

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Halpert, James J

DAEO, Office of the National Cyber Director

Date of Appointment: 03/15/2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Halpert, James J [electronically signed on 08/22/2022 by Halpert, James J in Integrity.gov] - Filer received a 45 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Harris, Melanie F, Certifying Official [electronically signed on 10/26/2022 by Harris, Melanie F in Integrity.gov]

Other review conducted by

/s/ Todoroff, Joel D, Ethics Official [electronically signed on 10/17/2022 by Todoroff, Joel D in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 09/27/2023

Data Revised 08/30/2023

Data Revised 08/09/2023

Data Revised 08/07/2023

Data Revised 07/25/2023

Data Revised 06/18/2023

Data Revised 05/15/2023

Data Revised 05/14/2023

Data Revised 12/09/2022

Data Revised 09/30/2022

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	DLA Piper US LLP	Washington, District of Columbia	Law Firm	Partner	10/1995	2/2022

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	DLA Piper US LLP (law firm)	N/A		Partnership Share	\$3,252,998
2	DLA Piper US LLP (law firm)	N/A		Bonus	\$200,000
3	DLA Piper Retirement with Principal Company	No			
3.1	Vanguard Inflation Protected Sec	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.2	Pimco Int'l Bond Hedged-12	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.3	Vanguard Target Retirement 2025 Trust II	Yes	\$50,001 - \$100,000		None (or less than \$201)
4	DLA Piper US, cash balance pension plan	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
5	DLA Piper US	No			
5.1	PIMCO International Bond Fund (USD-HDG) 1-2	Yes	\$50,001 - \$100,000		None (or less than \$201)
5.2	Vanguard Inf-Prot Sec Institutional Fund	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.3	MFS Large US Equity Value R6 Fund	Yes	\$500,001 - \$1,000,000		\$201 - \$1,000
5.4	JP Morgan MidCap Value L Fund	Yes	\$250,001 - \$500,000		\$201 - \$1,000
5.5	Vulcan Value Partners Small Cap Institutional Fund	Yes	\$100,001 - \$250,000		\$201 - \$1,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	DLA Piper US LLP	Washington, District of Columbia	The funds in the capital account were repaid to me after I resigned from the partnership	2/2022
2	DLA Piper US	Washington, District of Columbia	I will continue to participate in this cash balance pension plan, but the plan sponsor no longer makes contributions.	1/2000
3	DLA Piper US	See Endnote Washington, District of Columbia	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	1/2000

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	DLA Piper US LLP	Washington, District of Columbia	Legal Services
2	Deloitte Touche Tohmatsu Ltd	London, Outside U.S.	Legal services (DLA Piper client)

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
3	Internet Commerce Coalition	Baltimore, Maryland	Legal services (DLA Piper client)
4	Pindrop Security, Inc. (an authentication company)	Atlanta, Georgia	Legal services (DLA Piper client)
5	ABS Capital Partners, Inc	Baltimore, Maryland	Legal services (DLA Piper client)
6	Activision Blizzard, Inc.	Santa Monica, California	Legal services (DLA Piper client)
7	AIG	New York, New York	Legal services (DLA Piper client)
8	ASB Capital Management, Inc	Bethesda, Maryland	Legal services (client of DLA Piper)
9	Cardinal Health, Inc.	Dublin, Ohio	Legal services (client of DLA Piper)
10	Chubb Group of Insurance	White House Station, New Jersey	Legal services (client of DLA Piper)
11	Comcast Corporation	Philadelphia, Pennsylvania	Legal services (client of DLA Piper)
12	Crawford & Company	Atlanta, Georgia	Legal services (client of DLA Piper)
13	DJO Global, Inc.	Lewisville, Texas	Legal services (client of DLA Piper)
14	FTI Consulting, Inc.	Washington, District of Columbia	Legal services (client of DLA Piper)
15	Health Fidelity, Inc.	San Francisco, California	Legal services (DLA Piper client)
16	Health Fidelity, Inc.	San Francisco, California	Legal services (DLA Piper client)
17	Hotschedules.com	Austin, Texas	Legal services (DLA Piper client)

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
18	Incyte Corp.	Wilmington, Delaware	Legal services (DLA Piper client)
19	Lego Systems A/S	Enfield, Connecticut	Legal services (DLA Piper client)
20	L'Oreal USA	New York, New York	Legal services (DLA Piper client)
21	Merkle, Inc.	Columbia, Maryland	Legal services (DLA Piper client)
22	MIC, LLC.	Battavia, Illinois	Legal services (DLA Piper client)
23	Nuix Pty. Ltd	Fairfax, Virginia	Legal services (DLA Piper client)
24	Pfizer, Inc.	New York, New York	Legal services (DLA Piper client)
25	Production Resource Group	Armonk, New York	Legal services (DLA Piper client)
26	Route 66 Ventures, LLC	Alexandria, Rhode Island	Legal services (DLA Piper client)
27	State Privacy & Security Coalition, Inc.	Baltimore, Maryland	Legal services (DLA Piper client)
28	AARP	Washington, District of Columbia	Legal services (DLA Piper client)
29	Dell, Inc.	Round Rock, Texas	Legal services (DLA Piper client)
30	DHL Express (USA)	Plantation, Florida	Legal services (DLA Piper client)
31	Domino's Pizza LLC	Ann Arbor, Michigan	Legal services (DLA Piper client)
32	RJ Naylor, Inc.	McLean, Virginia	Legal services (DLA Piper client)

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
33	The Trustmark Companies	Jackson, Mississippi	Legal services (DLA Piper client)
34	T. Rowe Price Associates, Inc.	Baltimore, Maryland	Legal services (DLA Piper client)

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	German Marshall Fund of the US	N/A	\$100,001 - \$250,000	salary	
2	The Business Council - October 2021	N/A		Honorarium	\$3,000
3	Vanguard IRA	No			
3.1	BND Vanguard Total Bond Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.2	BNDX Vanguard Total Index Bond Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
4	Invesco Buyback Achievers ETF (PKW)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
5	SPDR S&P 500 ETF Trust (SPY)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
6	Vanguard Limited-Term Tax-Exempt Fund Admiral Shares (VMLUX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	Vanguard Intermediate-Term Investment-Grade Fund Admiral Shares (VFIDX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
9	Vanguard Short-Term Investment-Grade Fund Admiral Shares (VFSUX)	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10	Marcus Savings Account (cash)	No	\$500,001 - \$1,000,000	Interest	\$2,501 - \$5,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	120 Central Avenue LLC See Endnote	No	\$250,001 - \$500,000	Rent or Royalties	\$50,001 - \$100,000
2	Merck & Co., Inc. (MRK)	N/A	\$100,001 - \$250,000	Capital Gains Dividends	\$5,001 - \$15,000
3	OGN -- ORGANON & CO	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
4	Procter & Gamble Co. (PG)	N/A	\$100,001 - \$250,000	Capital Gains Dividends	\$5,001 - \$15,000
5	Fourth Sail Long Short Onshore Fund	No	\$100,001 - \$250,000		None (or less than \$201)
5.1	Canacol Energy Ltd	N/A	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500
5.2	Cascada Silver Corp.	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.3	Genoomma Lab Internacional	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.4	Brazil LTN Simple Discount Bond 07/01/22	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.5	Compagna Sud Aericana de Vapores	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.6	Concha y Toro SA	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.7	Megacable Holdings SAB de CV	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.8	Fibra Uno Administracion SA de CV	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.9	Bolsa Mexicana de Valores SAB de CV	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.10	Sul America SA	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.11	Sendas Distribuidora S A ADS (ASAI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.12	Core Assets Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.13	SLC Agricola SA	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.14	Empresa Nacional de Telecomunicaciones SA	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.15	SilverCrest Metals Inc. Common Shares (SILV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.16	GoGold Resources, Inc.	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.17	Vamos Locacao	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.18	Sendas Distribuidora S A ADS (ASAI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.19	Vinya Conca y Toro SA	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.20	EPAM Systems, Inc. (EPAM)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.21	Despegar.com Corp. Ordinary Shares (DESP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.22	Alamos Gold Inc. Class A Common Shares (AGI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.23	Ternium SA Credit Default Swap	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.24	Teck Resources Ltd Ordinary Shares (TECK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.25	Becle S.A.B. de C.V,	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.26	Parex Resources Inc.	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.27	Solaris Resources, Inc.	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.28	Osisko Gold Royalties Ltd Common Shares (OR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.29	Enauta Participacoes, SA	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.30	Embraer S.A. Common Stock (ERJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.31	Arco Platform Ltd.	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.32	CencoSud S.A.	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.33	Onoclinicas do Brasil Servicos Swap Medicos	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.34	The Brink's Co. (BCO) Swap	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.35	Osisko Green Acquisition Ltd.	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.36	Vivara Participacoes SA	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.37	Vamos Locacao Swap	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.38	MallPlaza SA	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.39	Grupo Financiero Banorte SAB de CV	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	Vanguard Traditional IRA Brokerage Account	No			
6.1	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.2	Vanguard Total International Bond Index Fund ETF Shares (BNDX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Yes	\$100,001 - \$250,000		None (or less than \$201)
8	Vanguard Extended Market Index Fund ETF Shares (VXF)	Yes	\$100,001 - \$250,000		None (or less than \$201)
9	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
10	Vanguard Long-Term Tax-Exempt Fund Admiral Shares (VWLUX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
11	Vanguard Limited-Term Tax-Exempt Fund Admiral Shares (VMLUX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
12	Vanguard 500 Index Fund ETF Shares (VOO)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
13	Vanguard Total International Stock Index Fund ETF Shares (VXUS)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14	iShares MSCI EAFE ETF (EFA)	Yes	\$50,001 - \$100,000		None (or less than \$201)
15	Vanguard Information Technology Index Fund ETF Class Shares (VGT)	Yes	\$100,001 - \$250,000		None (or less than \$201)
16	Vanguard Limited-Term Tax-Exempt Fund Admiral Shares (VMLUX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
17	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Yes	\$50,001 - \$100,000		None (or less than \$201)
18	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
19	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$250,001 - \$500,000		None (or less than \$201)
20	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
21	Consumer Staples Select Sector SPDR Fund (XLP)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
22	Tri Borough Bridge Bonds	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
23	Kansas City Bonds	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
24	Frisco, TX School District Bonds	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
25	DC Water & Sewer Authority Bonds	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
26	Vanguard Small-Cap Growth Index Fund ETF Class Shares (VBK)	Yes	\$50,001 - \$100,000		None (or less than \$201)
27	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
28	Vanguard Information Technology Index Fund ETF Class Shares (VGT)	Yes	\$100,001 - \$250,000		None (or less than \$201)
29	Vanguard Limited-Term Tax-Exempt Fund Admiral Shares (VMLUX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
30	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
31	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$250,001 - \$500,000		None (or less than \$201)
32	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
33	Citibank, NA - Cash Account	N/A	\$500,001 - \$1,000,000	Interest	\$2,501 - \$5,000
34	Marcus Cash Account	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
35	Marcus Cash Account	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
3.	3	This asset is reflected in the Principal Account in the previous section.
6.	1	7% interest in a commercial real estate property in Westchester, NY with two furniture store tenants.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
