



DEPARTMENT OF HEALTH & HUMAN SERVICES

Office of the Secretary

Office of the General Counsel
Washington, DC 20201

April 11, 2022

Emory A. Rounds, III
Director
U.S. Office of Government Ethics
1201 New York Avenue, N.W., Suite 500
Washington, DC 20005-3917

Dear Mr. Rounds:

In accordance with 5 C.F.R. § 2634.605(c)(2), I am enclosing a supplement to the nominee public financial disclosure report of Rita M. Landgraf, who has been nominated for the position of Assistant Secretary for Aging at the U.S. Department of Health and Human Services¹ on March 10, 2022.

Following the signing of her ethics agreement on March 5, 2022, and certification of her nominee public financial disclosure report, the agency determined while reviewing Ms. Landgraf's Senate questionnaire that she had inadvertently reported an incorrect start date for her membership with the BluePrints for the Community Advisory Council.

Based on my review of the supplement to her nominee public financial disclosure report, I am of the opinion that the report is complete and discloses no conflict of interest under applicable laws and regulations.

Sincerely,

Randall J.
Hall -S

Digitally signed by Randall
J. Hall -S
Date: 2022.04.11 11:02:28
-04'00'

Randall J. Hall
Associate General Counsel for Ethics
Designated Agency Ethics Official

Enclosures

¹ The Assistant Secretary for Aging also serves as the Administrator, Administration for Community Living.

April 11, 2022

Mr. Randall J. Hall
Associate General Counsel for Ethics
Designated Agency Ethics Official
U.S. Department of Health and Human Services
Room 710-E, Hubert H. Humphrey Building
200 Independence Avenue, SW
Washington, DC 20201

Re: Amendment and Supplement to Financial Disclosure Report of Rita M. Landgraf

Dear Mr. Hall:

I am writing to amend the financial disclosure report that I signed on September 27, 2021.

This amendment corrects the date I began my membership with the BluePrints for the Community Advisory Council to November 2018.

The amended entry is underlined below:

Part 1

#	Organization Name	City, State	Organization Type	Position Held	From	To
16	BluePrints for the Community Advisory Council	Wilmington, Delaware	External Governing Body	Member	<u>11/2018</u>	Present

I understand that this amendment will be attached to my financial disclosure report and released upon request with that report.

Sincerely,



Rita M. Landgraf

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Landgraf, Rita M.

Assistant Secretary for Aging, Department of Health & Human Services

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Health, Education, Labor, and Pensions
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Landgraf, Rita M. [electronically signed on 09/27/2021 by Landgraf, Rita M. in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Hall, Randall, Certifying Official [electronically signed on 03/14/2022 by Hall, Randall in Integrity.gov]

Other review conducted by

/s/ Hall, Randall, Ethics Official [electronically signed on 03/14/2022 by Hall, Randall in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 03/18/2022 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Delaware Academy of Medicine, Inc.		Newark, Delaware	Non-Profit	Vice President	8/2021	Present
2	Delaware Academy of Medicine, Inc.		Newark, Delaware	Non-Profit	Member, Board of Directors	5/2018	Present
3	Trauma Matters Delaware, Inc.		Wilmington, Delaware	Non-Profit	Vice Board President, Board of Directors	8/2021	Present
4	Trauma Matters Delaware, Inc.		Wilmington, Delaware	Non-Profit	Member, Board of Directors	8/2020	Present
5	University of Delaware		Newark, Delaware	University/College	Director, Partnership for Healthy Communities	3/2017	Present
6	University of Delaware		Newark, Delaware	University/College	Distinguished Health & Social Services Administrator in Residence, College of Health Sciences	3/2017	Present
7	University of Delaware	See Endnote	Newark, Delaware	University/College	Managerial Operations Partner, Healthy Communities Delaware	3/2017	Present
8	University of Delaware		Newark, Delaware	University/College	Member, Executive Team, College of Health Sciences	3/2017	Present

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
9	University of Delaware		Newark, Delaware	University/College	Professor of Practice, College of Health Sciences	3/2017	Present
10	University of Delaware		Newark, Delaware	University/College	Program Faculty, Division of Professional & Continuing Studies	8/2021	8/2021
11	University of Delaware		Newark, Delaware	University/College	Program Faculty, Division of Professional & Continuing Studies	8/2020	9/2020
12	University of Delaware		Newark, Delaware	University/College	Program Faculty, Division of Professional & Continuing Studies	4/2019	5/2019
13	Christiana Care Health Services, Inc.	See Endnote	Wilmington, Delaware	Non-Profit	Chair, ChristianaCare HomeHealth Board	10/2020	Present
14	Delaware Pacem in Terris, Inc.		Wilmington, Delaware	Non-Profit	Member, Board of Directors	9/2020	Present
15	BluePrints for the Community Advisory Council		Wilmington, Delaware	External Governing Body	Chair	6/2020	Present
16	BluePrints for the Community Advisory Council		Wilmington, Delaware	External Governing Body	Member	6/2020	Present
17	Highmark BCBSD, Inc.		Wilmington, Delaware	Corporation	Member, Board of Directors	6/2020	Present
18	Delaware Center for Health Innovation, Inc.		Newark, Delaware	Non-Profit	Executive Vice Chair	6/2019	Present

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
19	Delaware Center for Health Innovation, Inc.		Newark, Delaware	Non-Profit	Member, Board of Directors	3/2014	Present
20	REACH Riverside Development Corporation	See Endnote	Wilmington, Delaware	Non-Profit	Member, Board of Directors	7/2018	Present
21	Community Education Building Corporation		Wilmington, Delaware	Non-Profit	Member, Board of Directors	2/2018	Present
22	Benten Technologies, Inc.		Manassas, Virginia	Corporation	Member, Policy Advisory Board	3/2019	8/2021

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	University of Delaware		N/A		Salary & S-contract Payments	\$303,175
2	Highmark BCBSD, Inc. (health insurance)		N/A		Director Fees	\$44,197
3	State of Delaware, defined benefit plan (value not readily ascertainable)		N/A		Retirement Payments (Monthly)	\$1,900
4	Arc of Delaware, Inc., defined benefit plan (value not readily ascertainable): eligible for \$10,420/yr. - \$11,022/yr. at age 65	See Endnote	N/A			None (or less than \$201)
5	University of Delaware 403(b) Retirement Savings Plan		No			
5.1	Vanguard Institutional Target Retirement 2025 Fund (VRIVX)		Yes	\$100,001 - \$250,000		None (or less than \$201)
6	Filer's IRA		No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.1	U.S. brokerage money market account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.2	Invesco Emerging Markets Sovereign Debt ETF (PCY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.3	Invesco Preferred ETF (PGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.4	iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.5	iShares 20+ Year Treasury Bond ETF (TLT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.6	iShares TIPS Bond ETF (TIP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.7	iShares MBS ETF (MBB)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.8	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.9	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.10	Schwab Short-Term U.S. Treasury ETF (SCHO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.11	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.12	VanEck Fallen Angel High Yield Bond ETF (ANGL)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.13	Vanguard Small-Cap Value ETF (VBR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.14	Vanguard Small-Cap Growth ETF (VBK)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.15	Vanguard Value ETF (VTV)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.16	Vanguard Growth ETF (VUG)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.17	Vanguard Short-Term Corporate Bond ETF (VCSH)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.18	Vanguard Intermediate-Term Corporate Bond ETF (VCIT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.19	Xtrackers USD High Yield Corporate Bond ETF (HYLB)	Yes	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	University of Delaware	Newark, Delaware	I will take an unpaid leave of absence from my positions. The length of leave will be three years, subject to further negotiation of leave criteria. I will not continue to retain the following benefits I have received from the University during my leave of absence: health insurance, dental insurance, vision insurance, life insurance, long-term disability insurance, and use of office space.	9/2021
2	University of Delaware	Newark, Delaware	I will continue to retain the University of Delaware 403(b) Retirement Savings Plan, a defined contribution plan. The university will not make contributions during my leave.	3/2017
3	State of Delaware	Dover, Delaware	I will continue to retain this defined benefit plan.	1/2009
4	Arc of Delaware, Inc.	Wilmington, Delaware	I will continue to retain this defined benefit plan.	9/1986

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	University of Delaware	Newark, Delaware	Director, Partnership for Healthy Communities (including Managerial Operations Partner, Healthy Communities Delaware); Professor of Practice & Distinguished Health and Social Services Administrator in Residence, College of Health Sciences; Member, Executive Team, College of Health Sciences; and Program Faculty, Division of Professional & Continuing Studies
2	Highmark BCBSD, Inc.	Wilmington, Delaware	Member, Board of Directors

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Corning, Inc.	N/A		Board of Directors Retainer Fees	
2	Corning, Inc.	N/A		Audit Chair Fees	
3	Corning, Inc.	N/A		Audit Committee Fees	
4	Corning, Inc.	N/A		Compensation Committee Fees	
5	Corning, Inc.	N/A		Executive Committee Fees	
6	Corning, Inc.	N/A		Dividend Equivalent Payments	\$234,801
7	Corning, Inc., vested restricted stock units	N/A	Over \$1,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	Educational Testing Service (educational testing & measurement services)	N/A		Board of Trustees Fees	
9	Educational Testing Service	N/A		Finance, Executive, Presidential Search Committee Fees	
10	Educational Testing Service	N/A		Mergers, Acquisitions, Divestitures Committee Fees	
11	Louisiana-Pacific Corporation	N/A		Board of Directors Fees	
12	Louisiana-Pacific Corporation (LPX)	See Endnote	\$1,000,001 - \$5,000,000	Capital Gains Dividends	\$15,001 - \$50,000
13	Washington College	N/A		Salary	
14	Bristol-Myers Squibb Co., defined benefit plan (value not readily ascertainable)	N/A		Retirement Payments	
15	DuPont de Nemours, Inc., defined benefit plan (value not readily ascertainable)	N/A		Retirement Payments	
16	Educational Testing Service 401(a) Retirement Plan #1	No		Cash Distributions	\$11,662
16.1	TIAA Traditional	N/A	\$100,001 - \$250,000		None (or less than \$201)
17	Educational Testing Service 401(a) Retirement Plan #2	No		Cash Distributions	\$24,480
17.1	Russell Investment Contract Fund B	Yes	\$50,001 - \$100,000		None (or less than \$201)
18	Educational Testing Service 403(b) Retirement Savings Plan	No		Cash Distributions	\$69,054

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.1	TIAA Traditional	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
19	Washington College Defined Contribution Retirement Plan (403(b) plan)	No		Cash Distributions	\$7,683
19.1	American Funds 2010 Target Date Retirement Fund - Class R-6 (RFTTX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
20	Spouse's IRA	No			
20.1	U.S. brokerage money market account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2	Air Products & Chemicals, Inc. (APD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.3	Amgen, Inc. (AMGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.4	BHP Group Limited (BHP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.5	BlackRock, Inc. (BLK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.6	Bristol-Myers Squibb Co. (BMY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.7	Broadcom, Inc. (AVGO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.8	Chevron Corporation (CVX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.9	Citigroup, Inc. (C)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.10	Comcast Corporation (CMCSA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.11	Crown Castle International Corporation (CCI)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.12	CSX Corporation (CSX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.13	Deere & Co. (DE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.14	Eaton Corporation, PLC (ETN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.15	Eli Lilly & Co. (LLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.16	Emerson Electric Co. (EMR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.17	Entergy Corporation (ETR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.18	Exxon Mobil Corporation (XOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.19	Fifth Third Bancorp (FITB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.20	General Electric Co. (GE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.21	Hewlett Packard Enterprise Co. (HPE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.22	Home Depot, Inc. (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.23	Johnson & Johnson (JNJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.24	JPMorgan Chase & Co. (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.25	Kinder Morgan, Inc. (KMI)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.26	Magna International, Inc. (MGA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.27	McDonald's Corporation (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.28	Medtronic, PLC (MDT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.29	Microsoft Corporation (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.30	Nutrien Limited (NTR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.31	PepsiCo, Inc. (PEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.32	Procter & Gamble Co. (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.33	Realty Income Corporation (O)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.34	Royal Bank of Canada (RY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.35	Seagate Technology, PLC (STX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.36	Synchrony Financial (SYF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.37	Target Corporation (TGT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.38	Texas Instruments, Inc. (TXN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.39	Truist Financial Corporation (TFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.40	United Parcel Service, Inc. (UPS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.41	UnitedHealth Group, Inc. (UNH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.42	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.43	VICI Properties, Inc. (VICI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.44	Walmart, Inc. (WMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21	Spouse's SEP IRA	No		Cash Distributions	\$43,153
21.1	U.S. brokerage money market account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.2	Air Products & Chemicals, Inc. (APD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.3	Amgen, Inc. (AMGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.4	BHP Group Limited (BHP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.5	BlackRock, Inc. (BLK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.6	Bristol-Myers Squibb Co. (BMY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.7	Broadcom, Inc. (AVGO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.8	Chevron Corporation (CVX)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21.9	Citigroup, Inc. (C)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.10	Comcast Corporation (CMCSA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.11	Crown Castle International Corporation (CCI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.12	CSX Corporation (CSX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.13	Deere & Co. (DE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.14	Eaton Corporation, PLC (ETN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.15	Eli Lilly & Co. (LLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.16	Emerson Electric Co. (EMR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.17	Entergy Corporation (ETR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.18	Exxon Mobil Corporation (XOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.19	Fifth Third Bancorp (FITB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.20	General Electric Co. (GE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.21	Hewlett Packard Enterprise Co. (HPE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.22	Home Depot, Inc. (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21.23	Johnson & Johnson (JNJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.24	JPMorgan Chase & Co. (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.25	Kinder Morgan, Inc. (KMI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.26	Magna International, Inc. (MGA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.27	McDonald's Corporation (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.28	Medtronic, PLC (MDT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.29	Microsoft Corporation (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.30	Nutrien Limited (NTR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.31	PepsiCo, Inc. (PEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.32	Procter & Gamble Co. (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.33	Realty Income Corporation (O)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.34	Royal Bank of Canada (RY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.35	Seagate Technology, PLC (STX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.36	Synchrony Financial (SYF)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21.37	Target Corporation (TGT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.38	Texas Instruments, Inc. (TXN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.39	Truist Financial Corporation (TFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.40	United Parcel Service, Inc. (UPS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.41	UnitedHealth Group, Inc. (UNH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.42	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.43	VICI Properties, Inc. (VICI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.44	Walmart, Inc. (WMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank #1 (cash)	N/A	\$250,001 - \$500,000	Interest	\$201 - \$1,000
2	U.S. bank #2 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3	Brokerage account #1	See Endnote	No		
3.1	AbbVie, Inc. (ABBV)	N/A	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2	Broadcom, Inc. (AVGO)	N/A	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000
3.3	Chevron Corporation (CVX)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
3.4	Coca-Cola Co. (KO)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
3.5	Eli Lilly & Co. (LLY)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
3.6	Emerson Electric Co. (EMR)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
3.7	Home Depot, Inc. (HD)	N/A	\$1,000,001 - \$5,000,000	Dividends Capital Gains	\$15,001 - \$50,000
3.8	International Business Machines Corporation (IBM)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
3.9	Johnson & Johnson (JNJ)	N/A	\$1,000,001 - \$5,000,000	Dividends	\$50,001 - \$100,000
3.10	JPMorgan Chase & Co. (JPM)	N/A	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000
3.11	Kellogg Co. (K)	N/A	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000
3.12	McDonald's Corporation (MCD)	N/A	\$1,000,001 - \$5,000,000	Dividends	\$5,001 - \$15,000
3.13	Merck & Co., Inc. (MRK)	N/A	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000
3.14	Organon & Co. (OGN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
3.15	Procter & Gamble Co. (PG)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	
3.16	Raytheon Technologies Corporation (RTX)	N/A	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	
3.17	Truist Financial Corporation (TFC)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	
3.18	Verizon Communications, Inc. (VZ)	N/A	\$250,001 - \$500,000	Dividends Capital Gains	\$15,001 - \$50,000	
3.19	Walmart, Inc. (WMT)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	
3.20	United Technologies Corporation (former ticker symbol UTX)	See Endnote	N/A	None (or less than \$1,001)	Dividends	\$2,501 - \$5,000
4	Brokerage account #2	No				
4.1	City of Oklahoma City, Oklahoma General Obligation Refunding Bonds (OK) (CUSIP 678519MX4)	N/A	\$15,001 - \$50,000	Capital Gains Interest	\$2,501 - \$5,000	
4.2	Boston Water & Sewer Commission General Revenue Refunding Bonds (MA) (CUSIP 101029RK4)	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000	
4.3	Board of Regents of Texas Tech University System Revenue Financing System Refunding & Improvement Bonds (TX) (CUSIP 882806GL0)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	
4.4	City of Laredo, Texas (A Home Rule City Located in Webb County) Waterworks & Sewer System Revenue Improvement & Refunding Bonds (TX) (CUSIP 517039TE3)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	
4.5	City & County of San Francisco, California Certificates of Participation (War Memorial Veterans Building Seismic Upgrade & Improvements) (CA), bonds (CUSIP 79765DJ35)	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000	
4.6	City of New York General Obligation Bonds (NY) (CUSIP 64966LKQ3)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.7	Commonwealth Transportation Board Commonwealth of Virginia Transportation Capital Projects Revenue Bonds (VA) (CUSIP 927793XS3)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4.8	Board of Regents, Texas State University System Revenue Financing System Revenue & Refunding Bonds (TX) (CUSIP 88278PUK8)	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
4.9	New York City Transitional Finance Authority Future Tax Secured Tax-Exempt Subordinate Bonds (NY) (CUSIP 64971WU57)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4.10	Public Utilities Commission of City & County of San Francisco San Francisco Water Revenue Bonds (CA) (CUSIP 79765RH51)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4.11	Sanitation District No. 1 Sanitation District Revenue Refunding Bonds (Campbell, Kenton & Boone Counties Kentucky) (KY) (CUSIP 134041JX1)	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
4.12	State of Georgia, Georgia General Obligation Bonds (GA) (CUSIP 373385CP6)	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
4.13	State of Mississippi General Obligation Bonds (Tax-Exempt) (MS) (CUSIP 605581HU4)	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
4.14	Department of Water & Power of City of Los Angeles Power System Revenue Bonds (CA) (CUSIP 5444952H6)	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
4.15	Miami-Dade County, Florida Capital Asset Acquisition Special Obligation Refunding Bonds (FL) (CUSIP 59333NM83)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4.16	Regents of University of California Medical Center Pooled Revenue Bonds (CA) (CUSIP 913366GD6)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.17	Miami-Dade County, Florida General Obligation Refunding Bonds (Building Better Communities Program) (FL) (CUSIP 59333FSS0)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4.18	North Dakota Public Finance Authority State Revolving Fund Program Bonds (ND) (CUSIP 65887PRZ0)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4.19	City of Charleston, South Carolina Waterworks & Sewer System Capital Improvement Revenue Bonds (SC)	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
4.20	Port Authority of New York & New Jersey Consolidated Bonds (NY) (CUSIP 73358WW26)	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	7	Healthy Communities Delaware is managed as a collaboration among three organizations: University of Delaware Partnership for Healthy Communities, Delaware Division of Public Health, and Delaware Community Foundation.
1.	13	The previous name of ChristianaCare HomeHealth was Visiting Nurse Association.
1.	20	The previous name of REACH Riverside Development Corporation was Riverside Renaissance Development Corporation.
2.	4	The actual estimated annual annuity I will be receiving from the Arc of Delaware, Inc., defined benefit plan will depend on the payment option I select.
5.	12	This Louisiana-Pacific Corporation stock was obtained through my spouse's service on the organization's board of directors. It is currently jointly owned by me and my spouse, and it is held in a brokerage account. The brokerage account is reported in Part 6.
6.	3	Louisiana-Pacific Corporation stock (LPX) is held within this brokerage account. Because that stock was obtained through my spouse's service on the company's board of directors, it is reported in Part 5, not Part 6.
6.	3.20	United Technologies Corporation previously traded on the NYSE under the ticker symbol UTX. In April 2020, Raytheon Company and United Technologies Corporation completed their merger. This merger led to the renaming of United Technologies Corporation to Raytheon Technologies Corporation (RTX).

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
