

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Runkel, Christopher M

Senior Counsel and DAEO, National Archives and Records Administration

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Runkel, Christopher M [electronically signed on 12/14/2022 by Runkel, Christopher M in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Klein, Jennifer A, Certifying Official [electronically signed on 02/11/2023 by Klein, Jennifer A in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 03/20/2023 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	iShares Core S&P 500 ETF (IVV)	See Endnote	Purchase	09/02/2022	No	\$1,001 - \$15,000
2	Fidelity Advisor Total Bond Fund Class I Shares (FEPIX)	See Endnote	Purchase	10/17/2022	No	\$1,001 - \$15,000
3	iShares Core S&P Mid-Cap ETF (IJH)	See Endnote	Purchase	10/17/2022	No	\$1,001 - \$15,000
4	Vanguard Total Bond Market Index Fund ETF Shares (BND)	See Endnote	Purchase	10/17/2022	No	\$15,001 - \$50,000
5	Western Asset Core Bond Fund Class I Shares (WATFX)	See Endnote	Purchase	10/17/2022	No	\$15,001 - \$50,000
6	iShares Gold Trust (IAU)	See Endnote	Sale	10/17/2022	No	\$1,001 - \$15,000
7	New World Fund, Inc Class F2 Shares (NFFFX)	See Endnote	Sale	10/17/2022	No	\$1,001 - \$15,000
8	Vanguard Short-Term Corporate Bond Index Fund ETF Class Shares (VCSH)	See Endnote	Sale	10/17/2022	No	\$15,001 - \$50,000
9	Dodge & Cox Stock Fund DODGX	See Endnote	Sale	08/18/2022	No	\$100,001 - \$250,000
10	Westwood Mgmt Small/Mid Cap Institutional Fund WHGMX	See Endnote	Sale	08/18/2022	No	\$15,001 - \$50,000
11	Fidelity Contrafund Commingled Pool	See Endnote	Sale	03/31/2022	No	\$50,001 - \$100,000
12	BlackRock Russell 1000 Fund	See Endnote	Purchase	03/31/2022	No	\$50,001 - \$100,000
13	AMG TimesSquare Small Cap Growth Fund Class Z Shares (TSCIX)	See Endnote	Sale	03/31/2022	No	\$50,001 - \$100,000
14	BlackRock Russell 2500 Fund	See Endnote	Purchase	03/31/2022	No	\$50,001 - \$100,000
15	BlackRock Russell 1000 Fund	See Endnote	Sale	08/18/2022	No	\$15,001 - \$50,000
16	BlackRock Russell 2500 Fund	See Endnote	Sale	08/18/2022	No	\$50,001 - \$100,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
17	Artisan International Value Fund Investor Class ARTKX	See Endnote	Sale	08/17/2022	No	\$1,001 - \$15,000
18	American Funds Inflation Linked Bond Fund Class R6 Shares (RILFX)	See Endnote	Sale	08/17/2022	No	\$1,001 - \$15,000
19	American Funds New World Fund Class R6 RNWGX	See Endnote	Sale	08/17/2022	No	\$1,001 - \$15,000
20	BlackRock Mid-Cap Growth Equity Portfolio Institutional Shares (CMGIX)	See Endnote	Sale	08/17/2022	No	\$1,001 - \$15,000
21	BlackRock Strategic Income Opportunities Portfolio Institutional Class BSIX	See Endnote	Sale	08/17/2022	No	\$1,001 - \$15,000
22	Dodge & Cox Income Fund DODIX	See Endnote	Sale	08/17/2022	No	\$15,001 - \$50,000
23	Federated Hermes Instl High Yield Bond Instl Shares (IS) FIHBX	See Endnote	Sale	08/17/2022	No	\$1,001 - \$15,000
24	Federated Hermes Short-Intermediate Total Return Bond Fund Institutional Shares (FGCIX)	See Endnote	Sale	08/17/2022	No	\$1,001 - \$15,000
25	JPMorgan Equity Income Fund Class R5 Shares (OIERX)	See Endnote	Sale	08/17/2022	No	\$15,001 - \$50,000
26	PIMCO International Bond Fund (U.S. Dollar- Hedged) Inst (PFORX)	See Endnote	Sale	08/17/2022	No	\$1,001 - \$15,000
27	Principal Real Estate Securities Fund Institutional Class Shares (PIREX)	See Endnote	Sale	08/17/2022	No	\$1,001 - \$15,000
28	Putnam Growth Opportunities Fund Class Y Shares (PGOYX)	See Endnote	Sale	08/17/2022	No	\$15,001 - \$50,000
29	Royce International Premier Fund Institutional Class Shares (RIPIX)	See Endnote	Sale	08/17/2022	No	\$1,001 - \$15,000
30	Vanguard GNMA Fund Admiral Shares (VFIJX)	See Endnote	Sale	08/17/2022	No	\$1,001 - \$15,000
31	Vanguard International Growth Fund Admiral Shares (VWILX)	See Endnote	Sale	08/17/2022	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
32	Vanguard Small-Cap Index Fund Admiral Shares (VSMAX)	See Endnote	Sale	08/17/2022	No	\$1,001 - \$15,000
33	Victory Sycamore Established Value Fund Class I Shares (VEVIX)	See Endnote	Sale	08/17/2022	No	\$1,001 - \$15,000

Endnotes

PART	#	ENDNOTE
Transactions	1	Accretion.
Transactions	2	Accretion
Transactions	3	Accretion
Transactions	4	Accretion
Transactions	5	Accretion
Transactions	6	Purchased in August 2022 and now partial sale.
Transactions	7	Purchased in August 2022 and now partial sale.
Transactions	8	Purchased new in August 2022 and now all sold. NWML IRA.
Transactions	9	All shares of this fund were rolled over from BAE Systems 401(k) to a new NWML IRA.
Transactions	10	All shares of this fund were rolled over from BAE Systems 401(k) to a new NWML IRA.
Transactions	11	All sold.
Transactions	12	New. BAE 401k. No ticker - collective investment trust.
Transactions	13	All sold.
Transactions	14	New. BAE 401k. No ticker - collective investment trust.
Transactions	15	Purchased in March 2022 and now all sold and proceeds rolled over from BAE Systems 401(k) to a new NWML IRA. Decline in value from purchase date is due to market forces.

PART	#	ENDNOTE
Transactions	16	Purchased in March 2022 and now all sold and proceeds rolled over from BAE Systems 401(k) to a new NWML IRA.
Transactions	17	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA. Value category of this asset declined from 12/31/2021, due to market forces.
Transactions	18	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
Transactions	19	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
Transactions	20	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
Transactions	21	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
Transactions	22	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
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Transactions	26	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
Transactions	27	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
Transactions	28	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
Transactions	29	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
Transactions	30	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
Transactions	31	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA. Value category of this asset declined from 12/31/2021, due to market forces.
Transactions	32	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.
Transactions	33	All sold and proceeds rolled over from Technology & Business Solutions 401(k) to a new NWML IRA.

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
