

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Jackson, Maria R.

Chair, National Endowment for the Arts

Other Federal Government Positions Held During the Preceding 12 Months:

Member, National Council on the Arts (1/2013 - Present)

Names of Congressional Committees Considering Nomination:

- Committee on Health, Education, Labor, and Pensions
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Jackson, Maria R. [electronically signed on 08/22/2021 by Jackson, Maria R. in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Pinkney, India, Certifying Official [electronically signed on 11/01/2021 by Pinkney, India in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 11/08/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Arizona State University		Tempe, Arizona, Arizona	University/College	Professor	1/2017	Present
2	The Kresge Foundation		Troy, Michigan	Foundation	Senior Advisor/Consultant	8/2012	Present
3	McKnight Foundation		Minneapolis, Minnesota	Foundation	Consultant	11/2020	Present
4	Alliance for California Traditional Arts	See Endnote	Fresno, California	Non-Profit	Member, Board of Directors	7/2016	Present
5	Association of Arts Administration Educators		Providence, Rhode Island	Non-Profit	Member, board of directors	4/2020	Present
6	Mixed Blood Theater		Minneapolis, Minnesota	Non-Profit	consultant	5/2021	Present
7	Performing Arts Center of Los Angeles County		Los Angeles, California	Non-Profit	member, board of directors	1/2017	Present
8	Philadelphia Mural Arts Advocates		Philadelphia, Pennsylvania	Non-Profit	Advisor/Consultant	9/2020	Present
9	Kenneth Rainin Foundation		Oakland, California	Foundation	Reviewer	1/2020	3/2020
10	National Arts Strategies		Alexandria, Virginia	Non-Profit	Instructor	6/2020	8/2020
11	California Community Foundation/LA Arts Recovery Fund		Los Angeles, California	Foundation	Reviewer	3/2021	5/2021
12	Broward County Cultural Arts Division		Fort Lauderdale, Florida	Local Arts Agency	Consultant	7/2021	7/2021
13	18th Street Arts Center		Santa Monica, California	Non-Profit	online content creator	12/2020	8/2021

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Arizona State University	N/A		Salary	\$265,093
2	The Kresge Foundation	N/A		Consultant fees.	\$204,186
3	Mixed Blood Theater (arts organization--theater)	N/A		Consulting Fees	\$2,500
4	National Arts Strategies (Leadership training entity)	N/A		teaching fees	\$750
5	Philadelphia Mural Arts Advocates (visual arts organization)	N/A		Consulting Fees	\$1,500
6	Curb Center, Vanderbilt University - 10/16/2020	N/A		honorarium for speaking	\$1,000
7	Johns Hopkins University - 8/6/2020	N/A		honorarium for speaking	\$2,000
8	Kenneth Rainin Foundation	N/A		Reviewer fees	\$1,500
9	Pittsburgh Cultural Trust - 6/11/2021	N/A		honorarium for speaking	\$250
10	McKnight Foundation	N/A		Consulting fees	\$44,000
11	Leadership Asheville at University of North Carolina Asheville - 3/18/2021	N/A		honorarium for speaking	\$1,500
12	Greater Pittsburgh Arts Council--10/22/2020	N/A		honorarium for speaking	\$1,500
13	18th Street Art Center (Arts organization), receivable for honoraria 8/14/2021 and fee for online content	N/A	\$1,001 - \$15,000		None (or less than \$201)
14	Broward County Cultural Division, consulting fee receivable - 7/24/2021	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	Arizona State University, defined benefit plan	N/A	\$50,001 - \$100,000		None (or less than \$201)
16	Urban Institute--Defined Contribution Retirement Plan TIAA CREF	No			
16.1	TIAA Traditional	N/A	\$100,001 - \$250,000		None (or less than \$201)
16.2	CREF Stock R2	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.3	CREF Equity Index R2	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.4	T-C Intl Eq-Rtmt	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.5	T-C Lg-Cap Val-Rtmt	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.6	T-C Mid-Cap Gr-Rtmt	Yes	\$1,001 - \$15,000		None (or less than \$201)
16.7	T-C Mid-Cap Val-Rtmt	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.8	TIAA Real Estate	Yes	\$1,001 - \$15,000		None (or less than \$201)
16.9	CREF Bond market R2	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.10	CREF Inf-Linked Bond R2	Yes	\$1,001 - \$15,000		None (or less than \$201)
16.11	CREF Money Market R2	Yes	\$1,001 - \$15,000		None (or less than \$201)
17	Urban Institute--Tax Deferred Annuity Plan--TIAA	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17.1	TIAA Traditional	N/A	\$15,001 - \$50,000		None (or less than \$201)
17.2	TIAA Real Estate	Yes	\$1,001 - \$15,000		None (or less than \$201)
17.3	CREF Stock R2	Yes	\$50,001 - \$100,000		None (or less than \$201)
17.4	CREF Equity Index R2	Yes	\$15,001 - \$50,000		None (or less than \$201)
17.5	T-C intl Eq-Rtmt	Yes	\$1,001 - \$15,000		None (or less than \$201)
17.6	T-C Lg-Cap Val-Rtmt	Yes	\$1,001 - \$15,000		None (or less than \$201)
17.7	T-C Mid-Cap Val-Rtmt	Yes	\$15,001 - \$50,000		None (or less than \$201)
17.8	TIAA Qt Small-Cap Eq Rt	Yes	\$1,001 - \$15,000		None (or less than \$201)
17.9	CREF Bond Market R2	Yes	\$15,001 - \$50,000		None (or less than \$201)
17.10	CREF Inf-Linked Bond R2	Yes	\$1,001 - \$15,000		None (or less than \$201)
18	SEP IRA	No			
18.1	U.S. Brokerage Cash	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.2	ISHARES TRUST ISHARES 3 TO 7 YEAR TREASURY BOND	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.3	VANGUARD EMERGING MARKETS GOVERNMENT BOND ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.4	ISHARES TRUST ISHARES ISHARES 1-5 YR INVSTMNT GRD CRP BOND ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.5	VANGUARD TOTAL BOND MARKET ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
18.6	VANGUARD SHORT TERM BOND ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
18.7	VANGUARD TOTAL INTERNATIONAL BOND ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)
18.8	JPMORGAN TR II MTG BACKED SECS FD ULTRA CL	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.9	SIX CIRCLES TR GLOBAL BD FD	Yes	\$15,001 - \$50,000		None (or less than \$201)
18.10	SIX CIRCLES TRUST CREDIT OPPORTUNITIES FUND	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.11	VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.12	JPMORGAN TR II CORE PLUS BD FD ULTRA CL	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.13	VANGUARD BOND INDEX FD INC TOTAL BD MARKET IDX FDADMIRAL	Yes	\$15,001 - \$50,000		None (or less than \$201)
19	California Community Foundation/LA Arts Recovery Fund	N/A		Reviewer fee	\$800
20	ArtPlace America - October 27, 2020	N/A		Honorarium for speaking	\$250
21	Springboard for the Arts honorarium for speaking - 5/31/21	N/A		Honorarium for speaking	\$500
22	Alliance for California Traditional Arts--Project Advisory Fee	N/A		Advisory fee	\$500
23	Center for Urban Pedagogy-1/18/20 - 1/18/20	N/A		honorarium for speaking/facilitating	\$350

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24	Doris Duke Charitable Foundation - 8/6/20	N/A		honoraria for speaking/meeting participation	\$250
25	University of Florida, honorarium for speaking - 3/10/2020	N/A		honorarium for speaking	\$500
26	Vanderbilt University, honorarium for speaking - 10/1/2020	N/A		honorarium for speaking	\$1,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Arizona State University	Tempe, Arizona, Arizona	I will take an unpaid leave of absence from my faculty position, which will have renewable one-year terms.	1/2017
2	Urban Institute--Tax Deferred Annuity Plan--TIAA	Washington DC, District of Columbia	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions. Nor do I.	10/1994
3	Arizona State University	Tempe, Arizona	I will continue to participate in my defined benefit plan, which is unvested. My employer will not make any further contributions while I am on my leave of absence.	1/2017
4	Urban Institute--Defined Contribution Retirement Plan TIAA CREF	Washington DC, District of Columbia	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions. Nor do I.	10/1994

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	The Kresge Foundation	Troy, Michigan	Advise strategic learning and evaluation practice and arts and culture program team on strategy development. Meeting facilitation. Writing of articles, reports, memos. Periodic public presentations.

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
2	Arizona State University	Tempe, Arizona, Arizona	Professor--Teaching, curriculum development, research. Faculty internal committee responsibilities. Community service. Lead Studio for Creativity, Place and Equitable Communities.
3	McKnight Foundation	Minneapolis, Minnesota	Advise on strategy development for learning and evaluation division of the foundation. Includes meetings, drafting of internal memos, occasional presentations. Thought partnership with director of program and selected staff.
4	Kresge Foundation (via Arizona State University)	Troy, Michigan	Lead Studio for Creativity, Place and Equitable Communities at Arizona State University during summer (outside of regular academic year), in 2019, 2020 and 2021
5	ArtPlace America (via Arizona State University)	New York, New York	Lead Studio for Creativity, Place and Equitable Communities at Arizona State University during summer (outside of regular academic year), in 2021

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	City of Los Angeles	N/A		salary and bonus	
2	City of Los Angeles, defined benefit plan (value not readily ascertainable)	N/A			None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	residential real estate in Los Angeles, CA	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$15,001 - \$50,000
2	residential real estate in Mexico City	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	U.S. bank #1 (cash)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
4	Brokerage Account	No			
4.1	OTAY CALIF WTR DIST WTR REV	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.2	FOOTHILL-DE ANZA CALIF C COPS	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.3	CONTRA COSTA CALIF CMNTY COLLE REF BDS 2012 GO	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.4	BERKELEY CALIF GO BDS 2017 GO	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.5	CALIFORNIA ST PUB WKS BRD LEAS REV REF BDS F	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.6	ALHAMBRA CALIF UNI SCH DIST LOS ANGELES CNTY G/O RFDG BNDS SER 2016B	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.7	IMPERIAL CALIF IRR DIST ELEC R REF REV BDS SER C	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.8	CHAFFEY CALIF CMNTY COLLEGE DIST G/O RFDG BDS TAX-EXEMPT SER 2014A	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.9	SOUTHERN CALIF PUB PWR AUTH TR REV BDS 2015C	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.10	WEST BASIN CALIF MUN WTR DIST REF REV BDS 2016A	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.11	DRY CREEK CALIF JT ELEM SCH DI GO REF BDS GO	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.12	WINDSOR CALIF UNI SCH DIST GO REF BDS 2016 GO BK QLFD	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.13	SAN LEANDRO CALIF PUB FING AUT LEASE REV REF BDS	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.14	RIVERSIDE CALIF UNI SCH DIST ELECTION 2016-SER A GO	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.15	CONTRA COSTA CALIF TRANSN AUTH SALES TAX REV BDS 2017	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.16	SANTA CLARA VY CALIF TRANSN AU REF BDS 2018 A	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.17	SAN LUIS OBISPO CNTY CALIF CMN GO BDS B GO	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.18	RIVERSIDE CNTY CALIF TRANSN CO SALES TAX REV BDS 2017A	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.19	EASTERN MUN WTR FING AUTH CA CALI DIST REF BDS 2020	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.20	SAN DIEGO CALIF UNI SCH DIST REFUNDING SCHHOOOL BDS SER G-1 GO	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.21	JPMORGAN TR II MUN MONEY MKT FD INSTL CL SECURITY SYMBOL IS IJMX	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	U.S. bank #2 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	JP Morgan Chase		Mortgage on Personal Residence	\$250,001 - \$500,000	2021	2.75	30 year fixed
2	JP Morgan Chase		Mortgage on Personal Residence	\$100,001 - \$250,000	2021	3.12	30 year fixed
3	JP Morgan Chase	See Endnote	Mortgage on Personal Residence	\$250,001 - \$500,000	2018	4.5	30 year fixed
4	JP Morgan Chase	See Endnote	Mortgage on Personal Residence	\$100,001 - \$250,000	2018	4.75	30 year fixed

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	4	Board membership ended in 7/2020, and resumed in 7/2021
8.	3	Mortgage was paid in full/refinanced in 2021
8.	4	Mortgage was paid in full/refinanced in 2021.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
