

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Lew, Jacob

Ambassador to Israel, Department of State

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Foreign Relations
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Lew, Jacob [electronically signed on 08/09/2023 by Lew, Jacob in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Huitema, David, Certifying Official [electronically signed on 09/29/2023 by Huitema, David in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 09/29/2023 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Columbia University School of International and Public Affairs		New York, New York	University/College	Visiting Professor	1/2017	Present
2	Lindsay Goldberg LLC		New York, New York	Investment Firm	Managing Partner	11/2017	Present
3	Center on Budget and Policy Priorities	See Endnote	Washington, District of Columbia	Non-Profit	Board Member	1/2018	Present
4	Financial Services Volunteer Corp	See Endnote	New York, New York	Non-Profit	Board Member	1/2018	Present
5	National Committee on US China Relations	See Endnote	New York, New York	Non-Profit	Board Member	1/2018	Present
6	Harry Walker Agency		New York, New York	Speakers Bureau	Speaker	1/2017	Present
7	National Library of Israel USA	See Endnote	New York, New York	Non-Profit	Board Member	1/2018	Present
8	Blend Labs Inc		San Francisco, California	Corporation	Informal Advisor	1/2019	Present
9	Galen Mental Health, LLC	See Endnote	Coral Gables, Florida	Corporation	Board Member	11/2021	Present
10	Creation Technologies	See Endnote	Boston, Massachusetts	Corporation	Board Member	10/2019	Present
11	Stelco Holdings Inc		Ontario, Outside U.S.	Corporation	Board Member	5/2018	6/2022
12	Revocable Trust #1		New York, New York	Trust	Trustee	9/2022	Present
13	Family Trust #1		New York, New York	Trust	Trustee	9/2022	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Columbia University School of International and Public Affairs	N/A		Salary	\$268,875
2	Lindsay Goldberg LLC	N/A		Salary/Bonus	\$8,127,401
3	Lindsay Goldberg GP V LLC, Carried and Capital Interest	See Endnote	No	None (or less than \$1,001)	Dividends \$15,001 - \$50,000
4	Morgan Stanley SEP IRA	No			
4.1	I Shares Floating Rate ETF (FLOT)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.2	US Treasury Note	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.3	MSIF Ultra Short Income (MUAIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.4	U.S. bank deposit program (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	Morgan Stanley IRA	No			
5.1	Invesco QQQ (QQQ)	Yes	\$100,001 - \$250,000		None (or less than \$201)
5.2	IShares Floating Rate ETD (FLOT)	Yes	\$100,001 - \$250,000		None (or less than \$201)
5.3	IShares Russell 2000 ETF (IWM)	Yes	\$50,001 - \$100,000		None (or less than \$201)
5.4	SPDR DIA Trust (DIA)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.5	SPDR S&P 500 (SPY)	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.6	SPDR S&P Midcap (MDY)	Yes	\$50,001 - \$100,000		None (or less than \$201)
5.7	US Treasury Note	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.8	MSIF Ultra-short Income (MUAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.9	U.S. bank deposit program (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	Goldberg Lindsay & Co. LLC 401(k) Profit Sharing Plan	No			
6.1	Vanguard Target Retirement 2020 (VTW NX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
6.2	FID Total Intl IDX (FTIHX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	Columbia University Voluntary Retirement Savings (TIAA)	No			
7.1	Vanguard Target Retirement Plan (VTW NX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	Retirement Plan for Officers of Columbia University (TIAA CREF)	No			
8.1	Vanguard Target Retirement 2020 (VTW NX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
9	NYU 457(B) TIAA CREF	No			
9.1	CREF Global Equities (QCGLIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
9.2	CREF Growth (QCGRIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.3	CREF Stock (QCSTIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10	NYU Retirement Plan (TIAA CREF)	No			
10.1	CREF Equity Index (QCEQIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
10.2	CREF Global Equities (QCGLIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
10.3	CREF Growth (QCGRIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
10.4	CREF Stock (QCSTIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
10.5	TIAA Traditional	N/A	\$100,001 - \$250,000		None (or less than \$201)
10.6	Vanguard Midcap Growth (VMGMX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
11	NYU STDA Plan (TIAA CREF)	No			
11.1	CREF Equity Index (QCEQIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.2	CREF Global Equities (QCGLIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
11.3	CREF Growth (QCGRIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
11.4	CREF Stock (QCSTIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
11.5	Vanguard Extended Market Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.6	Vanguard Midcap Growth (VMGMX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
12	Center for Middle East Research Defined contribution plan	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.1	CREF Equiy Index (QCEQRX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
12.2	CREF Global Equities (QCGLRX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
12.3	CREF Growth (QCGRRX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
12.4	CREF Stock (QCSTRX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
13	Lindsay Goldberg GP IV LLC carried interest (value not readily ascertainable): 20% of profits over 8% hurdle rate	No		cash distributions	\$3,287,000
13.1	Lindsay Goldberg IV LP	No			
13.1.1	LG Atlas Flexibles I LP	See Endnote			
13.1.1.1	LG Amsterdam Holdings I LP	No			
13.1.1.1.1	Amsterdam FS Investments BV (Liveo Research) (films and plastic packaging)	N/A			
13.1.1.1.2	LG Curacao Holdco BV	No			
13.1.1.1.2.1	LG Atlas Coop Holdco LLC	No			
13.1.1.1.2.1.1	Atlas Flexibles Cooperatief UA	No			
13.1.1.1.2.1.1.1	020 BEA Holdco BV	No			
13.1.1.1.2.1.1.1.1	020 BEA BV (Paccor) (packaging solutions) (sold in 2022)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.1.1. 1.2.1.1. 2	Rander Amsterdam BV See Endnote	No			
13.1.1. 1.2.1.1. 2.1	Voler Amsterdam BV	No			
13.1.1. 1.2.1.1. 2.1.1	Tablet Packaging I BV	No			
13.1.1. 1.2.1.1. 2.1.1.1	Tablet Packaging II BV	No			
13.1.1. 1.2.1.1. 2.1.1.1. 1	Liveo Research Swiss I AG	No			
13.1.1. 1.2.1.1. 2.1.1.1. 1.1	Liveo Research Holding AG	No			
13.1.1. 1.2.1.1. 2.1.1.1. 1.1.1	Liveo Research AG (films and plastic packaging)	N/A			
13.1.1. 1.2.1.1. 2.1.1.1. 2	Liveo Research Swiss II AG	No			
13.1.1. 1.2.1.1. 2.1.1.1. 2.1	Liveo Research Holding AG (see underlying holding listed on line 13.1.1.1.2.1.1.2.1.1.1.1.1)	No			
13.1.1. 1.2.2	Atlas Flexibles Cooperatief UA (see underlying holdings listed on line 13.1.1.1.2.1.1)	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.1.1.2	LG Amsterdam Holdings GP LLC	No			
13.1.1.2.1	LG Amsterdam Holdings I LP (see underlying holdings listed on line 13.1.1.1)	No			
13.1.2	LG Atlas Flexibles GP LLC	No			
13.1.2.1	LG Atlas Flexibles I LP (see underlying holdings listed on line 13.1.1)	No			
13.1.3	Lindsay Goldberg GWPG Aggregator LP	See Endnote			
13.1.3.1	GWPG Blocker Corporation LLC	No			
13.1.3.1.1	GWPG Aggregator LLC	No			
13.1.3.1.1.1	GWPG HoldCo LLC	No			
13.1.3.1.1.1.1	GWPG Intermediate LLC	No			
13.1.3.1.1.1.1.1	Golden West Packaging Group LLC (custom packaging)	N/A			
13.1.3.1.2	GWPG Management Holdings LLC	No			
13.1.3.1.2.1	GWPG Aggregator LLC (see underlying holdings listed on line 13.1.3.1.1)	No			
13.1.3.2	GWPG Holdings Company LLC	No			
13.1.3.2.1	GWPG Aggregator LLC (see underlying holdings listed on line 13.1.3.1.1)	No			
13.1.3.3	LG GWPG Note Holdings LLC (no underlying assets)	N/A			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.1.4	Lindsay Goldberg GWPG Aggregator GP LLC		No			
13.1.4.1	Lindsay Goldberg GWPG Aggregator LP (see underlying holdings listed on line 13.1.3)		No			
13.1.5	LG Women's Care Holdings LP (Women's Healthcare Investments, Inc.) (healthcare [sold])	See Endnote	N/A			
13.1.6	LG Women's Care Holdings GP LLC		No			
13.1.6.1	LG Women's Care Holdings LP (Women's Healthcare Investments, Inc.) (healthcare [sold])		N/A			
13.1.7	LG PTSH LP	See Endnote	No			
13.1.7.1	Magnolia (MH) Holdings, LP		No			
13.1.7.1.1	Magnolia Parent, Inc.		No			
13.1.7.1.1.1	Magnolia Buyer Inc.		No			
13.1.7.1.1.1.1	PTSH Parent Holdings Corp.		No			
13.1.7.1.1.1.1.1	PTSH Holdings Blocker, Inc.		No			
13.1.7.1.1.1.1.1.1	PTSH Holdings, LLC		No			
13.1.7.1.1.1.1.1.1.1	PTSH Intermediate Holdings, LLC		No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.1.7. 1.1.1.1. 1.1.1.1	PT Solutions Holdings, LLC (PT Solutions) (physical therapy)	N/A			
13.1.7. 1.1.1.1. 2	PTSH Holdings, LLC (see underlying holdings listed on line 13.1.7.1.1.1.1.1)	No			
13.1.8	LG PTSH GP LLC	No			
13.1.8. 1	LG PTSH LP (see underlying holdings listed on line 13.1.7)	No			
13.1.9	LG Affordable Suites Holdings LLC	See Endnote			
13.1.9. 1	LG Affordable Suites Brand LP	No			
13.1.9. 1.1	AS Management Parent LLC	No			
13.1.9. 1.1.1	AS Manager LLC (hospitality)	N/A			
13.1.9. 1.2	LG AS Brand Parent LLC	No			
13.1.9. 1.2.1	LG AS Franchisor LLC (stayAPT Suites) (hospitality)	N/A			
13.1.10	LG Creation Holdings LP	See Endnote			
13.1.10 .1	Creation Holdings LP	No			
13.1.10 .1.1	Creation Holdings Inc.	No			
13.1.10 .1.1.1	Creation Intermediate Holdings Inc.	No			
13.1.10 .1.1.1.1	Creation Technologies Inc. (electronic manufacturing)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.1.10 .2	Creation GP Holdings LLC	No			
13.1.10 .2.1	Creation Holdings LP (see underlying holdings listed on line 13.1.10.1)	No			
13.1.10 .2.2	Creation Management Holdings LP	No			
13.1.10 .2.2.1	Creation Holdings LP (see underlying holdings listed on line 13.1.10.1)	No			
13.1.10 .3	LG Creation Note Holdings LLC	No			
13.1.10 .3.1	Creation Technologies Inc. Term Loan B debt, due 10/5/2028 yield 3M LIBOR + 550bps	N/A			
13.1.11	LG Creation GP LLC	No			
13.1.11 .1	LG Creation Holdings LP (see underlying holdings listed on line 13.1.10.3.1)	No			
13.1.12	LG Amentum Holdings LP	See Endnote			
13.1.12 .1	Amentum Joint Venture LP	No			
13.1.12 .1.1	Amentum Parent Holdings LLC	No			
13.1.12 .1.1.1	Amentum Holdings LLC	No			
13.1.12 .1.1.1.1	Amentum Government Services Parent Holdings LLC	No			
13.1.12 .1.1.1.1 .1	Amentum SPV LLC	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.1.12 .1.1.1.1 .1.1	Amentum Government Services Holdings LLC	No			
13.1.12 .1.1.1.1 .1.1.1	Amentum Government Services Holdings Sub LLC	No			
13.1.12 .1.1.1.1 .1.1.1.1	Amentum Services, Inc. (government services)	N/A			
13.1.12 .1.1.1.1 .1.1.1.2	Amentum Government Services, Inc. (government services)	N/A			
13.1.12 .1.1.1.1 .1.1.1.3	MT Holding Corp. (government services)	N/A			
13.1.12 .1.1.1.2	Amentum N&E Holdings LLC	No			
13.1.12 .1.1.1.2 .1	Amentum N&E UK Holdings Ltd.	No			
13.1.12 .1.1.1.2 .1.1	Amentum International Holdings (UK) Ltd. (government services)	N/A			
13.1.12 .1.1.1.2 .2	Amentum Nuclear & Environment Holdings, Inc. (government services)	N/A			
13.1.12 .2	Amentum Joint Venture GP LLC	No			
13.1.12 .2.1	Amentum Joint Venture LP (see underlying holdings listed on line 13.1.12.1)	No			
13.1.13	LG Amentum Holdings GP LLC	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.1.13 .1	LG Amentum Holdings LP (see underlying holdings listed on line 13.1.12)	No			
13.1.14	LG Delta LP (no underlying assets)	N/A			
13.1.15	LG Delta GP LLC	No			
13.1.15 .1	LG Delta LP (no underlying assets)	N/A			
13.1.16	Curacao Management Holdings I BV (asset management)	N/A			
13.1.17	Amsterdam Consulting MS B.V. (asset management)	N/A			
13.2	Lindsay Goldberg IV - A LP	No			
13.2.1	LG Atlas Flexibles I LP (see underlying holdings listed on line 13.1.1)	No			
13.2.2	LG Atlas Flexibles GP LLC (see underlying holdings listed on line 13.1.2)	No			
13.2.3	Lindsay Goldberg GWPG Aggregator LP (see underlying holdings listed on line 13.1.3)	No			
13.2.4	Lindsay Goldberg GWPG Aggregator GP LLC (see underlying holdings listed on line 13.1.4)	No			
13.2.5	LG Women's Care Holdings LP (Women's Healthcare Investments, Inc.) (healthcare [sold])	N/A			
13.2.6	LG Women's Care Holdings GP LLC (see underlying holding listed on line 13.1.6)	No			
13.2.7	LG PTSH LP (see underlying holdings listed on line 13.1.7)	No			
13.2.8	LG PTSH GP LLC (see underlying holdings listed on line 13.1.8)	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.2.9	LG Affordable Suites Holdings LLC (see underlying holdings listed on line 13.1.9)	No			
13.2.10	LG Creation Holdings LP (see underlying holdings listed on line 13.1.10)	No			
13.2.11	LG Creation GP LLC (see underlying holdings listed on line 13.1.11)	No			
13.2.12	LG Amentum Holdings LP (see underlying holdings listed on line 13.1.12)	No			
13.2.13	LG Amentum Holdings GP LLC (see underlying holdings listed on line 13.1.13)	No			
13.2.14	LG Delta LP (no underlying assets)	N/A			
13.2.15	LG Delta GP LLC (see underlying holding listed on line 13.1.15)	No			
13.2.16	Curacao Management Holdings I BV (asset management)	N/A			
13.2.17	Amsterdam Consulting MS B.V. (asset management)	N/A			
13.3	Lindsay Goldberg IV - PCF LP	No			
13.3.1	LG Atlas Flexibles I LP (see underlying holdings listed on line 13.1.1)	No			
13.3.2	LG Atlas Flexibles GP LLC (see underlying holdings listed on line 13.1.2)	No			
13.3.3	LG Women's Care Holdings LP (Women's Healthcare Investments, Inc.) (healthcare [sold])	N/A			
13.3.4	LG Women's Care Holdings GP LLC (see underlying holding listed on line 13.1.6)	No			
13.3.5	LG PTSH LP (see underlying holdings listed on line 13.1.7)	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.3.6	LG PTSH GP LLC (see underlying holdings listed on line 13.1.8)	No			
13.3.7	LG Creation Holdings LP (see underlying holdings listed on line 13.1.10)	No			
13.3.8	LG Creation GP LLC (see underlying holdings listed on line 13.1.11)	No			
13.3.9	LG Amentum Holdings LP (see underlying holdings listed on line 13.1.12)	No			
13.3.10	LG Amentum Holdings GP LLC (see underlying holdings listed on line 13.1.13)	No			
13.3.11	Curacao Management Holdings I BV (asset management)	N/A			
13.3.12	Amsterdam Consulting MS B.V. (asset management)	N/A			
13.4	Lindsay Goldberg Co-Inv. IV LP	No			
13.4.1	LG Atlas Flexibles I LP (see underlying holdings listed on line 13.1.1)	No			
13.4.2	LG Atlas Flexibles GP LLC (see underlying holdings listed on line 13.1.2)	No			
13.4.3	LG Women's Care Holdings LP (Women's Healthcare Investments, Inc.) (healthcare [sold])	N/A			
13.4.4	LG Women's Care Holdings GP LLC (see underlying holding listed on line 13.1.6)	No			
13.4.5	LG PTSH LP (see underlying holdings listed on line 13.1.7)	No			
13.4.6	LG PTSH GP LLC (see underlying holdings listed on line 13.1.8)	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.4.7	LG Creation Holdings LP (see underlying holdings listed on line 13.1.10)	No			
13.4.8	LG Creation GP LLC (see underlying holdings listed on line 13.1.11)	No			
13.4.9	LG Amentum Holdings LP (see underlying holdings listed on line 13.1.12)	No			
13.4.10	LG Amentum Holdings GP LLC (see underlying holdings listed on line 13.1.13)	No			
13.4.11	LG SNF Holdings LP (see underlying holding listed on line 13.14.1)	No			
13.4.12	LG SNF Holdings GP LLC (see underlying holding listed on line 13.14.2)	No			
13.4.13	LG Affordable Suites REIT LP (see underlying holding listed on line 13.14.3)	No			
13.4.14	LG Affordable Suites REIT GP LLC (see underlying holding listed on line 13.14.4)	No			
13.5	Lindsay Goldberg Employee Co-Inv. IV LP	No			
13.5.1	LG Atlas Flexibles I LP (see underlying holdings listed on line 13.1.1)	No			
13.5.2	LG Atlas Flexibles GP LLC (see underlying holdings listed on line 13.1.2)	No			
13.5.3	LG Women's Care Holdings LP (Women's Healthcare Investments, Inc.) (healthcare [sold])	N/A			
13.5.4	LG Women's Care Holdings GP LLC (see underlying holding listed on line 13.1.6)	No			
13.5.5	LG PTSH LP (see underlying holdings listed on line 13.1.7)	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.5.6	LG PTSH GP LLC (see underlying holdings listed on line 13.1.8)	No			
13.5.7	LG Creation Holdings LP (see underlying holdings listed on line 13.1.10)	No			
13.5.8	LG Creation GP LLC (see underlying holdings listed on line 13.1.11)	No			
13.5.9	LG Amentum Holdings LP (see underlying holdings listed on line 13.1.12)	No			
13.5.10	LG Amentum Holdings GP LLC (see underlying holdings listed on line 13.1.13)	No			
13.5.11	Lindsay Goldberg GWPG Aggregator AIV LP (see underlying holdings listed on line 13.8.1)	No			
13.5.12	Lindsay Goldberg GWPG AIV GP LLC (see underlying holdings listed on line 13.8.2)	No			
13.5.13	LG Pixelle AIV LP (Pixelle Specialty Solutions Holdings LLC) (specialty paper) (sold)	N/A			
13.5.14	LG Pixelle AIV GP LLC (see underlying holding listed on line 13.8.4)	No			
13.5.15	LG Affordable Suites LP (see underlying holdings listed on line 13.8.5)	No			
13.5.16	LG Affordable Suites GP LLC (see underlying holdings listed on line 13.8.6)	No			
13.5.17	LG Delta AIV LP (no underlying assets)	N/A			
13.5.18	LG Delta AIV GP LLC (see underlying holding listed on line 13.8.8)	No			
13.5.19	LG SNF Holdings LP (see underlying holding listed on line 13.14.1)	No			
13.5.20	LG SNF Holdings GP LLC (see underlying holding listed on line 13.14.2)	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.5.21	LG Affordable Suites REIT LP (see underlying holding listed on line 13.14.3)	No			
13.5.22	LG Affordable Suites REIT GP LLC (see underlying holding listed on line 13.14.4)	No			
13.6	Lindsay Goldberg WCF Co-Inv. LP	No			
13.6.1	LG Women's Care Holdings LP (Women's Healthcare Investments, Inc.) (healthcare [sold])	N/A			
13.6.2	LG Women's Care Holdings GP LLC (see underlying holding listed on line 13.1.6)	No			
13.7	LG Co-Inv. A LP	No			
13.7.1	LG Atlas Flexibles I LP (see underlying holdings listed on line 13.1.1)	No			
13.7.2	LG Women's Care Holdings LP (Women's Healthcare Investments, Inc.) (healthcare [sold])	N/A			
13.7.3	LG Creation Holdings LP (see underlying holdings listed on line 13.1.10)	No			
13.7.4	LG Creation GP LLC (see underlying holdings listed on line 13.1.11)	No			
13.7.5	LG Amentum Holdings LP (see underlying holdings listed on line 13.1.12)	No			
13.7.6	LG Amentum Holdings GP LLC (see underlying holdings listed on line 13.1.13)	No			
13.7.7	LG Pixelle AIV LP (Pixelle Specialty Solutions Holdings LLC) (specialty paper) (sold)	N/A			
13.7.8	Lindsay Goldberg GWPG Aggregator AIV LP (see underlying holdings listed on line 13.8.1)	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.7.9	LG Affordable Suites LP (see underlying holdings listed on line 13.8.5)	No			
13.7.10	LG Affordable Suites REIT LP (see underlying holding listed on line 13.14.3)	No			
13.8	Lindsay Goldberg IV AIV LP	No			
13.8.1	Lindsay Goldberg GWPG Aggregator AIV LP	No			
13.8.1.1	GWPG Holdings Company LLC (see underlying holdings listed on line 13.1.3.2)	No			
13.8.1.2	LG GWPG Note Holdings LLC (no underlying assets)	N/A			
13.8.1.3	GWPG Aggregator LLC (see underlying holdings listed on line 13.1.3.1.1)	No			
13.8.2	Lindsay Goldberg GWPG AIV GP LLC	No			
13.8.2.1	Lindsay Goldberg GWPG Aggregator AIV LP (see underlying holdings listed on line 13.8.1)	No			
13.8.3	LG Pixelle AIV LP (Pixelle Specialty Solutions Holdings LLC) (specialty paper) (sold)	N/A			
13.8.4	LG Pixelle AIV GP LLC	No			
13.8.4.1	LG Pixelle AIV LP (Pixelle Specialty Solutions Holdings LLC) (specialty paper) (sold)	N/A			
13.8.5	LG Affordable Suites LP	No			
13.8.5.1	LG Affordable Suites Brand LP (see underlying holdings listed on line 13.1.9.1)	No			
13.8.6	LG Affordable Suites GP LLC	No			
13.8.6.1	LG Affordable Suites LP (see underlying holdings listed on line 13.8.5)	No			
13.8.7	LG Delta AIV LP (no underlying assets)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.8.8	LG Delta AIV GP LLC	No			
13.8.8.1	LG Delta AIV LP (no underlying assets)	N/A			
13.9	Lindsay Goldberg IV - A AIV LP	No			
13.9.1	Lindsay Goldberg GWPG Aggregator AIV LP (see underlying holdings listed on line 13.8.1)	No			
13.9.2	Lindsay Goldberg GWPG AIV GP LLC (see underlying holdings listed on line 13.8.2)	No			
13.9.3	LG Pixelle AIV LP (Pixelle Specialty Solutions Holdings LLC) (specialty paper) (sold)	N/A			
13.9.4	LG Pixelle AIV GP LLC (see underlying holding listed on line 13.8.4)	No			
13.9.5	LG Delta AIV LP (no underlying assets)	N/A			
13.9.6	LG Delta AIV GP LLC (see underlying holding listed on line 13.8.8)	No			
13.10	Lindsay Goldberg IV - PCF AIV LP	No			
13.10.1	Lindsay Goldberg GWPG Aggregator AIV LP (see underlying holdings listed on line 13.8.1)	No			
13.10.2	Lindsay Goldberg GWPG AIV GP LLC (see underlying holdings listed on line 13.8.2)	No			
13.10.3	LG Pixelle AIV LP (Pixelle Specialty Solutions Holdings LLC) (specialty paper) (sold)	N/A			
13.10.4	LG Pixelle AIV GP LLC (see underlying holding listed on line 13.8.4)	No			
13.10.5	LG Affordable Suites LP (see underlying holdings listed on line 13.8.5)	No			
13.10.6	LG Affordable Suites GP LLC (see underlying holdings listed on line 13.8.6)	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.10.7	LG Delta AIV LP (no underlying assets)	N/A			
13.10.8	LG Delta AIV GP LLC (see underlying holding listed on line 13.8.8)	No			
13.11	Lindsay Goldberg Co-Inv. IV AIV LP	No			
13.11.1	Lindsay Goldberg GWPG Aggregator AIV LP (see underlying holdings listed on line 13.8.1)	No			
13.11.2	Lindsay Goldberg GWPG AIV GP LLC (see underlying holdings listed on line 13.8.2)	No			
13.11.3	LG Pixelle AIV LP (Pixelle Specialty Solutions Holdings LLC) (specialty paper) (sold)	N/A			
13.11.4	LG Pixelle AIV GP LLC (see underlying holding listed on line 13.8.4)	No			
13.11.5	LG Affordable Suites LP (see underlying holdings listed on line 13.8.5)	No			
13.11.6	LG Affordable Suites GP LLC (see underlying holdings listed on line 13.8.6)	No			
13.11.7	LG Delta AIV LP (no underlying assets)	N/A			
13.11.8	LG Delta AIV GP LLC (see underlying holding listed on line 13.8.8)	No			
13.12	Lindsay Goldberg IV Pixelle AIV LP (Pixelle Specialty Solutions Holdings LLC) (specialty paper) (sold)	N/A			
13.13	Lindsay Goldberg IV - A Pixelle AIV LP (Pixelle Specialty Solutions Holdings LLC) (specialty paper) (sold)	N/A			
13.14	Lindsay Goldberg IV REIT AIV LP	No			
13.14.1	LG SNF Holdings LP	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.14.1 .1	LG Second Spring Holding LLC	No			
13.14.1 .1.1	LG-OHI Holdings LP (no underlying assets)	N/A			
13.14.1 .1.2	LG-OHI Holding GP LLC	No			
13.14.1 .1.2.1	LG-OHI Holdings LP	No			
13.14.1 .1.2.1.1	LG-OHI Master Holdings LLC (holds purchase money note issued by CCGEN Holdings II LLC)	N/A			
13.14.1 .1.2.1.2	LG-OHI Junior Holdings LLC (holds purchase money note issued by CCGEN Holdings II Member, LLC)	N/A			
13.14.2	LG SNF Holdings GP LLC	No			
13.14.2 .1	LG SNF Holdings LP (see underlying holdings listed on line 13.14.1)	No			
13.14.3	LG Affordable Suites REIT LP	No			
13.14.3 .1	LG AS REIT LLC (no underlying assets)	N/A			
13.14.4	LG Affordable Suites REIT GP LLC	No			
13.14.4 .1	LG Affordable Suites REIT LP (see underlying holding listed on line 13.14.3)	No			
13.15	Lindsay Goldberg IV - A REIT AIV LP	No			
13.15.1	LG SNF Holdings LP (see underlying holding listed on line 13.14.1)	No			
13.15.2	LG SNF Holdings GP LLC (see underlying holding listed on line 13.14.2)	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.15.3	LG Affordable Suites REIT LP (see underlying holding listed on line 13.14.3)	No			
13.15.4	LG Affordable Suites REIT GP LLC (see underlying holding listed on line 13.14.4)	No			
13.16	Lindsay Goldberg IV - PCF REIT AIV LP	No			
13.16.1	LG SNF Holdings LP (see underlying holding listed on line 13.14.1)	No			
13.16.2	LG SNF Holdings GP LLC (see underlying holdings listed on line 13.14.2)	No			
13.16.3	LG Affordable Suites REIT LP (see underlying holding listed on line 13.14.3)	No			
13.16.4	LG Affordable Suites REIT GP LLC (see underlying holding listed on line 13.14.4)	No			
13.17	LG GP IV LLC	No			
13.17.1	LG IV - A Offshore Blocker LP	No			
13.17.1 .1	Lindsay Goldberg IV - A Pixelle AIV LP (Pixelle Specialty Solutions Holdings LLC) (specialty paper) (sold)	N/A			
13.17.2	LG IV - A HV REIT LP	No			
13.17.2 .1	Lindsay Goldberg IV - A REIT AIV LP	No			
13.17.2 .1.1	LG SNF Holdings LP (see underlying holdings listed on line 13.14.1)	No			
13.17.2 .1.2	LG SNF Holdings GP LLC (see underlying holdings listed on line 13.14.2)	No			
13.17.3	LG IV - AS Offshore Blocker LP	No			
13.17.3 .1	Lindsay Goldberg IV - A REIT AIV LP	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.17.3 .1.1	LG Affordable Suites REIT LP (see underlying holding listed on line 13.14.3)	No			
13.17.3 .1.2	LG Affordable Suites REIT GP LLC (see underlying holding listed on line 13.14.4)	No			
14	Lindsay Goldberg GP IV LLC profits interest (value not readily ascertainable): approx 0.14% of profits after return of capital; see line 13 for holdings	N/A		Capital Gains Dividends Interest	\$1,000,001 - \$5,000,000
15	Lindsay Goldberg GP IV Offshore AIV LLC carried interest (value not readily ascertainable): 20% of profits over 8% hurdle rate	No		cash distributions	\$997,601
15.1	Lindsay Goldberg IV Offshore AIV LP	No			
15.1.1	LG Bedrock Holdings Offshore AIV LP	No			
15.1.1. 1	LG Bedrock Holdings LP	No			
15.1.1. 1.1	Stelco Holdings Inc. (steel products)	N/A			
15.1.1. 2	LG Bedrock Holdings GP LLC	No			
15.1.1. 2.1	LG Bedrock Holdings LP (see underlying holdings listed on line 15.1.1.1)	No			
15.1.1. 3	Bedrock Industries Offshore AIV LP (no underlying assets)	N/A			
15.1.1. 4	Bedrock Industries GP Offshore AIV LLC	No			
15.1.1. 4.1	Bedrock Industries Offshore AIV LP (no underlying assets)	N/A			
15.1.2	LG Bedrock Holdings GP Offshore AIV LLC	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.1.2.1	LG Bedrock Holdings Offshore AIV LP (see underlying holdings list on line 15.1.1)	No			
15.2	Lindsay Goldberg IV - A Offshore AIV LP	No			
15.2.1	LG Bedrock Holdings Offshore AIV LP (see underlying holdings list on line 15.1.1)	No			
15.2.2	LG Bedrock Holdings GP Offshore AIV LLC (see underlying holdings listed on line 15.1.2)	No			
15.3	Lindsay Goldberg IV - PCF Offshore AIV LP	No			
15.3.1	LG Bedrock Holdings Offshore AIV LP (see underlying holdings list on line 15.1.1)	No			
15.3.2	LG Bedrock Holdings GP Offshore AIV LLC (see underlying holdings listed on line 15.1.2)	No			
15.4	Lindsay Goldberg Co-Inv. IV Offshore AIV LP	No			
15.4.1	LG Bedrock Holdings Offshore AIV LP (see underlying holdings list on line 15.1.1)	No			
15.4.2	LG Bedrock Holdings GP Offshore AIV LLC (see underlying holdings listed on line 15.1.2)	No			
15.5	Lindsay Goldberg Employee Co-Inv. IV Offshore AIV LP	No			
15.5.1	LG Bedrock Holdings Offshore AIV LP (see underlying holdings list on line 15.1.1)	No			
15.5.2	LG Bedrock Holdings GP Offshore AIV LLC (see underlying holdings listed on line 15.1.2)	No			
15.6	LG Bedrock Holdings Offshore AIV LP (carried interest only) (see underlying holdings listed on line 15.1.1)	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16	Lindsay Goldberg GP IV Offshore AIV LLC profits interest (value not readily ascertainable): approx 0.14% of profits after return of capital; see line 15 for holdings	N/A		Capital Gains Dividends Interest	\$1,000,001 - \$5,000,000
17	Lindsay Goldberg GP IV LLC undistributed profits; see line 13 for holdings	No	\$1,000,001 - \$5,000,000		None (or less than \$201)
18	Lindsay Goldberg GP IV Offshore AIV LLC undistributed profits; see line 15 for holdings	No	\$1,000,001 - \$5,000,000		None (or less than \$201)
19	Brokerage Acct #1	No			
19.1	Blend, vested stock options (consumer lending)	N/A	\$15,001 - \$50,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Columbia University School of International and Public Affairs	New York, New York	While I am in government, Columbia has agreed to convert my position from visiting professor to adjunct professor and to place me in a leave of absence status. While on leave of absence, I will receive no pay or benefits other than retention of the office space allotted to me by the University.	8/2023
2	Lindsay Goldberg LLC	New York, New York	If I resign on or before December 31, 2023, I will not receive a bonus for any portion of 2023 that I work for Lindsay Goldberg LLC. If I resign after December 31, 2023, I will only receive a bonus for 2023 if I am still at the firm when bonuses are paid in 2024 and I will not receive a bonus for calendar year 2024.	11/2017
3	Lindsay Goldberg LLC	New York, New York	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	11/2017

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
4	Columbia University Voluntary Retirement Savings (TIAA)	New York, New York	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	1/2017
5	Retirement Plan for Officers of Columbia University (TIAA CREF)	New York, New York	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	1/2017
6	NYU 457(B) TIAA CREF	New York, New York	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	5/2001
7	NYU Retirement Plan (TIAA CREF)	New York, New York	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	5/2001
8	NYU STDA Plan (TIAA CREF)	New York, New York	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	5/2001
9	Center for Middle East Research	Washington, District of Columbia	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	1/1992
10	Lindsay Goldberg GP IV LLC	New York, New York	Pursuant to my employment agreement and investment arrangements, I will retain my carried interest and a profit interest in Lindsay Goldberg GP IV LLC and Lindsay Goldberg GP IV Offshore AIV LLC. My carried interest in these entities entitles me to 20% of profits received by the entities if the investments meet the 8% hurdle rate. My profit interest entitles me to a 0.14% of profits from the funds' underlying holdings. The maximum percentage of my carried and profit interests were fixed as of the date they were granted.	11/2017
11	Blend; vested stock options	San Francisco, California	125,000 vested stock options administered for Blend by Charles Schwab. I will retain my vested stock options.	/2018
12	Lindsay Goldberg LLC	New York, New York	Lindsay Goldberg provides medical and vision insurance. The benefit will terminate on the final day of employment with Lindsay Goldberg.	11/2017

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
13	Lindsay Goldberg LLC	New York, New York	Lindsay Goldberg provides life and disability insurance. The benefit will terminate on the final day of employment with Lindsay Goldberg.	11/2017
14	Lindsay Goldberg LLC	New York, New York	The funds will pay out my undistributed profit interests according to applicable fund policy and practice.	11/2017

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Columbia University School of International and Public Affairs	New York, New York	Services as Visiting Professor
2	Lindsay Goldberg LLC	New York, New York	Services as Managing Partner
3	Harry Walker Agency	New York, New York	Services as speaker
4	Blend Labs Inc	San Francisco, California	Available for occasional services if requested (none have been requested). No cash compensation has been paid.
5	UBS	Zurich, Switzerland, Outside U.S.	Services as speaker
6	Deloitte Services	London, U.K., Outside U.S.	Services as speaker
7	CoBank	New York, New York	Services as speaker

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Quinnipiac University	N/A		salary	
2	IRA	No			
2.1	Invesco QQQ	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.2	IShares Floating Rate Bond (FLOT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.3	IShares Russell 2000 (IWM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	SPDR S&P 500 ETF TRUST (SPY)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.5	SPDR S&P Midcap (MDY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.6	MSIF Ultra-short income (MUAIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.7	U.S. bank deposit program (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.8	SPDR DJIA TRUST (DIA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	NYU Retirement Plan	No			
3.1	CREF Equity Index (QCEQIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	CREF Global Equities	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.3	CREF Growth (QCGRIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	CREF Stock (QCSTIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.5	Vanguard extended Market Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	Vanguard Midcap Growth (VMGMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	NYU STDA Plan TIAA CREF	No			
4.1	CREF Equity (QCEQIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.2	CREF Growth ((QCGRIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.3	CREF Stock (QCSTIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.4	Vanguard Midcap Growth Index (VMGMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.5	Vanguard Value Index Institutional (VIVIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
5	Quinnipiac TIAA CREF	No			
5.1	CREF Growth (QCGRPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.2	CREF Stock (QCSTPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.3	CREF Stock (QCSTFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.4	TIAA CREF S&P Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.5	Vanguard Target Retirement 2020 (VTW NX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6	Quinnipiac Tax Preferred Annuity Plan TIAA CREF	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.1	Vanguard target retirement 2020 (VTWNX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
7	Lab School of Washington TIAA CREF	No			
7.1	CREF Equity Index (QCEQPX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
7.2	CREF Global Equities (QCGLPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.3	CREF Growth (QCGRPX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
7.4	CREF Stock (QCSTPX)	Yes	\$100,001 - \$250,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Personal loan to Mr. and Mrs. Lopez	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
2	Revocable Trust #1	No			
2.1	Brokerage Acct #2	No			
2.1.1	UNITED STATES TREASURY NOTE	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
2.1.2	UNITED STATES TREASURY NOTE	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
2.1.3	UNITED STATES TREASURY NOTE	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
2.1.4	UNITED STATES TREASURY NOTE	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.1.5	MSIF ULTRA-SHORT INCOME A (MUAIX)	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
2.1.6	VANGUARD NY MUNI MMKT (VYFXX)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
2.1.7	US Bank deposit program (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
2.2	Brokerage Acct #3	No			
2.2.1	BALTIMORE CNTY MD GENL OBLIG CPN BOND: 5.000% Due : 3/1/2034 Callable at \$100.000 on 3/1/2032	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
2.2.2	BAY AREA TOLL AUTH CALIF TOLL BRDG REV CPN BOND: 4.000% Due : 4/1/2032 Callable at \$100.000 on 4/1/2027	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
2.2.3	U.S. bank (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
2.2.4	DALLAS CNTY TEX ID 09-01-16 CPN BOND: 5.000% Due : 8/15/2028 Callable at \$100.000 on 8/15/2026	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
2.2.5	DORMITORY AUTH OF ST NY UNIV REV-A CPN BOND: 5.000% Due : 7/1/2029 Callable at \$100.000 on 7/1/2025	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
2.2.6	DUVAL CNTY FLA SCH BRD CTFS PARTN CPN BOND: 5.000% Due : 7/1/2033 Callable at \$100.000 on 7/1/2030	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
2.2.7	FLORIDA ST MUN PWR AGY REV REF-B CPN BOND: 5.000% Due : 10/1/2027	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
2.2.8	HOUSTON TEX PUB IMP GENL OBLIGREF-A CPN BOND: 5.000% Due : 3/1/2029 Callable at \$100.000 on 3/1/2027	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.2.9	IDAHO HSG & FIN ASSN REV-A CPN BOND: 5.000% Due : 7/15/2034 Callable at \$100.000 on 7/15/2031	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
2.2.10	IOWA ST BRD REGENTS HOSP REV REF-C CPN BOND: 5.000% Due : 9/1/2034 Callable at \$100.000 on 9/1/2031	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
2.2.11	LOS ANGELES CALIF UNI SCH DIST CPN BOND: 5.000% Due : 7/1/2031 Callable at \$100.000 on 7/1/2030	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
2.2.12	MARYLAND ST DEPT TRANSN CONS TRANSN REV CPN BOND: 5.000% Due : 9/1/2030 Callable at \$100.000 on 9/1/2027	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
2.2.13	MASSACHUSETTS DEV FIN AGY HARVARD UNIV REV-A CPN BOND: 5.000% Due : 7/15/2025	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
2.2.14	NASSAU CNTY N Y IMPT GENL OBLIG-A CPN BOND: 5.000% Due : 4/1/2024	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
2.2.15	NEW MEXICO ST SEVERANCE TAX CPN BOND: 5.000% Due : 7/1/2024	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
2.2.16	NEW YORK CITY MUN WTR FIN AUTHSWR SYS SECD GENL RES REV-HH CPN BOND: 5.000% Due : 6/15/2028 Callable at \$100.000 on 6/15/2025	N/A	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000
2.2.17	NEW YORK CITY MUNI WTR FIN AUTH SWR SECOND GENL RES REV-FF CPN BOND: 5.000% Due : 6/15/2028 Callable at \$100.000 on 6/15/2025	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
2.2.18	NEW YORK N Y CPN BOND: 5.000% Due : 8/1/2031	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
2.2.19	NEW YORK N Y CITY MUN WTR FIN AUTH & SWR SYS REV-AA CPN BOND: 5.000% Due : 6/15/2024	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.2.20	NEW YORK N Y CITY MUN WTR FIN AUTH WTR & CPN BOND: 5.000% Due : 6/15/2028 Callable at \$100.000 on 12/15/2026	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
2.2.21	NEW YORK N Y CITY TRANSITIONALFIN AUTH CPN BOND: 5.000% Due : 11/1/2034 Callable at \$100.000 on 11/1/2031	N/A	\$100,001 - \$250,000		None (or less than \$201)
2.2.22	NEW YORK N Y CITY TRANSITIONALFIN AUTH CPN BOND: 5.000% Due : 11/1/2028	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
2.2.23	NEW YORK ST DORM AUTH NON ST SUPPORTED DEBT REV-A CPN BOND: 5.000% Due : 10/1/2032 Callable at \$100.000 on 10/1/2029	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
2.2.24	NEW YORK ST DORM AUTH REVS NONSUPPORTED DEBT REV-A CPN BOND: 5.000% Due : 10/1/2033 Callable at \$100.000 on 10/1/2030	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
2.2.25	NEW YORK ST DORM AUTH ST PERS INCOME TAX CPN BOND: 5.000% Due : 3/15/2030	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
2.2.26	NEW YORK ST TWY AUTH ST PERS INCOME TAX REV-A CPN BOND: 5.000% Due : 3/15/2033 Callable at \$100.000 on 9/15/2032	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
2.2.27	PENNSYLVANIA ST GENL OBLIG CPN BOND: 5.000% Due : 5/15/2028	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
2.2.28	PRIVATE COLLEGES & UNIVS AUTH GA REV-A CPN BOND: 5.000% Due : 9/1/2032	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
2.2.29	SALES TAX SECURITIZATION CORP ILL CPN BOND: 5.000% Due : 1/1/2032 Callable at \$100.000 on 1/1/2030	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.2.30	THE PORT AUTH NEW YORK AND NEWJERSEY CONSLD REV-194 CPN BOND: 5.000% Due : 10/15/2027 Callable at \$100.000 on 10/15/2025	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
2.2.31	TRIBOROUGH BRDG & TUNL AUTH NYREVS CPN BOND: 5.000% Due : 11/15/2028	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
2.2.32	WASHINGTON ST FOR ISSUES DTD PRIOR TO 02 CPN BOND: 5.000% Due : 7/1/2026 Callable at \$100.000 on 1/1/2025	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
2.2.33	WINDY GAP FIRING PROJ WTR ACTIVITY ENTERPRISE COLO SR REV CPN BOND: 5.000% Due : 7/15/2026	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
2.2.34	NEW YORK ST DO BE BOND 5000 26OC01	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
2.2.35	THE CITY OF NY BE BOND 5000 24AU01	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
2.3	U.S. bank (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
2.4	U.S. bank (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3	Revocable Trust #2	No			
3.1	Brokerage Acct #4	No			
3.1.1	UNITED STATES TREASURY NOTE	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.1.2	UNITED STATES TREASURY NOTE	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.1.3	UNITED STATES TREASURY NOTE	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1.4	UNITED STATES TREASURY NOTE	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.1.5	MSIF ULTRA-SHORT INCOME A (MUAIX)	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
3.1.6	VANGUARD NY MUNI MMKT (VYFXX)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
3.1.7	US Bank deposit program (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.2	Brokerage Acct #5	No			
3.2.1	BALTIMORE CNTY MD GENL OBLIG CPN BOND: 5.000% Due : 3/1/2034 Callable at \$100.000 on 3/1/2032	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
3.2.2	BAY AREA TOLL AUTH CALIF TOLL BRDG REV CPN BOND: 4.000% Due : 4/1/2032 Callable at \$100.000 on 4/1/2027	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.2.3	CALIFORNIA ST VAR PURP GO REF BDS CPN BOND: 5.000% Due : 8/1/2030 Callable at \$100.000 on 8/1/2027	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
3.2.4	U.S. bank (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.2.5	ERIE CNTY N Y GENL OBLIG SER-A CPN BOND: 5.000% Due : 9/15/2024	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
3.2.6	FLORIDA ST MUN PWR AGY REV REF-B CPN BOND: 5.000% Due : 10/1/2027	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.2.7	IOWA ST BRD REGENTS HOSP REV REF-C CPN BOND: 5.000% Due : 9/1/2034 Callable at \$100.000 on 9/1/2031	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.2.8	IRVING TEX INDPT SCH DIST ID 07-15-23 CPN BOND: 5.000% Due : 2/15/2035 Callable at \$100.000 on 8/15/2032	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.9	LAFAYETTE PARISH LA SCH BRD SALES TAX RE CPN BOND: 5.000% Due : 4/1/2034 Callable at \$100.000 on 4/1/2033	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.2.10	LAS VEGAS VY NEV WTR DIST REF-A CPN BOND: 4.000% Due : 6/1/2033 Callable at \$100.000 on 6/1/2030	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
3.2.11	MARYLAND ST GENL OBLIG CPN BOND: 5.000% Due : 8/1/2031 Callable at \$100.000 on 8/1/2028	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.2.12	NASSAU CNTY N Y IMPT GENL OBLIG SER-B CPN BOND: 5.000% Due : 10/1/2025	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
3.2.13	NASSAU CNTY N Y IMPT GENL OBLIG-A CPN BOND: 5.000% Due : 4/1/2024	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
3.2.14	NEW YORK CITY MUN WTR FIN AUTHSWR SYS SECD GENL RES REV-HH CPN BOND: 5.000% Due : 6/15/2028 Callable at \$100.000 on 6/15/2025	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
3.2.15	NEW YORK DORMITORY AUTH SALES TAX REV-A CPN BOND: 5.000% Due : 3/15/2029 Callable at \$100.000 on 3/15/2024	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
3.2.16	NEW YORK N Y CPN BOND: 5.000% Due : 8/1/2031	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.2.17	NEW YORK N Y CITY TRANSITIONALFIN AUTH CPN BOND: 5.000% Due : 11/1/2028	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.2.18	NEW YORK N Y CITY TRANSITIONALFIN AUTH CPN BOND: 5.000% Due : 11/1/2035 Callable at \$100.000 on 5/1/2033	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
3.2.19	NEW YORK ST DORM AUTH NON ST SUPPORTED REV-A CPN BOND: 5.000% Due : 10/1/2026	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.20	NEW YORK ST DORM AUTH REVS NONSUPPORTED DEBT REV-A CPN BOND: 5.000% Due : 10/1/2033 Callable at \$100.000 on 10/1/2030	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.2.21	NEW YORK ST DORM AUTH SALES TAX REV ST S CPN BOND: 5.000% Due : 3/15/2029 Callable at \$100.000 on 9/15/2026	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
3.2.22	NEW YORK ST DORM AUTH ST PERS INCOME TAX CPN BOND: 5.000% Due : 3/15/2030	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.2.23	NEW YORK ST TWY AUTH ST PERS INCOME TAX REV-A CPN BOND: 5.000% Due : 3/15/2033 Callable at \$100.000 on 9/15/2032	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.2.24	NEW YORK ST URBAN DEV CORP PERS INCOME TAX GENL OBLIG REV-E CPN BOND: 5.000% Due : 3/15/2033 Callable at \$100.000 on 3/15/2030	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.2.25	OKLAHOMA DEPT TRANSN REV CPN BOND: 5.000% Due : 9/1/2029 Callable at \$100.000 on 9/1/2028	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
3.2.26	ORANGE CNTY FLA SCH BRD CTFS PARTN REV-B CPN BOND: 5.000% Due : 8/1/2026	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
3.2.27	PENNSYLVANIA ST GENL OBLIG REF CPN BOND: 5.000% Due : 1/1/2028 Callable at \$100.000 on 1/1/2027	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
3.2.28	PORT AUTH N Y & N J CPN BOND: 5.000% Due : 9/1/2033 Callable at \$100.000 on 9/1/2029	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.2.29	PRIVATE COLLEGES & UNIVS AUTH GA REV-A CPN BOND: 5.000% Due : 9/1/2032	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.30	THE CITY OF NEW YORK GENL OBLIG TAX-EXEMPT SER-C CPN BOND: 5.000% Due : 8/1/2027 Callable at \$100.000 on 2/1/2025	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.2.31	TRIBOROUGH BRDG & TUNL AUTH N Y PAYROLL GREEN CPN BOND: 5.000% Due : 11/15/2030 Callable at \$100.000 on 8/15/2030	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.2.32	WILLIAMSON CNTY TENN CPN BOND: 5.000% Due : 5/1/2025	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
3.2.33	THE PORT AUTHO BE 5000 BOND *27OC15	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
3.2.34	NEW YORK N Y C BE 5000 BOND *28JN15	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
3.2.35	NEW YORK ST DO BE 5000 BOND *32OC01	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
3.2.36	NEW YORK STATE BE 5000 BOND *24JN15	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
3.2.37	NEW YORK N Y C BE 5000 BOND 28NV01	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
3.2.38	MONTGOMERY CNT BE 5000 BOND 27OC01	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
3.2.39	WASHINGTON ST BE 5000 BOND *26JL01	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
3.3	U.S. bank (cash)	N/A	\$250,001 - \$500,000		None (or less than \$201)
3.4	U.S. bank (cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.5	U.S. bank (cash)	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	U.S. bank #1 (cash)	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
5	Brokerage Acct #6 (closed account)	No			
5.1	BALTIMORE CNTY BE 5000 BOND *34MH01	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
5.2	CALIFORNIA ST BE 5000 BOND *30AU01	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
5.3	CANYONS SCH DI BE 4000 BOND *32JN15	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
5.4	CORPUS CHRISTI BE 4000 BOND *31AU15	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
5.5	DALLAS CNTY TE BE 5000 BOND *28AU15	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
5.6	DORMITORY AUTH BE 5000 BOND *29JL01	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
5.7	DORMITORY AUTH BE 5000 BOND *29MH15	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
5.8	ERIE CNTY N Y BE 5000 BOND 24SP15	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
5.9	HOUSTON TEX BE 5000 BOND *29MH01	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
5.10	LOS ANGELES CA BE 5000 BOND *31JL01	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
5.11	LOUISIANA ST BE 5000 BOND *28AU01	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
5.12	MARYLAND ST DE BE 5000 BOND *30SP01	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
5.13	MASSACHUSETTS BE 5000 BOND 25JL15	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.14	MONTGOMERY CNT BE 5000 BOND 27OC01	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
5.15	NASSAU CNTY N BE 5000 BOND 22AP01	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
5.16	NASSAU CNTY N BE 5000 BOND 24AP01	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
5.17	NASSAU CNTY N BE 5000 BOND 25OC01	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
5.18	NEW MEXICO ST BE 5000 BOND 24JL01	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
5.19	NEW YORK CITY BE 5000 BOND *28JN15	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
5.20	NEW YORK CITY BE 5000 BOND *28JN15	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
5.21	NEW YORK N Y BE 5000 BOND 31AU01	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
5.22	NEW YORK N Y C BE 5000 BOND 24JN15	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
5.23	NEW YORK N Y C BE 5000 BOND 28NV01	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
5.24	NEW YORK N Y C BE 5000 BOND 28NV01	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
5.25	NEW YORK N Y C BE 5000 BOND *28JN15	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
5.26	NEW YORK ST DO BE 5000 BOND 24MH15	N/A	None (or less than \$1,001)	Capital Gains Interest	\$1,001 - \$2,500
5.27	NEW YORK ST DO BE 5000 BOND 26OC01	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.28	NEW YORK ST DO BE 5000 BOND 30MH15	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
5.29	NEW YORK ST DO BE 5000 BOND *29MH15	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
5.30	NEW YORK ST DO BE 5000 BOND *32OC01	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
5.31	NEW YORK ST TW BE 5000 BOND *33MH15	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
5.32	NEW YORK ST UR BE 5000 BOND *33MH15	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
5.33	NEW YORK STATE BE 5000 BOND *24JN15	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
5.34	OKLAHOMA DEPT BE 5000 BOND *29SP01	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
5.35	ORANGE CNTY FL BE 5000 BOND 26AU01	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
5.36	PENNSYLVANIA S BE 5000 BOND 28MY15	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
5.37	PENNSYLVANIA S BE 5000 BOND *28JA01	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
5.38	PORT AUTH N Y BE 5000 BOND *33SP01	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
5.39	SPOKANE CNTY W BE 5000 BOND 28DE01	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
5.40	THE CITY OF NY BE 5000 BOND 24AU01	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
5.41	THE CITY OF NY BE 5000 BOND *27AU01	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.42	THE PORT AUTHO BE 5000 BOND *27OC15	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
5.43	TRIBOROUGH BRD BE 5000 BOND 28NV15	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
5.44	TRIBOROUGH BRI BE 5000 BOND 23NV15	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
5.45	VIRGINIA ST PU BE 4000 BOND *31AU01	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
5.46	WASHINGTON ST BE 5000 BOND *26JL01	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
5.47	WILLIAMSON CNT BE 5000 BOND 25MY01	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
5.48	WINDY GAP FIRM BE 5000 BOND 26JL15	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
6	Brokerage Acct #7 (closed account)	No			
6.1	UNITED STATES TREASURY NOTE	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
6.2	MSIF ULTRA-SHORT INCOME A (MUAIX)	Yes	None (or less than \$1,001)		\$201 - \$1,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Citi Bank	See Endnote	Mortgage on Personal Residence	\$250,001 - \$500,000	2013	3.6250	30 years
2	BMO Harris Bank	See Endnote	Loan to Irrevocable Family Trust for benefit of Non-dependent child	\$100,001 - \$250,000	2021	Prime minus .25%	6 years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	3	Uncompensated
1.	4	Uncompensated
1.	5	Uncompensated
1.	7	Uncompensated
1.	9	Uncompensated
1.	10	Uncompensated
2.	3	In 2022, I irrevocably transferred my carried and capital interests in Lindsay Goldberg GP V, LLC. I no longer hold this fund or any interest related to the fund. During the reporting period, one underlying holding of this fund, Pike Corporation (services to electric utility industry), generated dividends that were distributed to me during the reporting period and before my divestiture. The income amount reported reflects the full amount received in dividends through both my carried and capital interests.

PART	#	ENDNOTE
2.	13.1.1	Holds investment in Liveo Research (films and plastic packaging) and Paccor (packaging solutions) (sold in 2022) through the reporting structure shown in the lines below 13.1.1
2.	13.1.1.1.2.1.1.2	Holds investment in Liveo Research (films and plastic packaging) through the reporting structure shown in the lines below.
2.	13.1.3	Holds investment in Golden West Packaging Group (custom packaging) through the reporting structure shown in the lines below 13.1.3
2.	13.1.5	Held investment in Women's Care Investments, Inc. (healthcare) (sold in late 2020)
2.	13.1.7	Holds investment in PT Solutions (physical therapy) through the reporting structure shown in the lines below 13.1.7
2.	13.1.9	Holds investment in stayAPT Suites (hospitality) through the reporting structure shown in the lines below 13.1.9
2.	13.1.10	Holds investment in Creation Technologies (electronic manufacturing) through the reporting structure shown in the lines below 13.1.10
2.	13.1.12	Holds investment in Amentum (government service contracts) through the reporting structure shown in the lines below 13.1.12
8.	1	Mortgage paid off in 2023
8.	2	I serve as the personal guarantor on a loan made by BMO to a trust established for the benefit of my non-dependent child. The assets of this trust are not reportable as I have no beneficial interest in the trust.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
