Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Aponte, Mari Carmen

U.S. Ambassador to the Republic of Panama, Department of State

Other Federal Government Positions Held During the Preceding 12 Months:
None

Names of Congressional Committees Considering Nomination:
- Committee on Foreign Relations

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Aponte, Mari Carmen [electronically signed on 06/23/2021 by Aponte, Mari Carmen in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Huitema, David, Certifying Official [electronically signed on 10/20/2021 by Huitema, David in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 10/28/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Kinesis Foundation	San Juan, Puerto Rico, Puerto Rico	Non-Profit	Consultant and Advisor to the Foundation President	2/2017	4/2020
2	College of William and Mary	Williamsburg, Virginia	University/College	Member of Board of Visitors	7/2019	Present
3	International Association of Women Judges	Washington, District of Columbia	Non-Profit	Member of the Management Board of Directors	7/2018	Present
4	International Senior Lawyers Project	New York, New York	Non-Profit	Member, Executive Committee, Board of Directors	9/2017	Present
5	Community Capital Corporation	Washington, District of Columbia	Non-Profit	Chair, Board of Directors	6/2018	Present
6	Family Member Estate	Springfield, Virginia	Estate	Co-Executrix	9/2018	Present
7	Family Member Trust	Springfield, Virginia	Trust	Co-Trustee	9/2018	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Kinesis Foundation	N/A		Consulting Fees	$16,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	U.S. Department of State, speech given at FSI - June 17, 2021	N/A		Honorarium for speech	$300

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Kinesis Foundation	San Juan, Puerto Rico, Puerto Rico	Provided Foundation management with strategic advice in several strategic areas such as securing funding sources in the mainland US and help design programs which were at the vanguard of funding and development. Developed strategic direction for management operations. Developed and designed agenda for Board of Directors meetings. Maintain minutes and upkeep committee and Board minutes.

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Abbott Laboratories (ABT)	N/A	$250,001 - $500,000	Dividends	$5,001 - $15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	AMERICAN EQUITY INVESTMENT LIFE HLDG CO 5.95% - A PFD Cusip 025676503	N/A	$1,001 - $15,000		None (or less than $201)
3	American Equity Investment Life Holding Co 6.625% -B PFDCusip 025676602	N/A	$1,001 - $15,000		None (or less than $201)
4	AGNC Investment Corp. 06.875% PFD Series-D Cusip 00123Q609	N/A	$1,001 - $15,000		None (or less than $201)
5	AGNC Investment Corp. CUM 1/1000 7% PFD SeriesC Cusip 00123Q500	N/A	$1,001 - $15,000		None (or less than $201)
6	AGNC Investment Corp 6.50% PFD SER-E Cusip 00123Q807	N/A	$1,001 - $15,000		None (or less than $201)
7	AGNC Investment Co. 6.125% PFD SER-F Cusip 00123Q872	N/A	$1,001 - $15,000		None (or less than $201)
8	Aspen Insurance HLDG 5.95% PFD Cusip G05384154	N/A	$1,001 - $15,000		None (or less than $201)
9	Aspen Insurance Holdings 5.625% PFD Cusip G05384162	N/A	$1,001 - $15,000		None (or less than $201)
10	Aspen Insurance Holdings 5.625% PFD Cusip G05384204	N/A	$1,001 - $15,000		None (or less than $201)
11	Air Lease Corp.Nom Cum PFD A Cusip 00912X500	N/A	$1,001 - $15,000		None (or less than $201)
12	Allstate Corp (ALL) 5.100 H PFD cusip 020002838	N/A	$1,001 - $15,000		None (or less than $201)
13	Athene Holding Ltd. (ATH) 6.35% PFD SER A cusip G0684D305	N/A	$1,001 - $15,000		None (or less than $201)
14	Athene Holding Ltd. (ATH) 5.625% PFD SER-B cusip 04686J200	N/A	$1,001 - $15,000		None (or less than $201)
15	Athene Holding Ltd. 6.375% PFD serie C cusip 04686J309	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16	Atlantic Union Bankshares Corp. 6.875%. PFD Ser-A cusip 04911A206	N/A	$1,001 - $15,000		None (or less than $201)
17	AXIS Capital Holdings Ltd.5.5% PFD Ser-E cusip 05461T305	N/A	$1,001 - $15,000		None (or less than $201)
18	Bank of America Corp. (BAC) 6%-PFD Ser GG cusip 060505229	N/A	$1,001 - $15,000		None (or less than $201)
19	Bank of America Corp. (BAC) 5.875% PFD SER-HH cusip 060505195	N/A	$1,001 - $15,000		None (or less than $201)
20	Bank of America 7.25% PFD Ser-L cusip 060505682	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
21	Bank of America CO 5.00% PFD SER -LL cusip 06055H202	N/A	$1,001 - $15,000		None (or less than $201)
22	Bank of America COR 4.375% PFD SER-NN cusip 06055H400	N/A	$1,001 - $15,000		None (or less than $201)
23	Brookfield Finance I UK 4.50% Sub Note cusip 11272B103	N/A	$1,001 - $15,000		None (or less than $201)
24	Bristol-Myers Squibb (BMY) CO	N/A	$100,001 - $250,000	Dividends	$2,501 - $5,000
25	Citigroup INC 7.125% PFD Ser-J cusip 172967358	N/A	$1,001 - $15,000		None (or less than $201)
26	Citigroup, Inc. (C) 6.875% PFD Ser-K cusip 172967341	N/A	$1,001 - $15,000		None (or less than $201)
27	CITIZENS FINANCIAL GROUP 6.35% PFD Ser-D cusip 174610204	N/A	$1,001 - $15,000		None (or less than $201)
28	CHS INC. 7.50% PFD CL B cusip 12542R803	N/A	$1,001 - $15,000		None (or less than $201)
29	CHS INC. 6.75% PFD Class B cusip 12542R704	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30	CHS INC 7.10% PFD CL B cusip 12542R506	N/A	$1,001 - $15,000		None (or less than $201)
31	CAPITAL ONE FINANCIAL CO 6% PFD Ser-H cusip 14040H840	N/A	$1,001 - $15,000		None (or less than $201)
32	CAPITAL ONE FINANCIAL 5% PRF Ser-I cusip 14040H824	N/A	$1,001 - $15,000		None (or less than $201)
33	Digital Realty Trust, Inc. (DLR) 5.850% PFD Ser-K cusip 253868830	N/A	$1,001 - $15,000		None (or less than $201)
34	Digital Realty TST INC 5.20% PFD SER-L cusip 253868822	N/A	$1,001 - $15,000		None (or less than $201)
35	Equitable HLDGS DEP RP PFD A cusip 29452E200	N/A	$1,001 - $15,000		None (or less than $201)
36	Enstar Group Ltd. 7% PFD Ser-D cusip 29359U109	N/A	$1,001 - $15,000		None (or less than $201)
37	Fifth Third Bancorp (FITB) 6.625% PFD Ser-I cusip 316773605	N/A	$1,001 - $15,000		None (or less than $201)
38	FNB CORP Corp 7.25% PFD cusip 30255P103	N/A	$1,001 - $15,000		None (or less than $201)
39	Farmland Partners, Inc. (FPI-B) 6% PFD Ser-B cusip 31154R208	N/A	$1,001 - $15,000		None (or less than $201)
40	First Republic Bank 4.125% PFDK cusip 33616C761	N/A	$1,001 - $15,000		None (or less than $201)
41	The Goldman Sachs GROUP INC 5.5%. PFD Ser-J cusip 38145G308	N/A	$1,001 - $15,000		None (or less than $201)
42	The Goldman Sachs Group, Inc. 6.37% PFD Ser-K cusip 38148B108	N/A	$1,001 - $15,000		None (or less than $201)
43	Huntington Bancshares 6.25% PFD Ser-D cusip 446150708	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
44	The Home Depot, Inc. (HD) cusip 437076102	N/A	$250,001 - $500,000	Dividends	$5,001 - $15,000
45	JPMorgan Chase & Co. (JPM) 4.625% PFD Ser-LL cusip 48128B549	N/A	$1,001 - $15,000		None (or less than $201)
46	KeyCorp (KEY) 6.125% PFD Serie E cusip 493267702	N/A	$1,001 - $15,000		None (or less than $201)
47	Merck & Co Inc cusip 58933Y105	N/A	$100,001 - $250,000	Dividends	$2,501 - $5,000
48	Morgan Stanley 6.875% PFD Ser-F cusip 61763E207	N/A	$1,001 - $15,000		None (or less than $201)
49	Morgan Stanley 7.125% PFD Ser-E cusip 61762V200	N/A	$1,001 - $15,000		None (or less than $201)
50	Morgan Stanley 6.375% PFD Ser-I cusip 61761J406	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
51	Morgan Stanley 5.85% PFD Ser-K cusip 61762V606	N/A	None (or less than $1,001)		None (or less than $201)
52	NiSource, Inc. (NI) 6.5% PFD cusip 65473P881	N/A	$1,001 - $15,000		None (or less than $201)
53	Annaly Capital Management, Inc. (NLY)6.95% PFD Ser-F cusip 035710870	N/A	$1,001 - $15,000		None (or less than $201)
54	ANNALY CAP MGMT INC PFD SER I cuisip 035710847	N/A	$1,001 - $15,000		None (or less than $201)
55	NEW YORK CMNTY BANCORP INC 6.375% PFD SER-A cusip 649445202	N/A	$1,001 - $15,000		None (or less than $201)
56	ORGANON & CO cusip 68622V106	N/A	$1,001 - $15,000	Dividends	None (or less than $201)
57	People's United Financial, Inc. (PBCT) 5.625% PFD-SER-A cusip 712704204	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
58	PepsiCo, Inc. (PEP) cusip 713448108	N/A	$100,001 - $250,000	Dividends	$5,001 - $15,000
59	Pfizer Inc. (PFE) cusip 717081103	N/A	$100,001 - $250,000	Dividends	$5,001 - $15,000
60	The PNC Financial 6.125% PFD cusip 693475857	N/A	$1,001 - $15,000		None (or less than $201)
61	Pinnacle Financial Partners, Inc. (PNFP) 6.75% PFD Ser-B cusip 72346Q302	N/A	$1,001 - $15,000		None (or less than $201)
62	PUBLIC STORAGE 4.00% PFD SER-P cusip 74460W461	N/A	$1,001 - $15,000		None (or less than $201)
63	Regions Financial Corp. (RF) 6.375% PFD Ser-B cusip 7591EP506	N/A	$1,001 - $15,000		None (or less than $201)
64	Signature Bank (New York, New York) (SBNY) 5,00% PFD Ser A cusip 82669G203	N/A	$1,001 - $15,000		None (or less than $201)
65	SCE TRUST V 5.45% PFD Ser-K cusip 78409W201	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
66	Stifel Financial Corp. (SF) 6.25% PFD Ser-A cusip 860630409	N/A	$1,001 - $15,000		None (or less than $201)
67	STATE ST CO 5.90% PFD Ser-d cusip 857477608	N/A	$1,001 - $15,000		None (or less than $201)
68	STATE ST CORP 5.350% PFD Ser- G cusip 857477855	N/A	$1,001 - $15,000		None (or less than $201)
69	AT&T 4.750% PFD Ser-C cusip 00206R706	N/A	$1,001 - $15,000		None (or less than $201)
70	TRUIST FINANCIAL CORP 5.25% PFD Ser-O cusip 89832Q745	N/A	$1,001 - $15,000		None (or less than $201)
71	TRUIST FINANCIAL CORP 4.75% PFD SER-R cusip 89832Q695	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
72	U.S. Bancorp (USB) 4.00% PFD SER-M cusip 902973718	N/A	$1,001 - $15,000		None (or less than $201)
73	Valley National Bancorp (VLY) 6.25% PFD SER-A cusip 919794206	N/A	$1,001 - $15,000		None (or less than $201)
74	VIATRIS INC cusip 92556V106	N/A	$1,001 - $15,000		None (or less than $201)
75	Wells Fargo & Co 4.70% PFD CL A cusip 94988U128	N/A	$1,001 - $15,000		None (or less than $201)
76	Wells Fargo & Co. 7.5% PFD CL A cusip 949746804	N/A	$1,001 - $15,000		None (or less than $201)
77	Wells Fargo & Co 5.85% PFD Ser-Q cusip 949746556	N/A	$1,001 - $15,000		None (or less than $201)
78	WELLS FARGO &CO 6.625% PFD Ser- R cusip 949746465	N/A	$1,001 - $15,000		None (or less than $201)
79	WELLS FARGO & CO 5.5% PFD SER-X cusip 94988U672	N/A	$1,001 - $15,000		None (or less than $201)
80	WELLS FARGO & CO 4.75% PFD SER-Z cusip 94988U151	N/A	$1,001 - $15,000		None (or less than $201)
81	Wintrust Financial Corp. (WTFC) cusip 97650W405	N/A	$1,001 - $15,000		None (or less than $201)
82	Wintrust Financial Corp. (WTFC) 6.875% PFD Ser E cusip 97650W504	N/A	$1,001 - $15,000		None (or less than $201)
83	Zions Bancorporation NA (ZION) 6.3000% PFD SER S G cusip 989701859	N/A	$1,001 - $15,000		None (or less than $201)
84	ISHARES INC MSCI JAPAN ETF cusip 46434G822	Yes	$50,001 - $100,000		$201 - $1,000
85	ISHARES S&P MIDCAP 400 INDEX cusip 464287507	Yes	$100,001 - $250,000		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
86	iShares Preferred and Income Securities ETF (PFF)cusip 464288687	Yes	$15,001 - $50,000		$201 - $1,000
87	SPDR Portfolio Short Term Corporate Bond ETF (SPSB) cusip 78464A474	Yes	$50,001 - $100,000		$201 - $1,000
88	iShares TIPS Bond ETF (TIP) cusip 464287176	Yes	$15,001 - $50,000		None (or less than $201)
89	VANGUARD FTSE EUROPE ETF cusip 922042874	Yes	$100,001 - $250,000		$1,001 - $2,500
90	BlackRock Low Duration Bond Fund INST cusip 09260B465	Yes	$250,001 - $500,000		$5,001 - $15,000
91	Eaton Vance Income Fund of Boston I cusip 277907200	Yes	$15,001 - $50,000		$1,001 - $2,500
92	INVESCO CONSERVATIVE INCOME FUND INST cusip 46134M103	Yes	$15,001 - $50,000		None (or less than $201)
93	JP MORGAN EMERGING MKTS EQ I cusip 4812A0623	Yes	$100,001 - $250,000		None (or less than $201)
94	LORD ABBETT SHT DURATION INCOME FUND cusip 543916464	Yes	$250,001 - $500,000		$5,001 - $15,000
95	BLACKROCK TOTAL RETURN FUND I cusip 09252M883	Yes	$50,001 - $100,000		$2,501 - $5,000
96	PIMCO MORTGAGE OPP & BD I2 cusip 72201U646	Yes	$50,001 - $100,000		$1,001 - $2,500
97	PUTNAM ULTRA SHT DUR INCOME FUND Y cusip 74676P698	Yes	$500,001 - $1,000,000		$2,501 - $5,000
98	TCW Total Return Bond I cusip 87234N880	Yes	$100,001 - $250,000		$1,001 - $2,500
99	WESTERN ASSETS CORE BD I cusip 957663305	Yes	$50,001 - $100,000		$1,001 - $2,500

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100	Assurant, Inc. 5.25% Sub Note cusip 04621X306	N/A	$1,001 - $15,000		None (or less than $201)
101	Allstate Corp 5.1% Fix 2 01/20/23 Sub Debentures cusip 020002309	N/A	$1,001 - $15,000		None (or less than $201)
102	GMAC CAP TRUST I 8,125% PFD Ser-2 cusip 361860208	N/A	$1,001 - $15,000	Interest	$201 - $1,000
103	ALGONQUIN POWER & UTILITIES CORP 6.875%-A Sub Note cusip 015857709	N/A	$1,001 - $15,000		None (or less than $201)
104	ALGONQUIN PWR & UTILS CORP A Sub Note cusip 015857808	N/A	$1,001 - $15,000		None (or less than $201)
105	BROOKFIELD FIN INC 4.625% Sub Notes SER-50 cusip 11271L102	N/A	$1,001 - $15,000		None (or less than $201)
106	BROOKFIELD INFRASTRUCTURE PARTNERS ULC 5% Sub Notes cusip 11276B109	N/A	$1,001 - $15,000		None (or less than $201)
107	Citigroup Capital XIII 7.875% PFD cusip 173080201	N/A	$1,001 - $15,000		None (or less than $201)
108	CARLYLE FIN LLLC 4.625% Sub Notes cusip 14314C105	N/A	$1,001 - $15,000		None (or less than $201)
109	CMS Energy Corp. (CMS) 5.875% Sub Notes cusip 125896845	N/A	$1,001 - $15,000		None (or less than $201)
110	ENBRIDGE INC 6.375%-B Sub Notes cusip 29250N477	N/A	$1,001 - $15,000		None (or less than $201)
111	Affiliated Managers Group, Inc. (AMG)5.875% Sub Note cusip 008252850	N/A	$1,001 - $15,000		None (or less than $201)
112	Prudential Financial, Inc. (PRU) cusip 744320888	N/A	$1,001 - $15,000		None (or less than $201)
113	Reinsurance Group of America, Inc. (RGA) cusip 759351703	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
114	Reinsurance Group of America cusip 759351802	N/A	$1,001 - $15,000		None (or less than $201)
115	The Southern Co. (SO) 4.95% Sub Notes SER- A cusip 842587800	N/A	$1,001 - $15,000		None (or less than $201)
116	The Southern Co. (SO)4.20% Sub Notes cusip 842587883	N/A	$1,001 - $15,000		None (or less than $201)
117	ALAMO TX CMNTY COLLEGE DIST SENIOR LIEN REV cusip 011420LU8	N/A	$1,001 - $15,000	Interest	$201 - $1,000
118	ARIZONA ST TRANSN BRD HWY REV REF Bond cusip 040654WS0	N/A	$15,001 - $50,000	Interest	$201 - $1,000
119	AUSTIN TEX PUB IMPT GENL OBLIG Bond cusip 052397PH3	N/A	$1,001 - $15,000	Interest	$201 - $1,000
120	BREVARD COUNTY FLA SCH BRD CTSF PARTN BOND cusip 107431LB2	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
121	CLARK CNTY NEV GENL OBLIG BOND cusip 180848YX5	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
122	COLUMBIA S C WTRWKS & SWR SYS REV-A BOND cusip 198504Z14	N/A	$50,001 - $100,000	Interest	$201 - $1,000
123	COLUMBUS OHIO VAR PURP RGENL OBLIG REF-2 BOND cusip 199492QE2	N/A	$15,001 - $50,000	Interest	$201 - $1,000
124	LEE CNTY FLA TOURIST DEV TAX REV REF-A BOND cusip 523520CY8	N/A	$1,001 - $15,000	Interest	$201 - $1,000
125	LUBBROCK TEX BOND cusip 549188M49	N/A	$15,001 - $50,000	Interest	$201 - $1,000
126	MASSACHUSETTS ST TRANSN FD REV BOND cusip 57604TEZ5	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
127	MUNCIE IND SAN DIST REV REF-A BOND cusip 625847FR4	N/A	$15,001 - $50,000	Interest	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
128	NEW YORK ST URBAN DEV CORP REV BOND cusip 6500356R4	N/A	$1,001 - $15,000	Interest	$201 - $1,000
129	OHIO ST SCH GENL OLBIG SER-A BOND cusip 677522ZD9	N/A	$1,001 - $15,000	Interest	$201 - $1,000
130	OMAHA NEB VAR PURP GENL OBLIG REF BOND cusip 6817125Q4	N/A	$15,001 - $50,000	Interest	$201 - $1,000
131	PIMA CNTY ARIZ CMNTY COLLEGE DIST REV BOND cusip 721666CA1	N/A	$1,001 - $15,000	Interest	$201 - $1,000
132	PUERTO RICO COMWLTH HWY& TRANS AUTH HW BOND cusip 745181P27	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
133	SEMINOLE CNTY FLA SCH BRD CTFSPARTN COPS R BOND cusip 816692MB0	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
134	TEXAS ST BOND cusip 8827237D5	N/A	$1,001 - $15,000	Interest	$201 - $1,000
135	UNIVERSITY DEL REV-A BOND cusip 91425MDK0	N/A	$1,001 - $15,000	Interest	$201 - $1,000
136	UNIVERSITY TEX UNIV REVS ID 06-01-19 BOND cusip 91514AJM8	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
137	WASHINGTON CNTY ORE REF BOND cusip 938234RM5	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
138	WASHINGTON ST GENL OBLIG REF R-2013C BOND cusip 93974C8G8	N/A	$1,001 - $15,000	Interest	$201 - $1,000
139	WISCONSIN HSG & ECONOMIC DEV AUTH HOME BOND cusip 97689QPB6	N/A	$1,001 - $15,000		None (or less than $201)
140	WISCONSIN HSG & ECONOMIC DEV AUTH HOME BOND cusip 97689QLE4	N/A	$1,001 - $15,000		None (or less than $201)
141	U.S. Brokerage money market account (cash)	N/A	$100,001 - $250,000	Interest	None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
142	Muzinich Credit Opportunities Fund Supra Institutional Class Shares (MZCSX)	Yes	$500,001 - $1,000,000		$5,001 - $15,000
143	JPMorgan Floating Rate Income Fund Class I	Yes	$500,001 - $1,000,000		$15,001 - $50,000
144	U.S. bank # 1 (cash)	N/A	$50,001 - $100,000		None (or less than $201)
145	U.S. bank # 2 (cash)	N/A	$50,001 - $100,000		None (or less than $201)
146	Blackstone Real Estate Income Trust, Inc (BREIT)	N/A	$100,001 - $250,000	Dividends	$5,001 - $15,000
147	Resolute Fund II SIE, LP	Yes	$50,001 - $100,000		$50,001 - $100,000
148	Resolute IV LP	Yes	$500,001 - $1,000,000		$5,001 - $15,000
149	Siguler Guff Bric Opportunities	Yes	$50,001 - $100,000		$15,001 - $50,000
150	Fund A LP	Yes	$250,001 - $500,000		None (or less than $201)
151	MS Direct Lending Fund Cusip 9867689Q4	Yes	$50,001 - $100,000		$1,001 - $2,500
152	Putnam Ultra Short Duration Income Fund Class Y Shares (PSDYX)	Yes	None (or less than $1,001)		$201 - $1,000
153	Abbott Laboratories (ABT)	N/A	$250,001 - $500,000	Dividends	$50,001 - $100,000
154	The Home Depot, Inc. (HD)	N/A	$250,001 - $500,000	Dividends	$15,001 - $50,000
155	John Hancock Whole Life Insurance	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
156	Miami Dade Revenue Bond health trust	N/A	None (or less than $1,001)	Interest	$201 - $1,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	BSI Finacial Services	Mortgage on Personal Residence	$100,001 - $250,000	2010	4.50000%	30 years
2	The Resolute Fund II	Unfunded Investment Commitment	$15,001 - $50,000	2003	N/A	On Demand
3	The Resolute Fund IV	Unfunded Investment Commitment	$50,001 - $100,000	2017	N/A	On Demand
4	Siguler Guff Investments	Unfunded Investment Commitment	$10,001 - $15,000	2008	N/A	On Demand
5	J Z Capital Fund A, LLP	Unfunded Investment Commitment	$15,001 - $50,000	2010	N/A	On Demand

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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