

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Goldberg, Beth L

District Director, SBA

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

[/s/ Beth Goldberg \[electronically signed by Beth Goldberg on 2021-05-14 13:09:48 in FOnline\]](#)

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below)

[/s/ Marilyn Barnes, Super Administrator \[electronically signed by Marilyn Barnes on 2021-07-13 15:37:54 in FOnline\]](#)

Other review conducted by:

U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

#	NAME	CITY, STATE	ORG TYPE	POSITION	FROM	TO
1	Auric Ventures, Ltd.	New York, NY, US	Consulting Firm	President	01/01/1988	Present
2	EKG Realty LLC	Marlboro, NJ, US	Real Estate	Partner	01/01/2009	Present
3	KF Finance	Marlboro, NJ, US	Real Estate	Partner	12/01/2009	Present
4	Irrevocable Trust by Sheldon Spring	Riverdale, NY, US	Family Trust	Trustee	01/01/1994	Present
5	Auric Properties Inc.	New York, NY, US	Real Estate Brokerage	President	05/25/1993	Present

2. Filer's Employment Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	(J) (IRE) Condominium Manalapan, NJ, US	N /A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
2	(J) (Partnership) EKG Realty LLC Manalapan, NJ, US Rental Income	N /A			
2.1	(Cash)	No	\$50,001 - \$100,000	Rent or Royalties, Interest	\$15,001 - \$50,000
3	(J) (Partnership) KF Finance Marlboro, NJ, US Real Estate	N /A			
3.1	(Cash)	No	\$100,001 - \$250,000	Interest	\$201 - \$1,000
4	(Trade) Auric Ventures, Ltd New York, NY, US Consulting	N /A	\$250,001 - \$500,000		None (or less than \$201)
4.1	(Cash)	No	\$1,001 - \$15,000		None (or less than \$201)
4.2	(Other) Defined Contribution Plan - TD Ameritrade (2519 and 2813)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	\$1,001 - \$2,500

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	(S) (Pension) NYC Teachers Retirement System NYC Department of Education	N /A	value not readily ascertainable		None (or less than \$201)
2	(S) (Other) Daniel Meyer SEP Accounts (5913 and 6076)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	None (or less than \$201)
3	(S) (Other Investment Vehicle) United Federation of Teachers Tax Deferred Annuity	N /A			
3.1	(Other) owns units in Diversified Equity Fund	No	Over \$1,000,000*	Dividends, Interest, Capital Gains	None (or less than \$201)
4	(S) (Spouse EI) New York City Department of Education Staten Island, NY, US	N /A			
5	(S) (Spouse EI) Private Practice Marlboro, NJ, US	N /A			

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	(J) (Stock) ISBC Investors Bancorp, Inc	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2	(J) (Stock) NJR New Jersey Resource Corp	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
3	(J) (Cash Deposit/Savings) PNC Marlboro, NJ, US Checking accounts and money market accounts	N/A	\$250,001 - \$500,000	Interest	\$201 - \$1,000
4	(J) (Cash Deposit/Savings) Investor's Savings Bank Marlboro, NJ, US checking accounts and liquid money markets	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
5	(J) (Cash Deposit/Savings) Chase Manhattan Bank New York, NY, US checking, savings accounts and annuity	N/A	\$250,001 - \$500,000	Interest	\$201 - \$1,000
6	(S) (Cash Deposit/Savings) Santander Bank Marlboro, NJ, US Checking accounts and money market accounts	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
7	(J) (Other) Beth Goldberg Meyer and Marilyn Spring (122 and 557)	No	value not readily ascertainable		None (or less than \$201)
8	(J) (Other) Irrevokable Trust of Sheldon Spring (415)	No	value not readily ascertainable		None (or less than \$201)
9	(J) (Other) Beth Goldberg Meyer and Zachary G. Meyer (5905)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	\$201 - \$1,000
10	(J) (Other) Beth Goldberg Meyer and Ilyssa R. Meyer (5904)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	\$201 - \$1,000
11	(S) (Other) Daniel A. Meyer (9902 and 6099)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	\$15,001 - \$50,000
12	(Other) Beth Goldberg Meyer (9898)	No	value not readily ascertainable	Dividends, Interest, Capital Gains	\$201 - \$1,000
13	(J) (Other) Beth Goldberg Meyer and Daniel Meyer, Jt Acct (TD 5135)	No	value not readily ascertainable	Dividends, Capital Gains	\$5,001 - \$15,000
14	(J) (Other) Beth Goldberg Meyer and Daniel A. Meyer (TD 0421)	Yes	value not readily ascertainable		\$201 - \$1,000
15	(Other) Beth Goldberg Meyer (TD 9898)	Yes	value not readily ascertainable		\$1,001 - \$2,500
16	(J) (Other) Beth Goldberg Meyer and Daniel Meyer - Stonetown	Yes	value not readily ascertainable		None (or less than \$201)

17	(IRA) TD Ameritrade (5907)	N/A		
17.1	(Cash)	No	value not readily ascertainable	None (or less than \$201)
18	(IRA) TD Ameritrade (5909)	No		
18.1	(Cash)	No	value not readily ascertainable	Dividends, Interest, Capital Gains None (or less than \$201)
19	(S) (IRA) TD Ameritrade (5912)	N/A		
19.1	(Cash)	No	value not readily ascertainable	Dividends, Interest, Capital Gains None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	AA - Alcoa Corp	sale	09/17/2020	\$1,001 - \$15,000
2	AA - Alcoa Corp	purchase	08/18/2020	\$1,001 - \$15,000
3	AAPL - Apple Inc	sale	09/04/2020	\$1,001 - \$15,000
4	AGN - Allergan PLC	sale	05/11/2020	\$1,001 - \$15,000
5	AMD - Adv Micro Devices	sale	06/29/2020	\$1,001 - \$15,000
6	AMT - American Tower Corp	sale	08/20/2020	\$1,001 - \$15,000
7	AMZN - Amazon.com Inc	purchase	06/29/2020	\$1,001 - \$15,000
8	AMZN - Amazon.com Inc	purchase	04/13/2020	\$1,001 - \$15,000
9	ARKG - Ark Genomic Revolution ETF	purchase	12/28/2020	\$1,001 - \$15,000
10	ASHR - Db-Xt Harvest CSI 300 China A ETF	purchase	12/28/2020	\$1,001 - \$15,000
11	ASML - Asml Hld NY Reg	purchase	11/11/2020	\$1,001 - \$15,000
12	AT&T Inc Global NT 3% 2/15/2022	sale	07/23/2020	\$1,001 - \$15,000
13	AXP - American Express Company	sale	12/21/2020	\$1,001 - \$15,000
14	AXP - American Express Company	sale	12/04/2020	\$1,001 - \$15,000

15	BABA - Alibaba Group Holding	sale	12/21/2020	\$1,001 - \$15,000
16	BABA - Alibaba Group Holding	purchase	04/27/2020	\$1,001 - \$15,000
17	BABA - Alibaba Group Holding	purchase	02/24/2020	\$1,001 - \$15,000
18	BABA - Alibaba Group Holding	purchase	02/24/2020	\$1,001 - \$15,000
19	BAC - Bank of America Corp	sale	05/13/2020	\$1,001 - \$15,000
20	BAC - Bank of America Corp	purchase	04/09/2020	\$1,001 - \$15,000
21	Berkshire Hathaway Cl B	sale	06/30/2020	\$1,001 - \$15,000
22	BIDU - Baidu Inc	sale	07/24/2020	\$1,001 - \$15,000
23	BIDU - Baidu Inc	purchase	07/08/2020	\$1,001 - \$15,000
24	BLK - Blackrock	sale	08/28/2020	\$1,001 - \$15,000
25	BLK - Blackrock	purchase	07/27/2020	\$1,001 - \$15,000
26	BLK - Blackrock	sale	01/14/2020	\$1,001 - \$15,000
27	BRKB - Berkshire Hathaway Cl B	purchase	04/14/2020	\$1,001 - \$15,000
28	C - Citigroup Inc	purchase	11/18/2020	\$1,001 - \$15,000
29	C - Citigroup Inc	purchase	12/02/2020	\$1,001 - \$15,000
30	CARR - Carrier Global Corp [Carr/I]	sale	11/18/2020	\$1,001 - \$15,000
31	CARR - Carrier Global Corp [Carr/I]	purchase	09/17/2020	\$1,001 - \$15,000
32	CARR - Carrier Global Corporation [Carr/I]	purchase	04/30/2020	\$1,001 - \$15,000
33	CARR - Carrier Global Corporation [Carr/I]	sale	05/06/2020	\$1,001 - \$15,000
34	CAT - Caterpillar Inc	sale	11/18/2020	\$1,001 - \$15,000
35	CAT - Caterpillar Inc	purchase	08/10/2020	\$1,001 - \$15,000
36	CHRW - C.H. Robinson Ww	sale	11/11/2020	\$1,001 - \$15,000
37	CLF - Cleveland-Cliffs Inc	purchase	09/18/2020	\$1,001 - \$15,000
38	CLF - Cleveland-Cliffs Inc	sale	09/23/2020	\$1,001 - \$15,000
39	CLOU - Global X Cloud Computing ETF	sale	08/10/2020	\$1,001 - \$15,000
40	CLOU - Global X Cloud Computing ETF	purchase	05/22/2020	\$1,001 - \$15,000
41	CMNIX - Calamos Market Neutral Fd Cl I	sale	03/03/2020	\$1,001 - \$15,000

42	COST - Costco Wholesale	purchase	06/10/2020	\$1,001 - \$15,000
43	COST - Costco Wholesale	sale	06/29/2020	\$1,001 - \$15,000
44	COWN - Cowen Group Inc	purchase	04/30/2020	\$1,001 - \$15,000
45	COWN - Cowen Group Inc	sale	05/04/2020	\$1,001 - \$15,000
46	CRM - Salesforce.com Inc	purchase	12/02/2020	\$1,001 - \$15,000
47	CRM - Salesforce.com Inc	sale	09/04/2020	\$1,001 - \$15,000
48	CRM - Salesforce.com Inc	purchase	08/06/2020	\$1,001 - \$15,000
49	CRWD - Crowdstrike Holdings Inc	purchase	11/04/2020	\$1,001 - \$15,000
50	CRWD - Crowdstrike Holdings Inc	purchase	06/10/2020	\$1,001 - \$15,000
51	CSCO - Cisco Systems Inc	sale	10/09/2020	\$1,001 - \$15,000
52	CVS - CVS Corp	purchase	01/16/2020	\$1,001 - \$15,000
53	DELL - Dell Technologies Inc	purchase	12/15/2020	\$1,001 - \$15,000
54	DIS - Walt Disney Company	purchase	02/24/2020	\$1,001 - \$15,000
55	DIS - Walt Disney Company	purchase	02/24/2020	\$1,001 - \$15,000
56	DIS - Walt Disney Company	purchase	02/24/2020	\$1,001 - \$15,000
57	DISCA - Discovery Comm A	purchase	05/06/2020	\$1,001 - \$15,000
58	DKNG - Draftkings Inc	sale	10/19/2020	\$1,001 - \$15,000
59	DKNG - Draftkings Inc	purchase	10/07/2020	\$1,001 - \$15,000
60	DOCU - DocuSign Inc	sale	11/10/2020	\$1,001 - \$15,000
61	DOCU - DocuSign Inc	sale	09/18/2020	\$1,001 - \$15,000
62	DOCU - DocuSign Inc	purchase	09/28/2020	\$1,001 - \$15,000
63	DOCU - DocuSign Inc	sale	07/13/2020	\$1,001 - \$15,000
64	DODIX - Dodge & Cox Income Fund	purchase	07/08/2020	\$1,001 - \$15,000
65	DODIX - Dodge & Cox Income Fund	purchase	07/08/2020	\$1,001 - \$15,000
66	DOG - Dow 30 Short Proshares	sale	01/13/2020	\$1,001 - \$15,000
67	DOV - Dover Corp	sale	04/27/2020	\$1,001 - \$15,000
68	DXCM - Dexcom	sale	08/31/2020	\$1,001 - \$15,000

69	DXCM - Dexcom	purchase	07/10/2020	\$1,001 - \$15,000
70	EEM - Emerging Markets Ishares MSCI ETF	purchase	10/06/2020	\$1,001 - \$15,000
71	ESPO - Vaneck Vectors Video Gaming and Esports ETF	sale	11/10/2020	\$1,001 - \$15,000
72	ESPO - Vaneck Vectors Video Gaming and Esports ETF	sale	11/10/2020	\$1,001 - \$15,000
73	ESPO - Vaneck Vectors Video Gaming and Esports ETF	sale	09/10/2020	\$1,001 - \$15,000
74	ESPO - Vaneck Vectors Video Gaming and Esports ETF	sale	09/10/2020	\$1,001 - \$15,000
75	EW - Edwards Lifesciences Corp	sale	07/10/2020	\$1,001 - \$15,000
76	EWU - United Kingdom Ishares MSCI ETF	purchase	12/14/2020	\$1,001 - \$15,000
77	FB - Facebook Inc	purchase	06/19/2020	\$1,001 - \$15,000
78	FB - Facebook Inc	purchase	06/29/2020	\$1,001 - \$15,000
79	FCX - Freeport-Mcmoran Inc	sale	11/18/2020	\$1,001 - \$15,000
80	FCX - Freeport-Mcmoran Inc	purchase	08/06/2020	\$1,001 - \$15,000
81	FIVE - Five Below Inc	purchase	11/13/2020	\$1,001 - \$15,000
82	FIVE - Five Below Inc	sale	11/18/2020	\$1,001 - \$15,000
83	FIVE - Five Below Inc	sale	12/07/2020	\$1,001 - \$15,000
84	FTXO - Nasdaq Bank ETF FT	sale	05/14/2020	\$1,001 - \$15,000
85	FTXO - Nasdaq Bank ETF FT	purchase	04/17/2020	\$1,001 - \$15,000
86	FVAC - Fortress Value Acquisition Corp CI A	purchase	10/16/2020	\$1,001 - \$15,000
87	FXI - China Large-Cap Ishares ETF	sale	12/28/2020	\$1,001 - \$15,000
88	FXI - China Large-Cap Ishares ETF	purchase	11/05/2020	\$1,001 - \$15,000
89	FYC - Smallcap Growth Alphasdex Fund FT	sale	02/03/2020	\$1,001 - \$15,000
90	GBTC - Grayscale Bitcoin Trust	purchase	11/20/2020	\$1,001 - \$15,000
91	GD - General Dynamics Corp	sale	07/08/2020	\$1,001 - \$15,000
92	GD - General Dynamics Corp	purchase	04/27/2020	\$1,001 - \$15,000
93	GDX - Gold Miners Vaneck ETF	sale	09/28/2020	\$1,001 - \$15,000
94	GDX - Gold Miners Vaneck ETF	purchase	08/13/2020	\$1,001 - \$15,000
95	GDX - Gold Miners Vaneck ETF	sale	09/04/2020	\$1,001 - \$15,000

96	GDX - Gold Miners Vaneck ETF	sale	09/04/2020	\$1,001 - \$15,000
97	GH - Guardant Health Inc	purchase	02/26/2020	\$1,001 - \$15,000
98	GOOG - Alphabet Cl C	sale	08/06/2020	\$1,001 - \$15,000
99	GOOG - Alphabet Cl C	purchase	04/22/2020	\$1,001 - \$15,000
100	GOOGL - Alphabet Cl A	purchase	09/22/2020	\$1,001 - \$15,000
101	GOOGL - Alphabet Cl A	sale	06/19/2020	\$1,001 - \$15,000
102	GOOGL - Alphabet Cl A	purchase	06/29/2020	\$1,001 - \$15,000
103	HON - Honeywell International Inc	sale	07/10/2020	\$1,001 - \$15,000
104	HON - Honeywell International Inc	sale	06/12/2020	\$1,001 - \$15,000
105	IAU - Gold Trust Ishares	purchase	11/06/2020	\$1,001 - \$15,000
106	IAU - Gold Trust Ishares	sale	11/20/2020	\$1,001 - \$15,000
107	IAU - Gold Trust Ishares	sale	11/20/2020	\$1,001 - \$15,000
108	IAU - Gold Trust Ishares	sale	11/23/2020	\$1,001 - \$15,000
109	IAU - Gold Trust Ishares	sale	09/28/2020	\$1,001 - \$15,000
110	IBB - Nasdaq Biotechnology Ishares ETF	sale	06/10/2020	\$1,001 - \$15,000
111	IBB - Nasdaq Biotechnology Ishares ETF	purchase	04/27/2020	\$1,001 - \$15,000
112	ICE - Intercontinental Exchange	sale	07/27/2020	\$1,001 - \$15,000
113	ICE - Intercontinental Exchange	sale	06/29/2020	\$1,001 - \$15,000
114	IEUR - Europe Ishares Core MSCI ETF	sale	09/08/2020	\$1,001 - \$15,000
115	IEUR - Europe Ishares Core MSCI ETF	sale	09/08/2020	\$1,001 - \$15,000
116	IEUR - Europe Ishares Core MSCI ETF	purchase	06/10/2020	\$1,001 - \$15,000
117	IEUR - Europe Ishares Core MSCI ETF	purchase	06/10/2020	\$1,001 - \$15,000
118	IGV - North American Tech-Software Ishares ETF	sale	11/10/2020	\$1,001 - \$15,000
119	IGV - North American Tech-Software Ishares ETF	sale	09/18/2020	\$1,001 - \$15,000
120	IGV - North American Tech-Software Ishares ETF	purchase	02/03/2020	\$1,001 - \$15,000
121	IGV - North American Tech-Software Ishares ETF	purchase	02/03/2020	\$1,001 - \$15,000
122	IJH - S&P Mid-Cap 400 Ishares Core ETF	sale	02/03/2020	\$1,001 - \$15,000

123	IJH - S&P Mid-Cap 400 Ishares Core ETF	sale	02/03/2020	\$1,001 - \$15,000
124	IJR - S&P Small-Cap Ishares Core ETF	purchase	11/10/2020	\$1,001 - \$15,000
125	IJR - S&P Small-Cap Ishares Core ETF	purchase	11/10/2020	\$1,001 - \$15,000
126	IJR - S&P Small-Cap Ishares Core ETF	purchase	10/23/2020	\$1,001 - \$15,000
127	IJR - S&P Small-Cap Ishares Core ETF	purchase	10/23/2020	\$1,001 - \$15,000
128	IJR - S&P Small-Cap Ishares Core ETF	sale	09/24/2020	\$1,001 - \$15,000
129	IJR - S&P Small-Cap Ishares Core ETF	sale	09/24/2020	\$1,001 - \$15,000
130	IJR - S&P Small-Cap Ishares Core ETF	purchase	08/10/2020	\$1,001 - \$15,000
131	IJR - S&P Small-Cap Ishares Core ETF	purchase	08/10/2020	\$1,001 - \$15,000
132	IJT - S&P Small-Cap 600 Growth Ishares ETF	purchase	04/27/2020	\$1,001 - \$15,000
133	IJT - S&P Small-Cap 600 Growth Ishares ETF	purchase	04/27/2020	\$1,001 - \$15,000
134	IJT - S&P Small-Cap 600 Growth Ishares ETF	purchase	04/30/2020	\$1,001 - \$15,000
135	IJT - S&P Small-Cap 600 Growth Ishares ETF	sale	05/01/2020	\$1,001 - \$15,000
136	IJT - S&P Small-Cap 600 Growth Ishares ETF	sale	05/01/2020	\$1,001 - \$15,000
137	IJT - S&P Small-Cap 600 Growth Ishares ETF	sale	05/01/2020	\$1,001 - \$15,000
138	INDY - India 50 Ishares ETF	purchase	12/14/2020	\$1,001 - \$15,000
139	INTC - Intel Corp	sale	04/09/2020	\$1,001 - \$15,000
140	IOFIX - Alphacentric Income Opportunities Fund - Class I	purchase	10/06/2020	\$1,001 - \$15,000
141	IOFIX - Alphacentric Income Opportunities Fund - Class I	purchase	07/08/2020	\$1,001 - \$15,000
142	JABLX - Janus Henderson Balanced Portfolio - Institution	sale	07/08/2020	\$1,001 - \$15,000
143	JBALX - Janus Henderson Balanced Fund - I Shares	sale	12/14/2020	\$1,001 - \$15,000
144	JBALX - Janus Henderson Balanced Fund - I Shares	sale	12/02/2020	\$1,001 - \$15,000
145	JD - Jd.com Inc Ads	purchase	07/06/2020	\$1,001 - \$15,000
146	JKH - Mstar Mid-Cap Growth Ishares ETF	purchase	02/03/2020	\$1,001 - \$15,000
147	JKH - Mstar Mid-Cap Growth Ishares ETF	purchase	02/03/2020	\$15,001 - \$50,000
148	JMIA - Jumia Technologies Ag ADR	sale	12/11/2020	\$1,001 - \$15,000
149	JMIA - Jumia Technologies Ag ADR	purchase	11/18/2020	\$1,001 - \$15,000

150	JMIA - Jumia Technologies Ag ADR	sale	11/27/2020	\$1,001 - \$15,000
151	KHC - Kraft Heinz Company	sale	09/17/2020	\$1,001 - \$15,000
152	KHC - Kraft Heinz Company	purchase	08/17/2020	\$1,001 - \$15,000
153	KWEB - KS Trust KS CSI China Internet ETF	purchase	12/02/2020	\$1,001 - \$15,000
154	LANC - Lancaster Colony Cor	sale	06/12/2020	\$1,001 - \$15,000
155	LANC - Lancaster Colony Cor	purchase	05/15/2020	\$1,001 - \$15,000
156	LDLFX - Lord Abbett Investment Trust Short Duration Inco	sale	07/08/2020	\$1,001 - \$15,000
157	LFRFX - Lord Abbett Inv TR Lord Abbett Floating Rate Fd	purchase	10/06/2020	\$1,001 - \$15,000
158	LFRFX - Lord Abbett Inv TR Lord Abbett Floating Rate Fd	purchase	10/06/2020	\$1,001 - \$15,000
159	LPT - Liberty Property Trust	sale	01/16/2020	\$1,001 - \$15,000
160	LSWWX - Loomis Sayles Global Allocation Fund Class Y	sale	07/08/2020	\$1,001 - \$15,000
161	LSWWX - Loomis Sayles Global Allocation Fund Class Y	purchase	02/03/2020	\$1,001 - \$15,000
162	LVGO - Livongo Health Inc	exchange	11/04/2020	\$1,001 - \$15,000
163	LVGO - Livongo Health Inc	purchase	06/29/2020	\$1,001 - \$15,000
164	MA - Mastercard Inc	sale	10/29/2020	\$1,001 - \$15,000
165	MA - Mastercard Inc	sale	10/29/2020	\$1,001 - \$15,000
166	MA - Mastercard Inc	purchase	05/13/2020	\$1,001 - \$15,000
167	MA - Mastercard Inc	purchase	05/13/2020	\$1,001 - \$15,000
168	MGK - Megacap 300 Growth Index ETF Vanguard	purchase	02/11/2020	\$1,001 - \$15,000
169	MGK - Megacap 300 Growth Index ETF Vanguard	purchase	02/11/2020	\$1,001 - \$15,000
170	MP - Mp Materials Corp	purchase	11/04/2020	\$1,001 - \$15,000
171	MRK - Merck & Company	sale	06/29/2020	\$1,001 - \$15,000
172	MSFT - Microsoft Corp	sale	11/11/2020	\$1,001 - \$15,000
173	MSFT - Microsoft Corp	purchase	09/22/2020	\$1,001 - \$15,000
174	MT - Arcelormittal	purchase	10/19/2020	\$1,001 - \$15,000
175	NFLX - Netflix Inc	sale	09/18/2020	\$1,001 - \$15,000
176	NOC - Northrop Grumman Corp	sale	07/06/2020	\$1,001 - \$15,000

177	NOW - Servicenow Inc	purchase	11/04/2020	\$1,001 - \$15,000
178	NOW - Servicenow Inc	sale	05/14/2020	\$1,001 - \$15,000
179	NOW - Servicenow Inc	sale	05/14/2020	\$1,001 - \$15,000
180	NOW - Servicenow Inc	purchase	04/22/2020	\$1,001 - \$15,000
181	NOW - Servicenow Inc	purchase	04/22/2020	\$1,001 - \$15,000
182	NOW - Servicenow Inc	purchase	04/27/2020	\$1,001 - \$15,000
183	NVDA - Nvidia Corp	sale	09/04/2020	\$1,001 - \$15,000
184	NXPI - Nxp Semiconductors	purchase	08/06/2020	\$1,001 - \$15,000
185	OKTA - Okta Inc Cl A	sale	08/10/2020	\$1,001 - \$15,000
186	OKTA - Okta Inc Cl A	purchase	06/17/2020	\$1,001 - \$15,000
187	ORLY - O'Reilly Automotive Inc	sale	12/02/2020	\$1,001 - \$15,000
188	OTIS - Otis Worldwide Corp [Otis/I]	purchase	05/27/2020	\$1,001 - \$15,000
189	PAYC - Paycom Software Inc	purchase	05/11/2020	\$1,001 - \$15,000
190	PAYC - Paycom Software Inc	sale	05/14/2020	\$1,001 - \$15,000
191	PFORX - Pimco International Bond Fund [U.S. Dollar-Hedge	purchase	02/03/2020	\$1,001 - \$15,000
192	PFORX - Pimco International Bond Fund [U.S. Dollar-Hedge	purchase	02/03/2020	\$1,001 - \$15,000
193	PYPL - Paypal Holdings	purchase	10/29/2020	\$1,001 - \$15,000
194	PYPL - Paypal Holdings	sale	07/14/2020	\$1,001 - \$15,000
195	QCOM - Qualcomm Inc	sale	11/18/2020	\$1,001 - \$15,000
196	QCOM - Qualcomm Inc	purchase	08/28/2020	\$1,001 - \$15,000
197	RBS - Royal Bank Scotland Group Plc	sale	02/24/2020	\$1,001 - \$15,000
198	RBS - Royal Bank Scotland Group Plc	sale	02/24/2020	\$1,001 - \$15,000
199	REGL - S&P Midcap 400 Divd Proshares	sale	02/11/2020	\$1,001 - \$15,000
200	REGL - S&P Midcap 400 Divd Proshares	sale	02/11/2020	\$1,001 - \$15,000
201	ROKU - Roku Inc	purchase	09/01/2020	\$1,001 - \$15,000
202	ROKU - Roku Inc	sale	09/04/2020	\$1,001 - \$15,000
203	RSP - S&P 500 EW Invesco ETF	purchase	11/10/2020	\$1,001 - \$15,000

204	RSP - S&P 500 EW Invesco ETF	purchase	11/16/2020	\$1,001 - \$15,000
205	RSP - S&P 500 EW Invesco ETF	purchase	09/18/2020	\$1,001 - \$15,000
206	RSP - S&P 500 EW Invesco ETF	purchase	09/18/2020	\$1,001 - \$15,000
207	RSP - S&P 500 EW Invesco ETF	sale	05/13/2020	\$1,001 - \$15,000
208	RSP - S&P 500 EW Invesco ETF	sale	05/13/2020	\$1,001 - \$15,000
209	RSP - S&P 500 EW Invesco ETF	sale	04/09/2020	\$1,001 - \$15,000
210	RSP - S&P 500 EW Invesco ETF	sale	04/09/2020	\$15,001 - \$50,000
211	RSP - S&P 500 EW Invesco ETF	sale	05/01/2020	\$15,001 - \$50,000
212	RSP - S&P 500 EW Invesco ETF	purchase	02/03/2020	\$1,001 - \$15,000
213	RSP - S&P 500 EW Invesco ETF	purchase	02/03/2020	\$15,001 - \$50,000
214	RSP - S&P 500 EW Invesco ETF	purchase	02/11/2020	\$1,001 - \$15,000
215	SDY - S&P Dividend SPDR	sale	02/03/2020	\$1,001 - \$15,000
216	SDY - S&P Dividend SPDR	sale	02/03/2020	\$1,001 - \$15,000
217	SDY - S&P Dividend SPDR	sale	02/11/2020	\$1,001 - \$15,000
218	SDY - S&P Dividend SPDR	sale	02/11/2020	\$1,001 - \$15,000
219	SDY - S&P Dividend SPDR	sale	02/12/2020	\$1,001 - \$15,000
220	SE - Sea Ltd ADR	purchase	09/15/2020	\$1,001 - \$15,000
221	SHOP - Shopify Inc	sale	11/16/2020	\$1,001 - \$15,000
222	SHOP - Shopify Inc	sale	09/17/2020	\$1,001 - \$15,000
223	SHOP - Shopify Inc	sale	09/18/2020	\$1,001 - \$15,000
224	SHOP - Shopify Inc	sale	07/13/2020	\$1,001 - \$15,000
225	SHOP - Shopify Inc	purchase	04/14/2020	\$1,001 - \$15,000
226	SLV - Silver Trust Ishares	sale	09/28/2020	\$1,001 - \$15,000
227	SLV - Silver Trust Ishares	sale	08/10/2020	\$1,001 - \$15,000
228	SLV - Silver Trust Ishares	sale	08/10/2020	\$1,001 - \$15,000
229	SLV - Silver Trust Ishares	purchase	07/20/2020	\$1,001 - \$15,000
230	SLV - Silver Trust Ishares	purchase	05/18/2020	\$1,001 - \$15,000

231	SLV - Silver Trust Ishares	purchase	05/18/2020	\$1,001 - \$15,000
232	SLV - Silver Trust Ishares	purchase	05/18/2020	\$1,001 - \$15,000
233	SMDV - Russell 2000 Divd Proshares	sale	02/11/2020	\$1,001 - \$15,000
234	SPOT - Spotify Technology S.A.	purchase	08/20/2020	\$1,001 - \$15,000
235	SPOT - Spotify Technology S.A.	sale	09/04/2020	\$1,001 - \$15,000
236	SPY - S&P 500 SPDR	sale	09/18/2020	\$1,001 - \$15,000
237	SPY - S&P 500 SPDR	sale	09/18/2020	\$1,001 - \$15,000
238	SPY - S&P 500 SPDR	sale	04/09/2020	\$1,001 - \$15,000
239	SPY - S&P 500 SPDR	sale	04/30/2020	\$1,001 - \$15,000
240	SQ - Square	purchase	10/29/2020	\$1,001 - \$15,000
241	SQ - Square	sale	07/10/2020	\$1,001 - \$15,000
242	SQ - Square	purchase	04/30/2020	\$1,001 - \$15,000
243	SRAC - Stable Road Acquisition Corp Cl A	purchase	12/07/2020	\$1,001 - \$15,000
244	SYV - MFS Value Equity ETF SPDR	sale	02/03/2020	\$1,001 - \$15,000
245	SYV - MFS Value Equity ETF SPDR	sale	02/03/2020	\$15,001 - \$50,000
246	TDOC - Teladoc Health Inc	exchange	11/04/2020	\$1,001 - \$15,000
247	TDOC - Teladoc Health Inc	sale	11/09/2020	\$1,001 - \$15,000
248	TEAM - Atlassian Cls A Ord	sale	08/10/2020	\$1,001 - \$15,000
249	TEAM - Atlassian Cls A Ord	purchase	06/29/2020	\$1,001 - \$15,000
250	TGBAX - Templeton Global Bond Fund Advisor Class	sale	02/03/2020	\$1,001 - \$15,000
251	TGBAX - Templeton Global Bond Fund Advisor Class	sale	02/03/2020	\$1,001 - \$15,000
252	TIP - TIPS Bond Ishares ETF	purchase	05/18/2020	\$1,001 - \$15,000
253	TJX - TJX Companies	sale	07/31/2020	\$1,001 - \$15,000
254	TMO - Thermo Fisher Scientific Inc	sale	04/27/2020	\$1,001 - \$15,000
255	TSLA - Tesla Inc	purchase	07/31/2020	\$1,001 - \$15,000
256	TSM - Taiwan Semiconductor Manufacturing	purchase	10/05/2020	\$1,001 - \$15,000
257	U - Unity Software Inc	purchase	12/21/2020	\$1,001 - \$15,000

258	UBER - Uber Technologies Inc	purchase	01/10/2020	\$1,001 - \$15,000
259	ULTA - Ulta Beauty Inc	sale	05/14/2020	\$1,001 - \$15,000
260	ULTA - Ulta Beauty Inc	purchase	05/07/2020	\$1,001 - \$15,000
261	V - Visa Inc	sale	10/30/2020	\$1,001 - \$15,000
262	V - Visa Inc	sale	04/27/2020	\$1,001 - \$15,000
263	VB - Smallcap ETF Vanguard	sale	02/11/2020	\$1,001 - \$15,000
264	VB - Smallcap ETF Vanguard	sale	02/11/2020	\$1,001 - \$15,000
265	VBIAX - Vanguard Balanced Index Fd Admiral Shs	sale	07/02/2020	\$15,001 - \$50,000
266	VFISX - Vanguard Short-Term Treasury Fund	sale	07/27/2020	\$1,001 - \$15,000
267	VFSIX - Vanguard Short-Term Investment Grade Fund Insti	sale	07/27/2020	\$1,001 - \$15,000
268	VGIT - Interm Govt Bond Vanguard	sale	10/23/2020	\$1,001 - \$15,000
269	VGIT - Interm Govt Bond Vanguard	sale	10/23/2020	\$1,001 - \$15,000
270	VGIT - Interm Govt Bond Vanguard	purchase	07/27/2020	\$1,001 - \$15,000
271	VGIT - Interm Govt Bond Vanguard	purchase	07/27/2020	\$1,001 - \$15,000
272	VMW - Vmware Inc	sale	06/10/2020	\$1,001 - \$15,000
273	VOT - Midcap Growth ETF Vanguard	purchase	02/11/2020	\$1,001 - \$15,000
274	VOT - Midcap Growth ETF Vanguard	purchase	02/11/2020	\$1,001 - \$15,000
275	VRTX - Vertex Pharmaceutic	purchase	10/15/2020	\$1,001 - \$15,000
276	VRTX - Vertex Pharmaceutic	purchase	09/17/2020	\$1,001 - \$15,000
277	VRTX - Vertex Pharmaceutic	purchase	06/12/2020	\$1,001 - \$15,000
278	VTV - Value ETF Vanguard	purchase	11/16/2020	\$1,001 - \$15,000
279	VUG - Growth ETF Vanguard	sale	10/23/2020	\$1,001 - \$15,000
280	VUG - Growth ETF Vanguard	sale	10/30/2020	\$1,001 - \$15,000
281	VUG - Growth ETF Vanguard	sale	09/18/2020	\$1,001 - \$15,000
282	VUG - Growth ETF Vanguard	sale	09/18/2020	\$1,001 - \$15,000
283	VUG - Growth ETF Vanguard	purchase	02/03/2020	\$1,001 - \$15,000
284	VZ - Verizon Communications Inc	sale	06/25/2020	\$15,001 - \$50,000

285	WDAY - Workday Inc	purchase	12/14/2020	\$1,001 - \$15,000
286	WMT - Wal-Mart Stores	purchase	09/28/2020	\$1,001 - \$15,000
287	XLI - S&P 500 Industrial Sector SPDR	sale	04/13/2020	\$1,001 - \$15,000
288	XLV - S&P 500 Healthcare Sector SPDR	sale	06/30/2020	\$1,001 - \$15,000
289	XLV - S&P 500 Healthcare Sector SPDR	purchase	04/09/2020	\$1,001 - \$15,000
290	XME - S&P Metals & Mining SPDR	sale	05/14/2020	\$1,001 - \$15,000
291	XME - S&P Metals & Mining SPDR	purchase	04/29/2020	\$1,001 - \$15,000
292	XOM - Exxon Mobil Corp	sale	06/30/2020	\$1,001 - \$15,000
293	XOP - S&P Oil & Gas Expl & Prod SPDR	purchase	11/18/2020	\$15,001 - \$50,000
294	XOP - S&P Oil & Gas Expl & Prod SPDR	sale	06/12/2020	\$1,001 - \$15,000
295	XOP - S&P Oil & Gas Expl & Prod SPDR	purchase	04/09/2020	\$1,001 - \$15,000
296	XOP - S&P Oil & Gas Expl & Prod SPDR	sale	05/01/2020	\$1,001 - \$15,000
297	XOP - S&P Oil & Gas Expl & Prod SPDR	sale	05/01/2020	\$1,001 - \$15,000
298	ZM - Zoom Video Communications Cl A	sale	12/10/2020	\$1,001 - \$15,000
299	ZM - Zoom Video Communications Cl A	sale	11/16/2020	\$1,001 - \$15,000
300	ZM - Zoom Video Communications Cl A	sale	08/11/2020	\$1,001 - \$15,000
301	ZTS - Zoetis Inc Cl A	sale	11/18/2020	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE OF LIABILITY	AMOUNT	YEAR INCURRED	INTEREST RATE	TERM
1	Chase Student Loans; Indianapolis, IN, US	Student Loan	\$15,001 - \$50,000	2007	2..5	25
2	Chase Student Loans; Indianapolis, IN, US	Student Loan	\$15,001 - \$50,000	2008	5.0	25
3	American Education Services; Harrisburg, PA, US	Student Loan	\$15,001 - \$50,000	2011	Libor + 2.25	20
4	Navient; Wilkes- Barre, PA, US	Student Loan	\$10,001 - \$15,000	2010	Libor + 2.0	8
5	Stonetown 6, LLP; Denver, CO, US	Capital Commitment	\$50,001 - \$100,000	2019	N/A	On-Demand

9. Gifts and Reimbursements

None

Endnotes

PART	#	ENDNOTE
2	1	Title is held by EKG Realy, LLC My brother and I are the partners
2	4.1	Chase Manhattan Business Banking Account
2	4.2	TD Ameritrade Statements will be attached
5	1	See Information Provided under separate cover by the NYC Teachers Retirement System
5	2	TD Ameritrade Statements will be included
5	3.1	Statement Provided
6	3	Two accounts are held joint with my spouse, Dr. Daniel Meyer Two accounts are held joint with my brother, Alan Goldberg
6	4	Two accounts are joint with my children
6	5	I hold accounts by myself and jointly with my husband, my children, my brother and my aunt. In addition to the personal accounts I have two partnership accounts: EKG Realty(checking and savings) and KF Finance (checking and savings), as well as my consulting firm Auric Ventures, Ltd. (checking and savings) Also accounts have been opened in Riverdale, NY and Marlboro, NJ
6	7	Merrill Lynch Statement Attached Account # xx122 and #xx557
6	8	Merrill Lynch statement Account # xx415
6	9	TD Ameritrade Statements will be included.
6	10	TD Ameritrade Statements will be attached.
6	11	TD Ameritrade Statement will be attached.
6	12	TD Ameritrade Statements will be attached.
6	13	This asset was moved to this account from Charles Schwab on April 26, 2019 to TD Account #5135. It was still held on December 31, 2019.
6	14	This account hold our joint interest in a private real estate fund: Peachtree Hotel and Income Fund. The investment was made on April 22, 2019. Please see supporting documents.
6	15	I am a limited partner in HPI Fund. My original purchase was on September 11, 2018 for \$25,000. Please see supporting documents.
6	16	We are limited partners in a fund called Stonetown. There was one capital call March 5, 2019 for \$35,000. There is an investment obligation of \$100,000. Please see supporting documentation.

6	17	Ethel K Goldberg Beneficiary IRA TD Ameritrade Statements will be attached
6	17.1	TD Ameritrade Statement will be attached.
6	18	TD Ameritrade statement will be attached.
6	18.1	TD Ameritrade statement will be attached.
6	19	TD Ameritrade statement will be attached.
6	19.1	TD Ameritrade statement will be attached
8	1	I am a co-signer on this loan
8	2	I am co-signer
8	3	I am a co-signer
8	4	I am a co-signer.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses the following: Positions held by the filer at any time during the reporting period (excluding positions with the United States Government). Reportable positions include those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any for-profit or non-profit organization (whether compensated or uncompensated).

2. Filer's Employment Assets and Income

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities if the value of the asset at the end of the reporting period exceeded \$1,000 or if more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, anticipated payments such as severance payments, deferred compensation, and intellectual property, such as book deals and patents).

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses the following: Agreements or arrangements that the filer had during the reporting period for the following:

- Continuing participation in an employee welfare or benefit plan maintained by a former employer
- Leave of absence
- Future employment
- Continuation of payments by a former employer (e.g., severance payments)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period. The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets and Income

Part 5 discloses the following:

- Source of earned income (excluding honoraria) for the filer's spouse over \$1,000 during the reporting period.
- Sources of honoraria for the filer's spouse over \$200 during the reporting period.

- Assets related to the spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents).

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children. This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period. This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period. This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

Part 9 discloses the following:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items:

1. anything received from relatives;
2. anything received from the United States Government or from the District of Columbia, state, or local governments;
3. bequests and other forms of inheritance;
4. gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel;
5. gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and

6. anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made:

1. to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18;
2. to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation;
3. to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena;
4. to a source when necessary to obtain information relevant to a conflict of interest investigation or determination;
5. to the National Archives and Records Administration or the General Services Administration in records management inspections;
6. to the Office of Management and Budget during legislative coordination on private relief legislation;
7. to the Department of Justice or in certain legal proceedings when OGE, an employee of OGE, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation;
8. to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another;
9. to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record;
10. to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records;
11. on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation;
12. on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE;
13. on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order;
14. to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm;
15. to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security.

See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

Reviewer Comments and Submission History

for Beth Goldberg

beth.goldberg@sba.gov - Beth Goldberg

07/09/2021 19:43:04

Filing Submitted

beth.goldberg@sba.gov - Beth Goldberg

05/14/2021 13:09:50

Filing Submitted