



ARMED SERVICES BOARD OF CONTRACT APPEALS
SKYLINE 6
5109 LEESBURG PIKE
FALLS CHURCH VA 22041-3208

13 January 2023

Mr. Emory A. Rounds, III
Director
U.S. Office of Government Ethics
1201 New York Avenue, NW
Suite 500
Washington DC, 20005

Dear Mr. Rounds:

The purpose of this letter is to forward the attached amendment to Ms. Catherine A. Stanton's Public Financial Disclosure Report (278e) dated 29 December 2022. Ms. Stanton explains in her letter dated 12 January 2023 the reasons for the amendment are as follows: She was advised after she signed her 29 December 2022 report that an asset value amount had changed and there was an additional transaction made; both required an amendment.

As the Designed Agency Ethics Official of the Armed Services Board of Contract Appeals (ASBCA), I have reviewed the updated information contained in the amendment and I continue to believe that Ms. Stanton is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely yours,

A handwritten signature in black ink, reading "Jeff D Gardin".

JEFFREY D. GARDIN
General Counsel, ASBCA

January 12, 2023

Jeffrey D. Gardin, Esq.
Designated Agency Ethics Official
Armed Services Board of Contract Appeals
Skyline 6, Suite 700
5109 Leesburg Pike
Falls Church, VA 22041-3208

Dear Mr. Gardin:

The purpose of this document is to amend my termination financial disclosure report by reporting an asset income amount change and a transaction that were reported to me after I signed my report on December 29, 2022. I have no other reportable changes through my termination date of December 31, 2022.

Part 2. Filer's Employment Assets & Income and Retirement Accounts


#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	ALPHASIMPLEX MGD FUTS STRAT A	Yes	\$1,001- \$15,000		\$2,501-\$5,000

Part 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
409	ALPHASIMPLEX MGD FUTS STRAT A (FR)	Purchase	12/29/2022	\$1,001-\$15,000

I understand that this amendment will be attached to my financial disclosure report and released upon request with that report.

Sincerely,


Catherine A. Stanton

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Stanton, Catherine A

DAEO, General Counsel, DoD - Armed Services Board of Contract Appeals

Date of Termination: 12/31/2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Stanton, Catherine A [electronically signed on 12/29/2022 by Stanton, Catherine A in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Thrasher, John J, Certifying Official [electronically signed on 12/29/2022 by Thrasher, John J in Integrity.gov]

Other review conducted by

/s/ Gardin, Jeffrey D, Ethics Official [electronically signed on 12/29/2022 by Gardin, Jeffrey D in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 12/29/2022

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(12/29/2022, Stanton, Catherine A): The filer agreed to update the reported information if it changes before or on the indicated termination date.

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	ALPHASIMPLEX MGD FUTS STRAT A	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	ISHARES MSCI EMERGING MARKETS ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3	ISHARES CORE S&P MID-CAP ETF	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
4	ISHARES CORE S&P SMALL-CAP ETF	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
5	ARROW MANAGED FUTURES STRATEGY A	Yes	\$1,001 - \$15,000		\$15,001 - \$50,000
6	VANGUARD FTSE DEVELOPED MARKETS ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7	SPDR FUND MATERIALS SELECT SECTR ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
8	COMMUNICAT SVS SLCT SEC SPDR ETF	Yes	None (or less than \$1,001)		\$201 - \$1,000
9	ENERGY SELECT SECTOR SPDR ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	SELECT STR FINANCIAL SELECT SPDR ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
11	SELECT SECTOR INDUSTRIAL SPDR ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	TECHNOLOGY SELECT SECTOR SPDR ETF	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
13	SPDR FUND CONSUMER STAPLES ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
14	SELECT SECTOR UTI SELECT SPDR ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
15	SELECT SECTOR HEALTH CARE SPDR ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
16	SPDR FUND CONSUMER DISCRE SELECT ETF	Yes	None (or less than \$1,001)		\$201 - \$1,000

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	ALPHASIMPLEX MGD FUTS STRAT A	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	ISHARES MSCI EMERGING MARKETS ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3	ISHARES CORE S&P MID-CAP ETF	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	ISHARES CORE S&P SMALL-CAP ETF	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
5	ARROW MANAGED FUTURES STRATEGY A	Yes	\$1,001 - \$15,000		\$15,001 - \$50,000
6	VANGUARD FTSE DEVELOPED MARKETS ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7	SPDR FUND MATERIALS SELECT SECTR ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
8	COMMUNICAT SVS SLCT SEC SPDR ETF	Yes	None (or less than \$1,001)		\$201 - \$1,000
9	ENERGY SELECT SECTOR SPDR ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	SELECT STR FINANCIAL SELECT SPDR ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
11	SELECT SECTOR INDUSTRIAL SPDR ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
12	TECHNOLOGY SELECT SECTOR SPDR ETF	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
13	SPDR FUND CONSUMER STAPLES ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
14	SELECT SECTOR UTI SELECT SPDR ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
15	SELECT SECTOR HEALTH CARE SPDR ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
16	SPDR FUND CONSUMER DISCRE SELECT ETF	Yes	None (or less than \$1,001)		\$201 - \$1,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	USAA cash accounts	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
2	USAA 529 Plan (Nevada) - In College Portfolio See Endnote	Yes	\$50,001 - \$100,000		None (or less than \$201)
3	CIT Bank cash account	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
4	ISHARES MSCI EMERGING MARKETS ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
5	ISHARES CORE S&P MID-CAP ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
6	ISHARES CORE S&P SMALL-CAP ETF	Yes	None (or less than \$1,001)		\$201 - \$1,000
7	ARROW MANAGED FUTURES STRATEGY A	Yes	\$50,001 - \$100,000		\$15,001 - \$50,000
8	VANGUARD FTSE DEVELOPED MARKETS ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
9	SPDR FUND MATERIALS SELECT SECTR ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	ENERGY SELECT SECTOR SPDR ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
11	SELECT STR FINANCIAL SELECT SPDR ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
12	SELECT SECTOR INDUSTRIAL SPDR ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
13	SPDR FUND CONSUMER STAPLES ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
14	REAL ESTATE SELECT SCTR SPDR ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	SELECT SECTOR UTI SELECT SPDR ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
16	SELECT SECTOR HEALTH CARE SPDR ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	ISHARES MSCI EMERGING MARKETS ETF IV (FR)	See Endnote	Sale	01/07/2022	\$1,001 - \$15,000
2	COMMUNICAT SVS SLCT SEC SPDR ETF (FR)		Sale	01/07/2022	\$1,001 - \$15,000
3	COMMUNICAT SVS SLCT SEC SPDR ETF (SR)	See Endnote	Sale	01/07/2022	\$1,001 - \$15,000
4	ISHARES MSCI EMERGING MARKETS ETF IV (SR)		Sale	01/07/2022	\$15,001 - \$50,000
5	SPDR FUND CONSUMER DISCRE SEL ETF IV (SR)		Sale	01/28/2022	\$1,001 - \$15,000
6	SPDR FUND CONSUMER DISCRE SEL ETF IV (FR)		Sale	01/28/2022	\$1,001 - \$15,000
7	ISHARES CORE S&P SMALL CAP ETF IV (SR)		Sale	02/14/2022	\$15,001 - \$50,000
8	VANGUARD FTSE DEVELOPED MKTS ETF IV (SR)		Sale	02/14/2022	\$15,001 - \$50,000
9	ISHARES CORE S&P MID CAP ETF IV (SR)		Sale	02/14/2022	\$15,001 - \$50,000
10	ISHARES CORE S&P SMALL CAP ETF IV (FR)		Sale	02/14/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
11	ISHARES CORE S&P MID CAP ETF IV (FR)	Sale	02/14/2022	\$1,001 - \$15,000
12	VANGUARD FTSE DEVELOPED MKTS ETF IV (FR)	Sale	02/14/2022	\$1,001 - \$15,000
13	SELECT SECTOR INDUSTRIAL SPDR ETF IV (SR)	Sale	03/11/2022	\$1,001 - \$15,000
14	SELECT SECTOR INDUSTRIAL SPDR ETF IV (FR)	Sale	03/11/2022	\$1,001 - \$15,000
15	ISHARES CORE S&P SMALL CAP ETF IV (SR)	Purchase	03/21/2022	\$15,001 - \$50,000
16	ISHARES CORE S&P MID CAP ETF IV (SR)	Purchase	03/21/2022	\$15,001 - \$50,000
17	VANGUARD FTSE DEVELOPED MKTS ETF IV (SR)	Purchase	03/21/2022	\$15,001 - \$50,000
18	COMMUNCAT SVS SLCT SEC SPDR ETF (SR)	Purchase	03/21/2022	\$1,001 - \$15,000
19	SPDR FUND CONSUMER DISCRE SEL ETF IV (SR)	Purchase	03/21/2022	\$1,001 - \$15,000
20	SELECT SECTOR INDUSTRIAL SPDR ETF IV (SR)	Purchase	03/21/2022	\$1,001 - \$15,000
21	ISHARES CORE S&P SMALL CAP ETF IV (FR)	Purchase	03/21/2022	\$1,001 - \$15,000
22	ISHARES CORE S&P MID CAP ETF IV (FR)	Purchase	03/21/2022	\$1,001 - \$15,000
23	VANGUARD FTSE DEVELOPED MKTS ETF IV (FR)	Purchase	03/21/2022	\$1,001 - \$15,000
24	COMMUNICAT SVS SLCT SEC SPDR ETF (FR)	Purchase	03/21/2022	\$1,001 - \$15,000
25	SPDR FUND CONSUMER DISCRE SEL ETF IV (FR)	Purchase	03/21/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
26	SELECT SECTOR INDUSTRIAL SPDR ETF IV (FR)	Purchase	03/21/2022	\$1,001 - \$15,000
27	ISHARES CORE S&P SMALL CAP ETF IV (J) See Endnote	Purchase	03/21/2022	\$1,001 - \$15,000
28	ISHARES CORE S&P MID CAP ETF IV (J)	Purchase	03/21/2022	\$1,001 - \$15,000
29	VANGUARD FTSE DEVELOPED MKTS ETF IV (J)	Purchase	03/21/2022	\$1,001 - \$15,000
30	VANGUARD FTSE DEVELOPED MKTS ETF IV (SR)	Purchase	03/29/2022	\$15,001 - \$50,000
31	VANGUARD FTSE DEVELOPED MKTS ETF IV (SR)	Purchase	03/29/2022	\$1,001 - \$15,000
32	SELECT SECTOR UTI SELECTSPDR ETF (SR)	Purchase	03/29/2022	\$1,001 - \$15,000
33	ENERGY SELECT SECTOR SPDR ETF IV (SR)	Purchase	03/29/2022	\$1,001 - \$15,000
34	SPDR FUND MATERIALS SELECT SECTR ETF (SR)	Purchase	03/29/2022	\$1,001 - \$15,000
35	REAL ESTATE SELECT SCTR SPDR ETF IV (SR)	Purchase	03/29/2022	\$1,001 - \$15,000
36	REAL ESTATE SELECT SCTR SPDR ETF IV (SR) See Endnote	Purchase	03/29/2022	\$1,001 - \$15,000
37	SELECT SECTOR HEALTH CARE SPD ETF IV (SR)	Sale	03/29/2022	\$1,001 - \$15,000
38	ISHARES CORE S&P MID CAPETF IV (FR)	Purchase	03/29/2022	\$1,001 - \$15,000
39	ISHARES CORE S&P SMALL CAP ETF IV (FR)	Purchase	03/29/2022	\$1,001 - \$15,000
40	VANGUARD FTSE DEVELOPED MKTS ETF IV (FR)	Purchase	03/29/2022	\$1,001 - \$15,000
41	ISHARES MSCI EMERGING MARKETS ETF (SR)	Purchase	04/01/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
42	TECHNOLOGY SELECT SECTORS PDR ETF (SR)	Sale	04/01/2022	\$1,001 - \$15,000
43	ISHARES MSCI EMERGING MARKETS ETF (FR)	Purchase	04/01/2022	\$1,001 - \$15,000
44	TECHNOLOGY SELECT SECTORS PDR ETF (FR)	Sale	04/01/2022	\$1,001 - \$15,000
45	ISHARES MSCI EMERGING MARKETS ETF (J)	Purchase	04/01/2022	\$1,001 - \$15,000
46	SELECT STR FINANCIAL SELECT SPDR ETF (J)	Sale	04/01/2022	\$1,001 - \$15,000
47	CAMPBELL SYSTEMATIC MACRO A (SR)	Purchase	04/05/2022	\$15,001 - \$50,000
48	ISHARES CORE S&P MID CAP ETF (SR)	Purchase	04/05/2022	\$15,001 - \$50,000
49	VANGUARD FTSE DEVELOPED MARKETS ETF (SR)	Purchase	04/05/2022	\$15,001 - \$50,000
50	ISHARES MSCI EMERGING MARKETS ETF (SR)	Purchase	04/05/2022	\$15,001 - \$50,000
51	ISHARES CORE S&P SMALL CAP ETF (SR)	Purchase	04/05/2022	\$1,001 - \$15,000
52	ISHARES CORE S&P SMALL CAP ETF (SR)	Purchase	04/05/2022	\$1,001 - \$15,000
53	SELECT STR FINANCIAL SELECT SPDR ETF (SR)	Purchase	04/05/2022	\$1,001 - \$15,000
54	SELECT SECTOR HEALTH CARE SPDR ETF (SR)	Purchase	04/05/2022	\$1,001 - \$15,000
55	SPDR FUND CONSUMER DISCRE SELECT ETF (SR)	Purchase	04/05/2022	\$1,001 - \$15,000
56	COMMUNICAT SVS SLCT SEC SPDR ETF (SR)	Purchase	04/05/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
57	SELECT SECTOR INDUSTRIALSPDR ETF (SR)	Purchase	04/05/2022	\$1,001 - \$15,000
58	SPDR FUND MATERIALS SELECT SECTR ETF (SR)	Purchase	04/05/2022	\$1,001 - \$15,000
59	ENERGY SELECT SECTOR SPDR ETF (SR)	Purchase	04/05/2022	\$1,001 - \$15,000
60	SPDR FUND CONSUMER STAPLES ETF (SR)	Purchase	04/05/2022	\$1,001 - \$15,000
61	SELECT SECTOR UTI SELECTSPDR ETF (SR)	Purchase	04/05/2022	\$1,001 - \$15,000
62	REAL ESTATE SELECT SCTR SPDR ETF (SR)	Purchase	04/05/2022	\$1,001 - \$15,000
63	TECHNOLOGY SELECT SECTORSPDR ETF (SR)	Purchase	04/05/2022	\$1,001 - \$15,000
64	ISHARES CORE S&P SMALL CAP ETF (SR)	Purchase	04/05/2022	\$1,001 - \$15,000
65	ISHARES CORE S&P MID CAPETF (SR)	Sale	04/08/2022	\$50,001 - \$100,000
66	ISHARES CORE S&P SMALL CAP ETF (SR)	Sale	04/08/2022	\$50,001 - \$100,000
67	SELECT SECTOR INDUSTRIALSPDR ETF (SR)	Sale	04/08/2022	\$1,001 - \$15,000
68	ISHARES CORE S&P SMALL CAP ETF (FR)	Sale	04/08/2022	\$1,001 - \$15,000
69	ISHARES CORE S&P MID CAPETF (FR)	Sale	04/08/2022	\$1,001 - \$15,000
70	SELECT SECTOR INDUSTRIALSPDR ETF (FR)	Sale	04/08/2022	\$1,001 - \$15,000
71	ISHARES CORE S&P SMALL CAP ETF (J)	Sale	04/08/2022	\$1,001 - \$15,000
72	ISHARES CORE S&P MID CAPETF (J)	Sale	04/08/2022	\$1,001 - \$15,000
73	ISHARES MSCI EMERGING MARKETS ETF (SR)	Sale	04/18/2022	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
74	SPDR FUND CONSUMER DISCRE SELECT ETF (SR)	Sale	04/18/2022	\$1,001 - \$15,000
75	TECHNOLOGY SELECT SECTORS PDR ETF (SR)	Sale	04/18/2022	\$1,001 - \$15,000
76	VANGUARD FTSE DEVELOPED MARKETS ETF (SR)	Sale	04/14/2022	\$50,001 - \$100,000
77	COMMUNICAT SVS SLCT SEC SPDR ETF (SR)	Sale	04/14/2022	\$1,001 - \$15,000
78	ISHARES MSCI EMERGING MARKETS ETF (FR)	Sale	04/18/2022	\$1,001 - \$15,000
79	TECHNOLOGY SELECT SECTORS PDR ETF (FR)	Sale	04/18/2022	\$1,001 - \$15,000
80	ISHARES MSCI EMERGING MARKETS ETF (J)	Sale	04/18/2022	\$1,001 - \$15,000
81	SELECT STR FINANCIAL SELECT SPDR ETF (SR)	Sale	05/02/2022	\$1,001 - \$15,000
82	SELECT STR FINANCIAL SELECT SPDR ETF (SR)	Sale	05/02/2022	\$1,001 - \$15,000
83	REAL ESTATE SELECT SCTR SPDR ETF (SR)	Sale	05/13/2022	\$1,001 - \$15,000
84	REAL ESTATE SELECT SCTR SPDR ETF (SR)	Sale	05/13/2022	\$1,001 - \$15,000
85	REAL ESTATE SELECT SCTR SPDR ETF (SR)	Sale	05/13/2022	\$1,001 - \$15,000
86	SELECT STR FINANCIAL SELECT SPDR ETF (FR)	Sale	05/02/2022	\$1,001 - \$15,000
87	REAL ESTATE SELECT SCTR SPDR ETF (F)	Sale	05/13/2022	\$1,001 - \$15,000
88	ISHARES CORE S&P SMALL CAP ETF (SR)	Sale	06/13/2022	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
89	SELECT SECTOR HEALTH CARE SPDR ETF (SR)	Sale	06/13/2022	\$1,001 - \$15,000
90	SPDR FUND MATERIALS SELECT SECTR ETF (SR)	Sale	06/13/2022	\$1,001 - \$15,000
91	ISHARES CORE S&P SMALL CAP ETF (SR)	Purchase	06/06/2022	\$1,001 - \$15,000
92	ISHARES CORE S&P SMALL CAP ETF (SR)	Purchase	06/06/2022	\$15,001 - \$50,000
93	ISHARES CORE S&P SMALL CAP ETF (SR)	Purchase	06/06/2022	\$15,001 - \$50,000
94	ISHARES CORE S&P SMALL CAP ETF (FR)	Sale	06/13/2022	\$1,001 - \$15,000
95	ISHARES CORE S&P SMALL CAP ETF (FR)	Sale	06/13/2022	\$1,001 - \$15,000
96	SELECT SECTOR HEALTH CARE SPDR ETF (FR)	Sale	06/13/2022	\$1,001 - \$15,000
97	SPDR FUND MATERIALS SELECT SECTR ETF (FR)	Sale	06/13/2022	\$1,001 - \$15,000
98	SELECT SECTOR HEALTH CARE SPDR ETF (FR)	Sale	06/13/2022	\$1,001 - \$15,000
99	ISHARES CORE S&P SMALL CAP ETF (FR)	Purchase	06/06/2022	\$1,001 - \$15,000
100	ISHARES CORE S&P SMALL CAP ETF (J)	Sale	06/13/2022	\$1,001 - \$15,000
101	SELECT SECTOR HEALTH CARE SPDR ETF (J)	Sale	06/13/2022	\$1,001 - \$15,000
102	SPDR FUND MATERIALS SELECT SECTR ETF (J)	Sale	06/13/2022	\$1,001 - \$15,000
103	ISHARES CORE S&P SMALL CAP ETF (J)	Purchase	06/06/2022	\$1,001 - \$15,000
104	SPDR FUND CONSUMER STAPLES ETF (SR)	Sale	06/21/2022	\$1,001 - \$15,000
105	SELECT SECTOR UTI SELECTSPDR ETF (SR)	Sale	06/21/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
106	LONGBOARD MANAGED FUTURES STRATEGY I (FR)	Sale	06/21/2022	\$15,001 - \$50,000
107	SPDR FUND CONSUMER STAPLES ETF (FR)	Sale	06/21/2022	\$1,001 - \$15,000
108	SELECT SECTOR UTI SELECTSPDR ETF (FR)	Sale	06/21/2022	\$1,001 - \$15,000
109	ARROW MANAGED FUTURES STRATEGY A (FR)	Purchase	06/21/2022	\$15,001 - \$50,000
110	ALPHASIMPLEX MGD FUTS STRAT A (FR)	Purchase	06/21/2022	\$15,001 - \$50,000
111	SPDR FUND CONSUMER STAPLES ETF (J)	Sale	06/21/2022	\$1,001 - \$15,000
112	SELECT SECTOR UTI SELECTSPDR ETF (J)	Sale	06/21/2022	\$1,001 - \$15,000
113	SELECT SECTOR HEALTH CARE SPDR ETF (SR)	Purchase	06/27/2022	\$1,001 - \$15,000
114	ISHARES CORE S&P SMALL CAP ETF (SR)	Purchase	07/22/2022	\$50,001 - \$100,000
115	ISHARES CORE S&P MID CAPETF (SR)	Purchase	07/22/2022	\$50,001 - \$100,000
116	SELECT STR FINANCIAL SELECT SPDR ETF (SR)	Purchase	07/22/2022	\$1,001 - \$15,000
117	SPDR FUND CONSUMER DISCRE SELECT ETF (SR)	Purchase	07/22/2022	\$1,001 - \$15,000
118	TECHNOLOGY SELECT SECTORS SPDR ETF (SR)	Purchase	07/22/2022	\$1,001 - \$15,000
119	SELECT SECTOR INDUSTRIALS SPDR ETF (SR)	Purchase	07/22/2022	\$1,001 - \$15,000
120	VANGUARD FTSE DEVELOPED MARKETS ETF (SR)	Purchase	08/02/2022	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
121	COMMUNICAT SVS SLCT SEC SPDR ETF (SR)	Purchase	08/08/2022	\$1,001 - \$15,000
122	COMMUNICAT SVS SLCT SEC SPDR ETF (SR)	Purchase	08/08/2022	\$1,001 - \$15,000
123	COMMUNICAT SVS SLCT SEC SPDR ETF (SR)	Purchase	08/08/2022	\$1,001 - \$15,000
124	SELECT SECTOR HEALTH CARE SPDR ETF (FR)	Purchase	06/27/2022	\$1,001 - \$15,000
125	SELECT SECTOR HEALTH CARE SPDR ETF (FR)	Purchase	06/27/2022	\$1,001 - \$15,000
126	ISHARES CORE S&P SMALL CAP ETF (FR)	Purchase	07/22/2022	\$1,001 - \$15,000
127	ISHARES CORE S&P MID CAPETF (FR)	Purchase	07/22/2022	\$1,001 - \$15,000
128	SELECT STR FINANCIAL SELECT SPDR ETF (FR)	Purchase	07/22/2022	\$1,001 - \$15,000
129	TECHNOLOGY SELECT SECTORS SPDR ETF (FR)	Purchase	07/22/2022	\$1,001 - \$15,000
130	SPDR FUND CONSUMER DISCRE SELECT ETF (FR)	Purchase	07/22/2022	\$1,001 - \$15,000
131	SELECT SECTOR INDUSTRIALS SPDR ETF (FR)	Purchase	07/22/2022	\$1,001 - \$15,000
132	VANGUARD FTSE DEVELOPED MARKETS ETF (FR)	Purchase	08/02/2022	\$1,001 - \$15,000
133	COMMUNICAT SVS SLCT SEC SPDR ETF (FR)	Purchase	08/08/2022	\$1,001 - \$15,000
134	SELECT SECTOR HEALTH CARE SPDR ETF (J)	Purchase	06/27/2022	\$1,001 - \$15,000
135	SELECT SECTOR HEALTH CARE SPDR ETF (J)	Purchase	06/27/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
136	ISHARES CORE S&P SMALL CAP ETF (J)		Purchase	07/22/2022	\$15,001 - \$50,000
137	ISHARES CORE S&P MID CAPETF (J)		Purchase	07/22/2022	\$15,001 - \$50,000
138	SELECT STR FINANCIAL SELECT SPDR ETF (J)		Purchase	07/22/2022	\$1,001 - \$15,000
139	SPDR FUND CONSUMER DISCRE SELECT ETF (J)		Purchase	07/22/2022	\$1,001 - \$15,000
140	TECHNOLOGY SELECT SECTORSPDR ETF (J)		Purchase	07/22/2022	\$1,001 - \$15,000
141	SELECT SECTOR INDUSTRIALSPDR ETF (J)		Purchase	07/22/2022	\$1,001 - \$15,000
142	VANGUARD FTSE DEVELOPED MARKETS ETF (J)		Purchase	08/02/2022	\$15,001 - \$50,000
143	COMMUNICAT SVS SLCT SEC SPDR ETF (J)		Purchase	08/08/2022	\$1,001 - \$15,000
144	VUZIX CORP (FR)	See Endnote	Sale	08/10/2022	\$1,001 - \$15,000
145	VANGUARD EXTENDED MARKETETF(SR)		Purchase	08/12/2022	\$1,001 - \$15,000
146	ISHARES MSCI EMERGING MARKETS ETF (SR)		Purchase	08/12/2022	\$1,001 - \$15,000
147	ISHARES MSCI EMERGING MARKETS ETF (SR)		Purchase	08/12/2022	\$15,001 - \$50,000
148	ISHARES MSCI EMERGING MARKETS ETF (SR)		Purchase	08/12/2022	\$15,001 - \$50,000
149	ISHARES MSCI EMERGING MARKETS ETF (SR)		Sale	08/23/2022	\$50,001 - \$100,000
150	VANGUARD FTSE DEVELOPED MARKETS ETF (SR)		Sale	08/26/2022	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
151	COMMUNICAT SVS SLCT SEC SPDR ETF (SR)	Sale	08/26/2022	\$1,001 - \$15,000
152	SELECT SECTOR HEALTH CARE SPDR ETF (SR)	Sale	08/26/2022	\$1,001 - \$15,000
153	ARROW MANAGED FUTURES STRATEGY A (SR)	Purchase	08/30/2022	\$1,001 - \$15,000
154	ISHARES CORE S&P SMALL CAP ETF (SR)	Sale	08/31/2022	\$50,001 - \$100,000
155	SELECT STR FINANCIAL SELECT SPDR ETF (SR)	Sale	08/31/2022	\$1,001 - \$15,000
156	TECHNOLOGY SELECT SECTORS SPDR ETF (SR)	Sale	08/31/2022	\$1,001 - \$15,000
157	VANGUARD EXTENDED MARKET ETF (SR)	Sale	08/31/2022	\$1,001 - \$15,000
158	ISHARES CORE S&P MID CAP ETF (SR)	Sale	09/01/2022	\$15,001 - \$50,000
159	ISHARES CORE S&P MID CAP ETF (SR)	Sale	09/01/2022	\$15,001 - \$50,000
160	SPDR FUND CONSUMER DISCRE SELECT ETF (SR)	Sale	09/01/2022	\$1,001 - \$15,000
161	SELECT SECTOR INDUSTRIALS SPDR ETF (SR)	Sale	09/01/2022	\$1,001 - \$15,000
162	SPDR FUND CONSUMER DISCRE SELECT ETF (SR)	Purchase	09/12/2022	\$1,001 - \$15,000
163	SPDR FUND MATERIALS SELECT SECTR ETF (SR)	Purchase	09/12/2022	\$1,001 - \$15,000
164	SPDR FUND CONSUMER DISCRE SELECT ETF (SR)	Purchase	09/12/2022	\$1,001 - \$15,000
165	SPDR FUND MATERIALS SELECT SECTR ETF (SR)	Purchase	09/12/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
166	SPDR FUND CONSUMER STAPLES ETF (SR)	Purchase	09/12/2022	\$1,001 - \$15,000
167	SPDR FUND CONSUMER DISCRE SELECT ETF (SR)	Purchase	09/12/2022	\$1,001 - \$15,000
168	SELECT STR FINANCIAL SELECT SPDR ETF (SR)	Purchase	09/12/2022	\$1,001 - \$15,000
169	SELECT SECTOR HEALTH CARE SPDR ETF (SR)	Purchase	09/12/2022	\$1,001 - \$15,000
170	VANGUARD EXTENDED MARKETETF (FR)	Purchase	08/12/2022	\$1,001 - \$15,000
171	ISHARES MSCI EMERGING MARKETS ETF (FR)	Purchase	08/12/2022	\$1,001 - \$15,000
172	ISHARES MSCI EMERGING MARKETS ETF (FR)	Purchase	08/12/2022	\$1,001 - \$15,000
173	ISHARES MSCI EMERGING MARKETS ETF (FR)	Sale	08/23/2022	\$15,001 - \$50,000
174	VANGUARD FTSE DEVELOPED MARKETS ETF (FR)	Sale	08/26/2022	\$1,001 - \$15,000
175	COMMUNICAT SVS SLCT SEC SPDR ETF (FR)	Sale	08/29/2022	\$1,001 - \$15,000
176	SELECT SECTOR HEALTH CARE SPDR ETF (FR)	Sale	08/29/2022	\$1,001 - \$15,000
177	ARROW MANAGED FUTURES STRATEGY A (FR)	Purchase	08/30/2022	\$1,001 - \$15,000
178	ISHARES CORE S&P SMALL CAP ETF (FR)	Sale	08/31/2022	\$1,001 - \$15,000
179	SELECT STR FINANCIAL SELECT SPDR ETF (FR)	Sale	08/31/2022	\$1,001 - \$15,000
180	TECHNOLOGY SELECT SECTORSPDR ETF (FR)	Sale	08/31/2022	\$1,001 - \$15,000
181	VANGUARD EXTENDED MARKETETF (FR)	Sale	08/31/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
182	ISHARES CORE S&P MID CAPETF (FR)	Sale	09/01/2022	\$1,001 - \$15,000
183	SPDR FUND CONSUMER DISCRE SELECT ETF (FR)	Sale	09/01/2022	\$1,001 - \$15,000
184	SELECT SECTOR INDUSTRIALSPDR ETF (FR)	Sale	09/01/2022	\$1,001 - \$15,000
185	SELECT SECTOR UTI SELECTSPDR ETF (FR)	Purchase	09/12/2022	\$1,001 - \$15,000
186	SPDR FUND CONSUMER STAPLES ETF (FR)	Purchase	09/12/2022	\$1,001 - \$15,000
187	SPDR FUND CONSUMER DISCRE SELECT ETF (FR)	Purchase	09/12/2022	\$1,001 - \$15,000
188	SELECT SECTOR HEALTH CARE SPDR ETF (FR)	Purchase	09/12/2022	\$1,001 - \$15,000
189	SELECT STR FINANCIAL SELECT SPDR ETF (FR)	Purchase	09/12/2022	\$1,001 - \$15,000
190	VANGUARD EXTENDED MARKETETF (J)	Purchase	08/12/2022	\$1,001 - \$15,000
191	ISHARES MSCI EMERGING MARKETS ETF (J)	Purchase	08/12/2022	\$1,001 - \$15,000
192	ISHARES MSCI EMERGING MARKETS ETF (J)	Purchase	08/12/2022	\$15,001 - \$50,000
193	ISHARES MSCI EMERGING MARKETS ETF (J)	Sale	08/23/2022	\$15,001 - \$50,000
194	VANGUARD FTSE DEVELOPED MARKETS ETF (J)	Sale	08/26/2022	\$15,001 - \$50,000
195	COMMUNICAT SVS SLCT SEC SPDR ETF (J)	Sale	08/26/2022	\$1,001 - \$15,000
196	SELECT SECTOR HEALTH CARE SPDR ETF (J)	Sale	08/26/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
197	ARROW MANAGED FUTURES STRATEGY A (J)	Purchase	08/30/2022	\$1,001 - \$15,000
198	ISHARES CORE S&P SMALL CAP ETF (J)	Sale	08/31/2022	\$15,001 - \$50,000
199	SELECT STR FINANCIAL SELECT SPDR ETF (J)	Sale	08/31/2022	\$1,001 - \$15,000
200	TECHNOLOGY SELECT SECTORS SPDR ETF (J)	Sale	08/31/2022	\$1,001 - \$15,000
201	VANGUARD EXTENDED MARKET ETF (J)	Sale	08/31/2022	\$1,001 - \$15,000
202	ISHARES CORE S&P MID CAP ETF (J)	Sale	09/01/2022	\$15,001 - \$50,000
203	SPDR FUND CONSUMER DISCRE SELECT ETF (J)	Sale	09/01/2022	\$1,001 - \$15,000
204	SELECT SECTOR INDUSTRIALS SPDR ETF (J)	Sale	09/01/2022	\$1,001 - \$15,000
205	SPDR FUND CONSUMER DISCRE SELECT ETF (J)	Sale	09/01/2022	\$1,001 - \$15,000
206	SELECT SECTOR INDUSTRIALS SPDR ETF (J)	Sale	09/01/2022	\$1,001 - \$15,000
207	SPDR FUND MATERIALS SELECT SECTR ETF (J)	Purchase	09/12/2022	\$1,001 - \$15,000
208	SPDR FUND MATERIALS SELECT SECTR ETF (J)	Purchase	09/12/2022	\$1,001 - \$15,000
209	SPDR FUND CONSUMER STAPLES ETF (J)	Purchase	09/12/2022	\$1,001 - \$15,000
210	SELECT STR FINANCIAL SELECT SPDR ETF (J)	Purchase	09/12/2022	\$1,001 - \$15,000
211	SPDR FUND CONSUMER DISCRE SELECT ETF (J)	Purchase	09/12/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
212	SELECT SECTOR HEALTH CARE SPDR ETF (J)	Purchase	09/12/2022	\$1,001 - \$15,000
213	SPDR FUND CONSUMER DISCRE SELECT ETF (SR)	Sale	09/19/2022	\$1,001 - \$15,000
214	SPDR FUND MATERIALS SELECT SECTR ETF (SR)	Sale	09/19/2022	\$1,001 - \$15,000
215	SELECT SECTOR HEALTH CARE SPDR ETF (SR)	Sale	09/19/2022	\$1,001 - \$15,000
216	SELECT SECTOR HEALTH CARE SPDR ETF (SR)	Sale	09/19/2022	\$1,001 - \$15,000
217	SPDR FUND CONSUMER DISCRE SELECT ETF (SR)	Sale	09/19/2022	\$1,001 - \$15,000
218	SELECT STR FINANCIAL SELECT SPDR ETF (SR)	Sale	09/19/2022	\$1,001 - \$15,000
219	SPDR FUND CONSUMER STAPLES ETF (SR)	Sale	09/19/2022	\$1,001 - \$15,000
220	SELECT SECTOR UTI SELECTSPDR ETF (SR)	Purchase	09/19/2022	\$1,001 - \$15,000
221	SPDR FUND CONSUMER STAPLES ETF (SR)	Sale	09/19/2022	\$1,001 - \$15,000
222	SELECT SECTOR UTI SELECTSPDR ETF (SR)	Sale	10/10/2022	\$1,001 - \$15,000
223	SELECT SECTOR UTI SELECTSPDR ETF (SR)	Sale	10/10/2022	\$1,001 - \$15,000
224	ISHARES CORE S&P SMALL CAP ETF (SR)	Purchase	10/24/2022	\$15,001 - \$50,000
225	SPDR FUND MATERIALS SELECT SECTR ETF (SR)	Purchase	10/24/2022	\$1,001 - \$15,000
226	SPDR FUND MATERIALS SELECT SECTR ETF (SR)	Purchase	10/24/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
227	ISHARES CORE S&P MID-CAPETF (SR)	Purchase	10/26/2022	\$15,001 - \$50,000
228	VANGUARD FTSE DEVELOPED MARKETS ETF (SR)	Purchase	10/26/2022	\$15,001 - \$50,000
229	VANGUARD EXTENDED MARKETETF (SR)	Purchase	10/26/2022	\$15,001 - \$50,000
230	ISHARES CORE S&P SMALL CAP ETF (SR)	Purchase	10/26/2022	\$15,001 - \$50,000
231	SELECT STR FINANCIAL SELECT SPDR ETF (SR)	Purchase	10/26/2022	\$1,001 - \$15,000
232	SELECT SECTOR HEALTH CARE SPDR ETF (SR)	Purchase	10/26/2022	\$1,001 - \$15,000
233	SELECT SECTOR INDUSTRIALSPDR ETF (SR)	Purchase	10/26/2022	\$1,001 - \$15,000
234	SPDR FUND CONSUMER STAPLES ETF (SR)	Purchase	10/26/2022	\$1,001 - \$15,000
235	TECHNOLOGY SELECT SECTORSPDR ETF (SR)	Purchase	10/26/2022	\$1,001 - \$15,000
236	SPDR FUND MATERIALS SELECT SECTR ETF (SR)	Purchase	10/26/2022	\$1,001 - \$15,000
237	COMMUNICAT SVS SLCT SEC SPDR ETF (SR)	Purchase	10/26/2022	\$1,001 - \$15,000
238	COMMUNICAT SVS SLCT SEC SPDR ETF (SR)	Purchase	10/26/2022	\$1,001 - \$15,000
239	COMMUNICAT SVS SLCT SEC SPDR ETF (SR)	Purchase	10/26/2022	\$1,001 - \$15,000
240	VANGUARD FTSE DEVELOPED MARKETS ETF (SR)	Purchase	10/27/2022	\$15,001 - \$50,000
241	SPDR FUND MATERIALS SELECT SECTR ETF (FR)	Sale	09/19/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
242	SPDR FUND CONSUMER DISCRE SELECT ETF (FR)	Sale	09/19/2022	\$1,001 - \$15,000
243	SELECT STR FINANCIAL SELECT SPDR ETF (FR)	Sale	09/19/2022	\$1,001 - \$15,000
244	SELECT SECTOR HEALTH CARE SPDR ETF (FR)	Sale	09/19/2022	\$1,001 - \$15,000
245	ARROW MANAGED FUTURES STRATEGY A (FR)	Sale	09/22/2022	\$1,001 - \$15,000
246	ALPHASIMPLEX MGD FUTS STRAT A (FR)	Sale	09/22/2022	\$1,001 - \$15,000
247	SELECT SECTOR UTI SELECTSPDR ETF (FR)	Sale	10/10/2022	\$1,001 - \$15,000
248	ISHARES CORE S&P SMALL CAP ETF (FR)	Purchase	10/24/2022	\$1,001 - \$15,000
249	SPDR FUND MATERIALS SELECT SECTR ETF (FR)	Purchase	10/24/2022	\$1,001 - \$15,000
250	ISHARES CORE S&P MID-CAPETF (FR)	Purchase	10/26/2022	\$1,001 - \$15,000
251	VANGUARD FTSE DEVELOPED MARKETS ETF (FR)	Purchase	10/26/2022	\$1,001 - \$15,000
252	ISHARES CORE S&P SMALL CAP ETF (FR)	Purchase	10/26/2022	\$1,001 - \$15,000
253	VANGUARD EXTENDED MARKETETF (FR)	Purchase	10/26/2022	\$1,001 - \$15,000
254	SELECT STR FINANCIAL SELECT SPDR ETF (FR)	Purchase	10/26/2022	\$1,001 - \$15,000
255	SELECT SECTOR HEALTH CARE SPDR ETF (FR)	Purchase	10/26/2022	\$1,001 - \$15,000
256	VANGUARD FTSE DEVELOPED MARKETS ETF (FR)	Purchase	10/26/2022	\$1,001 - \$15,000
257	SPDR FUND CONSUMER STAPLES ETF (FR)	Purchase	10/26/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
258	SELECT SECTOR INDUSTRIALSPDR ETF (FR)	Purchase	10/26/2022	\$1,001 - \$15,000
259	TECHNOLOGY SELECT SECTORSPDR ETF (FR)	Purchase	10/26/2022	\$1,001 - \$15,000
260	COMMUNICAT SVS SLCT SEC SPDR ETF (FR)	Purchase	10/26/2022	\$1,001 - \$15,000
261	SELECT SECTOR HEALTH CARE SPDR ETF (FR)	Purchase	10/26/2022	\$1,001 - \$15,000
262	VANGUARD FTSE DEVELOPED MARKETS ETF (FR)	Purchase	10/27/2022	\$1,001 - \$15,000
263	SPDR FUND MATERIALS SELECT SECTR ETF (J)	Sale	09/19/2022	\$1,001 - \$15,000
264	SPDR FUND MATERIALS SELECT SECTR ETF (J)	Sale	09/19/2022	\$1,001 - \$15,000
265	SELECT STR FINANCIAL SELECT SPDR ETF (J)	Sale	09/19/2022	\$1,001 - \$15,000
266	SELECT STR FINANCIAL SELECT SPDR ETF (J)	Sale	09/19/2022	\$1,001 - \$15,000
267	SPDR FUND CONSUMER DISCRE SELECT ETF (J)	Sale	09/19/2022	\$1,001 - \$15,000
268	SELECT SECTOR HEALTH CARE SPDR ETF (J)	Sale	09/19/2022	\$1,001 - \$15,000
269	SPDR FUND CONSUMER STAPLES ETF (J)	Sale	09/23/2022	\$1,001 - \$15,000
270	SELECT SECTOR UTI SELECTSPDR ETF (J)	Purchase	09/29/2022	\$1,001 - \$15,000
271	ENERGY SELECT SECTOR SPDR ETF (J)	Purchase	09/29/2022	\$1,001 - \$15,000
272	SELECT SECTOR UTI SELECTSPDR ETF (J)	Sale	10/10/2022	\$1,001 - \$15,000
273	ISHARES CORE S&P SMALL CAP ETF (J)	Purchase	10/24/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
274	SPDR FUND MATERIALS SELECT SECTR ETF (J)	Purchase	10/24/2022	\$1,001 - \$15,000
275	VANGUARD FTSE DEVELOPED MARKETS ETF (J)	Purchase	10/26/2022	\$1,001 - \$15,000
276	ISHARES CORE S&P MID-CAPETF (J)	Purchase	10/26/2022	\$1,001 - \$15,000
277	ISHARES CORE S&P MID-CAPETF (J)	Purchase	10/26/2022	\$1,001 - \$15,000
278	VANGUARD EXTENDED MARKETETF (J)	Purchase	10/26/2022	\$1,001 - \$15,000
279	ISHARES CORE S&P SMALL CAP ETF (J)	Purchase	10/26/2022	\$1,001 - \$15,000
280	SELECT STR FINANCIAL SELECT SPDR ETF (J)	Purchase	10/26/2022	\$1,001 - \$15,000
281	SELECT SECTOR HEALTH CARE SPDR ETF (J)	Purchase	10/26/2022	\$1,001 - \$15,000
282	VANGUARD FTSE DEVELOPED MARKETS ETF (J)	Purchase	10/26/2022	\$1,001 - \$15,000
283	SPDR FUND CONSUMER STAPLES ETF (J)	Purchase	10/26/2022	\$1,001 - \$15,000
284	TECHNOLOGY SELECT SECTORSPDR ETF (J)	Purchase	10/26/2022	\$1,001 - \$15,000
285	COMMUNICAT SVS SLCT SEC SPDR ETF (J)	Purchase	10/26/2022	\$1,001 - \$15,000
286	SELECT SECTOR INDUSTRIALSPDR ETF (J)	Purchase	10/26/2022	\$1,001 - \$15,000
287	SPDR FUND MATERIALS SELECT SECTR ETF (J)	Purchase	10/26/2022	\$1,001 - \$15,000
288	SELECT SECTOR HEALTH CARE SPDR ETF (J)	Purchase	10/27/2022	\$1,001 - \$15,000
289	VANGUARD FTSE DEVELOPED MARKETS ETF (J)	Purchase	10/27/2022	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
290	COMMUNICAT SVS SLCT SEC SPDR ETF (SR)		Sale	10/28/2022	\$1,001 - \$15,000
291	VANGUARD EXTENDED MARKETETF (SR)		Purchase	10/28/2022	\$15,001 - \$50,000
292	TECHNOLOGY SELECT SECTORSPDR ETF (SR)		Purchase	10/31/2022	\$1,001 - \$15,000
293	VANGUARD EXTENDED MARKETETF (SR)		Sale	11/04/2022	\$15,001 - \$50,000
294	VANGUARD FTSE DEVELOPED MARKETS ETF (SR)		Sale	11/04/2022	\$1,001 - \$15,000
295	TECHNOLOGY SELECT SECTORSPDR ETF (SR)		Sale	11/04/2022	\$1,001 - \$15,000
296	VANGUARD FTSE DEVELOPED MARKETS ETF (SR)		Sale	11/04/2022	\$1,001 - \$15,000
297	VANGUARD FTSE DEVELOPED MARKETS ETF (SR)		Sale	11/04/2022	\$1,001 - \$15,000
298	VANGUARD FTSE DEVELOPED MARKETS ETF (SR)		Sale	11/04/2022	\$1,001 - \$15,000
299	VANGUARD FTSE DEVELOPED MARKETS ETF (SR)		Sale	11/04/2022	\$1,001 - \$15,000
300	Cross Country Healthcare, Inc (SR)	See Endnote	Sale	11/09/2022	\$1,001 - \$15,000
301	VANGUARD EXTENDED MARKETETF (SR)		Purchase	11/09/2022	\$1,001 - \$15,000
302	VANGUARD FTSE DEVELOPED MARKETS ETF (SR)		Purchase	11/09/2022	\$15,001 - \$50,000
303	ISHARES MSCI EMERGING MARKETS ETF (SR)		Purchase	11/09/2022	\$15,001 - \$50,000
304	SELECT SECTOR UTI SELECTSPDR ETF (SR)		Purchase	11/14/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
305	SPDR FUND CONSUMER DISCRE SELECT ETF (SR)	Purchase	11/14/2022	\$1,001 - \$15,000
306	REAL ESTATE SELECT SCTR SPDR ETF (SR)	Purchase	11/14/2022	\$1,001 - \$15,000
307	TECHNOLOGY SELECT SECTORSPDR ETF (SR)	Purchase	11/14/2022	\$1,001 - \$15,000
308	COMMUNICAT SVS SLCT SEC SPDR ETF (SR)	Purchase	11/14/2022	\$1,001 - \$15,000
309	CAMPBELL SYSTEMATIC MACRO A (SR)	Purchase	11/15/2022	\$1,001 - \$15,000
310	CAMPBELL SYSTEMATIC MACRO A (SR)	Purchase	11/15/2022	\$1,001 - \$15,000
311	VANGUARD FTSE DEVELOPED MARKETS ETF (FR)	Sale	11/04/2022	\$1,001 - \$15,000
312	VANGUARD EXTENDED MARKETETF (FR)	Sale	11/04/2022	\$1,001 - \$15,000
313	TECHNOLOGY SELECT SECTORSPDR ETF (FR)	Sale	11/04/2022	\$1,001 - \$15,000
314	COMMUNICAT SVS SLCT SEC SPDR ETF (FR)	Sale	10/28/2022	\$1,001 - \$15,000
315	SPDR FUND CONSUMER DISCRE SELECT ETF (FR)	Purchase	11/14/2022	\$1,001 - \$15,000
316	REAL ESTATE SELECT SCTR SPDR ETF (FR)	Purchase	11/14/2022	\$1,001 - \$15,000
317	VANGUARD EXTENDED MARKETETF (FR)	Purchase	11/09/2022	\$1,001 - \$15,000
318	TECHNOLOGY SELECT SECTORSPDR ETF (FR)	Purchase	10/31/2022	\$1,001 - \$15,000
319	TECHNOLOGY SELECT SECTORSPDR ETF (FR)	Purchase	11/14/2022	\$1,001 - \$15,000
320	COMMUNICAT SVS SLCT SEC SPDR ETF (FR)	Purchase	11/14/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
321	VANGUARD EXTENDED MARKETETF (FR)	Purchase	10/28/2022	\$1,001 - \$15,000
322	VANGUARD FTSE DEVELOPED MARKETS ETF (FR)	Purchase	11/09/2022	\$1,001 - \$15,000
323	ISHARES MSCI EMERGING MARKETS ETF (FR)	Purchase	11/09/2022	\$1,001 - \$15,000
324	COMMUNICAT SVS SLCT SEC SPDR ETF (J)	Sale	10/28/2022	\$1,001 - \$15,000
325	VANGUARD EXTENDED MARKETETF (J)	Purchase	10/28/2022	\$1,001 - \$15,000
326	TECHNOLOGY SELECT SECTORS PDR ETF (J)	Purchase	10/31/2022	\$1,001 - \$15,000
327	VANGUARD FTSE DEVELOPED MARKETS ETF (J)	Sale	11/04/2022	\$15,001 - \$50,000
328	VANGUARD EXTENDED MARKETETF (J)	Sale	11/04/2022	\$1,001 - \$15,000
329	TECHNOLOGY SELECT SECTORS PDR ETF (J)	Sale	11/04/2022	\$1,001 - \$15,000
330	VANGUARD EXTENDED MARKETETF (J)	Purchase	11/09/2022	\$1,001 - \$15,000
331	VANGUARD FTSE DEVELOPED MARKETS ETF (J)	Purchase	11/09/2022	\$15,001 - \$50,000
332	ISHARES MSCI EMERGING MARKETS ETF (J)	Purchase	11/09/2022	\$15,001 - \$50,000
333	SELECT SECTOR UTI SELECT SPDR ETF (J)	Purchase	11/14/2022	\$1,001 - \$15,000
334	SPDR FUND CONSUMER DISCRE SELECT ETF (J)	Purchase	11/14/2022	\$1,001 - \$15,000
335	REAL ESTATE SELECT SCTR SPDR ETF (J)	Purchase	11/14/2022	\$1,001 - \$15,000
336	TECHNOLOGY SELECT SECTORS PDR ETF (J)	Purchase	11/14/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
337	COMMUNICAT SVS SLCT SEC SPDR ETF (J)	Purchase	11/14/2022	\$1,001 - \$15,000
338	VANGUARD EXTENDED MARKETETF (SR)	Purchase	11/17/2022	\$1,001 - \$15,000
339	TECHNOLOGY SELECT SECTORSPDR ETF (SR)	Purchase	11/17/2022	\$1,001 - \$15,000
340	SELECT SECTOR UTI SELECTSPDR ETF (SR)	Purchase	11/17/2022	\$1,001 - \$15,000
341	SPDR FUND CONSUMER DISCRE SELECT ETF (SR)	Sale	11/21/2022	\$1,001 - \$15,000
342	SPDR FUND CONSUMER DISCRE SELECT ETF (SR)	Sale	11/21/2022	\$1,001 - \$15,000
343	COMMUNICAT SVS SLCT SEC SPDR ETF (SR)	Sale	11/22/2022	\$1,001 - \$15,000
344	SPDR FUND CONSUMER DISCRE SELECT ETF (SR)	Purchase	12/05/2022	\$1,001 - \$15,000
345	SPDR FUND CONSUMER DISCRE SELECT ETF (SR)	Purchase	12/05/2022	\$1,001 - \$15,000
346	COMMUNICAT SVS SLCT SEC SPDR ETF (SR)	Purchase	12/05/2022	\$1,001 - \$15,000
347	COMMUNICAT SVS SLCT SEC SPDR ETF (SR)	Purchase	12/05/2022	\$1,001 - \$15,000
348	LONGBOARD MANAGED FUTURES STRATEGY I (SR)	Purchase	12/06/2022	\$1,001 - \$15,000
349	VANGUARD EXTENDED MARKETETF (FR)	Purchase	11/17/2022	\$1,001 - \$15,000
350	TECHNOLOGY SELECT SECTORSPDR ETF (FR)	Purchase	11/17/2022	\$1,001 - \$15,000
351	COMMUNICAT SVS SLCT SEC SPDR ETF (FR)	Sale	11/22/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
352	SPDR FUND CONSUMER DISCRE SELECT ETF (FR)	Purchase	12/05/2022	\$1,001 - \$15,000
353	SPDR FUND CONSUMER DISCRE SELECT ETF (FR)	Purchase	12/05/2022	\$1,001 - \$15,000
354	VANGUARD EXTENDED MARKETETF (J)	Purchase	11/17/2022	\$1,001 - \$15,000
355	TECHNOLOGY SELECT SECTORS SPDR ETF (J)	Purchase	11/17/2022	\$1,001 - \$15,000
356	SELECT SECTOR UTI SELECT SPDR ETF (J)	Purchase	11/17/2022	\$1,001 - \$15,000
357	SPDR FUND CONSUMER DISCRE SELECT ETF (J)	Sale	11/21/2022	\$1,001 - \$15,000
358	COMMUNICAT SVS SLCT SEC SPDR ETF (J)	Sale	11/22/2022	\$1,001 - \$15,000
359	SPDR FUND CONSUMER DISCRE SELECT ETF (J)	Purchase	12/05/2022	\$1,001 - \$15,000
360	COMMUNICAT SVS SLCT SEC SPDR ETF (J)	Purchase	12/05/2022	\$1,001 - \$15,000
361	VANGUARD EXTENDED MARKETETF (SR)	Sale	12/12/2022	\$1,001 - \$15,000
362	SPDR FUND CONSUMER DISCRE SELECT ETF (SR)	Sale	12/12/2022	\$1,001 - \$15,000
363	VANGUARD EXTENDED MARKETETF (SR)	Sale	12/12/2022	\$1,001 - \$15,000
364	COMMUNICAT SVS SLCT SEC SPDR ETF (SR)	Sale	12/12/2022	\$1,001 - \$15,000
365	ISHARES CORE S&P SMALL CAP ETF (SR)	Sale	12/12/2022	\$1,001 - \$15,000
366	SPDR FUND CONSUMER DISCRE SELECT ETF (SR)	Sale	12/12/2022	\$1,001 - \$15,000
367	VANGUARD EXTENDED MARKETETF (SR)	Sale	12/12/2022	\$1,001 - \$15,000
368	ISHARES CORE S&P SMALL CAP ETF (SR)	Sale	12/12/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
369	COMMUNICAT SVS SLCT SEC SPDR ETF (SR)	Purchase	12/15/2022	\$1,001 - \$15,000
370	SELECT STR FINANCIAL SELECT SPDR ETF (SR)	Sale	12/19/2022	\$1,001 - \$15,000
371	REAL ESTATE SELECT SCTR SPDR ETF (SR)	Sale	12/19/2022	\$1,001 - \$15,000
372	COMMUNICAT SVS SLCT SEC SPDR ETF (SR)	Sale	12/19/2022	\$1,001 - \$15,000
373	COMMUNICAT SVS SLCT SEC SPDR ETF (SR)	Sale	12/19/2022	\$1,001 - \$15,000
374	SELECT STR FINANCIAL SELECT SPDR ETF (SR)	Sale	12/19/2022	\$1,001 - \$15,000
375	TECHNOLOGY SELECT SECTORSPDR ETF (SR)	Sale	12/19/2022	\$1,001 - \$15,000
376	ISHARES CORE S&P SMALL CAP ETF (SR)	Sale	12/19/2022	\$15,001 - \$50,000
377	VANGUARD EXTENDED MARKETETF (SR)	Sale	12/19/2022	\$15,001 - \$50,000
378	ISHARES CORE S&P SMALL CAP ETF (FR)	Sale	12/12/2022	\$1,001 - \$15,000
379	VANGUARD EXTENDED MARKETETF (FR)	Sale	12/12/2022	\$1,001 - \$15,000
380	SPDR FUND CONSUMER DISCRE SELECT ETF (FR)	Sale	12/12/2022	\$1,001 - \$15,000
381	VANGUARD EXTENDED MARKETETF (FR)	Sale	12/12/2022	\$1,001 - \$15,000
382	ISHARES CORE S&P SMALL CAP ETF (FR)	Sale	12/12/2022	\$1,001 - \$15,000
383	COMMUNICAT SVS SLCT SEC SPDR ETF (FR)	Purchase	12/15/2022	\$1,001 - \$15,000
384	TECHNOLOGY SELECT SECTORSPDR ETF (FR)	Sale	12/19/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
385	TECHNOLOGY SELECT SECTORS PDR ETF (FR)	Sale	12/19/2022	\$1,001 - \$15,000
386	SELECT STR FINANCIAL SELECT SPDR ETF (FR)	Sale	12/19/2022	\$1,001 - \$15,000
387	COMMUNICAT SVS SLCT SEC SPDR ETF (FR)	Sale	12/19/2022	\$1,001 - \$15,000
388	ISHARES CORE S&P SMALL CAP ETF (FR)	Sale	12/19/2022	\$1,001 - \$15,000
389	VANGUARD EXTENDED MARKETETF (FR)	Sale	12/19/2022	\$1,001 - \$15,000
390	COMMUNICAT SVS SLCT SEC SPDR ETF (J)	Sale	12/12/2022	\$1,001 - \$15,000
391	SPDR FUND CONSUMER DISCRE SELECT ETF (J)	Sale	12/12/2022	\$1,001 - \$15,000
392	VANGUARD EXTENDED MARKETETF (J)	Sale	12/12/2022	\$1,001 - \$15,000
393	ISHARES CORE S&P SMALL CAP ETF (J)	Sale	12/12/2022	\$1,001 - \$15,000
394	COMMUNICAT SVS SLCT SEC SPDR ETF (J)	Purchase	12/15/2022	\$1,001 - \$15,000
395	VANGUARD EXTENDED MARKETETF (J)	Sale	12/19/2022	\$1,001 - \$15,000
396	REAL ESTATE SELECT SCTR SPDR ETF (J)	Sale	12/19/2022	\$1,001 - \$15,000
397	COMMUNICAT SVS SLCT SEC SPDR ETF (J)	Sale	12/19/2022	\$1,001 - \$15,000
398	COMMUNICAT SVS SLCT SEC SPDR ETF (J)	Sale	12/19/2022	\$1,001 - \$15,000
399	SELECT STR FINANCIAL SELECT SPDR ETF (J)	Sale	12/19/2022	\$1,001 - \$15,000
400	TECHNOLOGY SELECT SECTORS PDR ETF (J)	Sale	12/19/2022	\$1,001 - \$15,000
401	VANGUARD EXTENDED MARKETETF (J)	Sale	12/19/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
402	ISHARES CORE S&P SMALL CAP ETF (J)	Sale	12/19/2022	\$1,001 - \$15,000
403	ARROW MANAGED FUTURES STRATEGY A (SR)	Purchase	12/23/2022	\$1,001 - \$15,000
404	ISHARES CORE S&P MID-CAPETF (SR)	Sale	12/23/2022	\$15,001 - \$50,000
405	ARROW MANAGED FUTURES STRATEGY A (FR)	Purchase	12/23/2022	\$1,001 - \$15,000
406	ISHARES CORE S&P MID-CAPETF (FR)	Sale	12/23/2022	\$1,001 - \$15,000
407	ARROW MANAGED FUTURES STRATEGY A (J)	Purchase	12/23/2022	\$1,001 - \$15,000
408	ISHARES CORE S&P MID-CAPETF (J)	Sale	12/23/2022	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
6.	2	USAA 529 plan, managed by Victory Capital.
7.	1	In this section, (FR) = Filer's Roth IRA.

PART	#	ENDNOTE
7.	3	In this section, (SR) = Spouse's Roth IRA.
7.	27	In this section, (J) = Joint Brokerage Account.
7.	36	Throughout this section there are several transactions that appear to be duplicates. I have confirmed that they are separate transactions.
7.	144	Original purchase was < \$1,001, so not reportable.
7.	300	Original purchase was < \$1,001, so not reportable.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

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