United States Department of State



Washington, D.C. 20520

May 25, 2022

Mr. Emory A. Rounds, III Director U.S. Office of Government Ethics 1201 New York Avenue, NW Suite 500 Washington DC, 20005

Dear. Mr. Rounds:

The purpose of this letter is to supplement the Public Financial Disclosure Report (278e) of Mark Brzezinski, dated April 29, 2021, and amended September 29, 2021. Ambassador Brzezinski explains in his letter dated May 15, 2022, that he discovered he held two trustee positions for family trusts when he was reviewing new assets as part of his onboarding process. The Ambassador was sworn in on January 20, 2022 and he resigned from these positions effective February 10, 2022. During this period of time he was involved in onboarding and, in his letter he affirms that he did not participate personally and substantially in any particular matter in which he knew either of the trusts had a financial interest directly and predictably affected by the particular matter.

As the Alternate Designated Agency Ethics official of the U.S. Department of State, I have reviewed the updated information contained in the supplement. Based upon my review of this information and the prior commitments made by Mr. Brzezinski in his previously transmitted Ethics Agreement, I continue to believe that Mr. Brzezinski is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,

David P. Huitema

David P. Huitema
Assistant Legal Adviser and Alternate Designated
Agency Ethics Official
U.S. Department of State
2100 C St, NW
Washington, DC 20005

Re: Supplement to Financial Disclosure Report of Mark Brzezinski

Dear Mr. Huitema:

The purpose of this document is to supplement my financial disclosure report signed on April 29, 202/and amended September 29, 2021 by reporting two outside positions I was unaware I held at the time I submitted my Nominee Report.

These corrections are noted below.

Correction to Part 1- Positions Held Outside The United States Government

Organization Name	City, State	Organization Type	Position Held	From	То
Brzezinski Family Trust	Great Falls, VA	Trust	Trustee	01/2019	Present*
Brzezinski Revocable Trust	Great Falls, VA	Trust	Trustee	02/2019	Present*

^{*} Subsequently resigned 2/2022.

At the time of the filing of my Nominee Report in April 2021, I was unaware that I held these positions. After my confirmation on December 18, 2021, when I was obtaining information pertaining to new assets acquired after the filing of my Financial Disclosure Report, in order to provide that information to the Department of State for potential conflicts assessments. I learned that I held these positions. These positions were for recently created trusts for family members and I did not realize I had been named as one of the trustees. I resigned from both positions effective February 10, 2022. I was sworn-in as Ambassador on January 20, 2022, and at no time during my service as U.S. Ambassador did I participate personally and

substantially in any particular matter in which I know either of these entities had a financial interest directly an predictably affected by the particular matter.

I understand that this supplement will be attached to my financial disclosure report and released upon request with that report.

Sincerely,

ML Q Mark Brzezinski Mung 15, nozz



United States Department of State

Washington, D.C. 20520

September 29, 2021

Mr. Emory A. Rounds, III Director U.S. Office of Government Ethics 1201 New York Avenue, NW Suite 500 Washington DC, 20005

Dear. Mr. Rounds:

The purpose of this letter is to supplement the Public Financial Disclosure Report (278e) of Mark Brzezinski, dated April 29, 2021. Mr. Brzezinski explains in his letter dated September 27, 2021 that he inadvertently omitted one client he served through Brzezinski Strategies LLC.

As the Alternate Designated Agency Ethics official of the U.S. Department of State, I have reviewed the updated information contained in the supplement, and, based upon my review of this information and the prior commitments made by Mr. Brzezinski in his previously transmitted Ethics Agreement, I continue to believe that Mr. Brzezinski is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,

David Huitema

Sept. 27, 2021

David P. Huitema Assistant Legal Adviser and Alternate Designated Agency Ethics Official U.S. Department of State 2100 C St, NW Washington, DC 20005

Re: Supplement to Financial Disclosure Report of Mark Brzezinski

Dear Mr. Huitema:

The purpose of this document is to supplement my financial disclosure report signed on April 29, 2021, by adding one inadvertently omitted client assisted through Brzezinski Strategies LLC. This correction is noted below.

Correction to Part 4 - Filer's Sources of Compensation Exceeding \$5,000 in a Year

Source Name	City/State	Brief Description of Duties
JuniperMD LLC	New York, New York	Business consulting

I understand that this supplement will be attached to my financial disclosure report and released upon request with that report.

Sincerely,

Mark Brzezinski

Nominee Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (Updated July 2020) **Executive Branch Personnel** Public Financial Disclosure Report (OGE Form 278e) Filer's Information Brzezinski, Mark Ambassador to the Republic of Poland, Department of State Other Federal Government Positions Held During the Preceding 12 Months: None Names of Congressional Committees Considering Nomination: Committee on Foreign Relations Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge. /s/ Brzezinski, Mark [electronically signed on 04/29/2021 by Brzezinski, Mark in Integrity.gov] Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to

any comments below).

/s/ Visek, Richard, Certifying Official [electronically signed on 08/12/2021 by Visek, Richard in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 08/13/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	ТО
1	Brzezinski Strategies LLC	Alexandria, Virginia	Corporation	CEO	8/2019	Present
2	Makena Capital LLC	Menlo Park, California	Corporation	Managing Director	1/2017	10/2019
3	Brzezinski Family Foundation	Great Falls, Virginia	Non-Profit	Trustee	2/2017	Present
4	Burgundy Farm Country Day School	Alexandria, Virginia	Non-Profit	Trustee	10/2019	Present

2. Filer's Employment Assets & Income and Retirement Accounts

DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
Brzezinski Strategies LLC (business consulting, developing business alignments)	N/A	\$50,001 - \$100,000	Salary and K-1 Income	\$1,276,000
McGuireWoods Retirement Savings Plan - held with T Rowe Price	No			
T. Rowe Price Retirement 2030 Fund	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
Hogan Lovells US LLP Retirement Savings Plan	No			
Fidelity International Equity Index Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
Fidelity Growth Company Fund Class K Shares (FGCKX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
	Brzezinski Strategies LLC (business consulting, developing business alignments) McGuireWoods Retirement Savings Plan - held with T Rowe Price T. Rowe Price Retirement 2030 Fund Hogan Lovells US LLP Retirement Savings Plan Fidelity International Equity Index Fund Fidelity Growth Company Fund Class K	Brzezinski Strategies LLC (business consulting, developing business alignments) McGuireWoods Retirement Savings Plan - No held with T Rowe Price T. Rowe Price Retirement 2030 Fund Yes Hogan Lovells US LLP Retirement Savings No Plan Fidelity International Equity Index Fund Yes Fidelity Growth Company Fund Class K Yes	Brzezinski Strategies LLC (business consulting, developing business alignments) McGuireWoods Retirement Savings Plan - No held with T Rowe Price T. Rowe Price Retirement 2030 Fund Yes \$500,001 - \$1,000,000 Hogan Lovells US LLP Retirement Savings Plan Fidelity International Equity Index Fund Yes \$50,001 - \$100,000 Fidelity Growth Company Fund Class K Yes \$100,001 -	Brzezinski Strategies LLC (business consulting, developing business alignments) McGuireWoods Retirement Savings Plan - No held with T Rowe Price T. Rowe Price Retirement 2030 Fund Yes \$500,001 - \$1,000,000 Hogan Lovells US LLP Retirement Savings No Plan Fidelity International Equity Index Fund Yes \$50,001 - \$100,000 Fidelity Growth Company Fund Class K Yes \$100,001 -

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	China Development Forum, March 22, 2021,	See Endnote	N/A		Honorarium	\$1,000
5	Skanska Honorarium, December 20, 2020		N/A		Honorarium	\$10,000
6	Makena Capital LLC: Fidelity 401(k)		No			
6.1	FID Freedom 2030		Yes	\$100,001 - \$250,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Brzezinski Strategies LLC	Alexandria, Virginia	The LLC will be inactive during my appointment and all outstanding client fees will be fixed before I enter government service.	4/2021
2	McGuireWoods Retirement Savings Plan - held with T Rowe Price	Richmond, Virginia	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	4/2001
3	Hogan Lovells US LLP Retirement Savings Plan	Washington, District of Columbia	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	11/1996
4	Makena Capital LLC	Menlo Park, California	I continue to participate in this defined contribution plan but the plan sponsor no longer makes contributions.	2/2017

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Guggenheim Investments	Santa Monica, California	business consulting
2	LifeForce Capital	San Francisco, California	business consulting

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
3	Gothams	Austin, Texas	business consulting
4	CareCubes LLC	Washington, District of Columbia	business consulting
5	Kinnos LLC	Brooklyn, New York	business consulting
6	Adalta Capital	New York City, New York	business consulting
7	Alfabeat	Gdansk, Outside U.S.	business consulting
8	Cavotec	Geneva, Outside U.S.	business consulting
9	BURE Equity AB	Stockholm, Outside U.S.	business consulting
10	AGIC Capital	Hong Kong, Outside U.S.	business consulting
11	Tenfore Holdings Capital Management	New York, New York	business consulting
12	China Development Forum	Beijing, Outside U.S.	honorarium
13	Skanska	Stockholm, Outside U.S.	honorarium for speech
14	Rocky Touring	Los Angeles, California	Litigation support
15	Makena Capital LLC	Menlo Park, California	Business alignment consulting
16	Brzezinski Strategies LLC	Alexandria, Virginia	business consulting

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE II	NCOME TYPE	INCOME AMOUNT
1	Invesco convertible securities FD - A (CNSAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	Invesco Main Street Mid Cap Fund - A (OPMSX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	Delaware SMID CAP Growth Fund A (DFCIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4	Franklin Income Fund (FKINX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5	American Funds US Government Money Market-A (AFAXX)	Yes	None (or less than \$1,001)		None (or less than \$201)
6	American Funds AMCAP Fund-A (AMCPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	American Funds American Balanced Fund-A (ABALX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
8	American Funds American Mutual Fund A (AMRMX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
9	American Funds Capital Income Builder-A (CAIBX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
10	American Funds Capital World Growth and Income Fund A (CWGIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11	American Funds Developing World Growth & Income A (DWGAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
12	American Funds Emerging Markets Bond Fund A (EBNAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
13	American Funds Europacific Growth Fund A (AEPGX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
14	American Funds Global Balanced Fund A (GBLAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
15	American Funds New Perspective Fund - A (ANWPX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
16	American Funds Preservation Portfolio-A (PPVAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
17	American Funds Smallcap World Fund-A (SMCWX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18	American Funds Growth Fund of America-A (AGTHX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
19	American Funds Income Fund of America-A (AMECX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
20	American Funds Investment Company of America-A (AIVSX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
21	Virtus Tactical Allocation Fund Class A (NAINX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
22	Virginia 529 Plan	No			
22.1	New World Fund 529 A (CNWAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
22.2	The Growth Fund of America - 529A (CGFAX)	Yes	None (or less than \$1,001)		None (or less than \$201)
22.3	Capital Income Builder (CIRAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23	U.S. brokerage firm, cash account	N/A	\$250,001 - \$500,000	Interest	None (or less than \$201)
24	Family Trust #1	No			
24.1	US bank (cash) #1	N/A	\$100,001 - \$250,000		None (or less than \$201)
24.2	US bank (cash) # 2	N/A	\$100,001 - \$250,000		None (or less than \$201)
24.3	US bank (cash) #3	N/A	\$100,001 - \$250,000		None (or less than \$201)
24.4	US Bank (cash) #4	N/A	\$100,001 - \$250,000		None (or less than \$201)
24.5	Berkshire Hathaway Inc Series B New (BRK/B)	N/A	\$100,001 - \$250,000		None (or less than \$201)
24.6	Eaton Corp PLC (ETN)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
24.7	Genl Dyamics Corp (GD)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
24.8	Ingevity Corp (NGVT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.9	Pepsico Incorporated (PEP)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
24.10	Westrock Co (WRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.11	YUM Brands Inc (YUM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
24.12	YUM China Holdings Inc (YUMC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24.13	INVESCO Divid Income Fund CL A (IAUTX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.14	American Funds AMCAP FUND INC CL A (AMCPX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
24.15	American High Income Munibond Fund (AMHIX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
24.16	American Funds Capital Income Bldr CL A (CAIBX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
24.17	Franklin Federal Intermediate Term Tax Free Income Fund A1 (FKITX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
24.18	Franklin Virginia Tax Free Income Fund A1 (FRVAX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
24.19	American Funds Limited Term Tax Exempt Bond Fund of America (LTEBX)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
24.20	American Funds New World Fund Class A (NEWFX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
24.21	Templeton Funds Inc Foreign Fund CL A (TEMFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
24.22	American Funds Wash Mutl Ins FD Inc Class A (AWSHX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
25	Vacant Land, Flint Hill VA	N/A	\$250,001 - \$500,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2017	3.375	30

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	4	honorarium for written contribution to symposium

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal. State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record: (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).