

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Tom, Christian L

Assistant to the President and Director of Digital Strategy, White House - Biden-Harris Administration

Report Year: 2024

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Tom, Christian L [electronically signed on 05/10/2024 by Tom, Christian L in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Chung, Christie, Certifying Official [electronically signed on 06/06/2024 by Chung, Christie in Integrity.gov]

Other review conducted by

/s/ Chung, Christie, Ethics Official [electronically signed on 06/06/2024 by Chung, Christie in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 06/06/2024

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	McCourt Institute	Washington, District of Columbia	Non-Profit	Head of Americas	8/2022	6/2023

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Vox Media (digital media company), vested stock options (value not readily ascertainable), 10,451 shares, \$6.09 strike, exp. 5/15/2025	See Endnote	N/A		None (or less than \$201)
2	IRA #2	No			
2.1	Bank of Montreal note linked to SPDR S&P 500 ETF Trust (SPY)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.2	RiverSource Life Insurance Co., variable annuity	No			
2.2.1	Columbia Variable Portfolio - Large Cap Index Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.2.2	CTIVP - Morgan Stanley Advantage Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2.3	Fidelity VIP Contrafund Portfolio	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.2.4	Morgan Stanley VIF Discovery Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	IRA #3	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1	U.S. brokerage #3 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2	iShares Core S&P Mid-Cap ETF (IJH)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.3	iShares Core S&P Small-Cap ETF (IJR)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.4	iShares MSCI USA Value Factor ETF (VLUE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.5	SPDR S&P 500 ETF Trust (SPY)	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.6	VanEck CM Commodity Index Fund (CMCYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.7	Vanguard FTSE Developed Markets Index Fund ETF (VEA)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.8	Vanguard Real Estate Index Fund ETF (VNQ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.9	Vanguard FTSE Emerging Markets Index Fund ETF (VWO)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.10	iShares MSCI Eurozone ETF (EZU)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Roth IRA #1	No			
4.1	U.S. brokerage #3 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.2	Baron Asset Fund (BARIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.3	BlackRock Systematic Multi-Strategy Fund (BIMBX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.4	John Hancock Funds Disciplined Value Mid Cap Fund (JVMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.5	Dodge & Cox Income Fund (DODIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.6	DWS Enhanced Commodity Strategy Fund (SKIRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.7	American Funds EuroPacific Growth Fund (AEPFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.8	Fidelity Advisor New Insights Fund (FINSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.9	Goldman Sachs ActiveBeta U.S. Large Cap Equity ETF (GSLC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.10	Goldman Sachs International Small Cap Insights Fund (GICIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.11	iShares MSCI USA Value Factor ETF (VLUE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.12	MFS Value Fund (MEIIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.13	American Funds New World Fund (NFFFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.14	Northern Small Cap Value Fund (NOSGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.15	Oakmark International Fund (OAYIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.16	PIMCO Income Fund (PONPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.17	Principal Real Estate Securities Fund (PIREX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.18	TIAA-CREF Core Bond Fund (TIBHX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.19	Western Asset Core Bond Fund (WATFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.20	BlackRock Strategic Income Opportunities Portfolio (BSIIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.21	AQR Diversified Arbitrage Fund (ADAIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.22	iShares MSCI Intl Value Factor ETF (IVLU)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.23	John Hancock Diversified Macro Fund (JDJIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.24	Fidelity Advisor Emerging Markets Fund Class I Shares (FIMKX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.25	iShares ESG US Aggregate Bond ETF (EAGG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	McCourt Institute	N/A		Salary	\$123,866

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Vox Media	New York, New York	I have vested options in Vox Media (from Group Nine Media which was acquired by Vox Media). Until a liquidity event, there is no cash value of them.	6/2015
2	McCourt Institute	Washington, District of Columbia	I did not qualify for the anticipated bonus that was reported on my 2023 New Entrant report, and it was not/will not be received.	8/2022

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	HyperScience (document processing software) stock	See Endnote	N/A		None (or less than \$201)
2	401(k) #1 -- HyperScience	No			
2.1	Vanguard Target Retirement 2050 Fund Investor Class Shares (VFIFX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3	401(k) #2 -- Argus & Palantir	No			
3.1	American Century Retirement Date 2050 Trust I (02508D799)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2	American Century Retirement Date 2045 Trust I (02508D815)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.3	American Century Retirement Date 2040 Trust I (02508D823)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	American Century Retirement Date 2035 Trust I (02508D831)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.5	American Century Retirement Date 2030 Trust I (02508D849)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	American Century Retirement Date 2025 Trust I (02508D856)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.7	DFA U.S. Targeted Value Portfolio Institutional Class (DFFVX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.8	Fidelity Small Cap Index Fund (FSSNX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.9	Fidelity 500 Index Fund (FXAIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.10	Fidelity Government Money Market Fund - Premium Class (FZCXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.11	American Funds New World Fund Class R-6 (RNWGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.12	Invesco Core Bond Fund Class R6 (OPBIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.13	T. Rowe Price New Horizons Fund (PRNHX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.14	Vanguard Equity-Income Fund Admiral Shares (VEIRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.15	Vanguard GNMA Fund Admiral Shares (VFIJX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.16	Vanguard Mid-Cap Index Fund Admiral Shares (VIMAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.17	Vanguard Developed Markets Index Fund Admiral Shares (VTMGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	401(k) #3 -- Attentive	No			
4.1	Fidelity Freedom Index 2050 Fund Institutional Premium Class Shares (FFOPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5	Attentive Inc (mobile messaging company), stock	See Endnote	N/A	Capital Gains	\$100,001 - \$1,000,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Brokerage Account #1	See Endnote	No		
1.1	SPDR Dow Jones Industrial Average ETF Trust (DIA)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.2	First Trust Nasdaq Cybersecurity ETF (CIBR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.3	Alphabet, Inc. (GOOG, GOOGL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4	Amazon.com, Inc. (AMZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.5	Apple, Inc. (AAPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.6	Berkshire Hathaway, Inc. (BRK.B)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.7	Invesco QQQ ETF (QQQ)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
1.8	JPMorgan Chase & Co. (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.9	McDonald's Corp. (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.10	Microsoft Corp. (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.11	Visa, Inc. (V)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.12	Principal Mid Cap Fund (PCBIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.13	Meta Platforms Inc. (META)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.14	Nu Holdings Ltd. (NU)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.15	VanEck Vectors Semiconductor ETF (SMH)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.16	Vanguard Total World Stock ETF (VT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.17	Vanguard Mid-Cap ETF (VO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.18	Vanguard Growth ETF (VUG)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
1.19	Vanguard Value ETF (VTV)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.20	Vanguard Small-Cap ETF (VB)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.21	Vanguard FTSE Developed Markets ETF (VEA)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.22	Vanguard S&P 500 ETF (VOO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.23	Vanguard Real Estate ETF (VNQ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.24	U.S brokerage account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.25	Invesco Solar ETF (TAN)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.26	Starbucks Corp. (SBUX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	Northwestern Mutual, Whole Life Insurance	N/A	\$100,001 - \$250,000		None (or less than \$201)
3	Brokerage Account #2	No			
3.1	Baron Asset Fund (BARIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2	U.S. brokerage #3 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3	John Hancock Funds Disciplined Value Mid Cap Fund (JVMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	Dodge & Cox International Stock Fund (DODFX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.5	DWS Enhanced Commodity Strategy Fund (SKIRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	T. Rowe Price Emerging Markets Stock Fund (PRMSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.7	American Funds EuroPacific Growth Fund (AEPFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.8	Fidelity Advisor Intermediate Municipal Income Fund (FZIIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.9	Fidelity Advisor New Insights Fund (FINSX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.10	Goldman Sachs ActiveBeta U.S. Large Cap Equity ETF (GSLC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.11	iShares Gold Trust (IAU)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.12	iShares MSCI USA Value Factor ETF (VLUE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.13	MFS Value Fund (MEIIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3.14	American Funds New World Fund (NFFFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.15	Northern Small Cap Value Fund (NOSGX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.16	Principal Real Estate Securities Fund (PIREX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.17	American Funds Tax-Exempt Bond Fund (TEAFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.18	iShares MSCI Intl Value Factor ETF (IVLU)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Brokerage Account #3	No			
4.1	SPDR S&P 500 ETF Trust (SPY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	Residential real estate (Southold, NY)	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$5,001 - \$15,000
6	Brokerage Account #4	See Endnote	No		
6.1	Apple, Inc. (AAPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.2	AbbVie, Inc. (ABBV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.3	Abbott Laboratories (ABT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.4	Bank of America Corp. (BAC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.5	Chevron Corp. (CVX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.6	Broadcom, Inc. (AVGO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.7	Cisco Systems, Inc. (CSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.8	Consolidated Edison, Inc. (ED)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.9	Crown Castle Inc. (CCI)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.10	Constellation Brands, Inc. (STZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.11	Dow, Inc. (DOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.12	Duke Energy Corp. (DUK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.13	Emerson Electric Co. (EMR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.14	Exxon Mobil Corp. (XOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.15	Fastenal Co. (FAST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.16	Honeywell International, Inc. (HON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.17	JPMorgan Chase & Co. (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.18	Eli Lilly & Co. (LLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.19	Lowe's Companies, Inc. (LOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.20	Lockheed Martin Corp. (LMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.21	Linde Plc (LIN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.22	Yum! Brands, Inc. (YUM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.23	Eaton Corp. Plc (ETN)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.24	Visa, Inc. (V)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.25	UnitedHealth Group, Inc. (UNH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.26	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.27	Union Pacific Corp. (UNP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.28	Target Corp. (TGT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.29	U.S. Bancorp (USB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.30	Texas Instruments Incorporated (TXN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.31	Sysco Corp. (SYY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.32	Raytheon Technologies Corporation (RTX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.33	Prologis, Inc. (PLD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.34	PepsiCo, Inc. (PEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.35	Pfizer Inc. (PFE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.36	PPG Industries, Inc. (PPG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.37	Procter & Gamble Co. (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.38	NextEra Energy, Inc. (NEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.39	Morgan Stanley (MS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.40	Mondelez International, Inc. (MDLZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.41	Microsoft Corp. (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.42	Microchip Technology, Inc. (MCHP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.43	MetLife, Inc. (MET)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.44	Merck & Co., Inc. (MRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.45	McDonald's Corp. (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.46	Medtronic Plc (MDT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.47	Chubb Ltd. (CB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.48	Champlain Small Company Fund Institutional Shares (CIPNX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.49	Harbor Large Cap Value Fund Institutional Class Shares (HAVLX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.50	Polen Growth Fund Institutional Class Shares (POLIX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
6.51	United States Treasury Note	N/A	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.52	Automatic Data Processing, Inc. (ADP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.53	Alexandria Real Estate Equities, Inc. (ARE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	U.S. bank #1 (cash)	N/A	\$250,001 - \$500,000		None (or less than \$201)
8	U.S. bank #2 (cash)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
9	Brokerage Account #6	No			
9.1	Northwestern Mutual, Whole Life Insurance	N/A	\$50,001 - \$100,000		None (or less than \$201)
10	US Treasury Note	N/A	\$15,001 - \$50,000		None (or less than \$201)
11	New Hampshire Fidelity Advisor 529 Plan	No			
11.1	NH Portfolio 2039 (Fidelity Blend)	Yes	\$50,001 - \$100,000		None (or less than \$201)
12	Brokerage Account #7	No			
12.1	RiverSource Life Insurance Co., structured annuity linked to SPDR S&P 500 ETF Trust	N/A	\$50,001 - \$100,000		None (or less than \$201)
12.2	RiverSource Life Insurance Co., structured annuity linked to SPDR S&P 500 ETF Trust	N/A	\$50,001 - \$100,000		None (or less than \$201)
12.3	RiverSource Life Insurance Co., structured annuity linked to SPDR S&P 500 ETF Trust	N/A	\$50,001 - \$100,000		None (or less than \$201)
12.4	JPMORGAN CHASE FINL CO CONTIN INT NOTE 7.5% LINKED TO INVESCO QQQ ETF and SPDR S&P 500 TRUST	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
13	Brokerage Account #9	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.1	FLEXIBLE SAVINGS CERTIFICATE	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
14	U.S. bank #3 (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
15	U.S. bank #4 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
16	Family Trust	See Endnote		Distribution Payment	\$2,486
16.1	Tong Git Co. Inc.	No			
16.1.1	Residential real estate, San Francisco, CA	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.2	Chew Lun Realty Co Inc.	No			
16.2.1	Commercial real estate, San Francisco, CA	N/A	\$50,001 - \$100,000		None (or less than \$201)
16.3	Hing Yip Co, Inc.	No			
16.3.1	Residential real estate, San Francisco, CA	N/A	\$100,001 - \$250,000		None (or less than \$201)
16.4	Yekon Association	No			
16.4.1	Commercial real estate, San Francisco, CA	N/A	\$100,001 - \$250,000		None (or less than \$201)
16.5	Tom Do Hing Trust Building	No			
16.5.1	Commercial real estate, San Francisco, CA	N/A	\$100,001 - \$250,000		None (or less than \$201)
16.6	Chong Hing Co.	No			
16.6.1	Commercial real estate, San Francisco, CA	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.6.2	Commercial real estate, San Francisco, CA	N/A	\$50,001 - \$100,000		None (or less than \$201)
16.7	Toms Investment Co.	No			
16.7.1	Commercial real estate, San Francisco, CA	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.7.2	Commercial real estate, San Francisco, CA	N/A	\$100,001 - \$250,000		None (or less than \$201)
16.7.3	Residential real estate, South Lake Tahoe, CA	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.8	U.S. brokerage account #1	No			
16.8.1	U.S. brokerage money market account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.8.2	Fidelity International Discovery Fund (FIGRX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.8.3	Fidelity Canada Fund Canada Shares (FICDX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.9	U.S. brokerage account #2	No			
16.9.1	Growth Fund of America Class A Shares (AGTHX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
16.10	U.S. brokerage account #3	No			
16.10.1	Putnam International Equity Fund Class A Shares (POVSX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.10.2	Putnam Large Cap Growth Fund (POGAX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
16.10.3	Templeton Growth Fund, Inc Class A Shares (TEPLX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
17	MS Brokerage Account	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17.1	SPDR S&P 500 ETF Trust (SPY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18	Brokerage Account #5	See Endnote	No		
18.1	Fidelity Government Money Market Fund (SPAXX)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
18.2	APPLE INC (AAPL)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
18.3	FIDELITY TOTAL MARKET INDEX FUND (FSKAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
18.4	FIDELITY 500 INDEX FUND (FXAIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
18.5	FIDELITY NASDAQ COMPOSITE INDEX (FNCMX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
18.6	Fidelity Select Medical Technology & Devices Portfolio (FSMEX)	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
18.7	Hartford Schroders Emerging Markets Equity Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
18.8	ALPHABET INC CAP STK CL A (GOOGL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
18.9	AMERICAN EUROPACIFICGROWTH FUND CL F2 (AEPFX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
18.10	FIDELITY FOCUSED STOCK FUND (FTQGX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
18.11	AMAZON.COM INC (AMZN)	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
18.12	Dimensional Emerging Markets Value Portfolio (DFEVX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
18.13	MICROSOFT CORP (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.14	ALPHABET INC CAP STK CL C (GOOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.15	NVIDIA CORPORATION COM (NVDA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.16	META PLATFORMS INC CLASS A COMMON STOCK (META)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.17	Fidelity Advisor Focused Emerging Markets Fund (FZAEX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.18	FIDELITY SELECT MATERIALS PORTFOLIO (FSDPX)	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
18.19	iShares Trust S&P Mid-Cap 400 Value ETF (IJJ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.20	BERKSHIRE HATHAWAY INC COM USD0.0033 CLASS B (BRKB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.21	TESLA INC COM (TSLA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.22	NETFLIX INC (NFLX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.23	ROCHE HOLDINGS ADR (RHHBY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.24	NESTLE ADR (NSRGY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.25	SALESFORCE INC COM (CRM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.26	VISA INC (V)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.27	iShares Russell 1000 Growth ETF (IWF)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.28	NOVO NORDISK A/S ADR-EACH CNV INTO 1 CL (NVO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.29	BOEING CO (BA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.30	ASML HOLDING NV EUR0.09 NY REGISTRY SHS (ASML)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.31	ROLLS ROYCE HOLDINGSSPON ADR EACH REP 1 ORD SHS (RYCEY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.32	NXP SEMICONDUCTORS NV (NXPI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.33	ELI LILLY AND CO (LLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.34	VERTEX PHARMACEUTICALS INC (VRTX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.35	CITIGROUP INC (C)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.36	SANOFI ADR REP 1 1/2ORD (SNY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.37	UBER TECHNOLOGIES INC COM (UBER)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.38	BROADCOM INC COM (AVGO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.39	TRAVELERS COMPANIES INC COM STK NPV (TRV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.40	ABBVIE INC COM USD0.01 (ABBV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.41	CISCO SYSTEMS INC (CSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.42	NIPPON TEL & TEL CORP SPON ADR EACH REP 1 ORD SHS (NTTYY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.43	SIEMENS AG SPON ADR EACH REP 0.5 ORD SHS (SIEGY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.44	RECKITT BENCKISER GROUP PLC SPON ADR EACH REP 0.20 ORD SHS (RBGLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.45	AXA SA SPON ADR EACH REP 1 ORD SHS (AXAHY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.46	PNC FINANCIAL SERVICES GROUP COM USD5 (PNC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.47	COMPASS GROUP PLC SPON ADR EACH REP 1 O (CMPGY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.48	L AIR LIQUIDE ORD ADR (AIQUY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.49	DISNEY WALT CO COM (DIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.50	CAPITAL ONE FINANCIAL CORP COM USD0.01 (COF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.51	PARKER-HANNIFIN CORP COM (PH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.52	iShares MSCI EAFE Small-Cap ETF (SCZ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18.53	M & T BANK CORP COM USD0.50 (MTB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.54	ADOBE SYSTEMS INCORPORATED COM (ADBE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.55	LVMH MOET HENNESSY LOUIS VUITTON ADR (LVMUY)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.56	CENTENE CORP (CNC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.57	HOME DEPOT INC (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.58	UNICREDIT SPA UNSPON ADR EACH REP 0.5 O (UNCRY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.59	TOKYO ELECTRON UNSPON ADR EACH REPR0.5 SHS (TOELY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.60	BLACKROCK INC COM USD0.01 (BLK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.61	BANK OF NEW YORK MELLON CORP COM USD0.01 (BK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.62	TOTAL ENERGIES SE (TTE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.63	LAM RESEARCH CORP COM USD0.001 (LRCX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.64	INDITEX (IND.DE DISENO TEXTIL SA) UNSP (IDEXY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.65	ING GROEP N.V. SPONSORED ADR (ING)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.66	TAIWAN SEMICONDUCTORMANUFACTURING SPON ADS EACH REP 5 ORD TWD10 (TSM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.67	SOUTHERN CO (SO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.68	CVS HEALTH CORPORATION COM USD0.01 (CVS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.69	ASTRAZENECA ADR REP 0.5 ORD (AZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.70	PULTE GROUP INC COM USD0.01 (PHM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.71	SONY GROUP CORPORATION SPON ADSEACH REPR 1 ORD SHS (SONY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.72	BHP GROUP LTD SPON ADS EACH REP 2 ORD SHS (BHP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.73	MARATHON PETROLEUM CORP COM USD0.01 (MPC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.74	MUENCHENER RE GROUP ADR (MURGY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.75	AT&T INC COM USD1 (T)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.76	MONSTER BEVERAGE CORP NEW COM (MNST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.77	COMCAST CORP (CMCSA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.78	ENEL SOCIETA PER AZIONI UNSPONSO ADR (ENLAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.79	SUMITOMO ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.80	STMICROELECTRONICS SPON ADR EACH REP 1 (STM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.81	CBRE GROUP INC COM USD0.01 CLASS A (CBRE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.82	ALLIANZ SE UNSPON ADS EACH REP 1/10 ORD (ALIZY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.83	HILTON WORLDWIDE HLDGS INC (HLT)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.84	SHIN ETSU CHEMICAL CO LTD ADR (SHECY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.85	KONINKLIJKE PHILIPS N V NY REGIS SHS NEW (PHG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.86	NATL BANK OF CANADA COM ISIN #CA6330671 (NTIOF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.87	MARATHON OIL CORP COM (MRO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.88	EOG RESOURCES INC (EOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.89	GOLDMAN SACHS GROUP INC COM USD0.01 (GS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.90	FISERV INC COM STK USD0.01 (FI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.91	DENSO ADR (DNZOY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.92	GENERAL MTRS CO COM (GM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.93	MOODYS CORP COM USD0.01 (MCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.94	NEXTERA ENERGY INC COM USD0.01 (NEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.95	DANAHER CORPORATION COM (DHR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.96	US BANCORP (USB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.97	AMERICAN INTERNATIONAL GROUP INC (AIG)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.98	LOWES COMPANIES INC COM USD0.50 (LOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.99	OVINTIV INC COM ISIN #US69047Q1022 SEDOL #BL3GRC2 (OVV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.100	IDEXX LABORATORIES INC COM USD0.10 (IDXX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.101	JOHNSON & JOHNSON COM USD1.00 (JNJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.102	SAP ADR REP 1 ORD (SAP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.103	BOSTON SCIENTIFIC CORP (BSX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.104	ABB LTD SPON ADR EACH REP 1 ORD SHS (ABBNY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.105	COCA-COLA CO (KO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.106	BNP PARIBAS ADR (BNPQY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.107	MASCO CORP COM USD1.00 (MAS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.108	STARBUCKS CORP COM USD0.001 (SBUX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.109	MURATA MANUFACTURING CO LTD UNSPONSORED ADR (MRAAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.110	DNB BANK ASA SPON ADR EACH REP 1 ORD SHS (DNBBY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.111	AIRBUS SE UNSPON ADR EA REPR 0.25 ORD E (EADSY)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.112	ADVANCED MICRO DEVICES INC (AMD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.113	REGIONS FINANCIAL CORP NEW COM (RF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.114	PEPSICO INC (PEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.115	FEDEX CORP COM USD0.10 (FDX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.116	INTUITIVE SURGICAL INC (ISRG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.117	MANULIFE FINANCIAL CORP COM NPV ISIN #CA56501R1064 SEDOL #2492519 (MFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18.118	SAI MUNICIPAL BOND FUND (FSMUX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
18.119	FIDELITY NEW MILLENNIUM (FMILX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
18.120	Fidelity Investments Money Market - Money Market Portfolio - Institutional Class	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
18.121	FIDELITY GOVERNMENT CASH RESERVES (FDRXX)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
18.122	Strategic Advisers Tax-Sensitive Short Duration Fund (FGNSX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
18.123	FID SAI EMERGING MKT VALUE INDEX FUND	Yes	None (or less than \$1,001)		\$201 - \$1,000
18.124	Fidelity SAI International SMA Completion Fund	Yes	None (or less than \$1,001)		\$201 - \$1,000
18.125	ACTIVISION BLIZZARD INC COM (ATVI)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.126	FIDELITY SAI US TREASURY BOND INDEX (FUTBX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
18.127	OAKMARK INTL INVESTOR CL (OAKIX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
18.128	WESTERN ASSET MANAGED MUNI CL A (SHMMX)	Yes	None (or less than \$1,001)		\$201 - \$1,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	T Rowe Price Emerging Markets Stock Fund (PRMSX)	Sale	10/09/2023	\$1,001 - \$15,000
2	Dodge & Cox International Stock Fund (DODFX)	Sale	10/09/2023	\$1,001 - \$15,000
3	Goldman Sachs ActiveBeta US Large Cap Equity ETF (GSLC)	Sale	10/09/2023	\$1,001 - \$15,000
4	iShares Gold Trust (IAU)	Sale	10/09/2023	\$1,001 - \$15,000
5	MFS Value Fund Class I Shares (MEIIX)	Sale	12/15/2023	\$1,001 - \$15,000
6	New World Fund, Inc Class F2 Shares (NFFFX)	Sale	10/09/2023	\$1,001 - \$15,000
7	iShares MSCI Eurozone ETF (EZU)	Sale	10/10/2023	\$1,001 - \$15,000
8	iShares Gold Trust (IAU)	Sale	10/10/2023	\$1,001 - \$15,000
9	iShares Edge MSCI USA Value Factor ETF (VLUE)	Purchase	10/10/2023	\$1,001 - \$15,000
10	iShares Core S&P Small-Cap ETF (IJR)	Purchase	10/10/2023	\$1,001 - \$15,000
11	Fidelity Advisor Emerging Markets Fund Class I Shares (FIMKX)	Purchase	10/09/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
12	iShares ESG US Aggregate Bond ETF (EAGG)	Purchase	10/09/2023	\$1,001 - \$15,000
13	Fidelity Advisor Emerging Markets Fund Class I Shares (FIMKX)	Purchase	10/09/2023	\$1,001 - \$15,000
14	Tax-Exempt Bond Fund of America Class F2 Shares (TEAFX)	Purchase	10/09/2023	\$1,001 - \$15,000
15	Fidelity Advisor Intermediate Municipal Income Fund Class I Shares (FZIIX)	Purchase	10/09/2023	\$1,001 - \$15,000
16	MFS Value Fund Class I Shares (MEIIX)	Purchase	12/15/2023	\$1,001 - \$15,000
17	Fidelity SAI US Treasury Bond Index Fund (FUTBX)	Sale	09/01/2023	\$15,001 - \$50,000
18	FIDELITY SAI EMERGING MKT VALUE INDEX FUND (FEMVX)	Sale	09/01/2023	\$1,001 - \$15,000
19	Strategic Advisers Tax-Sensitive Short Duration Fund (FGNSX)	Sale	09/01/2023	\$15,001 - \$50,000
20	SAI MUNICIPAL BOND FUND (FSMUX)	Sale	09/01/2023	\$100,001 - \$250,000
21	FIDELITY SAI INTL SMA COMPLETION FD (FISZX)	Sale	09/01/2023	\$1,001 - \$15,000
22	Bausch Health Companies Inc. Common Stock (BHC)	Sale	07/05/2023	\$1,001 - \$15,000
23	BrightSphere Investment Group, Inc. (BSIG)	Sale	07/05/2023	\$1,001 - \$15,000
24	iShares 7-10 Year Treasury Bond ETF (IEF)	Sale	07/05/2023	\$1,001 - \$15,000
25	iShares 1-3 Year Treasury Bond ETF (SHY)	Sale	07/05/2023	\$1,001 - \$15,000
26	iShares National Muni Bond ETF (MUB)	Sale	07/05/2023	\$15,001 - \$50,000
27	Horizon Therapeutics Plc (HZNP)	Sale	10/06/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
28	Vanguard Total International Bond Index Fund ETF Shares (BNDX)	Sale	07/05/2023	\$1,001 - \$15,000
29	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Sale	07/05/2023	\$1,001 - \$15,000
30	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	Sale	07/05/2023	\$1,001 - \$15,000
31	Vanguard Mortgage-Backed Securities Index Fund ETF Class Shares (VMBS)	Sale	07/05/2023	\$50,001 - \$100,000
32	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Sale	07/05/2023	\$15,001 - \$50,000
33	NH PORTFOLIO 2039 (FIDELITY BLEND) (NHB203907)	Purchase	12/22/2023	\$15,001 - \$50,000
34	NH PORTFOLIO 2039 (FIDELITY BLEND) (NHB203907)	Purchase	12/26/2023	\$15,001 - \$50,000
35	Fidelity Government Money Market Fund (SPAXX)	Purchase	07/31/2023	\$1,001 - \$15,000
36	Fidelity Government Money Market Fund (SPAXX)	Purchase	08/31/2023	\$1,001 - \$15,000
37	Fidelity Government Money Market Fund (SPAXX)	Purchase	09/29/2023	\$1,001 - \$15,000
38	Fidelity Government Money Market Fund (SPAXX)	Purchase	10/31/2023	\$1,001 - \$15,000
39	Fidelity Government Money Market Fund (SPAXX)	Purchase	11/30/2023	\$1,001 - \$15,000
40	Fidelity Government Money Market Fund (SPAXX)	Purchase	12/29/2023	\$1,001 - \$15,000
41	FIDELITY GOVERNMENT CASH RESERVES (FDRXX)	Sale	09/05/2023	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
42	JPMorgan Chase Financial Company Note linked to Invesco QQQ ETF and SPDR S&P 500 Trust	Purchase	08/15/2023	\$15,001 - \$50,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	JP Morgan Chase	Mortgage on personal residence (rented during reporting period)	\$500,001 - \$1,000,000	2019	3.3%	30 years
2	ESO Venture Fund IV, LP	stock option exercise financing, nonrecourse (amount is \$72,742.55 + 30%) -- fully repaid August 2023		2021		at liquidity event
3	ESO Venture Fund III, LP	stock option exercise financing, nonrecourse (amount is \$15,672.88 + 30%)		2020		at liquidity event

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	1	Originally issued as Group Nine Media stock options; Group Nine Media was acquired by Vox Media. These stock options have been divested as of the date of White House certification of this report.
5.	1	This stock has been divested as of the date of White House certification of this report.
5.	5	This stock was divested in 2023.
6.	1	The stock holdings reported on Part 6, Lines 1.3-1.6, 1.8-1.11, 1.13, 1.14, and 1.26 have been divested as of the date of White House certification of this report.
6.	6	The stock holdings reported on Part 6, Lines 6.1-6.47, 6.52, and 6.53 have been divested as of the date of White House certification of this report.
6.	16	Filer has 3/65 reportable interest in the trust.
6.	18	The stock holdings reported on Part 6, Lines 18.2, 18.8, 18.11, 18.13-18.16, 18.20-18.26, 18.28-18.51, 18.53-18.117, and 18.125 have been divested as of the date of White House certification of this report.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. § 13101 et seq., as amended by the Representative Louise McIntosh Slaughter Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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