

# Executive Branch Personnel

## Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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Kelleher, Mary

Associate Executive Director for Health Sciences, Consumer Product Safety Commission

Report Year: 2023

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Kelleher, Mary [electronically signed on 05/15/2023 by Kelleher, Mary in Integrity.gov] - Filer received a 45 day filing extension.

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Buford, Melissa, Certifying Official [electronically signed on 07/11/2023 by Buford, Melissa in Integrity.gov]

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Other review conducted by

/s/ Bruch, Russell, Ethics Official [electronically signed on 07/11/2023 by Bruch, Russell in Integrity.gov]

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U.S. Office of Government Ethics Certification

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Data Revised 07/11/2023

Data Revised 06/26/2023

Data Revised 06/23/2023

Data Revised 06/15/2023

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## 1. Filer's Positions Held Outside United States Government

None

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## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	OMNICOM GROUP RETIREMENT SAVINGS PLAN	No			
1.1	FIAM BLEND TD 2035 T	Yes	\$100,001 - \$250,000		None (or less than \$201)
2	Fidelity INDIVIDUAL - TOD	No			
2.1	OMNICOM GROUP INC COM USD0.15	No	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
3	FIDELITY ROTH IRA	No			
3.1	FIDELITY FREEDOM 2030	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2	Fidelity Freedom 2045 Fund (FFFGX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

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## 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	OMNICOM GROUP RETIREMENT SAVINGS PLAN	New York, New York	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	1/2006

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#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	SteamPunk Inc. (Information Technology Consulting)	N/A		salary	
2	FIDELITY Rollover IRA	No			
2.1	Strategic Advisers Large Cap Fund Strategic Advisers Large Cap Fund (FALCX)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
2.2	Strategic Advisers Short Duration Fund (FAUDX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.3	Fidelity SAI Inflation-Focused Fund (FIFGX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
2.4	Strategic Advisers International Fund (FILFX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.5	Strategic Advisers Core Income Fund (FPCIX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
2.6	Strategic Advisers Income Opportunities Fund (FPIOX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
2.7	Strategic Advisers Emerging Markets Fund (FSAMX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
2.8	Strategic Advisers Alternatives Fund Strategic Advisers Alternatives Fund (FSLTX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.9	Strategic Advisers Small-Mid Cap Fund (FSCFX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.10	Fidelity Government Cash Reserves (FDRXX)	N/A	\$15,001 - \$50,000		\$201 - \$1,000
2.11	Strategic Advisers Small-Mid Cap Fund (FSCFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.12	Fidelity SAI Long-Term Treasury Bond Index Fund (FBLTX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	T.ROWE PRICE ROTH IRA	No			
3.1	T Rowe Price Financial Services Fund, Inc (PRISX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.2	T Rowe Price Science & Technology Fund, Inc (PRSCX)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
3.3	T Rowe Price Total Equity Market Index Fund (POMIX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
3.4	T Rowe Price New Horizons Fund, Inc (PRNHX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
4	SteamPunk Inc. 401K Plan	No			
4.1	Voya Fixed Account - 4450	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.2	Vanguard Intermediate-Term Investment-Grade Fd - Admiral - 6506	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.3	Voya Intermediate Bond Fund - Class R6 - 6431	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.4	American Funds Cap Inc Builder R6 - 1957	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.5	Vanguard Balanced Index Fund Adm - 9009	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.6	Vanguard Equity Income Fund Adm - 7926	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.7	Fund Fidelity 500 Index Fund - C975	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.8	American Funds New Perspective R6 - 1899	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.9	DFA Inflation-Protected Securities Portfolio DFA Inflation-Protected Securities Portfolio - Institutional Class	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.10	Vanguard Mid-Cap Index Fund Admiral Shares (VIMAX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.11	DFA U.S. Targeted Value Portfolio - Institutional Class	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.12	DFA International Small Cap Value Portfolio Institutional Class Shares (DISVX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.13	Vanguard Total International Stock Index Fund Admiral Shares (VTIAX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
5	Fidelity HSA	No	\$1,001 - \$15,000		\$201 - \$1,000
6	SteamPunk Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	Fidelity Roth IRA	No			
7.1	Amdocs Limited Ordinary Shares (DOX)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.2	Accenture Plc (ACN)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.3	Linde Plc (LIN)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.4	Willis Towers Watson Public Limited Company Ordinary Shares (WTW)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.5	NXP Semiconductors N.V. Common Stock (NXPI)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.6	Activision Blizzard, Inc. (ATVI)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7	Adobe, Inc. (ADBE)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.8	Airbnb Inc. Class A Common Stock (ABNB)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.9	Airbus SE ADR	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.10	Alphabet, Inc. Cl C (GOOG)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.11	Alphabet Inc Cap Stk Cl A (GOOGL)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.12	Amazon.com, Inc. (AMZN)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.13	Amphenol Corporation Class A (APH)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.14	Elevance Health Inc. Common Stock (ELV)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.15	Apple, Inc. (AAPL)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.16	AstraZeneca PLC American Depositary Shares (AZN)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.17	Automatic Data Processing, Inc. (ADP)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.18	Bank of America Corp. (BAC)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.19	Berkshire Hathaway Inc. (BRKB)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.20	BlackRock, Inc. (BLK)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.21	H&R Block, Inc. (HRB)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.22	Boeing Co (BA)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.23	Boston Scientific Corp. (BSX)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.24	Bristol-Myers Squibb (BMY)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.25	CDW Corp. (CDW)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.26	Cigna Corp. (CI)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.27	Cadence Design Systems, Inc. (CDNS)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.28	Centene Corp. (CNC)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.29	Cenovus Energy Inc Common Stock (CVE)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.30	Cisco Systems, Inc. (CSCO)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.31	Cintas Corp. (CTAS)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.32	Cognizant Technology Solutions Corp. (CTSH)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.33	Comcast Corp. (CMCSA)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.34	ConocoPhillips (COP)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.35	Constellation Energy Corporation Common Stock (CEG)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.36	Copart, Inc. (CPRT)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.37	Costco Wholesale Corp. (COST)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.38	Danaher Corp. (DHR)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.39	Dollar General Corp. (DG)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.40	Dominion Energy, Inc. (D)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.41	DuPont de Nemours, Inc. (DD)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.42	Evergy, Inc. (EVRG)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.43	Exxon Mobil Corp. (XOM)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.44	Meta Platforms Inc. Class A Common Stock (META)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.45	Fortinet, Inc. (FTNT)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.46	Freeport-McMoRan, Inc. (FCX)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.47	Arthur J. Gallagher & Co. (AJG)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.48	General Electric Co. (GE)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.49	HEICO Corporation (HEIA)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.50	Hess Corp. (HES)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.51	Hilton Worldwide Holdings, Inc. (HLT)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.52	Humana, Inc. (HUM)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.53	IDEXX Laboratories, Inc. (IDXX)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.54	Intercontinental Exchange, Inc. (ICE)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.55	International Flavors & Fragrances, Inc. (IFF)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.56	Intuit, Inc. (INTU)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.57	JP Morgan Chase & Co (JPM)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.58	KLA Corp. (KLAC)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.59	L3Harris Technologies, Inc. (LHX)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.60	Lam Research Corp. (LRCX)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.61	Eli Lilly & Co. (LLY)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.62	Lockheed Martin Corp. (LMT)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.63	Lowes Companies INC (LOW)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.64	M&T Bank Corp. (MTB)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.65	Marsh & McLennan Cos., Inc. (MMC)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.66	Marvell Technology Group Ltd. (MRVL)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.67	Mastercard, Inc. (MA)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.68	Mettler-Toledo International, Inc. (MTD)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.69	Microsoft Corp. (MSFT)	No	\$15,001 - \$50,000	Dividends	None (or less than \$201)
7.70	Micron Technology, Inc. (MU)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.71	Mondelez International, Inc. (MDLZ)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.72	Moody's Corp. (MCO)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.73	Netflix, Inc. (NFLX)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.74	NextEra Energy, Inc. (NEE)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.75	NIKE, Inc. (NKE)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.76	Northern Trust Corp. (NTRS)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.77	Northrop Grumman Corp. (NOC)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.78	GEN Digital INC COM (GEN)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.79	NVIDIA Corp. (NVDA)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.80	Occidental Petroleum Corp. (OXY)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.81	Oshkosh Corp. (OSK)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.82	Pacific Gas & Electric Co. Common Stock (PCG)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.83	The PNC Financial Services Group, Inc. (PNC)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.84	Proctor and Gamble CO COM (PG)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.85	Regal Rexnord Corporation Common Stock (RRX)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.86	Regeneron Pharmaceuticals, Inc. (REGN)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.87	ROCHE Holding ADR (RHHBY)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.88	Ross Stores, Inc. (ROST)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.89	S&P Global, Inc. (SPGI)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.90	Sanofi ADS (SNY)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.91	The Sherwin-Williams Co. (SHW)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.92	Southern Company (SO)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.93	Synopsys, Inc. (SNPS)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.94	Tesla, Inc. (TSLA)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.95	Thermo Fisher Scientific, Inc. (TMO)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.96	Uber Technologies, Inc. (UBER)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.97	US Foods Holding Corp. (USFD)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.98	United Health Group INC (UNH)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.99	Verisk Analytics, Inc. (VRSK)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.100	Vertex Pharmaceuticals, Inc. (VRTX)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.101	Visa, Inc. (V)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.102	Wells Fargo & Co. (WFC)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.103	Zoetis, Inc. (ZTS)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.104	American Tower Corporation (REIT) Common Stock (AMT)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.105	Prologis, Inc. (PLD)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
7.106	SBA Communications Corp. (SBAC)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Bank Account #1	No	\$15,001 - \$50,000	Interest	None (or less than \$201)
2	U.S. Bank Account #2	No	\$1,001 - \$15,000	Interest	None (or less than \$201)

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Transfer of T. Rowe Price Roth IRA to Fidelity Roth IRA	Exchange	03/23/2022	\$250,001 - \$500,000
2	AMDOCS LTD ORD	Purchase	03/30/2022	\$1,001 - \$15,000
3	LINDE PLC COM	Purchase	03/30/2022	\$1,001 - \$15,000
4	WILLIS TOWERS WATSON PLC COM	Purchase	03/30/2022	\$1,001 - \$15,000
5	TE CONNECTIVITY LTD COM CHF0.57	Purchase	03/30/2022	\$1,001 - \$15,000
6	ACTIVISION BLIZZARD INC COM	Purchase	05/25/2022	\$1,001 - \$15,000
7	ADOBE SYSTEMS INCORPORATED COM	Purchase	03/30/2022	\$1,001 - \$15,000
8	ADVANCED MICRO DEVICES INC	Purchase	03/30/2022	\$1,001 - \$15,000
9	AFFILIATED MANAGERS GROUP INC COM	Purchase	03/30/2022	\$1,001 - \$15,000
10	AIRBNB INC COM CL A	Purchase	03/30/2022	\$1,001 - \$15,000
11	AIRBUS SE UNSPON ADR EA REPR	Purchase	03/30/2022	\$1,001 - \$15,000
12	ALPHABET INC CAP STK CL C	Purchase	03/30/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
13	ALPHABET INC CAP STK CL A	Purchase	03/30/2022	\$1,001 - \$15,000
14	ALTRIA GROUP INC	Purchase	03/30/2022	\$1,001 - \$15,000
15	Amazon.com, Inc. (AMZN)	Purchase	03/30/2022	\$1,001 - \$15,000
16	Amazon.com, Inc. (AMZN)	Purchase	10/11/2022	\$1,001 - \$15,000
17	AMERICAN TOWER CORP NEW COM	Purchase	04/07/2022	\$1,001 - \$15,000
18	ANALOG DEVICES INC COM USD0.16 2/3	Purchase	03/30/2022	\$1,001 - \$15,000
19	ANTHEM INC	Purchase	03/30/2022	\$1,001 - \$15,000
20	Apple, Inc. (AAPL)	Purchase	03/30/2022	\$15,001 - \$50,000
21	APPLIED MATERIALS INC COM USD0.01	Purchase	03/30/2022	\$1,001 - \$15,000
22	ASTRAZENECA ADR REP 0.5 ORD	Purchase	03/30/2022	\$1,001 - \$15,000
23	Autodesk, Inc. (ADSK)	Purchase	09/28/2022	\$1,001 - \$15,000
24	BK OF AMERICA CORP COM	Purchase	03/30/2022	\$1,001 - \$15,000
25	BERKSHIRE HATHAWAY INC COM	Purchase	03/30/2022	\$1,001 - \$15,000
26	BOEING CO COM	Purchase	03/30/2022	\$1,001 - \$15,000
27	BOOKING HOLDINGS INC COM	Purchase	03/30/2022	\$1,001 - \$15,000
28	BOOKING HOLDINGS INC COM	Sale	04/07/2022	\$1,001 - \$15,000
29	BOOKING HOLDINGS INC COM	Purchase	05/25/2022	\$1,001 - \$15,000
30	BOOKING HOLDINGS INC COM	Sale	06/24/2022	\$1,001 - \$15,000
31	BOOKING HOLDINGS INC COM	Purchase	07/07/2022	\$1,001 - \$15,000
32	BOOKING HOLDINGS INC COM	Sale	08/09/2022	\$1,001 - \$15,000
33	BOOKING HOLDINGS INC COM	Purchase	10/20/2022	\$1,001 - \$15,000
34	BOOKING HOLDINGS INC COM	Sale	11/07/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
35	BRISTOL-MYERS SQUIBB CO COM	Purchase	03/30/2022	\$1,001 - \$15,000
36	CBRE GROUP INC COM USD0.01 CLASS A	Purchase	03/30/2022	\$1,001 - \$15,000
37	CDW CORP COM	Purchase	06/24/2022	\$1,001 - \$15,000
38	CIGNA CORP NEW COM	Purchase	03/30/2022	\$1,001 - \$15,000
39	CVS HEALTH CORPORATION COM	Purchase	03/30/2022	\$1,001 - \$15,000
40	CADENCE DESIGN SYSTEMS INC COM	Purchase	03/30/2022	\$1,001 - \$15,000
41	Centene Corp. (CNC)	Purchase	03/30/2022	\$1,001 - \$15,000
42	CENOVUS ENERGY INC COM NPV	Purchase	03/30/2022	\$1,001 - \$15,000
43	Cisco Systems, Inc. (CSCO)	Purchase	03/30/2022	\$1,001 - \$15,000
44	COGNIZANT TECHNOLOGY SOLUTIONS CORP COM	Purchase	03/30/2022	\$1,001 - \$15,000
45	COMCAST CORP	Purchase	03/30/2022	\$1,001 - \$15,000
46	CONOCOPHILLIPS COM	Purchase	10/20/2022	\$1,001 - \$15,000
47	CONSTELLATION ENERGY CORP COM	Purchase	03/30/2022	\$1,001 - \$15,000
48	COSTCO WHOLESALE CORP COM	Purchase	03/30/2022	\$1,001 - \$15,000
49	CROWN CASTLE INTL CORP NEW COM	Purchase	04/26/2022	\$1,001 - \$15,000
50	CROWN CASTLE INTL CORP NEW COM	Sale	11/07/2022	\$1,001 - \$15,000
51	DANAHER CORPORATION COM	Purchase	03/30/2022	\$1,001 - \$15,000
52	DANAHER CORPORATION COM	Purchase	03/30/2022	\$1,001 - \$15,000
53	DOMINION ENERGY INC COM	Purchase	03/30/2022	\$1,001 - \$15,000
54	DUKE ENERGY CORP NEW COM NEW	Purchase	03/30/2022	\$1,001 - \$15,000
55	DUPONT DE NEMOURS INC COM	Purchase	03/30/2022	\$1,001 - \$15,000
56	EXELON CORP COM NPV	Purchase	03/30/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
57	EXXON MOBIL CORP	Purchase	03/30/2022	\$1,001 - \$15,000
58	EXXON MOBIL CORP	Sale	11/07/2022	\$1,001 - \$15,000
59	META PLATFORMS INC CLASS A COMMON STOCK	Purchase	03/30/2022	\$1,001 - \$15,000
60	FORTINET INC COM	Purchase	03/30/2022	\$1,001 - \$15,000
61	FREEMPORT-MCMORAN INC COM	Purchase	03/30/2022	\$1,001 - \$15,000
62	ARTHUR J GALLAGHER & CO	Purchase	03/30/2022	\$1,001 - \$15,000
63	GENERAL ELECTRIC CO COM NEW	Purchase	03/30/2022	\$1,001 - \$15,000
64	HESS CORPORATION COM	Purchase	09/28/2022	\$1,001 - \$15,000
65	HILTON WORLDWIDE HLDGS INC	Purchase	03/30/2022	\$1,001 - \$15,000
66	HOME DEPOT INC	Purchase	03/30/2022	\$1,001 - \$15,000
67	HUMANA INC	Purchase	03/30/2022	\$1,001 - \$15,000
68	HUMANA INC	Purchase	11/17/2022	\$1,001 - \$15,000
69	IDEXX LABORATORIES INC COM	Purchase	11/30/2022	\$1,001 - \$15,000
70	INTERCONTINENTAL EXCHANGE INC COM	Purchase	06/24/2022	\$1,001 - \$15,000
71	INTERNATIONAL FLAVORS &FRAGRANCES	Purchase	03/30/2022	\$1,001 - \$15,000
72	INTERPUBLIC GROUP COS INC COM	Purchase	03/30/2022	\$1,001 - \$15,000
73	Intuitive Surgical, Inc. (ISRG)	Purchase	03/30/2022	\$1,001 - \$15,000
74	Intuit, Inc. (INTU)	Purchase	03/30/2022	\$1,001 - \$15,000
75	JPMORGAN CHASE & CO	Purchase	03/30/2022	\$1,001 - \$15,000
76	KLA CORP COM NEW	Purchase	03/30/2022	\$1,001 - \$15,000
77	L3HARRIS TECHNOLOGIES INC COM	Purchase	03/30/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
78	Lam Research Corp. (LRCX)	Purchase	03/30/2022	\$1,001 - \$15,000
79	ELI LILLY AND CO	Purchase	03/30/2022	\$1,001 - \$15,000
80	LOCKHEED MARTIN CORP COM	Purchase	03/30/2022	\$1,001 - \$15,000
81	LOWES COMPANIES INC COM	Purchase	03/30/2022	\$1,001 - \$15,000
82	M & T BANK CORP COM	Purchase	03/30/2022	\$1,001 - \$15,000
83	MSCI, Inc. (MSCI)	Purchase	03/30/2022	\$1,001 - \$15,000
84	MARSH &MCLENNAN COMPANIES INC COM	Purchase	03/30/2022	\$1,001 - \$15,000
85	MARVELL TECHNOLOGY INC COM	Purchase	03/30/2022	\$1,001 - \$15,000
86	MASTERCARD INCORPORATED CL A	Purchase	03/30/2022	\$1,001 - \$15,000
87	METTLER-TOLEDO INTERNATIONAL INC	Purchase	03/30/2022	\$1,001 - \$15,000
88	Microsoft Corp. (MSFT)	Purchase	03/30/2022	\$15,001 - \$50,000
89	MICROSOFT CORP	Purchase	07/07/2022	\$1,001 - \$15,000
90	MONDELEZ INTL INC COM NPV	Purchase	03/30/2022	\$1,001 - \$15,000
91	NETFLIX INC	Purchase	03/30/2022	\$1,001 - \$15,000
92	NEXTERA ENERGY INC COM	Purchase	03/30/2022	\$1,001 - \$15,000
93	NIKE INC CLASS B COM NPV	Purchase	03/30/2022	\$1,001 - \$15,000
94	NORTHERN TRUST CORP COM	Purchase	03/30/2022	\$1,001 - \$15,000
95	NORTHROP GRUMMAN CORP COM	Purchase	03/30/2022	\$1,001 - \$15,000
96	NORTONLIFELOCK INC COM	Purchase	03/30/2022	\$1,001 - \$15,000
97	NVIDIA CORPORATION COM	Purchase	03/30/2022	\$1,001 - \$15,000
98	OSHKOSH CORP	Purchase	03/30/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
99	PG&E CORP COM NPV	Purchase	03/30/2022	\$1,001 - \$15,000
100	PNC FINANCIAL SERVICES GROUP COM	Purchase	03/30/2022	\$1,001 - \$15,000
101	PORTLAND GENERAL ELECTRIC CO	Purchase	03/30/2022	\$1,001 - \$15,000
102	PROCTER AND GAMBLE CO COM	Purchase	03/30/2022	\$1,001 - \$15,000
103	PROCTER AND GAMBLE CO COM	Sale	07/07/2022	\$1,001 - \$15,000
104	PROLOGIS INC. COM	Purchase	03/30/2022	\$1,001 - \$15,000
105	QUALCOMM INC	Purchase	03/30/2022	\$1,001 - \$15,000
106	RH COM	Purchase	03/30/2022	\$1,001 - \$15,000
107	RECKITT BENCKISER GROUP PLC SPON ADR	Purchase	03/30/2022	\$1,001 - \$15,000
108	REGAL REXNORD CORP COM STK	Purchase	03/30/2022	\$1,001 - \$15,000
109	Regeneron Pharmaceuticals, Inc. (REGN)	Purchase	03/30/2022	\$1,001 - \$15,000
110	ROCHE HOLDINGS ADR	Purchase	03/30/2022	\$1,001 - \$15,000
111	S&P GLOBAL INC COM	Purchase	03/30/2022	\$1,001 - \$15,000
112	SBA COMMUNICATIONS CORP NEW CL A	Purchase	03/30/2022	\$1,001 - \$15,000
113	SALESFORCE.COM INC COM	Purchase	03/30/2022	\$1,001 - \$15,000
114	SANOFI ADR REP 1 1/2 ORD	Purchase	03/30/2022	\$1,001 - \$15,000
115	SERVICENOW INC COM	Purchase	03/30/2022	\$1,001 - \$15,000
116	The Sherwin-Williams Co. (SHW)	Purchase	03/30/2022	\$1,001 - \$15,000
117	SIEMENS AG SPON ADR EACH REP 0.5 ORD SHS	Purchase	03/30/2022	\$1,001 - \$15,000
118	SNAP INC CL A	Purchase	03/30/2022	\$1,001 - \$15,000
119	Southern Company (SO)	Purchase	03/30/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
120	SPECTRUM BRANDS HLDGS INC NEW COM	Purchase	03/30/2022	\$1,001 - \$15,000
121	Synopsys, Inc. (SNPS)	Purchase	03/30/2022	\$1,001 - \$15,000
122	TAPESTRY INC COM AVERAGE PRICE TRADE	Purchase	03/30/2022	\$1,001 - \$15,000
123	Tesla, Inc. (TSLA)	Purchase	03/30/2022	\$1,001 - \$15,000
124	Tesla, Inc. (TSLA)	Sale	04/07/2022	\$1,001 - \$15,000
125	Tesla, Inc. (TSLA)	Purchase	04/26/2022	\$1,001 - \$15,000
126	Thermo Fisher Scientific, Inc. (TMO)	Purchase	03/30/2022	\$1,001 - \$15,000
127	Thermo Fisher Scientific, Inc. (TMO)	Purchase	04/07/2022	\$1,001 - \$15,000
128	TWILIO INC CL A	Purchase	03/30/2022	\$1,001 - \$15,000
129	UBER TECHNOLOGIES INC COM	Purchase	03/30/2022	\$1,001 - \$15,000
130	Union Pacific Corp. (UNP)	Purchase	10/20/2022	\$1,001 - \$15,000
131	Union Pacific Corp. (UNP)	Purchase	11/17/2022	\$1,001 - \$15,000
132	United Parcel Service, Inc. (UPS)	Purchase	11/30/2022	\$1,001 - \$15,000
133	US Foods Holding Corp. (USFD)	Purchase	03/30/2022	\$1,001 - \$15,000
134	UnitedHealth Group, Inc. (UNH)	Purchase	03/30/2022	\$1,001 - \$15,000
135	Verizon Communications, Inc. (VZ)	Purchase	03/30/2022	\$1,001 - \$15,000
136	Verizon Communications, Inc. (VZ)	Sale	09/28/2022	\$1,001 - \$15,000
137	Verisk Analytics, Inc. (VRSK)	Purchase	03/30/2022	\$1,001 - \$15,000
138	Vertex Pharmaceuticals, Inc. (VRTX)	Purchase	03/30/2022	\$1,001 - \$15,000
139	Visa, Inc. (V)	Purchase	03/30/2022	\$1,001 - \$15,000
140	WPP plc American Depositary Shares (WPP)	Purchase	03/30/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
141	Wells Fargo Common Stock Fund Administrator Class Shares (SCSDX)	Purchase	03/30/2022	\$1,001 - \$15,000
142	Whirlpool Corp. (WHR)	Purchase	03/30/2022	\$1,001 - \$15,000
143	Zoetis, Inc. (ZTS)	Purchase	03/30/2022	\$1,001 - \$15,000
144	T Rowe Price Science & Technology Fund, Inc (PRSCX)	Sale	03/23/2022	\$100,001 - \$250,000
145	T Rowe Price New Horizons Fund, Inc (PRNHX)	Sale	03/23/2022	\$1,001 - \$15,000
146	T Rowe Price Total Equity Market Index Fund (POMIX)	Sale	03/23/2022	\$100,001 - \$250,000
147	T Rowe Price Financial Services Fund, Inc (PRISX)	Sale	03/23/2022	\$1,001 - \$15,000
148	Linde Plc (LIN)	Purchase	03/30/2022	\$1,001 - \$15,000
149	NXP Semiconductors N.V. Common Stock (NXPI)	Purchase	03/30/2022	\$1,001 - \$15,000
150	BlackRock, Inc. (BLK)	Purchase	06/22/2022	\$1,001 - \$15,000
151	Dollar General Corp. (DG)	Purchase	03/28/2022	\$1,001 - \$15,000
152	Evergy, Inc. (EVRG)	Purchase	03/28/2022	\$1,001 - \$15,000
153	GEN Digital Inc. (GEN)	Purchase	03/28/2022	\$1,001 - \$15,000
154	Pacific Gas & Electric Co. Common Stock (PCG)	Purchase	03/28/2022	\$1,001 - \$15,000
155	Sanofi ADS (SNY)	Purchase	03/28/2022	\$1,001 - \$15,000
156	Wells Fargo & Co. (WFC)	Purchase	03/28/2022	\$1,001 - \$15,000
157	Cohen & Steers Realty Shares (CSRSX)	Sale	02/18/2022	\$1,001 - \$15,000
158	Cohen & Steers Realty Shares (CSRSX)	Sale	03/16/2022	\$1,001 - \$15,000
159	Cohen & Steers Realty Shares (CSRSX)	Sale	04/11/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
160	Cohen & Steers Realty Shares (CSRSX)	Sale	06/10/2022	\$1,001 - \$15,000
161	Cohen & Steers Realty Shares (CSRSX)	Sale	07/25/2022	\$1,001 - \$15,000
162	Cohen & Steers Realty Shares (CSRSX)	Sale	08/05/2022	\$1,001 - \$15,000
163	Cohen & Steers Realty Shares (CSRSX)	Sale	09/19/2022	\$1,001 - \$15,000
164	Cohen & Steers Realty Shares (CSRSX)	Sale	10/06/2022	\$1,001 - \$15,000
165	Eaton Vance Global Macro Absolute Return Advantage Fund Class A Shares (EGRAX)	Sale	01/14/2022	\$1,001 - \$15,000
166	Eaton Vance Global Macro Absolute Return Advantage Fund Class A Shares (EGRAX)	Sale	02/18/2022	\$1,001 - \$15,000
167	Eaton Vance Global Macro Absolute Return Advantage Fund Class A Shares (EGRAX)	Sale	05/13/2022	\$1,001 - \$15,000
168	Eaton Vance Global Macro Absolute Return Advantage Fund Class A Shares (EGRAX)	Sale	08/05/2022	\$1,001 - \$15,000
169	Fidelity SAI Long-Term Treasury Bond Index Fund (FBLTX)	Purchase	01/14/2022	\$1,001 - \$15,000
170	Fidelity SAI Long-Term Treasury Bond Index Fund (FBLTX)	Sale	01/28/2022	\$1,001 - \$15,000
171	Fidelity SAI Long-Term Treasury Bond Index Fund (FBLTX)	Purchase	02/18/2022	\$1,001 - \$15,000
172	Fidelity SAI Long-Term Treasury Bond Index Fund (FBLTX)	Purchase	02/28/2022	\$1,001 - \$15,000
173	Fidelity SAI Long-Term Treasury Bond Index Fund (FBLTX)	Purchase	03/03/2023	\$1,001 - \$15,000

## 8. Liabilities

None

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## 9. Gifts and Travel Reimbursements

None

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Endnotes

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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