



CERTIFICATE OF DIVESTITURE

CERTIFICATE NO:

DATE OF ISSUANCE:

ELIGIBLE PERSON:

[The divestiture property is identified in the attachment to this Certificate.]

[Please note: Upon the sale of this property, only the capital gain realized under Federal tax law is eligible for deferral under section 1043. This Certificate of Divestiture does not apply to ordinary compensation income.]

This Certificate of Divestiture is issued in accordance with section 1043 of the Internal Revenue Code and 5 C.F.R. § 2634.1002 with respect to the specific property described in the attachment. I hereby determine that the divestiture of the described property is reasonably necessary to comply with 18 U.S.C. § 208, or other applicable Federal conflict of interest statutes, regulations, rules, or executive orders.

Section 1043 of the Internal Revenue Code and the rules of 5 C.F.R. part 2634, subpart J provide for nonrecognition of gain in the case of sales to comply with conflict of interest requirements. The substantive and procedural rules relating to the tax aspects of such sales and rollovers pursuant to the statutory scheme are subject to the jurisdiction of the Internal Revenue Service. Eligible persons should seek the advice of their personal tax advisors for guidance as to the tax aspects of divestiture transactions and whether proposed acquisitions meet the requirements for permitted property. Internal Revenue Service regulations and other guidance should also be consulted as to these matters. Eligible persons must follow Internal Revenue Service requirements for reporting dispositions of property and making an election not to recognize gain under section 1043 (IRS Form 8824).

A Certificate of Divestiture may only be used if the person identified above is an “eligible person” at the time of divestiture. The rules of 5 C.F.R. part 2634, subpart J relate to the issuance of Certificates of Divestiture and the permitted property into which reinvestment must be made during the 60-day period beginning on the date of such a sale in order for nonrecognition to be permitted. Such reinvestments are called rollovers, and are limited to obligations of the United States and diversified investment funds as defined in 5 C.F.R. § 2634.1003.

David J. Apol
General Counsel

Attachment



ATTACHMENT
CERTIFICATE NO.: OGE-2021-124

Description of the Property	Quantity of Stock Owned
Abbott Laboratories (ABT)	29
AbbVie, Inc. (ABBV)	31
Accenture Plc (ACN)	4
Air Products and Chemicals, Inc. (APD)	21
Alexandria Real Estate Equities, Inc. (ARE)	3
Alibaba Group Holding LT (BABA)	46
Alphabet, Inc. (GOOG)	4
Alphabet, Inc. (GOOGL)	4
Altria Group, Inc. (MO)	22
Amazon.com, Inc. (AMZN)	5
American Express Co. (AXP)	14
AmerisourceBergen Corp. (ABC)	9
Amgen Inc. (AMGN)	9
Analog Devices, Inc. (ADI)	20
Apple, Inc. (AAPL)	10
Arthur J. Gallagher & Co. (AJG)	11
Autodesk, Inc. (ADSK)	39
Automatic Data Processing, Inc. (ADP)	34
AvalonBay Communities, Inc. (AVB)	6
Becton, Dickinson and Company (BDX)	5
Best Buy Co., Inc. (BBY)	9
BlackRock, Inc. (BLK)	11
Boeing Co (BA)	32
Boston Properties, Inc. (BXP)	4
Bristol-Myers Squibb (BMY)	48
Broadcom, Inc. (AVGO)	9
Capital One Financial Corp. (COF)	9
Cerner Corp. (CERN)	46
Chevron Corp. (CVX)	51
Chubb Ltd. (CB)	7
Cisco Systems, Inc. (CSCO)	172
CME Group, Inc. (CME)	15
CMS Energy Corp. (CMS)	18
Colgate-Palmolive Co. (CL)	41
Comcast Corp. (CMCSA)	109
Communication Services Select Sector SPDR Fund (XLC)	148
ConocoPhillips (COP)	44
Crown Castle International Corp. (CCI)	23
Cullen/Frost Bankers, Inc. (CFR)	4
CVS Health Corp. (CVS)	14
Deere & Co. (DE)	37
Dover Corp. (DOV)	21
DTE Energy Co. (DTE)	2
Eaton Corp. Plc (ETN)	31

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Eli Lilly & Co. (LLY)	23
Energy Select Sector SPDR Fund (XLE)	43
Entergy Corp. (ETR)	7
Eversource Energy (ES)	6
Expeditors International of Washington, Inc. (EXPD)	56
Facebook, Inc. (FB)	47
FactSet Research Systems, Inc. (FDS)	10
Fidelity National Information Services, Inc. (FIS)	11
Financial Select Sector SPDR Fund (XLF)	371
General Dynamics Corp. (GD)	12
Hartford Financial Services Group (HIG)	22
Hasbro, Inc. (HAS)	12
Health Care Select Sector SPDR Fund (XLV)	101
Honeywell International, Inc. (HON)	25
Illinois Tool Works, Inc. (ITW)	11
Illumina, Inc. (ILMN)	13
International Business Machines Corp. (IBM)	7
Intuitive Surgical, Inc. (ISRG)	4
Johnson & Johnson (JNJ)	34
JPMorgan Chase & Co. (JPM)	39
Lockheed Martin Corp. (LMT)	10
Lyft, Inc. (LYFT)	1,350
LyondellBasell Industries NV (LYB)	6
Marsh & McLennan Cos., Inc. (MMC)	33
McDonald's Corp. (MCD)	24
Medtronic Plc (MDT)	48
Merck & Co., Inc. (MRK)	62
MetLife, Inc. (MET)	27
Microsoft Corp. (MSFT)	73
Mondelez International, Inc. (MDLZ)	96
Monster Beverage Corp. (MNST)	89
Morgan Stanley (MS)	41
NextEra Energy, Inc. (NEE)	120
Norfolk Southern Corp. (NSC)	8
Northern Trust Corp. (NTRS)	7
Northrop Grumman Corp. (NOC)	2
Novartis ADR (NDS)	39
Novo Nordisk A S ADR (NVO)	29
NVIDIA Corp. (NVDA)	20
Oracle Corp. (ORCL)	135
Otis Worldwide Corp Reg (OTIS)	7
Parker-Hannifin Corp. (PH)	9
Paychex, Inc. (PAYX)	35
PepsiCo, Inc. (PEP)	40
Pfizer Inc. (PFE)	22
Philip Morris International, Inc. (PM)	25
Phillips 66 (PSX)	14

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PPG Industries, Inc. (PPG)	15
Procter & Gamble Co. (PG)	26
Progressive Corp. (PGR)	14
Prologis, Inc. (PLD)	24
Public Service Enterprise Group, Inc. (PEG)	12
QUALCOMM, Inc. (QCOM)	55
Raytheon Co. (RTN)	28
REALTY INCM CRP MD PV\$1	23
Regeneron Pharmaceuticals, Inc. (REGN)	12
Republic Services, Inc. (RSG)	12
Roche Holdings Ltd SPN ADR (RHHBY)	133
Salesforce.com, Inc. (CRM)	39
Schlumberger NV (SLB)	70
SEI Investments Co. (SEIC)	57
Sempra Energy (SRE)	19
Simon Property Group, Inc. (SPG)	2
Stanley Black & Decker, Inc. (SWK)	4
Starbucks Corp. (SBUX)	85
Sysco Corp. (SYY)	18
T. Rowe Price Group, Inc. (TROW)	8
Texas Instruments Incorporated (TXN)	55
The Charles Schwab Corp. (SCHW)	15
The Coca-Cola Co. (KO)	38
The Home Depot, Inc. (HD)	29
The PNC Financial Services Group, Inc. (PNC)	37
The TJX Cos., Inc. (TJX)	20
The Walt Disney Co. (DIS)	30
Trane Technologies PLC (TT)	5
Truist Financial Corp (TFC)	106
U.S. Bancorp (USB)	68
UnitedHealth Group, Inc. (UNH)	7
Valero Energy Corp. (VLO)	14
Ventas, Inc. (VTR)	10
Verizon Communications, Inc. (VZ)	79
VF Corp. (VFC)	11
Viatis Inc. (VTRS)	2
Visa, Inc. (V)	60
Vornado Realty Trust (VNO)	11
Walmart, Inc. (WMT)	13
WEC Energy Group, Inc. (WEC)	28
Workday, Inc. (WDAY)	13
Xcel Energy, Inc. (XEL)	22
Yum China Holdings Inc (YUMC)	37
Yum! Brands, Inc. (YUM)	27