

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Lund, Elena D

Special Assistant, Department of State -- General Filers Only

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Lund, Elena D [electronically signed on 07/16/2021 by Lund, Elena D in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Merron, Eric, Certifying Official [electronically signed on 09/01/2021 by Merron, Eric in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	NIKE, Inc. (NKE)	Purchase	06/22/2021	No	\$1,001 - \$15,000
2	The TJX Cos., Inc. (TJX)	Sale	06/22/2021	No	\$1,001 - \$15,000
3	SS&C Technologies Holdings, Inc. (SSNC)	Purchase	06/21/2021	No	\$1,001 - \$15,000
4	Sealed Air Corp. (SEE)	Purchase	06/21/2021	No	\$1,001 - \$15,000
5	alcon sa act nom vsp	Sale	06/18/2021	No	\$1,001 - \$15,000
6	alcon sa act nom vsp	Sale	06/18/2021	No	\$1,001 - \$15,000
7	SS&C Technologies Holdings, Inc. (SSNC)	Purchase	06/18/2021	No	\$1,001 - \$15,000
8	Sealed Air Corp. (SEE)	Purchase	06/18/2021	No	\$1,001 - \$15,000
9	rogers communications b	Purchase	06/18/2021	No	\$1,001 - \$15,000
10	Motorola Solutions, Inc. (MSI)	Sale	06/18/2021	No	\$1,001 - \$15,000
11	Verizon Communications, Inc. (VZ)	Sale	06/17/2021	No	\$1,001 - \$15,000
12	vodafone group plc shs adr	Sale	06/17/2021	No	\$1,001 - \$15,000
13	Altria Group, Inc. (MO)	Sale	06/17/2021	No	\$1,001 - \$15,000
14	Sealed Air Corp. (SEE)		06/17/2021	No	\$1,001 - \$15,000
15	Ross Stores, Inc. (ROST)	Purchase	06/17/2021	No	\$1,001 - \$15,000
16	SS&C Technologies Holdings, Inc. (SSNC)	Purchase	06/17/2021	No	\$1,001 - \$15,000
17	Applied Materials, Inc. (AMAT)	Sale	06/16/2021	No	\$1,001 - \$15,000
18	british amn tobacco spadr	Purchase	06/16/2021	No	\$1,001 - \$15,000
19	nxp semiconductors nv vsp	Sale	06/16/2021	No	\$1,001 - \$15,000
20	nxp semiconductors nv vsp	Sale	06/16/2021	No	\$1,001 - \$15,000
21	alcon sa act nom vsp	Sale	06/16/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
22	Motorola Solutions, Inc. (MSI)	Sale	06/16/2021	No	\$1,001 - \$15,000
23	astrazeneca plc spnd adr	Purchase	06/16/2021	No	\$1,001 - \$15,000
24	Ross Stores, Inc. (ROST)	Purchase	06/16/2021	No	\$1,001 - \$15,000
25	PepsiCo, Inc. (PEP)	Purchase	06/16/2021	No	\$1,001 - \$15,000
26	The TJX Cos., Inc. (TJX)	Sale	06/16/2021	No	\$15,001 - \$50,000
27	The Charles Schwab Corp. (SCHW)	Sale	06/16/2021	No	\$1,001 - \$15,000
28	The Williams Cos., Inc. (WMB)	Sale	06/16/2021	No	\$1,001 - \$15,000
29	The Williams Cos., Inc. (WMB)	Sale	06/16/2021	No	\$1,001 - \$15,000
30	Arthur J. Gallagher & Co. (AJG)	Sale	06/16/2021	No	\$1,001 - \$15,000
31	Arthur J. Gallagher & Co. (AJG)	Sale	06/16/2021	No	\$1,001 - \$15,000
32	Altria Group, Inc. (MO)	Sale	06/16/2021	No	\$1,001 - \$15,000
33	General Electric Co. (GE)	Purchase	06/16/2021	No	\$1,001 - \$15,000
34	lowe's companies inc	Purchase	06/16/2021	No	\$1,001 - \$15,000
35	sanofi adr	Purchase	06/16/2021	No	\$1,001 - \$15,000
36	Fidelity National Information Services, Inc. (FIS)	Purchase	06/16/2021	No	\$1,001 - \$15,000
37	Marathon Petroleum Corp. (MPC)	Sale	06/16/2021	No	\$1,001 - \$15,000
38	Marathon Petroleum Corp. (MPC)	Sale	06/16/2021	No	\$1,001 - \$15,000
39	Dollar General Corp. (DG)	Purchase	06/16/2021	No	\$1,001 - \$15,000
40	catalent inc shs	Purchase	06/11/2021	Yes	\$1,001 - \$15,000
41	sea ltd	Purchase	06/10/2021	Yes	\$1,001 - \$15,000
42	Danaher Corp. (DHR)	Purchase	06/08/2021	Yes	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
43	Humana, Inc. (HUM)	Sale	06/08/2021	Yes	\$1,001 - \$15,000
44	International Flavors & Fragrances, Inc. (IFF)	Sale	06/04/2021	Yes	\$1,001 - \$15,000
45	Etsy, Inc. (ETSY)	Purchase	06/04/2021	Yes	\$1,001 - \$15,000
46	Huntington Ingalls Industries, Inc. (HII)	Purchase	06/03/2021	Yes	\$1,001 - \$15,000
47	Huntington Ingalls Industries, Inc. (HII)	Purchase	06/02/2021	Yes	\$1,001 - \$15,000
48	Fortive Corp. (FTV)	Purchase	06/02/2021	Yes	\$1,001 - \$15,000
49	Abbott Laboratories (ABT)	Sale	06/02/2021	Yes	\$1,001 - \$15,000
50	SS&C Technologies Holdings, Inc. (SSNC)	Purchase	06/01/2021	Yes	\$1,001 - \$15,000
51	Ross Stores, Inc. (ROST)	Purchase	06/01/2021	Yes	\$1,001 - \$15,000
52	CDW Corp. (CDW)	Sale	06/01/2021	Yes	\$1,001 - \$15,000
53	Huntington Ingalls Industries, Inc. (HII)	Purchase	06/01/2021	Yes	\$1,001 - \$15,000
54	hii	Purchase	05/28/2021	Yes	\$1,001 - \$15,000
55	Marathon Petroleum Corp. (MPC)	Sale	05/28/2021	Yes	\$1,001 - \$15,000
56	Marathon Petroleum Corp. (MPC)	Sale	05/28/2021	Yes	\$1,001 - \$15,000
57	Marathon Petroleum Corp. (MPC)	Sale	05/28/2021	Yes	\$1,001 - \$15,000
58	The TJX Cos., Inc. (TJX)	Sale	05/28/2021	Yes	\$1,001 - \$15,000
59	tjx	Sale	05/28/2021	Yes	\$1,001 - \$15,000
60	The TJX Cos., Inc. (TJX)	Sale	05/28/2021	Yes	\$1,001 - \$15,000
61	The TJX Cos., Inc. (TJX)	Sale	05/28/2021	Yes	\$1,001 - \$15,000
62	The TJX Cos., Inc. (TJX)	Sale	05/28/2021	Yes	\$1,001 - \$15,000
63	CDW Corp. (CDW)	Sale	05/28/2021	Yes	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
64	Ross Stores, Inc. (ROST)	Purchase	05/28/2021	Yes	\$1,001 - \$15,000
65	Corteva, Inc. (CTVA)	Sale	05/28/2021	Yes	\$1,001 - \$15,000
66	ConocoPhillips (COP)	Purchase	05/28/2021	Yes	\$1,001 - \$15,000
67	SS&C Technologies Holdings, Inc. (SSNC)	Purchase	05/28/2021	Yes	\$1,001 - \$15,000
68	Robert Half International, Inc. (RHI)	Sale	05/25/2021	Yes	\$1,001 - \$15,000
69	Pioneer Natural Resources Co. (PXD)	Sale	05/20/2021	Yes	\$1,001 - \$15,000
70	MFS Equity Income Fund Class R1 Shares (EQNRX)	Purchase	05/20/2021	Yes	\$1,001 - \$15,000
71	Pioneer Natural Resources Co. (PXD)	Sale	05/19/2021	Yes	\$1,001 - \$15,000
72	MFS Equity Income Fund Class R1 Shares (EQNRX)	Purchase	05/19/2021	Yes	\$1,001 - \$15,000
73	Etsy, Inc. (ETSY)	Purchase	05/18/2021	Yes	\$1,001 - \$15,000
74	sea ltd	Purchase	05/18/2021	Yes	\$1,001 - \$15,000
75	MFS Equity Income Fund Class R1 Shares (EQNRX)	Purchase	05/18/2021	Yes	\$1,001 - \$15,000
76	ConocoPhillips (COP)	Purchase	05/18/2021	Yes	\$1,001 - \$15,000
77	Pioneer Natural Resources Co. (PXD)	Sale	05/18/2021	Yes	\$1,001 - \$15,000
78	ConocoPhillips (COP)	Purchase	05/17/2021	Yes	\$1,001 - \$15,000
79	bp plc	Purchase	05/17/2021	Yes	\$1,001 - \$15,000
80	lvmuy moet hennessy adr	Purchase	05/17/2021	Yes	\$1,001 - \$15,000
81	bp plc	Purchase	05/17/2021	Yes	\$1,001 - \$15,000
82	Pioneer Natural Resources Co. (PXD)	Sale	05/17/2021	Yes	\$1,001 - \$15,000
83	lowe's companies inc vsp	Sale	05/17/2021	Yes	\$1,001 - \$15,000

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84	ConocoPhillips (COP)	Purchase	05/17/2021	Yes	\$1,001 - \$15,000
85	Pioneer Natural Resources Co. (PXD)	Sale	05/14/2021	Yes	\$1,001 - \$15,000
86	wix com ltd	Purchase	07/12/2021	Yes	\$1,001 - \$15,000
87	Boston Scientific Corp. (BSX)	Sale	07/12/2021	No	\$1,001 - \$15,000
88	TransDigm Group, Inc. (TDG)	Purchase	07/12/2021	Yes	\$1,001 - \$15,000
89	Snap, Inc. (SNAP)	Sale	07/11/2021	Yes	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public

financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
