.16	Vanguard Information Technology Index Fund ETF Class Shares (VGT)	Yes	\$250,001 - \$500,000		None (or less than \$201)
35	Brokerage Account 2	No			
35.1	Communication Services Select Sector SPDR Fund (XLC)	Yes	\$50,001 - \$100,000		None (or less than \$201)
35.2	Consumer Discretionary Select Sector SPDR Fund (XLY)	Yes	\$50,001 - \$100,000		None (or less than \$201)
35.3	First Trust Dow Jones Internet Index Fund (FDN)	Yes	\$15,001 - \$50,000		None (or less than \$201)
35.4	First Trust Cloud Computing ETF (SKYY)	Yes	\$15,001 - \$50,000		None (or less than \$201)
35.5	Global X US Infrastructure Development ETF (PAVE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
35.6	Health Care Select Sector SPDR Fund (XLV)	Yes	\$50,001 - \$100,000		None (or less than \$201)
35.7	iShares Nasdaq Biotechnology ETF (IBB)	Yes	\$15,001 - \$50,000		None (or less than \$201)
35.8	iShares US Regional Banks ETF (IAT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
35.9	Pacer Benchmark Data & Infrastructure Real Estate SCTR ETF (SRVR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
35.10	Proshares Online Retail ETF (ONLN)	Yes	\$1,001 - \$15,000		None (or less than \$201)
35.11	Energy Select Sector SPDR Fund (XLE)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35.12	Financial Select Sector SPDR Fund (XLF)	Yes	\$15,001 - \$50,000		None (or less than \$201)
35.13	Vanguard Consumer Staples Index Fund ETF Shares (VDC)	Yes	\$15,001 - \$50,000		None (or less than \$201)
35.14	Vanguard Materials Index Fund ETF Shares (VAW)	Yes	\$15,001 - \$50,000		None (or less than \$201)
35.15	Vanguard Information Technology Index Fund ETF Class Shares (VGT)	Yes	\$100,001 - \$250,000		None (or less than \$201)
35.16	Vanguard Industrials Index Fund ETF Class Shares (VIS)	Yes	\$50,001 - \$100,000		None (or less than \$201)
36	Brokerage account 3	No			
36.1	Communication Services Select Sector SPDR Fund (XLC)	Yes	\$50,001 - \$100,000		None (or less than \$201)
36.2	Consumer Discretionary Select Sector SPDR Fund (XLY)	Yes	\$50,001 - \$100,000		None (or less than \$201)
36.3	First Trust Cloud Computing ETF (SKYY)	Yes	\$15,001 - \$50,000		None (or less than \$201)
36.4	Health Care Select Sector SPDR Fund (XLV)	Yes	\$50,001 - \$100,000		None (or less than \$201)
36.5	iShares Nasdaq Biotechnology ETF (IBB)	Yes	\$15,001 - \$50,000		None (or less than \$201)
36.6	iShares PHLX Semiconductor ETF (SOXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
36.7	iShares US Home Construction ETF (ITB)	Yes	\$15,001 - \$50,000		None (or less than \$201)
36.8	iShares US Healthcare Providers ETF (IHF)	Yes	\$15,001 - \$50,000		
36.9	John Hancock Funds III International Growth Fund Class I Shares (GOGIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
36.10	Consumer Staples Select Sector SPDR Fund (XLP)	Yes	\$50,001 - \$100,000		None (or less than \$201)
36.11	Industrial Select Sector SPDR Fund (XLI)	Yes	\$50,001 - \$100,000		None (or less than \$201)
36.12	Financial Select Sector SPDR Fund (XLF)	Yes	\$50,001 - \$100,000		None (or less than \$201)
36.13	T Rowe Price Emerging Markets Stock Fund (PRMSX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
36.14	Vanguard Materials Index Fund ETF Shares (VAW)	Yes	\$15,001 - \$50,000		None (or less than \$201)
36.15	Vanguard Information Technology Index Fund ETF Class Shares (VGT)	N/A	\$100,001 - \$250,000		None (or less than \$201)
36.16	Vanguard Energy Index Fund ETF Class Shares (VDE)	Yes	\$15,001 - \$50,000		None (or less than \$201)
37	Brokerage Account 4	No			
37.1	iShares Gold Trust (IAU)	Yes	\$1,001 - \$15,000		None (or less than \$201)
37.2	Lord Abbett High Yield Municipal Bond Fund Class C Shares (HYMCX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
37.3	SPDR S&P 500 ETF Trust (SPY)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
37.4	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	T ROWE PRICE EMERGING See Endnote	Purchase	08/03/2021	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
2	JOHN HANCOCK INTL GROWTH	See Endnote	Purchase	08/03/2021	\$1,001 - \$15,000
3	ISHARES BIOTECHNOLOGY	See Endnote	Purchase	08/04/2021	\$1,001 - \$15,000
4	ISHARES SEMICONDUCTOR	See Endnote	Purchase	08/04/2021	\$1,001 - \$15,000
5	VANGUARD MATERIALS ETF	See Endnote	Purchase	08/04/2021	\$1,001 - \$15,000
6	VANGUARD INFORMATION	See Endnote	Purchase	08/04/2021	\$1,001 - \$15,000
7	VANGUARD ENERGY ETF	See Endnote	Purchase	08/04/2021	\$1,001 - \$15,000
8	ISHARES U.S. HOME CONSTRUCTION	See Endnote	Purchase	08/04/2021	\$1,001 - \$15,000
9	ISHARES U.S. HEALTHCARE	See Endnote	Purchase	08/04/2021	\$1,001 - \$15,000
10	COMM SERVICES SELECT	See Endnote	Purchase	08/04/2021	\$1,001 - \$15,000
11	FIRST TRUST CLOUD	See Endnote	Purchase	08/04/2021	\$1,001 - \$15,000
12	HEALTH CARE SELECT SPDR	See Endnote	Purchase	08/04/2021	\$15,001 - \$50,000
13	SECTOR SPDR CONSMRS STPL	See Endnote	Purchase	08/04/2021	\$1,001 - \$15,000
14	CONSUMER DISCRETIONARY	See Endnote	Purchase	08/04/2021	\$1,001 - \$15,000
15	SPDR US FINANCIAL SECTOR	See Endnote	Purchase	08/04/2021	\$15,001 - \$50,000
16	Industrial Select Sector SPDR Fund (XLI)		Purchase	08/04/2021	\$1,001 - \$15,000
17	ABBOTT LABS	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
18	AMAZON COM INC COM	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
19	PROLOGIS INC	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
20	ALPHABET INC SHS CL A	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
21	Apple	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
22	BLACKROCK	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
23	CARRIER GLOBAL CORP REG		Sale	08/04/2021	\$1,001 - \$15,000
24	CAPITAL ONE FINL	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
25	COSTCO WHOLESALE CRP DEL	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
26	CONSTELLATION BRANDS INC	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
27	CHEVRON CORP	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
28	CONOCOPHILLIPS		Sale	08/04/2021	\$1,001 - \$15,000
29	CITIGROUP INC COM NEW	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
30	CISCO SYSTEMS INC COM	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
31	DANAHER CORP DEL COM	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
32	DISNEY	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
33	EQUITY RESIDENTIAL		Sale	08/04/2021	\$1,001 - \$15,000
34	EXPEDIA GROUP INC	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
35	GARMIN LTD	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
36	L3HARRIS TECHNOLOGIES		Sale	08/04/2021	\$1,001 - \$15,000
37	HONEYWELL	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
38	Hilton	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
39	HOME DEPOT INC	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
40	INTL PAPER		Sale	08/04/2021	\$1,001 - \$15,000
41	JPMORGAN CHASE & CO	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
42	MARVELL	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
43	MICROSOFT	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
44	MICROCHIP TECHNOLOGY INC	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
45	NEXTERA ENERGY	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
46	PARKER HANNIFIN CORP	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
47	SHERWIN WILLIAMS		Sale	08/04/2021	\$1,001 - \$15,000
48	ANTHEM INC	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
49	TRUIST FINL CORP	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
50	THERMO FISHER SCIENTIFIC	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
51	UNION PACIFIC CORP	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
52	VISA INC CL A SHRS	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
53	ZOETIS	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
54	WALMART	See Endnote	Sale	08/04/2021	\$1,001 - \$15,000
55	Pacer Benchmark Data & Infrastructure Real Estate SCTR ETF (SRVR)	See Endnote	Purchase	07/23/2021	\$15,001 - \$50,000
56	VANGUARD CONSUMER STAPLES	See Endnote	Purchase	07/21/2021	\$50,001 - \$100,000
57	Vanguard Industrials Index Fund ETF Class Shares (VIS)	See Endnote	Purchase	07/23/2021	\$100,001 - \$250,000
58	ISHARES U.S. REGIONAL BANKS	See Endnote	Purchase	07/23/2021	\$15,001 - \$50,000
59	First Trust Dow Jones Internet	See Endnote	Purchase	07/23/2021	\$100,001 - \$250,000
60	PROSHARES ONLINE RETAIL	See Endnote	Purchase	07/23/2021	\$15,001 - \$50,000
61	GLOBAL X FUNDS SHS US INFRASTRUCTURE	See Endnote	Purchase	07/23/2021	\$15,001 - \$50,000
62	SECTOR SPDR ENERGY	See Endnote	Purchase	07/23/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
63	Air Products and Chemicals, Inc. (APD)	Sale	07/23/2021	\$15,001 - \$50,000
64	AMN ELEC POWER CO	Sale	07/23/2021	\$15,001 - \$50,000
65	AMGEN INC COM	Sale	07/23/2021	\$50,001 - \$100,000
66	BHP GROUP LTD	Sale	07/23/2021	\$1,001 - \$15,000
67	BRISTOL-MYERS SQUIBB CO	Sale	07/23/2021	\$50,001 - \$100,000
68	CME GROUP INC	Sale	07/23/2021	\$15,001 - \$50,000
69	CROWN CASTLE REIT INC	Sale	07/23/2021	\$15,001 - \$50,000
70	DIGITAL RLTY TR INC	Sale	07/23/2021	\$50,001 - \$100,000
71	ENTERGY CORP NEW	Sale	07/23/2021	\$15,001 - \$50,000
72	EATON CORP PLC	Sale	07/23/2021	\$15,001 - \$50,000
73	FIFTH THIRD BANCORP	Sale	07/23/2021	\$15,001 - \$50,000
74	HEWLETT PACKARD	Sale	07/23/2021	\$15,001 - \$50,000
75	JOHNSON AND JOHNSON COM	Sale	07/23/2021	\$15,001 - \$50,000
76	KINDER MORGAN INC.	Sale	07/23/2021	\$15,001 - \$50,000
77	LOCKHEED MARTIN CORP	Sale	07/23/2021	\$50,001 - \$100,000



#	DESCRIPTION	TYPE	DATE	AMOUNT
78	MEDTRONIC	Sale	07/23/2021	\$15,001 - \$50,000
79	MCDONALDS	Sale	07/23/2021	\$50,001 - \$100,000
80	NUTRIEN LTD	Sale	07/07/2021	\$15,001 - \$50,000
81	PepsiCo, Inc. (PEP)	Sale	07/23/2021	\$15,001 - \$50,000
82	PFIZER	Sale	07/23/2021	\$50,001 - \$100,000
83	PROCTER & GAMBLE CO	Sale	07/23/2021	\$1,001 - \$15,000
84	QUALCOMM	Sale	07/23/2021	\$100,001 - \$250,000
85	REALTY INCM CRP MD	Sale	07/23/2021	\$15,001 - \$50,000
86	ROYAL BANK CANADA	Sale	07/23/2021	\$50,001 - \$100,000
87	SEAGATE TECH HLDGS	Sale	07/23/2021	\$15,001 - \$50,000
88	TARGET CORP	Sale	07/23/2021	\$100,001 - \$250,000
89	UNITED PARCEL SVC	Sale	07/23/2021	\$50,001 - \$100,000
90	VERIZON COMMUNICATNS	Sale	07/23/2021	\$50,001 - \$100,000
91	VICI PPTYS INC	Sale	07/23/2021	\$15,001 - \$50,000
92	ADOBE INC	Sale	07/23/2021	\$1,001 - \$15,000
93	ABB LTD	Sale	07/23/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
94	AMERICAN TOWER REIT INC	Sale	08/23/2021	\$1,001 - \$15,000
95	ASML HLDG NV NY REG SHS	Sale	07/23/2021	\$1,001 - \$15,000
96	ALPHABET INC SHS CL C	Sale	07/23/2021	\$15,001 - \$50,000
97	APPLIED MATERIAL INC	Sale	07/23/2021	\$1,001 - \$15,000
98	BROADCOM INC	Sale	07/23/2021	\$15,001 - \$50,000
99	CSX CORP	Sale	07/23/2021	\$1,001 - \$15,000
100	COMCAST CORP NEW CL A	Sale	07/23/2021	\$1,001 - \$15,000
101	CARMAX INC	Sale	08/23/2021	\$1,001 - \$15,000
102	DOMINOS PIZZA INC	Sale	07/23/2021	\$1,001 - \$15,000
103	D R HORTON INC	Sale	07/23/2021	\$1,001 - \$15,000
104	DOVER CORP	Sale	07/23/2021	\$1,001 - \$15,000
105	ECOLAB INC	Sale	07/23/2021	\$15,001 - \$50,000
106	EDWARDS LIFESCIENCES CRP	Sale	07/23/2021	\$1,001 - \$15,000
107	FACEBOOK INC	Sale	07/23/2021	\$15,001 - \$50,000
108	FORTIVE CORP	Sale	07/23/2021	\$1,001 - \$15,000
109	ISHARES RUSSELL MIDCAP	Sale	07/23/2021	\$15,001 - \$50,000
110	IDEXX LAB INC	Sale	07/23/2021	\$1,001 - \$15,000
111	INTUITIVE SURGICAL INC	Sale	07/23/2021	\$1,001 - \$15,000
112	INTERCONTINENTAL EXCHANGE	Sale	07/23/2021	\$1,001 - \$15,000
113	INTUIT INC	Sale	07/23/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
114	LAUDER ESTEE COS INC A	Sale	07/23/2021	\$1,001 - \$15,000
115	LOWE'S COMPANIES INC	Sale	07/23/2021	\$1,001 - \$15,000
116	MASTERCARD INC	Sale	07/23/2021	\$1,001 - \$15,000
117	NOVARTIS	Sale	07/23/2021	\$1,001 - \$15,000
118	NVIDIA Corp. (NVDA)	See Endnote	07/23/2021	\$1,001 - \$15,000
119	NETFLIX	Sale	07/23/2021	\$1,001 - \$15,000
120	NATIONAL GRID PLC	Sale	07/23/2021	\$1,001 - \$15,000
121	NORTHROP GRUMMAN	Sale	07/23/2021	\$1,001 - \$15,000
122	O'REILLY AUTOMOTIVE INC	Sale	07/23/2021	\$15,001 - \$50,000
123	PAYPAL HOLDINGS	Sale	07/23/2021	\$1,001 - \$15,000
124	PROGRESSIVE CRP	Sale	07/23/2021	\$1,001 - \$15,000
125	SANOFI ADR	Sale	07/23/2021	\$1,001 - \$15,000
126	SALESFORCE	Sale	07/23/2021	\$1,001 - \$15,000
127	TJX	Sale	07/23/2021	\$1,001 - \$15,000
128	TAIWAN S MANUFCTRING	Sale	07/23/2021	\$1,001 - \$15,000
129	TAKEDA PHARMACEUTICAL	Sale	07/23/2021	\$1,001 - \$15,000
130	TOYOTA MOTOR CORP	Sale	07/23/2021	\$1,001 - \$15,000
131	UNITEDHEALTH GROUP INC	Sale	07/23/2021	\$1,001 - \$15,000
132	BALL CORP	Sale	08/04/2021	\$1,001 - \$15,000
133	BERKSHIRE HATHAWAY	Sale	08/04/2021	\$15,001 - \$50,000
134	DUPONT DE NEMOURS	Sale	08/04/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
135	FISERV INC	Sale	08/04/2021	\$1,001 - \$15,000
136	ROSS STORES	Sale	08/04/2021	\$1,001 - \$15,000

## 8. Liabilities

None

## 9. Gifts and Travel Reimbursements

None

## Endnotes

PART	#	ENDNOTE
7.	1	Multiple purchases.
7.	2	Multiple purchases.
7.	3	Multiple purchases.
7.	4	Multiple purchases.
7.	5	Multiple purchases.
7.	6	Multiple purchases.
7.	7	Multiple purchases.
7.	8	Multiple purchases.
7.	9	Multiple purchases.

PART	#	ENDNOTE
7.	10	Multiple purchases.
7.	11	Multiple purchases.
7.	12	Multiple purchases.
7.	13	Multiple purchases.
7.	14	Multiple purchases.
7.	15	Multiple purchases.
7.	17	Multiple sales.
7.	18	Multiple sales.
7.	19	Multiple sales.
7.	20	Multiple sales.
7.	21	Multiple sales.
7.	22	Multiple sales.
7.	24	Multiple sales.
7.	25	Multiple sales.
7.	26	Multiple sales.
7.	27	Multiple sales.
7.	29	Multiple sales.
7.	30	Multiple sales.
7.	31	Multiple sales.
7.	32	Multiple sales.
7.	34	Multiple sales.
7.	35	Multiple sales.

PART	#	ENDNOTE
7.	37	Multiple sales.
7.	38	Multiple sales.
7.	39	Multiple sales.
7.	41	Multiple sales.
7.	42	Multiple sales.
7.	43	Multiple sales.
7.	44	Multiple sales.
7.	45	Multiple sales.
7.	46	Multiple sales.
7.	48	Multiple sales.
7.	49	Multiple sales.
7.	50	Multiple sales.
7.	51	Multiple sales.
7.	52	Multiple sales.
7.	53	Multiple sales.
7.	54	Multiple sales.
7.	55	Multiple purchases.
7.	56	Multiple purchases.
7.	57	Multiple purchases.
7.	58	Multiple purchases.
7.	59	Multiple purchases.
7.	60	Multiple purchases.

PART	#	ENDNOTE
7.	61	Multiple purchases.
7.	62	Multiple purchases.
7.	118	Multiple sales.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)



#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

---