

# Executive Branch Personnel

## Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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Jeffrey, Deborah

Inspector General, Corporation for National and Community Service

Report Year: 2022

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Jeffrey, Deborah [electronically signed on 08/14/2022 by Jeffrey, Deborah in Integrity.gov] - Filer received a 90 day filing extension.

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Rubiella, Evelio, Certifying Official [electronically signed on 09/14/2022 by Rubiella, Evelio in Integrity.gov]

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Other review conducted by

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U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 09/16/2022 by Granahan, Megan in Integrity.gov]

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Data Revised 09/14/2022

Data Revised 09/02/2022

Data Revised 08/29/2022

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## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Family Life Insurance Trust	See Endnote	Baltimore, Maryland	Trust	Co-trustee	9/1999	Present
2	Family Trust	See Endnote	Baltimore, Maryland	Trust	Co-trustee, nominal	10/2004	Present

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## 2. Filer's Employment Assets & Income and Retirement Accounts

None

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## 3. Filer's Employment Agreements and Arrangements

None

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## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

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## 5. Spouse's Employment Assets & Income and Retirement Accounts

None

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## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S Bank Account #1, Washington, DC (checking and savings)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
2	U.S. Bank Account #2, Washington, DC (checking and savings)	N/A	\$100,001 - \$250,000		None (or less than \$201)
3	Bond - State of Israel 7th Development Issue Supplement State of Israel--Development Corporation for Israel	No	\$1,001 - \$15,000		None (or less than \$201)
4	Cash Account - Morgan Stanley	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	IRA (Morgan Stanley Smith Barney LLC)				
5.1	AMER BEACON AHL MNGD FUT STR Y(AHLYX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.2	AMERICAN EUROPACIFIC GRW F2 (AEPFX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
5.3	AMERICAN GW FD OF AMERICA F2 (GFFFX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
5.4	BARON SMALL CAP INSTL (BSFIX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
5.5	BLACKSTONE ALT MULT-STRAT INST (BXMIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.6	NEUBERGER INTRINSIC VALUE INST (NINLX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.7	OHIO NATIONAL - ONCORE LITE III CINCINNATI, OH US	Yes	\$250,001 - \$500,000		None (or less than \$201)
5.8	SKYBRIDGE MULTI-ADSR SERIES G HEDGE FUND OF FUNDS	Yes	\$100,001 - \$250,000		None (or less than \$201)
5.9	TRANSAMERICA LIBERTY 2008 CEDAR RAPIDS, IA US	Yes	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.10	VANGUARD MID CAP VALUE ETF (VOE)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.11	VANGUARD MIDCAP GROWTH ETF (VOT)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.12	ISHARES S&P 500 GRWTH (IVW)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
5.13	Calamos Phineus Long/Short I (CPLIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.14	Oakmark Fund Advisor (OAYMX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
5.15	Virtus Vontobel EMRG Mkt Opp I (HIEMX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
5.16	WISDOMTREE US LARGE CAP DIV FND(DLN)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
5.17	COLUMBIA OVERSEAS VALUE INST(COSZX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
5.18	GOLDMAN SACHS ABSLT RET TRCK I(GJRTX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.19	ISHARES CORE U.S. AGGREGATE	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.20	ISHARES IBOXX HY COR BD ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.21	PIMCO CommodityRealReturn Strategy Fund Class I-2 Shares (PCRPX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6	Morgan Stanley Taxable Investment Account				
6.1	VANGUARD SHORT TERM BOND (BSV)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
6.2	BLACKROCK HI YIELD BD PTF INST (BHYIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.3	Janus Henderson Intl Opp I (HFOIX)	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
6.4	ISHARES JP MORGAN EM BOND ETF (EMB)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.5	BARON REAL ESTATE INST (BREIX)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
6.6	WESTERN ASSET CORE PLUS BD I(WACPX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
6.7	iShares TIPS Bond ETF (TIP)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.8	VANGUARD MID CAP VALUE ETF (VOE)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
6.9	VANGUARD MIDCAP GROWTH ETF (VOT)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.10	WISDOMTREE US LARGE CAP DIV FND (DLN)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
6.11	AMER BEACON AHL MNGD FUT STR Y (AHLYX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
6.12	AMERICAN EUROPACIFIC GRW F2 (AEPFX)	Yes	\$250,001 - \$500,000		\$201 - \$1,000
6.13	AMERICAN GW FD OF AMERICA F2 (GFFFX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
6.14	BARON SMALL CAP INSTL (BSFIX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
6.15	BLACKROCK GLOBAL L/S CREDIT I (BGCIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
6.16	BLACKSTONE ALT MULT-STRAT INST (BXMIX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.17	CALAMOS PHINEUS LONG/SHORT I (CPLIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.18	GOLDMAN SACHS ABSLT RET TRCK I (GJRTX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
6.19	INVESCO CONSERVATIVE INC INST (ICIFX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
6.20	NEUBERGER INTRINSIC VALUE INST (NINLX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
6.21	OAKMARK FUND ADVISOR (OAYMX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
6.22	PIMCO COMM REAL RET STRAT I2 (PCRPX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
6.23	T ROWE PRICE JAPAN FUND (PRJPX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
6.24	VIRTUS VONTOBEL EMRG MKT OPP I (HIEMX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
6.25	ISHARES S&P 500 GRWTH ETF (IVW)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
6.26	ACAP STRATEGIC INTERVAL COMMON (XCAPX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	GQG PARTNERS EMRG MKTS (GQGIX)	Purchase	02/09/2021	\$100,001 - \$250,000
2	ISHARES CORE U.S. AGGREGATE (AGG)	Purchase	02/09/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
3	VIRTUS VONTOBEL EMRG MKT OPP I (HIEMX)	Sale	02/09/2021	\$100,001 - \$250,000
4	ISHARES IBOXX HY COR BD ETF (HYG)	Purchase	02/10/2021	\$1,001 - \$15,000
5	GOLDMAN SACHS ABSLT RET TRCK I (GJRTX)	Purchase	02/10/2021	\$1,001 - \$15,000
6	WISDOMTREE US LARGE CAP DIV FND (DLN)	Purchase	02/10/2021	\$1,001 - \$15,000
7	AMER BEACON AHL MNGD FUT STR Y (AHLYX)	Purchase	02/10/2021	\$1,001 - \$15,000
8	BLACKSTONE ALT MULT-STRAT INST (BXMIX)	Purchase	02/10/2021	\$1,001 - \$15,000
9	BARON SMALL CAP INSTL (BSFIX)	Sale	03/12/2021	\$1,001 - \$15,000
10	ISHARES CORE U.S. AGGREGATE (AGG)	Sale	03/12/2021	\$1,001 - \$15,000
11	ISHARES IBOXX HY COR BD ETF (HYG)	Sale	03/12/2021	\$1,001 - \$15,000
12	GOLDMAN SACHS ABSLT RET TRCK I (GJRTX)	Sale	03/12/2021	\$1,001 - \$15,000
13	PIMCO COMM REAL RET STRAT I2 (PCRPX)	Sale	03/12/2021	\$1,001 - \$15,000
14	AMER BEACON AHL MNGD FUT STR Y (AHLYX)	Sale	03/12/2021	\$1,001 - \$15,000
15	VANGUARD MIDCAP GROWTH ETF (VOT)	Sale	03/12/2021	\$1,001 - \$15,000
16	ISHARES S&P 500 GRWTH ETF (IVW)	Sale	03/12/2021	\$1,001 - \$15,000
17	CALAMOS PHINEUS LONG/SHORT I (CPLIX)	Sale	03/12/2021	\$1,001 - \$15,000
18	NEUBERGER INTRINSIC VALUE INST (NINLX)	Sale	03/12/2021	\$1,001 - \$15,000
19	VANGUARD MID CAP VALUE ETF (VOE)	Sale	03/12/2021	\$1,001 - \$15,000
20	AMERICAN GW FD OF AMERICA F2 GFFFX	Sale	03/12/2021	\$1,001 - \$15,000



#	DESCRIPTION	TYPE	DATE	AMOUNT
21	WISDOMTREE US LARGE CAP DIV FND DLN	Sale	03/12/2021	\$1,001 - \$15,000
22	GQG PARTNERS EMRG MKTS EQ INS GQGIX	Sale	03/12/2021	\$15,001 - \$50,000
23	COLUMBIA OVERSEAS VALUE INST COSZX	Sale	03/12/2021	\$15,001 - \$50,000
24	AMERICAN EUROPACIFIC GRW F2 AEPFX	Sale	03/12/2021	\$15,001 - \$50,000
25	OAKMARK FUND ADVISOR OAYMX	Sale	03/12/2021	\$15,001 - \$50,000
26	BLACKSTONE ALT MULT-STRAT INST BXMIX	Sale	03/15/2021	\$1,001 - \$15,000
27	ACAP STRATEGIC INTERVAL COMMON XCAPX	Purchase	03/31/2021	\$100,001 - \$250,000
28	GQG PARTNERS EMRG MKTS EQ INS GQGIX	Purchase	04/09/2021	\$1,001 - \$15,000
29	AMERICAN EUROPACIFIC GRW F2 AEPFX	Purchase	04/09/2021	\$1,001 - \$15,000
30	COLUMBIA OVERSEAS VALUE INST COSZX	Purchase	04/09/2021	\$1,001 - \$15,000
31	WISDOMTREE US LARGE CAP DIV FND DLN	Sale	04/09/2021	\$1,001 - \$15,000
32	ISHARES S&P 500 GRWTH ETF IVW	Sale	04/09/2021	\$1,001 - \$15,000
33	BLACKROCK HI YIELD BD PTF INST BHYIX	Purchase	04/09/2021	\$100,001 - \$250,000
34	VANGUARD MIDCAP GROWTH ETF VOT	Purchase	04/09/2021	\$50,001 - \$100,000
35	WISDOMTREE US LARGE CAP DIV FND DLN	Purchase	04/09/2021	\$50,001 - \$100,000
36	CALAMOS PHINEUS LONG/SHORT I CPLIX	Purchase	04/09/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
37	VANGUARD SHORT TERM BND BSV	Purchase	04/09/2021	\$15,001 - \$50,000
38	VIRTUS VONTOBEL EMRG MKT OPP I HIEMX	Purchase	04/09/2021	\$15,001 - \$50,000
39	ISHARES S&P 500 GRWTH ETF IVW	Purchase	04/09/2021	\$15,001 - \$50,000
40	AMERICAN GW FD OF AMERICA F2 GFFFX	Purchase	04/09/2021	\$15,001 - \$50,000
41	OAKMARK FUND ADVISOR OAYMX	Purchase	04/09/2021	\$15,001 - \$50,000
42	AMER BEACON AHL MNGD FUT STR Y AHLYX	Purchase	04/09/2021	\$15,001 - \$50,000
43	PIMCO COMM REAL RET STRAT I2 PCRPX	Purchase	04/09/2021	\$15,001 - \$50,000
44	VANGUARD MID CAP VALUE ETF VOE	Purchase	04/09/2021	\$15,001 - \$50,000
45	BARON SMALL CAP INSTL BSFIX	Sale	04/09/2021	\$1,001 - \$15,000
46	WESTERN ASSET CORE PLUS BD I WACPX	Sale	04/09/2021	\$1,001 - \$15,000
47	JANUS HENDERSON INTL OPP I HFOIX	Sale	04/09/2021	\$1,001 - \$15,000
48	ISHARES JP MORGAN EM BOND ETF EMB	Sale	04/09/2021	\$15,001 - \$50,000
49	ISHARES TIPS BOND ETF TIP	Sale	04/09/2021	\$15,001 - \$50,000
50	BLACKSTONE ALT MULT-STRAT INST BXMIX	Sale	04/09/2021	\$15,001 - \$50,000
51	GOLDMAN SACHS ABSLT RET TRCK I GJRTX	Sale	04/09/2021	\$15,001 - \$50,000
52	AMERICAN EUROPACIFIC GRW F2 AEPFX	Sale	04/09/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
53	BLACKROCK GLOBAL L/S CREDIT I BGCIX	Sale	04/09/2021	\$15,001 - \$50,000
54	T ROWE PRICE JAPAN FUND PRJPX	Sale	04/09/2021	\$50,001 - \$100,000
55	NEUBERGER INTRINSIC VALUE INST NINLX	Sale	04/09/2021	\$50,001 - \$100,000
56	INVESCO CONSERVATIVE INC INST ICIFX	Sale	04/09/2021	\$50,001 - \$100,000
57	BARON REAL ESTATE INST BREIX	Sale	04/09/2021	\$50,001 - \$100,000
58	ISHARES CORE U.S. AGGREGATE AGG	Purchase	06/04/2021	\$15,001 - \$50,000
59	ISHARES GLOBAL REIT REET	Purchase	06/04/2021	\$1,001 - \$15,000
60	AMERICAN GW FD OF AMERICA F2 GFFFX	Purchase	06/04/2021	\$1,001 - \$15,000
61	ISHARES S&P 500 GRWTH ETF IVW	Purchase	06/04/2021	\$1,001 - \$15,000
62	COLUMBIA OVERSEAS VALUE INST COSZX	Sale	06/04/2021	\$1,001 - \$15,000
63	OAKMARK FUND ADVISOR OAYMX	Sale	06/04/2021	\$1,001 - \$15,000
64	GQG PARTNERS EMRG MKTS EQ INS GQGIX	Sale	06/04/2021	\$1,001 - \$15,000
65	PIMCO COMM REAL RET STRAT I2 PCRPX	Sale	06/04/2021	\$1,001 - \$15,000
66	ISHARES IBOXX HY COR BD ETF HYG	Sale	06/04/2021	\$15,001 - \$50,000
67	VANGUARD MIDCAP GROWTH ETF VOT	Sale	06/04/2021	\$15,001 - \$50,000
68	WESTERN ASSET CORE PLUS BD I WACPX	Purchase	06/04/2021	\$100,001 - \$250,000
69	ISHARES GLOBAL REIT REET	Purchase	06/04/2021	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
70	AMERICAN GW FD OF AMERICA F2 GFFFX	Purchase	06/04/2021	\$1,001 - \$15,000
71	ISHARES S&P 500 GRWTH ETF IVW	Purchase	06/04/2021	\$1,001 - \$15,000
72	VANGUARD SHORT TERM BND BSV	Purchase	06/04/2021	\$1,001 - \$15,000
73	JANUS HENDERSON INTL OPP I HFOIX	Purchase	06/04/2021	\$1,001 - \$15,000
74	NEUBERGER INTRINSIC VALUE INST NINLX	Sale	06/04/2021	\$1,001 - \$15,000
75	AMERICAN EUROPACIFIC GRW F2 AEPFX	Sale	06/04/2021	\$1,001 - \$15,000
76	VANGUARD MID CAP VALUE ETF VOE	Sale	06/04/2021	\$1,001 - \$15,000
77	OAKMARK FUND ADVISOR OAYMX	Sale	06/04/2021	\$1,001 - \$15,000
78	VIRTUS VONTOBEL EMRG MKT OPP I HIEMX	Sale	06/04/2021	\$15,001 - \$50,000
79	VANGUARD MIDCAP GROWTH ETF VOT	Sale	06/04/2021	\$50,001 - \$100,000
80	PIMCO COMM REAL RET STRAT I2 PCRPX	Sale	06/04/2021	\$50,001 - \$100,000
81	BLACKROCK HI YIELD BD PTF INST BHYIX	Sale	06/04/2021	\$50,001 - \$100,000
82	OAKMARK FUND INSTITUTIONAL OANMX	Exchange	07/09/2021	\$250,001 - \$500,000
83	OAKMARK FUND ADVISOR OAYMX	Exchange	07/09/2021	\$250,001 - \$500,000
84	ISHARES BARCLAYS 1-3 YR TSY BD SHY	Purchase	09/17/2021	\$15,001 - \$50,000
85	GOLDMAN SACHS ABSLT RET TRCK I GJRTX	Purchase	09/17/2021	\$15,001 - \$50,000
86	BLACKSTONE ALT MULT-STRAT INST BXMIX	Purchase	09/17/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
87	AMER BEACON AHL MNGD FUT STR Y AHLX	Purchase	09/17/2021	\$1,001 - \$15,000
88	AMERICAN EUROPACIFIC GRW F2 AEPFX	Sale	09/17/2021	\$1,001 - \$15,000
89	AMERICAN GW FD OF AMERICA F2 GFFFX	Sale	09/17/2021	\$15,001 - \$50,000
90	BARON SMALL CAP INSTL BSFIX	Sale	09/17/2021	\$1,001 - \$15,000
91	BLACKSTONE ALT MULT-STRAT INST BXMIX	Purchase	09/17/2021	\$15,001 - \$50,000
92	CALAMOS PHINEUS LONG/SHORT I CPLIX	Purchase	09/17/2021	\$1,001 - \$15,000
93	GOLDMAN SACHS ABSLT RET TRCK I GJRTX	Purchase	09/17/2021	\$15,001 - \$50,000
94	GQG PARTNERS EMRG MKTS EQ INS GQGIX	Sale	09/17/2021	\$1,001 - \$15,000
95	ISHARES S&P 500 GRWTH ETF IVW	Sale	09/17/2021	\$15,001 - \$50,000
96	JANUS HENDERSON INTL OPP I HFOIX	Sale	09/17/2021	\$1,001 - \$15,000
97	NEUBERGER INTRINSIC VALUE INST NINLX	Purchase	09/17/2021	\$1,001 - \$15,000
98	OAKMARK FUND INSTITUTIONAL OANMX	Sale	09/17/2021	\$15,001 - \$50,000
99	PIMCO COMM REAL RET STRAT I2 PCRPX	Sale	09/17/2021	\$1,001 - \$15,000
100	VANGUARD MID CAP VALUE ETF VOE	Sale	09/17/2021	\$15,001 - \$50,000
101	VANGUARD MIDCAP GROWTH ETF VOT	Sale	09/17/2021	\$1,001 - \$15,000
102	VANGUARD SHORT TERM BND BSV	Purchase	09/17/2021	\$50,001 - \$100,000
103	WISDOMTREE US LARGE CAP DIV FND DLN	Sale	09/17/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
104	ISHARES CORE U.S. AGGREGATE AGG	Purchase	12/09/2021	\$15,001 - \$50,000
105	VANGUARD FTSE EMERGING MARKETS VWO	Purchase	12/09/2021	\$1,001 - \$15,000
106	VIRTUS VONTOBEL EMRG MKT OPP I HIEMX	Sale	12/09/2021	\$1,001 - \$15,000
107	CALAMOS PHINEUS LONG/SHORT I CPLIX	Sale	12/09/2021	\$15,001 - \$50,000
108	AMERICAN EUROPACIFIC GRW F2 AEPFX	Purchase	12/20/2021	\$15,001 - \$50,000
109	AMERICAN GW FD OF AMERICA F2 GFFFX	Purchase	12/20/2021	\$1,001 - \$15,000
110	BARON SMALL CAP INSTL BSFIX	Purchase	12/20/2021	\$1,001 - \$15,000
111	COLUMBIA OVERSEAS VALUE INST COSZX	Purchase	12/20/2021	\$1,001 - \$15,000
112	GOLDMAN SACHS ABSLT RET TRCK I GJRTX	Purchase	12/20/2021	\$1,001 - \$15,000
113	GQG PARTNERS EMRG MKTS EQ INS GQGIX	Purchase	12/20/2021	\$1,001 - \$15,000
114	VANGUARD FTSE EMERGING MARKETS VWO	Purchase	12/20/2021	\$1,001 - \$15,000
115	JANUS HENDERSON INTL OPP I HFOIX	Purchase	12/22/2021	\$15,001 - \$50,000
116	AMERICAN EUROPACIFIC GRW F2 AEPFX	Purchase	12/22/2021	\$1,001 - \$15,000
117	VANGUARD FTSE EMERGING MARKETS VWO	Purchase	12/22/2021	\$1,001 - \$15,000
118	GOLDMAN SACHS ABSLT RET TRCK I GJRTX	Purchase	12/22/2021	\$1,001 - \$15,000
119	VANGUARD FTSE EMERGING MARKETS VWO	Purchase	12/27/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
120	AMER BEACON AHL MNGD FUT STR Y AHLYX	Purchase	12/27/2021	\$1,001 - \$15,000
121	VANGUARD FTSE EMERGING MARKETS VWO	Purchase	12/28/2021	\$1,001 - \$15,000
122	VIRTUS VONTOBEL EMRG MKT OPP I HIEMX	Sale	12/28/2021	\$1,001 - \$15,000
123	ISHARES CORE U.S. AGGREGATE AGG	Purchase	12/31/2021	\$1,001 - \$15,000

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Nationstar Mortgage LLC, d/b/a Mr. Cooper, Coppell, TX	Mortgage on Personal Residence	\$15,001 - \$50,000	2012	3.375	15 years

## 9. Gifts and Travel Reimbursements

None

## Endnotes

PART	#	ENDNOTE
1.	1	I am the co-trustee of a life insurance trust for the benefit of my brother's children. The sole asset is a life insurance policy.

PART	#	ENDNOTE
1.	2	I am a co-trustee, along with my siblings, of a trust for the benefit of my mother. Responsibility for and knowledge of the investments is delegated to my brother. I do not make investment decisions or receive statements.



# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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