

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

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**Tobias, Robert M**

Member IRS Oversight Board, Department of the Treasury

Report Year: 2018

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Tobias, Robert M [electronically signed on 05/13/2018 by Tobias, Robert M in Integrity.gov]**

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ Horton, Elizabeth A, Certifying Official [electronically signed on 07/19/2018 by Horton, Elizabeth A in Integrity.gov]**

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Other review conducted by

**/s/ Veras, Hanoi, Ethics Official [electronically signed on 07/17/2018 by Veras, Hanoi in Integrity.gov]**

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U.S. Office of Government Ethics Certification

Data Revised 07/17/2018

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Comments of Reviewing Officials (public annotations):

| <b>PART</b> | <b>#</b> | <b>REFERENCE</b> | <b>COMMENT</b>  |
|-------------|----------|------------------|---|
| N/A         | N/A      | General          | (07/17/2018, Veras, Hanoi): Filer stated he inadvertently omitted from prior year's annual report the asset reported as sold in Part 7/line 10. |

## 1. Filer's Positions Held Outside United States Government

| # | ORGANIZATION NAME                              | CITY, STATE                          | ORGANIZATION TYPE  | POSITION HELD      | FROM    | TO      |
|---|--|--------------------------------------|--------------------|--------------------|---------|---------|
| 1 | American University                            | Washington, DC, District of Columbia | University/College | Professor          | 9/1999  | Present |
| 2 | Federal Employee Education and Assistance Fund | Lakewood, Colorado                   | Non-Profit         | President of Board | 9/2000  | Present |
| 3 | National Academy of Public Administration      | Washington DC, District of Columbia  | Non-Profit         | Fellow             | 9/2003  | Present |
| 4 | MJT Trust                                      | Ann Arbor, Michigan                  | Trust              | Trustee            | 10/1992 | Present |
| 5 | MacFadden and Associates                       | Washington, District of Columbia     | Corporation        | Consultant         | 6/2016  | Present |
| 6 | Knowledgbank                                   | Washington, District of Columbia     | Corporation        | Consultant         | 6/2016  | Present |

## 2. Filer's Employment Assets & Income and Retirement Accounts

| # | DESCRIPTION   | EIF | VALUE                 | INCOME TYPE  | INCOME AMOUNT             |
|---|---|-----|-----------------------|--------------|---------------------------|
| 1 | National Treasury Employees Union, deferred compensation: received              | N/A |                       | cash payment | \$6,230                   |
| 2 | TIAA Creff, annuity   | N/A | \$250,001 - \$500,000 |              | None (or less than \$201) |
| 3 | R&C Boating (Key West/out island tours, snorkeling, and sightseeing excursions) | N/A | \$50,001 - \$100,000  |              | None (or less than \$201) |

| # | DESCRIPTION                     | EIF | VALUE | INCOME TYPE     | INCOME AMOUNT |
|---|---------------------------------|-----|-------|-----------------|---------------|
| 4 | McFadden and Associates         | N/A |       | Consulting Fees | \$21,563      |
| 5 | Knowledgbank                    | N/A |       | Consulting Fees | \$16,150      |
| 6 | Federal Aviation Administration | N/A |       | Teaching        | \$15,080      |
| 7 | MJT Trust                       | N/A |       | Trustee Fees    | \$10,327      |
| 8 | American University             | N/A |       | Salary          | \$193,086     |

### 3. Filer's Employment Agreements and Arrangements

| # | EMPLOYER OR PARTY                 | CITY, STATE                          | STATUS AND TERMS  | DATE   |
|---|-----------------------------------|--------------------------------------|---|--------|
| 1 | American University               | Washington, DC, District of Columbia | I will continue to participate in the American University 401k plan. Both American University and I will continue to make contributions to this plan (plan includes participation in a TIAA-CREF deferred annuity). | 8/1999 |
| 2 | National Treasury Employees Union | Washington, District of Columbia     | Deferred Compensation Agreement - Pursuant to the agreement, which partially terminated on 12/31/2005, I receive a payment of \$519/month until September 2019.   | 2/1999 |

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

### 5. Spouse's Employment Assets & Income and Retirement Accounts

| #  | DESCRIPTION   | EIF | VALUE                       | INCOME TYPE              | INCOME AMOUNT             |
|----|---|-----|-----------------------------|--------------------------|---------------------------|
| 1  | TIAA Annuity  | N/A | \$50,001 - \$100,000        | (mandatory distribution) |                           |
| 2  | U.S. bank account (cash) (UBS)  | N/A | \$50,001 - \$100,000        |                          | None (or less than \$201) |
| 3  | SG Structured Products 00.000% 013026 DTD013111 FC 042611 INC NTS B/E | N/A | None (or less than \$1,001) |                          | None (or less than \$201) |
| 4  | Blackrock Strategic Income I - Black Rock Strategic Income I          | Yes | \$15,001 - \$50,000         |                          | \$201 - \$1,000           |
| 5  | 13 D Activist Fund Class I  | Yes | \$1,001 - \$15,000          |                          | None (or less than \$201) |
| 6  | AIG Focused Dividend Strategy   | Yes | \$1,001 - \$15,000          |                          | None (or less than \$201) |
| 7  | AMG Yacktman Focused Fund Class N                                     | Yes | \$1,001 - \$15,000          |                          | None (or less than \$201) |
| 8  | Berkshire Hathaway Inc New CL B                                       | N/A | \$1,001 - \$15,000          |                          | None (or less than \$201) |
| 9  | Cohen and Steers Real Estate Securities Fund Class I                  | Yes | \$1,001 - \$15,000          |                          | \$201 - \$1,000           |
| 10 | Danaher Corp  | N/A | \$1,001 - \$15,000          |                          | None (or less than \$201) |
| 11 | Eventide Gilead Fund  | Yes | \$1,001 - \$15,000          |                          | None (or less than \$201) |
| 12 | First Trust Dorsey Wright Focus 5 ETF                                 | Yes | \$1,001 - \$15,000          |                          | None (or less than \$201) |
| 13 | Fortive Corp  | N/A | \$1,001 - \$15,000          |                          | None (or less than \$201) |
| 14 | Parnissus Core Equity Fund Class Investor                             | Yes | \$50,001 - \$100,000        |                          | \$1,001 - \$2,500         |
| 15 | Undiscovered MG BH VL Fund  | Yes | \$1,001 - \$15,000          |                          | \$201 - \$1,000           |

| #  | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|----|--|-----|-----------------------------|-------------|---------------------------|
| 16 | John Hancock Global Absolute Retn Strategies CL 1  | Yes | None (or less than \$1,001) |             | None (or less than \$201) |
| 17 | Blackrock Global Allocation Fund Inc Institutional | Yes | \$50,001 - \$100,000        |             | \$2,501 - \$5,000         |
| 18 | IVA Worldwide Fund Class 1                         | Yes | \$50,001 - \$100,000        |             | \$1,001 - \$2,500         |
| 19 | Putnam Capital Spectrum Fund Class Y               | Yes | \$15,001 - \$50,000         |             | None (or less than \$201) |
| 20 | Wells Fargo Asset Allocation Fund                  | Yes | \$15,001 - \$50,000         |             | \$201 - \$1,000           |
| 21 | Eaton Vance Global Macro Absolute Return Adv Fund  | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 22 | T Rowe Price Global Tech Fund                      | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |

## 6. Other Assets and Income

| # | DESCRIPTION   | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|---|---|-----|-----------------------------|-------------|---------------------------|
| 1 | TIAA-CREF Stock   | Yes | \$250,001 - \$500,000       |             | None (or less than \$201) |
| 2 | U.S. bank account (cash)  | N/A | \$50,001 - \$100,000        |             | None (or less than \$201) |
| 3 | Nuveen Symphony Credit Opportunities Fund 1                         | Yes | \$15,001 - \$50,000         |             | \$1,001 - \$2,500         |
| 4 | SG Structured Products 00.000% 013026 DTD01311 FC042611 INC NTS B/E | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 5 | 13D Activist Fund Class I   | Yes | \$50,001 - \$100,000        |             | \$1,001 - \$2,500         |

| #  | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|----|--|-----|-----------------------------|-------------|---------------------------|
| 6  | AIG Focused Dividend Strategy Fund Class W           | Yes | \$15,001 - \$50,000         |             | \$5,001 - \$15,000        |
| 7  | AMG Yacktman Focused Fund Class N                    | Yes | \$100,001 - \$250,000       |             | \$2,501 - \$5,000         |
| 8  | Berkshire Hathaway INC New Class B                   | N/A | \$15,001 - \$50,000         |             | None (or less than \$201) |
| 9  | Cohen and Steers Real Estate Securities Fund Class I | Yes | \$15,001 - \$50,000         |             | \$201 - \$1,000           |
| 10 | Danaher Corp   | N/A | \$50,001 - \$100,000        |             | None (or less than \$201) |
| 11 | Eventide Gilead Fund Class A                         | Yes | \$50,001 - \$100,000        |             | None (or less than \$201) |
| 12 | First Trust Dorsey Wright Focus 5 ETF                | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 13 | Fortive Corp   | N/A | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 14 | Nurveen Core Dividend Fund Class Instl               | Yes | None (or less than \$1,001) |             | None (or less than \$201) |
| 15 | Parnassus Core Dividend Fund Class Investor          | Yes | \$100,001 - \$250,000       |             | \$5,001 - \$15,000        |
| 16 | Undiscovered MG BH VL Fund Class I                   | Yes | \$15,001 - \$50,000         |             | \$1,001 - \$2,500         |
| 17 | Onex Corp Canada ORD CAD                             | N/A | \$15,001 - \$50,000         |             | None (or less than \$201) |
| 18 | John Hancock Global Absolute RTN Strategies CL 1     | Yes | None (or less than \$1,001) |             | None (or less than \$201) |
| 19 | Blackrock Global Allocation Fund Inc Institutional   | Yes | \$100,001 - \$250,000       |             | \$1,001 - \$2,500         |

| #  | DESCRIPTION                                  | EIF | VALUE                 | INCOME TYPE       | INCOME AMOUNT             |
|----|--|-----|-----------------------|-------------------|---------------------------|
| 20 | Putnam Capital Spectrum Fund Class Y         | Yes | \$50,001 - \$100,000  |                   | None (or less than \$201) |
| 21 | Wells Fargo Asset Allocation Fund Class Inst | Yes | \$15,001 - \$50,000   |                   | \$201 - \$1,000           |
| 22 | Rental Property: Ann Arbor, Michigan         | N/A | \$100,001 - \$250,000 | Rent or Royalties | \$15,001 - \$50,000       |
| 23 | Thornburg LTD Term Income CL                 | Yes | \$15,001 - \$50,000   |                   | \$201 - \$1,000           |
| 24 | IVA Worldwide Fund                           | Yes | \$100,001 - \$250,000 |                   | \$2,501 - \$5,000         |
| 25 | Virtus Kar Small-Cap Growth Fund Class I     | N/A | \$15,001 - \$50,000   |                   | None (or less than \$201) |
| 26 | Eaton Vance Global Macro Absolute Return     | N/A | \$15,001 - \$50,000   |                   | \$201 - \$1,000           |
| 27 | Guggenheim S&P Global Water                  | N/A | \$1,001 - \$15,000    |                   | None (or less than \$201) |
| 28 | T Rowe Price Global Tech Fund                | N/A | \$1,001 - \$15,000    |                   | \$201 - \$1,000           |
| 29 | Alps/Red Rocks Listed Private Equity Class I | N/A | \$1,001 - \$15,000    |                   | \$1,001 - \$2,500         |
| 30 | Nuveen Large Cap Value                       | N/A | \$15,001 - \$50,000   |                   | \$201 - \$1,000           |
| 31 | Robo Global Robotics&Automation ETF (ROBO)   | Yes | \$1,001 - \$15,000    |                   | None (or less than \$201) |

## 7. Transactions

| # | DESCRIPTION  | TYPE | DATE     | AMOUNT             |
|---|--|------|----------|--------------------|
| 1 | Cohen & Steers Real Estate Securities Fund Class I | Sale | 12/21/17 | \$1,001 - \$15,000 |



| #  | DESCRIPTION  | TYPE     | DATE      | AMOUNT                  |
|----|--|----------|-----------|-------------------------|
| 2  | Black Rock Global Allocation Fund Inc                        | Sale     | 12/21/17  | \$1,001 - \$15,000      |
| 3  | AIG Focused Dividen Strategy Fund                            | Sale     | 09/26/17  | \$1,001 - \$15,000      |
| 4  | John Hancock Global Rent Strategies                          | Sale     | 09/26/17  | \$1,001 - \$15,000      |
| 5  | Eaton Vance Global Macro Absolute Return<br>Adv Fund Class I | Purchase | 10/02/17  | \$1,001 - \$15,000      |
| 6  | T Rowe Price Global Tech Fund                                | Purchase | 10/2/2017 | \$1,001 - \$15,000      |
| 7  | AIG Focused Dividen Strategy Group                           | Sale     | 09/26/17  | \$1,001 - \$15,000      |
| 8  | Virtus Kar Small-Cap Growth Fund                             | Purchase | 10/02/17  | \$1,001 - \$15,000      |
| 9  | AIG Focused Dividend Fund                                    | Sale     | 09/26/17  | \$50,001 -<br>\$100,000 |
| 10 | Nuveen Core Dividend Fund Class<br>Institutional             | Sale     | 09/26/17  | \$50,001 -<br>\$100,000 |
| 11 | Alps/Red Rocks Listed Private Equity Class                   | Purchase | 10/02/17  | \$1,001 - \$15,000      |
| 12 | Danaher Corp   | Purchase | 10/03/17  | \$1,001 - \$15,000      |
| 13 | Eaton Vance Global Macro Absolute/Return<br>Adv Fund Class I | Purchase | 10/02/17  | \$15,001 -<br>\$50,000  |
| 14 | Eventide Gilead Fund   | Purchase | 10/02/17  | \$1,001 - \$15,000      |
| 15 | First Trust Dorsey Wright Focus 5 ETF                        | Purchase | 10/03/17  | \$1,001 - \$15,000      |
| 16 | Gugenheim S&P Global Water                                   | Purchase | 10/02/17  | \$1,001 - \$15,000      |
| 17 | Onex Corp Canada   | Purchase | 10/02/17  | \$1,001 - \$15,000      |
| 18 | Robo Global Robotics & Aut Index                             | Purchase | 10/02/17  | \$1,001 - \$15,000      |
| 19 | T Rowe Price Global Tech Fund                                | Purchase | 10/02/17  | \$1,001 - \$15,000      |
| 20 | Virtus Kar Small-Cap Growth Fund Class I                     | Purchase | 10/02/17  | \$1,001 - \$15,000      |
| 21 | SG Structured Products                                       | Sale     | 09/26/17  | \$15,001 -<br>\$50,000  |

| #  | DESCRIPTION                                       | TYPE     | DATE     | AMOUNT              |
|----|---|----------|----------|---------------------|
| 22 | AIG Focused Dividend Strategy                     | Sale     | 09/26/17 | \$1,001 - \$15,000  |
| 23 | John Hancock Global Absolute RETN Strategies      | Sale     | 09/26/17 | \$1,001 - \$15,000  |
| 24 | Eaton Vance Global Macro Absolute Return Adv Fund | Purchase | 10/02/17 | \$1,001 - \$15,000  |
| 25 | SG Structured Products                            | Sale     | 09/26/17 | \$15,001 - \$50,000 |
| 26 | Nuveen Large CapValue                             | Purchase | 09/22/17 | \$1,001 - \$15,000  |

## 8. Liabilities

None

## 9. Gifts and Travel Reimbursements

| # | SOURCE NAME         | CITY, STATE                      | BRIEF DESCRIPTION    | VALUE    |
|---|---------------------|----------------------------------|----------------------|----------|
| 1 | McFadden            | Washington, District of Columbia | Travel reimbursement | 460.00   |
| 2 | American University | Washington, District of Columbia | Travel reimbursement | 1,892.00 |

## Endnotes

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### **4. Filer's Sources of Compensation Exceeding \$5,000 in a Year**

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### **7. Transactions**

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## **8. Liabilities**

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## **9. Gifts and Travel Reimbursements**

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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