Annual Report 2023 for Calendar Year 2022 | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (Updated Nov. 2021)

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Netter, Brian D

Deputy Assistant Attorney General, Civil Division, Department of Justice

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months: None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Netter, Brian D [electronically signed on 05/03/2023 by Netter, Brian D in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Boynton, Brian M., Certifying Official [electronically signed on 11/26/2023 by Boynton, Brian M. in Integrity.gov]

Other review conducted by

/s/ Granston, Michael, Ethics Official [electronically signed on 08/10/2023 by Granston, Michael in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 08/11/2023

Data Revised 08/10/2023

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	-	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Mayer Brown LLP (law firm)	See Endnote	N/A		Partnership share as to former partnership	\$105,758
2	Mayer Brown LLP capital account	See Endnote	N/A			None (or less than \$201)
3	U.S. Roth IRA		No			
3.1	Vanguard Real Estate Index Fund ETF Shares (VNQ)		Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2	Vanguard Short-Term Inflation-Protected Securities Index Fund Investor Class Shares (VTIP)		Yes	\$15,001 - \$50,000		None (or less than \$201)
3.3	Vanguard Inflation-Protected Securities Fund Admiral Shares (VAIPX)		Yes	\$15,001 - \$50,000		None (or less than \$201)
3.4	Vanguard Capital Opportunity Fund Investor Shares (VHCOX)		Yes	\$50,001 - \$100,000		None (or less than \$201)
3.5	Vanguard PRIMECAP Fund Investor Shares (VPMCX)		Yes	\$15,001 - \$50,000		None (or less than \$201)
3.6	Vanguard High-Yield Corporate Fund Admiral Shares (VWEAX)		Yes	\$15,001 - \$50,000		None (or less than \$201)
3.7	Vanguard International Growth Fund Investor Shares (VWIGX)		Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	U.S. Traditional IRA	No		-	
4.1	Vanguard Target Retirement 2045 Fund Investor Class Shares (VTIVX)	Yes	\$250,001 - \$500,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY		CITY, STATE	STATUS AND TERMS	DATE
1	Mayer Brown LLP	See Endnote	Washington, District of Columbia	Possibility of future distribution related to past partnership share.	4/2021

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Paul, Weiss, Rifkind, Wharton & Garrison LLP	N/A		Partnership share	
2	Paul, Weiss, Rifkind, Wharton & Garrison - capital account	N/A	Over \$1,000,000		None (or less than \$201)
3	Paul, Weiss Cash or Deferred Plan	No			None (or less than \$201)
3.1	Vanguard Target Retirement 2045 Fund Investor Class Shares (VTIVX)	Yes	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Paul, Weiss Pension & Retirement Plan	No			None (or less than \$201)
4.1	Vanguard Target Retirement 2045 Fund Investor Class Shares (VTIVX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
6. Oth	er Assets and Income				
#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US Brokerage Account #1	No			
1.1	Vanguard Real Estate Index Fund Admiral Shares (VGSLX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
1.2	Vanguard Short-Term Inflation-Protected Securities Index Fund Admiral Class Shares (VTAPX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
1.3	Vanguard Federal Money Market Fund Investor Shares (VMFXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.4	Vanguard Large-Cap Index Fund Admiral Shares (VLCAX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
1.5	Vanguard Small-Cap Index Fund Admiral Shares (VSMAX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
1.6	Vanguard Developed Markets Index Fund Admiral Class Shares (VTMGX)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
1.7	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
1.8	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
1.9	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000

	DESCRIPTION	EIF	VALUE INCOME	TYPE INCOME AMOUNT
1.10	Vanguard Global ex-US Real Estate Index Fund ETF Shares (VNQI)	Yes	\$50,001 - \$100,000	\$201 - \$1,000
1.11	Vanguard Small-Cap Index Fund ETF Shares (VB)	Yes	\$50,001 - \$100,000	\$1,001 - \$2,500
1.12	Vanguard Total International Bond Index Fund ETF Shares (BNDX)	Yes	\$50,001 - \$100,000	\$5,001 - \$15,000
1.13	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Yes	\$500,001 - \$1,000,000	\$5,001 - \$15,000
1.14	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$500,001 - \$1,000,000	\$15,001 - \$50,000
1.15	iShares Core MSCIEmerging Markets ETF (IEMG)	Yes	\$500,001 - \$1,000,000	\$15,001 - \$50,000
1.16	Schwab Fundamental International Large Company Index ETF (FNDF)	Yes	\$500,001 - \$1,000,000	\$15,001 - \$50,000
1.17	Vanguard Treasury Money Market Fund Investor Shares (VUSXX)	Yes	\$1,000,001 - \$5,000,000	\$201 - \$1,000
2	US Bank #1	No		
2.1	US Bank Account #1	No	\$1,001 - \$15,000	None (or less than \$201)
2.2	US Bank Account #2	No	\$1,000,001 - Interest \$5,000,000	\$201 - \$1,000
3	Series I Savings Bonds	No	\$15,001 - \$50,000	None (or less than \$201)
4	T. Rowe Price 529	No		
4.1	Portfolio 2033	Yes	\$100,001 - \$250,000	None (or less than \$201)
5	Brightstart 529 College Savings Plans	No		

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.1	Index Moderate Age Based 9-10 yrs		Yes	\$15,001 - \$50,000		None (or less than \$201)
5.2	Index Moderate Age Based 6-8		Yes	\$15,001 - \$50,000		None (or less than \$201)
6	Vanguard 529		No			
6.1	Target 2028-2029		Yes	\$250,001 - \$500,000		None (or less than \$201)
6.2	Target 2030-2031		Yes	\$250,001 - \$500,000		None (or less than \$201)
7	US Bank Account #3		No	\$500,001 - \$1,000,000	Interest	\$2,501 - \$5,000
8	Health Savings Account		No			
8.1	Fidelity Freedom Index 2045 Fund Institutional Premium Class Share (FFOLX)		Yes	\$15,001 - \$50,000		None (or less than \$201)
9	US Bank Account #4		No	\$15,001 - \$50,000		None (or less than \$201)
10	Operator Collective Fund II, L.P.	See Endnote	No	\$50,001 - \$100,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Maryland Real Estate	Purchase	04/01/2022	\$500,001 - \$1,000,000
2	Operator Collective Fund II, L.P.	Purchase	10/21/2022	\$50,001 - \$100,000
3	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Purchase	12/28/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
4	Vanguard Value Index Fund ETF Shares (VTV)	Purchase	12/28/2022	\$1,001 - \$15,000
5	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Purchase	12/22/2022	\$1,001 - \$15,000
6	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Purchase	12/22/2022	\$1,001 - \$15,000
7	Vanguard Short-Term Inflation-Protected Securities Index Fund Admiral Class Shares (VTAPX)	Purchase	12/22/2022	\$1,001 - \$15,000
8	VanguardEmerging Markets Stock Index Fund ETF Shares (VWO)	Purchase	12/22/2022	\$1,001 - \$15,000
9	Vanguard Real Estate Index Fund Admiral Shares (VGSLX)	Purchase	12/21/2022	\$1,001 - \$15,000
10	Vanguard Large-Cap Index Fund Admiral Shares (VLCAX)	Purchase	12/21/2022	\$1,001 - \$15,000
11	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	12/19/2022	\$1,001 - \$15,000
12	Vanguard Developed Markets Index Fund Admiral Class Shares (VTMGX)	Purchase	12/16/2022	\$1,001 - \$15,000
13	Schwab Fundamental International Large Company Index ETF (FNDF)	Purchase	12/12/2022	\$1,001 - \$15,000
14	Vanguard Short-Term Inflation-Protected Securities Index Fund Admiral Class Shares (VTAPX)	Purchase	09/30/2022	\$1,001 - \$15,000
15	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Purchase	09/28/2022	\$1,001 - \$15,000
16	Vanguard Value Index Fund ETF Shares (VTV)	Purchase	09/28/2022	\$1,001 - \$15,000
17	Vanguard Large-Cap Index Fund Admiral Shares (VLCAX)	Purchase	09/22/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
18	VanguardEmerging Markets Stock Index Fund ETF Shares (VWO)	Purchase	09/22/2022	\$1,001 - \$15,000
19	Vanguard Developed Markets Index Fund Admiral Class Shares (VTMGX)	Purchase	09/16/2022	\$1,001 - \$15,000
20	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Purchase	06/28/2022	\$1,001 - \$15,000
21	Vanguard Value Index Fund ETF Shares (VTV)	Purchase	06/28/2022	\$1,001 - \$15,000
22	Schwab Fundamental International Large Company Index ETF (FNDF)	Purchase	06/27/2022	\$1,001 - \$15,000
23	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Purchase	06/24/2022	\$1,001 - \$15,000
24	Vanguard Large-Cap Index Fund Admiral Shares (VLCAX)	Purchase	06/22/2022	\$1,001 - \$15,000
25	Vanguard Developed Markets Index Fund Admiral Class Shares (VTMGX)	Purchase	06/17/2022	\$1,001 - \$15,000
26	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	06/15/2022	\$1,001 - \$15,000
27	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Purchase	03/28/2022	\$1,001 - \$15,000
28	Vanguard Value Index Fund ETF Shares (VTV)	Purchase	03/28/2022	\$1,001 - \$15,000
29	Vanguard Large-Cap Index Fund Admiral Shares (VLCAX)	Purchase	03/22/2022	\$1,001 - \$15,000
30	Vanguard Developed Markets Index Fund Admiral Class Shares (VTMGX)	Purchase	03/18/2022	\$1,001 - \$15,000
31	Vanguard Real Estate Index Fund Admiral Shares (VGSLX)	Purchase	01/21/2022	\$15,001 - \$50,000
32	Vanguard Large-Cap Index Fund Admiral Shares (VLCAX)	Purchase	01/21/2022	\$50,001 - \$100,000
32	Vanguard Large-Cap Index Fund Admiral Shares (VLCAX)	Purchase	01/21/2022	\$50,001 - \$100,000

DESCRIPTION	TYPE	DATE	AMOUNT
Vanguard Small-Cap Index Fund Admiral Shares (VSMAX)	Purchase	01/21/2022	\$15,001 - \$50,000
Vanguard Short-Term Inflation-Protected Securities Index Fund Admiral Class Shares (VTAPX)	Purchase	01/21/2022	\$15,001 - \$50,000
Vanguard Developed Markets Index Fund Admiral Class Shares (VTMGX)	Purchase	01/21/2022	\$100,001 - \$250,000
Vanguard Total International Bond Index Fund ETF Shares (BNDX)	Purchase	01/21/2022	\$15,001 - \$50,000
Schwab Fundamental International Large Company Index ETF (FNDF)	Purchase	01/21/2022	\$100,001 - \$250,000
iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	01/21/2022	\$100,001 - \$250,000
Vanguard Small-Cap Index Fund ETF Shares (VB)	Purchase	01/21/2022	\$15,001 - \$50,000
Vanguard Developed Markets Index Fund ETF Shares (VEA)	Purchase	01/21/2022	\$50,001 - \$100,000
VanguardGlobal ex-US Real Estate Index Fund ETF Shares (VNQI)	Purchase	01/21/2022	\$15,001 - \$50,000
Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Purchase	01/21/2022	\$50,001 - \$100,000
Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Purchase	01/21/2022	\$100,001 - \$250,000
Vanguard Value Index Fund ETF Shares (VTV)	Purchase	01/21/2022	\$100,001 - \$250,000
VanguardEmerging Markets Stock Index Fund ETF Shares (VWO)	Purchase	01/21/2022	\$15,001 - \$50,000
Vanguard Inflation-Protected Securities Fund Admiral Shares (VAIPX)	Purchase	12/22/2022	\$1,001 - \$15,000
	Vanguard Small-Cap Index Fund Admiral Shares (VSMAX) Vanguard Short-Term Inflation-Protected Securities Index Fund Admiral Class Shares (VTAPX) Vanguard Developed Markets Index Fund Admiral Class Shares (VTMGX) Vanguard Total International Bond Index Fund ETF Shares (BNDX) Schwab Fundamental International Large Company Index ETF (FNDF) iShares Core MSCIEmerging Markets ETF (IEMG) Vanguard Small-Cap Index Fund ETF Shares (VB) Vanguard Developed Markets Index Fund ETF Shares (VEA) Vanguard Total Stock Market Index Fund ETF Class Shares (VTI) Vanguard Total Stock Market Index Fund ETF Class Shares (VTI) Vanguard Value Index Fund ETF Shares (VTV) Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO) Vanguard Inflation-Protected Securities Fund	Vanguard Small-Cap Index Fund AdmiralPurchaseShares (VSMAX)Vanguard Short-Term Inflation-Protected Securities Index Fund Admiral Class Shares (VTAPX)PurchaseVanguard Developed Markets Index Fund Admiral Class Shares (VTMGX)PurchaseVanguard Total International Bond Index Fund ETF Shares (BNDX)PurchaseSchwab Fundamental International Large Company Index ETF (FNDF)PurchaseiShares Core MSCIEmerging Markets ETF (IEMG)PurchaseVanguard Small-Cap Index Fund ETF Shares (VB)PurchaseVanguard Developed Markets Index Fund ETF Shares (VEA)PurchaseVanguard Small-Cap Index Fund ETF Shares (VB)PurchaseVanguard Developed Markets Index Fund ETF Shares (VEA)PurchaseVanguard Total Stock Markets Index Fund ETF Shares (VAI)PurchaseVanguard Total Stock Market Index Fund ETF Class Shares (VTI)PurchaseVanguard Total Stock Market Index Fund ETF Class Shares (VTI)PurchaseVanguard Value Index Fund ETF Shares (VTV)PurchaseVanguard Inflation-Protected Securities Fund ETF Shares (VWO)Purchase	Vanguard Small-Cap Index Fund AdmiralPurchase01/21/2022Shares (VSMAX)Vanguard Short-Term Inflation-Protected Securities Index Fund Admiral Class Shares (VTAPX)Purchase01/21/2022Vanguard Developed Markets Index Fund Admiral Class Shares (VTMGX)Purchase01/21/2022Vanguard Developed Markets Index Fund Admiral Class Shares (VTMGX)Purchase01/21/2022Vanguard Total International Bond Index Fund ETF Shares (BNDX)Purchase01/21/2022Schwab Fundamental International Large Company Index ETF (FNDF)Purchase01/21/2022iShares Core MSCIEmerging Markets ETF (IEMG)Purchase01/21/2022Vanguard Small-Cap Index Fund ETF Shares (VB)Purchase01/21/2022Vanguard Developed Markets Index Fund ETF Shares (VEA)Purchase01/21/2022Vanguard Global ex-US Real Estate Index Fund ETF Shares (VS)Purchase01/21/2022Vanguard Total Stock Market Index Fund ETF Lidex Fund ETF Shares (VS)Purchase01/21/2022Vanguard Value Index Fund ETF Shares (VTI)Purchase01/21/2022Vanguard Value Index Fund ETF Shares (VTI)Purchase01/21/2022Vanguard Value Index Fund ETF Shares (VTI)Purchase01/21/2022Vanguard Value Index Fund ETF Shares (VTV)Purchase01/21/2022Vanguard Inflation-Protected Securities FundPurchase01/21/2022Vanguard Inflation-Protected Securities FundPurchase01/21/2022

#	DESCRIPTION	TYPE	DATE	AMOUNT
47	Vanguard Capital Opportunity Fund Investor Shares (VHCOX)	Purchase	12/19/2022	\$1,001 - \$15,000
48	Vanguard PRIMECAP Fund Investor Shares (VPMCX)	Purchase	12/19/2022	\$1,001 - \$15,000
49	Vanguard International Growth Fund Investor Shares (VWIGX)	Purchase	12/14/2022	\$1,001 - \$15,000
50	Vanguard Inflation-Protected Securities Fund Admiral Shares (VAIPX)	Purchase	06/30/2022	\$1,001 - \$15,000
51	Vanguard Target Retirement 2045 Fund Investor Class Shares (VTIVX)	Purchase	12/28/2022	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	American Express	Credit Card	\$10,001 - \$15,000	2022	19.49%	Revolving
2	Citi	Credit Card	\$10,001 - \$15,000	2022	19.49%	Revolving

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE	
2.	1	For additional information, see notes to Part 2, entry 2; and Part 3, entry 1.	
2.	2	There is a possibility of a future return of capital from a prior partnership interest, the amount of which is not readily ascertainable.	
3.	1	This entry reflects the possibility of a future distribution, the amount of which is not readily ascertainable.	
6.	10	This limited partnership invests in startup companies but deems the identity and amount of such investments to be confidential. Per advice of deputy designated ethics official, filer understands the obligation to consider those holdings in assessing recusal issues.	

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub, L, 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U.S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal. State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record: (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order: (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).