

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Shuchart, Scott L

Counselor to the Director, Department of Homeland Security

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Shuchart, Scott L [electronically signed on 01/18/2023 by Shuchart, Scott L in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Vergne, Jennifer, Certifying Official [electronically signed on 02/13/2023 by Vergne, Jennifer in Integrity.gov]

Other review conducted by

/s/ Houser, Jason, Ethics Official [electronically signed on 02/09/2023 by Houser, Jason in Integrity.gov]

U.S. Office of Government Ethics Certification

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Amazon.com, Inc. (AMZN)	Sale	12/19/2022	No	\$15,001 - \$50,000
2	Charles River Laboratories International, Inc. (CRL)	Sale	12/19/2022	No	\$15,001 - \$50,000
3	Microsoft Corp. (MSFT)	Sale	12/19/2022	No	\$1,001 - \$15,000
4	Pioneer Natural Resources Co. (PXD)	Sale	12/19/2022	No	\$15,001 - \$50,000
5	Carlyle Group Inc.	Sale	12/19/2022	No	\$1,001 - \$15,000
6	Bed Bath & Beyond, Inc. (BBBY)	Sale	12/06/2022	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public

financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Shuchart, Scott L

Counselor to the Director, Department of Homeland Security

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Shuchart, Scott L [electronically signed on 05/05/2023 by Shuchart, Scott L in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Palmer, Jeffrey, Certifying Official [electronically signed on 05/15/2023 by Palmer, Jeffrey in Integrity.gov]

Other review conducted by

/s/ Fleischaker, Deborah, Ethics Official [electronically signed on 05/05/2023 by Fleischaker, Deborah in Integrity.gov]

U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Kids in Need of Defense (KIND)	Washington, District of Columbia	Non-Profit	Senior Director, Legal Strategy	4/2019	5/2022
2	Civil Rights Education & Enforcement Center AND Disability Rights Advocates	Denver, Colorado	Non-Profit	Consultant/Expert	1/2022	5/2022
3	Family Insurance Trust #1	Chevy Chase, Maryland	Trust	Trustee	1/2012	Present
4	Family Trust #2	Chevy Chase, Maryland	Trust	Trustee	12/2008	Present
5	Family Trust #3	Chevy Chase, Maryland	Trust	Trustee	12/2011	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA #1 (ETrade)	No			
1.1	Amazon.com, Inc. (AMZN)	No	\$15,001 - \$50,000		None (or less than \$201)
1.2	iShares JP Morgan EM High Yield Bond ETF (EMHY)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.3	iShares Global 100 ETF (IOO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.4	iShares Interest Rate Hedged Corporate Bond ETF (LQDH)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.5	Moderna Inc (MRNA)	No	\$1,001 - \$15,000		None (or less than \$201)
1.6	SPDR Dow Jones REIT ETF (RWR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.7	Vanguard Target Retirement 2040 Fund Investor Class Shares (VFORX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.8	Berkshire Hathaway Inc. (BRKB)	No	\$15,001 - \$50,000		None (or less than \$201)
2	Roth IRA #1 (Etrade)	No			
2.1	Amazon.com, Inc. (AMZN)	No	\$1,001 - \$15,000		None (or less than \$201)
2.2	Berkshire Hathaway Inc. (BRKB)	No	\$1,001 - \$15,000		None (or less than \$201)
2.3	Rivian Inc (RIVN)	No	\$1,001 - \$15,000		None (or less than \$201)
3	IRA #2 (Fidelity x943)	No			
3.1	Fidelity Capital & Income Fund (FAGIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	Fidelity International Index Fund (FSPSX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.3	Fidelity 500 Index Fund (FXAIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.4	MicroStrategy, Inc. (MSTR)	No	\$1,001 - \$15,000		None (or less than \$201)
4	Yale University 403(b) plan	No			
4.1	TIAA Traditional	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.2	Vanguard Developed Markets Index Fund Institutional Plus Shares (VDIPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.3	Vanguard Emerging Markets Stock Index Fund Instl+ Class Shares (VEMRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.4	Vanguard Real Estate Index Fund Institutional Class Shares (VGSNX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.5	Vanguard Total Stock Market Index Fund Institutional Plus Class Shares (VSMPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	IRA #3 (Fidelity x835)				
5.1	Fidelity Government Money Market Fund (SPAXX)	Yes	\$1,001 - \$15,000		
5.2	SPDR Portfolio S&P 500 High Dividend ETF (SPYD)	Yes	\$50,001 - \$100,000		
6	Roth #2 (Fidelity x935)				
6.1	Apple, Inc. (AAPL)	No	\$1,001 - \$15,000		None (or less than \$201)
7	KIND, Inc. (Kids in Need of Defense)	N/A	None (or less than \$1,001)	Salary in 2022 prior to joining DHS.	\$85,362

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Kids in Need of Defense (KIND)	Washington, District of Columbia	I did not participate in this defined contribution plan after my separation, and rolled it over to an IRA after separation.	4/2019
2	Yale University	New Haven, Connecticut	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	7/2008

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Johns Hopkins University	N/A		salary	\$37,629
2	Wiley Inc.	N/A		salary	\$156,744
3	Retirement account 1	No			
3.1	Assoc of Amer Univs 403(b) DC Plan	No			
3.1.1	TIAA Real Estate F0995DN-3	Yes	\$1,001 - \$15,000		
3.1.2	T-C Money Market-Rtmt	Yes	\$15,001 - \$50,000		
3.1.3	AF American Baland Fund R4	Yes	\$15,001 - \$50,000		
3.1.4	T-C Lfcycle Idx 2045-Rtmt	Yes	\$50,001 - \$100,000		
3.2	Assoc of Amer Univs 403(b) TDA Plan	No			
3.2.1	T-C S&P 500 Idx-Rtmt	Yes	\$15,001 - \$50,000		
3.2.2	T-C Sm-Cap Pl Idx-Rtmt	Yes	\$15,001 - \$50,000		
3.2.3	TIAA Real Estate (TIAA F55919E-O)	Yes	\$1,001 - \$15,000		
3.2.4	Metrop West Tot Ret Bd M	Yes	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.5	AG Global Bond Advisor	Yes	\$1,001 - \$15,000		
3.2.6	T-C Lfcycle Idx 2040-Rtmt	Yes	\$50,001 - \$100,000		
3.3	JHU 403(B) Plan				
3.3.1	Vanguard Institutional Target Retirement 2040 Fund Institutional Class Shares (VIRSX)	Yes	\$15,001 - \$50,000		
4	IRA brokerage account 1	No			
4.1	Vanguard Target Retirement 2045 Fund Investor Class Shares (VTIVX)	Yes	\$50,001 - \$100,000		
5	Roth IRA brokerage account 1	No			
5.1	Growth Fund of America Class A Shares (AGTHX)	Yes	\$15,001 - \$50,000		
5.2	Washington Mutual Investors Fund Class A Shares (AWSHX)	Yes	\$15,001 - \$50,000		

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Child 1 custodian account	No			
1.1	Schwab Total Stock Market Index Fund (SWTSX)	Yes	\$1,001 - \$15,000		
1.2	Vanguard World FD ESG US Stock ETF (ESGV)	Yes	\$1,001 - \$15,000		
2	Child 1 trust				
2.1	Apple, Inc. (AAPL)	No	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.2	Amazon.com, Inc. (AMZN)	No	\$1,001 - \$15,000		None (or less than \$201)
2.3	Rivian Auto. Inc. (RIVN)	No	\$1,001 - \$15,000		None (or less than \$201)
2.4	Vanguard Emerging Markets Stock Index (VEMAX)	Yes	\$1,001 - \$15,000		
2.5	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	\$100,001 - \$250,000		
2.6	Vanguard Global Equity Inv. (VHGEX)	Yes	\$15,001 - \$50,000		
2.7	Extended Insurance Sweep Deposit Account - Morgan Stanley Bank	No	\$1,001 - \$15,000		None (or less than \$201)
3	Child 2 custodian account	No			
3.1	Schwab Total Stock Market Index Fund (SWTSX)	Yes	\$1,001 - \$15,000		
4	Child 2 trust	No			
4.1	Apple, Inc. (AAPL)	No	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
4.2	Amazon.com, Inc. (AMZN)	No	\$1,001 - \$15,000		None (or less than \$201)
4.3	Rivian Auto. Inc. (RIVN)	No	None (or less than \$1,001)		None (or less than \$201)
4.4	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	\$100,001 - \$250,000		
4.5	Vanguard Global Equity Inv. (VHGEX)	Yes	\$1,001 - \$15,000		
4.6	Extended Insurance Sweep Deposit Account - Morgan Stanley Bank	No	\$1,001 - \$15,000		None (or less than \$201)
5	Individual brokerage account 1 (Etrade)	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.1	Target Corp. (TGT)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.2	Berkshire Hathaway Inc. (BRKB)	No	\$1,001 - \$15,000		
5.3	Apple, Inc. (AAPL)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.4	Silvergate Capital Corporation Class A Common Stock (SI)	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
6	Individual brokerage account 2 (Fidelity x058)	No			
6.1	D.R. Horton, Inc. (DHI)	No	\$15,001 - \$50,000	Capital Gains Dividends	\$5,001 - \$15,000
6.2	Starbucks Corp. (SBUX)	No	\$15,001 - \$50,000	Capital Gains Dividends	\$15,001 - \$50,000
6.3	Target Corp. (TGT)	No	\$15,001 - \$50,000	Capital Gains Dividends	\$5,001 - \$15,000
6.4	BellRing Brands Inc. (BRBR)	No	\$1,001 - \$15,000		None (or less than \$201)
6.5	Monster Beverage Corp. (MNST)	No	\$15,001 - \$50,000		None (or less than \$201)
6.6	Post Holdings, Inc. (POST)	No	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000
6.7	Pioneer Natural Resources Co. (PXD)	No	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
6.8	Ameriprise Financial, Inc. (AMP)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6.9	Berkshire Hathaway Inc. (BRKB)	No	\$15,001 - \$50,000		None (or less than \$201)
6.10	JP Morgan Chase Co. (JPM)	No	\$15,001 - \$50,000	Capital Gains Dividends	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.11	LPL Financial Holdings, Inc. (LPLA)	No	\$50,001 - \$100,000	Capital Gains Dividends	\$5,001 - \$15,000
6.12	Truist Financial Corp. (TFC)	No	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
6.13	Crown Castle International Corp. (CCI)	No	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
6.14	AbbVie, Inc. (ABBV)	No	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
6.15	Centene Corp. (CNC)	No	\$15,001 - \$50,000		None (or less than \$201)
6.16	Danaher Corp. (DHR)	No	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
6.17	UnitedHealth Group, Inc. (UNH)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6.18	AMETEK, Inc. (AME)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6.19	Northrop Grumman Corp. (NOC)	No	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
6.20	United Rentals, Inc. (URI)	No	\$15,001 - \$50,000		None (or less than \$201)
6.21	Alphabet, Inc. (GOOGL)	No	\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000
6.22	Apple, Inc. (AAPL)	No	\$100,001 - \$250,000	Capital Gains Dividends	\$5,001 - \$15,000
6.23	Applied Materials, Inc. (AMAT)	No	\$15,001 - \$50,000	Capital Gains Dividends	\$5,001 - \$15,000
6.24	CDW Corp. (CDW)	No	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.25	Marvell Technology Group Ltd. (MRVL)	No	\$15,001 - \$50,000		None (or less than \$201)
6.26	Mastercard, Inc. (MA)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6.27	Microsoft Corp. (MSFT)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6.28	Norton LifeLock Inc. (NLOK)	No	None (or less than \$1,001)	Dividends	\$201 - \$1,000
6.29	Trimble, Inc. (TRMB)	No	\$15,001 - \$50,000		None (or less than \$201)
6.30	Zynga, Inc. (ZNGA)	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
6.31	Comcast Corp. (CMCSA)	No	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
6.32	Copart, Inc. (CPRT)	No	\$15,001 - \$50,000		None (or less than \$201)
6.33	iShares Core S&P 500 ETF (IVV)	Yes	\$50,001 - \$100,000		
7	Individual brokerage account 3 (Fidelity x101)	No			
7.1	Apple, Inc. (AAPL)	No	\$50,001 - \$100,000	Capital Gains Dividends	\$201 - \$1,000
8	Spouse trust	No			
8.1	Vanguard Federal Money Market Fund Investor Shares (VMFXX)	Yes	\$1,001 - \$15,000		
9	Spouse brokerage account	No			
9.1	Vanguard Total Bond Market Index Fund Admiral Shares (VBTLX)	Yes	\$50,001 - \$100,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2	Vanguard Emerging Markets Stock Index Fund Admiral Class Shares (VEMAX)	Yes	\$1,001 - \$15,000		
9.3	Vanguard International Explorer Fund Investor Shares (VINEX)	Yes	\$15,001 - \$50,000		
9.4	Vanguard Mid-Cap Growth Index Fund Admiral Class Shares (VMGMX)	No	\$15,001 - \$50,000		
9.5	Vanguard Small-Cap Index Fund Admiral Shares (VSMAX)	Yes	\$50,001 - \$100,000		
9.6	Vanguard FTSE All-World ex-US Small-Cap Index Fund Admiral Shares (VFSAX)	Yes	\$1,001 - \$15,000		
9.7	American Balanced Fund Class A Shares (ABALX)	Yes	\$15,001 - \$50,000		
9.8	DWS Managed Municipal Bond Fund Class A Shares (SMLAX)	Yes	\$50,001 - \$100,000		
9.9	Franklin Federal Tax-Free Income Fund Class A1 Shares (FKTIX)	Yes	\$50,001 - \$100,000		
9.10	Franklin US Government Securities Fund Class A1 Shares (FKUSX)	Yes	\$1,001 - \$15,000		
9.11	Franklin Utilities Fund Class A1 Shares (FKUTX)	Yes	\$50,001 - \$100,000		
9.12	Nuveen Intermediate Duration Municipal Bond Fund Class I Shares (NUVBX)	Yes	\$50,001 - \$100,000		
9.13	Washington Mutual Investors Fund Class A Shares (AWSHX)	Yes	\$15,001 - \$50,000		
9.14	Vanguard Federal Money Market Fund Investor Shares (VMFXX)	No	\$1,001 - \$15,000		None (or less than \$201)
10	Child 1 529 1	No			
10.1	Vanguard 529 Target 26/27	No	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11	Child 2 529 1	No			
11.1	Vanguard 529 Target 30/31	No	\$100,001 - \$250,000		None (or less than \$201)
12	Individual brokerage account 4	No			
12.1	AspirationRedwood Fund (REDWX)	Yes	\$1,001 - \$15,000		
13	Child 1 529 2	No			
13.1	Maryland College Inv Plan Portfolio 2027	No	\$50,001 - \$100,000		None (or less than \$201)
14	Child 2 529 2	No			
14.1	Maryland College Investment Plan Portfolio 2030	No	\$15,001 - \$50,000		
15	Checking account 1 (Filer)	No	\$1,001 - \$15,000		None (or less than \$201)
16	Checking account 2 (spousal joint)	No	\$15,001 - \$50,000		None (or less than \$201)
17	Life insurance trust interest	No	\$50,001 - \$100,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Vanguard Total Bond Market Index Fund Admiral Shares (VBTLX)	Sale	05/04/2022	\$15,001 - \$50,000
2	CDW Corp. (CDW)	Purchase	05/25/2022	\$15,001 - \$50,000
3	Pioneer Natural Resources Co. (PXD)	Purchase	05/25/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
4	Alphabet, Inc. (GOOG)	Sale	05/25/2022	\$15,001 - \$50,000
5	Post Holdings, Inc. (POST)	Sale	06/01/2022	\$1,001 - \$15,000
6	Truist Financial (TFC)	Sale	05/25/2022	\$1,001 - \$15,000
7	Copart, Inc. (CPRT)	Purchase	07/07/2022	\$15,001 - \$50,000
8	Northrop Grumman Corp. (NOC)	Purchase	06/29/2022	\$15,001 - \$50,000
9	Apple, Inc. (AAPL)	Sale	06/29/2022	\$1,001 - \$15,000
10	Applied Materials, Inc. (AMAT)	Sale	06/29/2022	\$1,001 - \$15,000
11	Target Corp. (TGT)	Sale	06/29/2022	\$15,001 - \$50,000
12	Charles River Laboratories International, Inc. (CRL)	Purchase	07/29/2022	\$15,001 - \$50,000
13	The Carlyle Group Inc. (CG)	Purchase	08/03/2022	\$1,001 - \$15,000
14	LPL Financial Holdings, Inc. (LPLA)	Sale	07/29/2022	\$15,001 - \$50,000
15	Truist Financial Corp. (TFC)	Sale	08/03/2022	\$15,001 - \$50,000
16	Tesla, Inc. (TSLA)	Sale	10/13/2022	\$15,001 - \$50,000
17	Meta Platforms Inc. (META)	Sale	11/01/2022	\$1,001 - \$15,000
18	Tesla, Inc. (TSLA)	Sale	12/19/2022	\$1,001 - \$15,000
19	Berkshire Hathaway Inc. (BRKB)	Purchase	12/21/2022	\$15,001 - \$50,000
20	Amazon.com, Inc. (AMZN)	Sale	12/19/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
21	Charles River Laboratories International, Inc. (CRL)	Sale	12/19/2022	\$15,001 - \$50,000
22	Microsoft Corp. (MSFT)	Sale	12/19/2022	\$1,001 - \$15,000
23	Pioneer Natural Resources Co. (PXD)	Sale	12/19/2022	\$15,001 - \$50,000
24	Carlyle Group Inc.	Sale	12/19/2022	\$1,001 - \$15,000
25	Bed Bath & Beyond, Inc. (BBBY)	Sale	12/06/2022	\$1,001 - \$15,000
26	Amazon.com, Inc. (AMZN)	Purchase	03/31/2022	\$15,001 - \$50,000
27	The Carlyle Group Inc. Common Stock (CG)	Purchase	08/03/2022	\$1,001 - \$15,000
28	iShares Core S&P 500 ETF (IVV)	Purchase	12/19/2022	\$15,001 - \$50,000
29	iShares Core S&P 500 ETF (IVV)	Sale	12/27/2022	\$15,001 - \$50,000
30	Microsoft Corp. (MSFT)	Purchase	01/20/2022	\$15,001 - \$50,000
31	Microsoft Corp. (MSFT)	Sale	05/05/2022	\$15,001 - \$50,000
32	NortonLifeLock Inc. Common Stock (NLOK)	Purchase	02/16/2022	\$15,001 - \$50,000
33	NortonLifeLock Inc. Common Stock (NLOK)	Sale	09/06/2022	\$1,001 - \$15,000
34	Pioneer Natural Resources Co. (PXD)	Purchase	05/25/2022	\$15,001 - \$50,000
35	Pioneer Natural Resources Co. (PXD)	Sale	12/19/2022	\$15,001 - \$50,000
36	Zynga, Inc. (ZNGA)	Sale	01/20/2022	\$15,001 - \$50,000
37	Comcast Corp. (CMCSA)	Sale	01/20/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
38	D.R. Horton, Inc. (DHI)	Sale	02/16/2022	\$15,001 - \$50,000
39	D.R. Horton, Inc. (DHI)	Sale	05/05/2022	\$1,001 - \$15,000
40	JPMorgan Chase & Co. (JPM)	Sale	03/21/2022	\$1,001 - \$15,000
41	Starbucks Corp. (SBUX)	Sale	03/31/2022	\$15,001 - \$50,000
42	Vanguard Long-Term Bond Index Fund Investor Shares (VBLTX)	Sale	05/04/2022	\$15,001 - \$50,000
43	Vanguard Long-Term Bond Index Fund Investor Shares (VBLTX)	Sale	08/26/2022	\$15,001 - \$50,000
44	Franklin Utilities Fund Class A1 Shares (FKUTX)	Sale	08/26/2022	\$1,001 - \$15,000
45	Silergate Capital Corporation Class A Common Stock (SI)	Sale	01/20/2022	\$1,001 - \$15,000
46	Facebook, Inc. (FB)	Sale	03/30/2022	\$1,001 - \$15,000
47	SPDR Portfolio S&P 500 High Dividend ETF (SPYD)	Purchase	09/20/2022	\$50,001 - \$100,000
48	Apple, Inc. (AAPL)	Purchase	09/20/2022	\$1,001 - \$15,000
49	Twitter, Inc. (TWTR)	Sale	04/25/2022	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	E-Trade	Exercised Line of Credit	\$15,001 - \$50,000	2021	5.589	On demand

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

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