

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

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Vazirani, Ashish

Deputy Under Secretary of Defense (Personnel and Readiness), DoD - Department of Defense

Report Year: 2023

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Other Federal Government Positions Held During the Preceding 12 Months:

Senior Advisor to Secretary of Defense, DoD - Department of Defense (3/2022 - 7/2022)

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Vazirani, Ashish [electronically signed on 04/15/2023 by Vazirani, Ashish in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Thompson, Scott F, Certifying Official [electronically signed on 05/05/2023 by Thompson, Scott F in Integrity.gov]

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Other review conducted by

/s/ Raab, Dean, Ethics Official [electronically signed on 05/04/2023 by Raab, Dean in Integrity.gov]

/s/ Raab, Dean, Screener [electronically signed on 05/04/2023 by Raab, Dean in Integrity.gov]

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U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 05/19/2023 by Granahan, Megan in Integrity.gov]

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Data Revised 05/04/2023

Data Revised 05/02/2023

## 1. Filer's Positions Held Outside United States Government

| # | ORGANIZATION NAME                      | CITY, STATE             | ORGANIZATION TYPE                             | POSITION HELD      | FROM   | TO      |
|---|--|-------------------------|---|--------------------|--------|---------|
| 1 | A2O Strategies, LLC                    | Silver Spring, Maryland | Corporation                                   | Principal          | 5/2017 | Present |
| 2 | Stone Ridge School of the Sacred Heart | Bethesda, Maryland      | Private Elementary and Secondary School       | Trustee            | 5/2021 | 7/2022  |
| 3 | Montgomery County Public Schools       | Rockville, Maryland     | Public School System of Montgomery County, MD | Substitute Teacher | 1/2022 | 3/2022  |

## 2. Filer's Employment Assets & Income and Retirement Accounts

| #   | DESCRIPTION                                | EIF | VALUE                 | INCOME TYPE | INCOME AMOUNT             |
|-----|--|-----|-----------------------|-------------|---------------------------|
| 1   | ZS Associates, Inc.                        | No  |                       |             |                           |
| 1.1 | FID GROWTH CO POOL                         | Yes | \$100,001 - \$250,000 |             | None (or less than \$201) |
| 1.2 | DFEVX DFA EMERG MKTS VAL I                 | Yes | \$50,001 - \$100,000  |             | None (or less than \$201) |
| 1.3 | FLPKX FID LOW PRICED STK K                 | Yes | \$100,001 - \$250,000 |             | None (or less than \$201) |
| 1.4 | FSNQX FIDELITY FREEDOM 2030 FUND - CLASS K | Yes | \$100,001 - \$250,000 |             | None (or less than \$201) |
| 2   | National Military Family Association, Inc. | No  |                       |             |                           |

| #   | DESCRIPTION   | EIF         | VALUE                | INCOME TYPE                 | INCOME AMOUNT             |
|-----|---|-------------|----------------------|-----------------------------|---------------------------|
| 2.1 | AmFds Wshngtn Mut Inv R3 (RWMCX)                          | Yes         | \$1,001 - \$15,000   |                             | None (or less than \$201) |
| 2.2 | BlkRk Eq Divd Inv A (MDDVX)                               | Yes         | \$1,001 - \$15,000   |                             | None (or less than \$201) |
| 2.3 | AmFds Am Bal R3 (RLBCX)                                   | Yes         | \$15,001 - \$50,000  |                             | None (or less than \$201) |
| 3   | Roche Laboratories, Inc. (U.S. ROCHE 401(K) SAVINGS PLAN) | No          |                      |                             |                           |
| 3.1 | BLACKROCK US LC EQTY                                      | Yes         | \$15,001 - \$50,000  |                             | None (or less than \$201) |
| 4   | A Vazirani Roth IRA (Fidelity)                            | No          |                      |                             |                           |
| 4.1 | FDRXX** FIDELITY GOVERNMENT CASH RESERVES                 | Yes         | \$1,001 - \$15,000   |                             | None (or less than \$201) |
| 4.2 | Ford Motor Co. (F)  | See Endnote | No                   | None (or less than \$1,001) | None (or less than \$201) |
| 5   | A Vazirani Traditional IRA (Fidelity)                     | No          |                      |                             |                           |
| 5.1 | FDRXX** FIDELITY GOVERNMENT CASH RESERVES                 | Yes         | \$1,001 - \$15,000   |                             | None (or less than \$201) |
| 5.2 | FCNTX FIDELITY CONTRAFUND                                 | Yes         | \$50,001 - \$100,000 |                             | None (or less than \$201) |
| 5.3 | FDIVX FIDELITY DIVERSIFIED INTERNATIONAL                  | Yes         | \$1,001 - \$15,000   |                             | None (or less than \$201) |
| 5.4 | FLPSX Fidelity Low-Priced Stock Fund                      | Yes         | \$15,001 - \$50,000  |                             | None (or less than \$201) |
| 5.5 | FMAGX FIDELITY MAGELLAN                                   | Yes         | \$50,001 - \$100,000 |                             | None (or less than \$201) |
| 5.6 | FXAIX Fidelity 500 Index Fund                             | Yes         | \$15,001 - \$50,000  |                             | None (or less than \$201) |

| #    | DESCRIPTION   | EIF         | VALUE                 | INCOME TYPE | INCOME AMOUNT               |
|------|---|-------------|-----------------------|-------------|-----------------------------|
| 6    | A Vazirani SEP IRA (Kingdom Trust)                                | No          |                       |             |                             |
| 6.1  | Black Falcon Global Macro Fund I, LP                              | Yes         | \$1,001 - \$15,000    |             | None (or less than \$201)   |
| 6.2  | Black Falcon Global Macro Fund I, LP- SP                          | Yes         | \$1,001 - \$15,000    |             | None (or less than \$201)   |
| 7    | A Vazirani Traditional IRA (Kingdom Trust)                        | No          |                       |             |                             |
| 7.1  | Black Falcon Global Macro Fund I, LP- SP                          | Yes         | \$100,001 - \$250,000 |             | None (or less than \$201)   |
| 7.2  | Black Falcon Global Macro Fund I, LP                              | Yes         | \$50,001 - \$100,000  |             | None (or less than \$201)   |
| 8    | 403(b) Smart Account  | No          |                       |             |                             |
| 8.1  | YMCA Retirement Fund  | Yes         | \$50,001 - \$100,000  |             | None (or less than \$201)   |
| 9    | YMCA Account  | No          |                       |             |                             |
| 9.1  | YMCA Retirement Fund  | Yes         | \$1,001 - \$15,000    |             | None (or less than \$201)   |
| 10   | Montgomery County Public Schools                                  | N/A         |                       | Salary      | \$5,652                     |
| 11   | Montgomery County Public Schools 457 Plan                         | No          |                       |             |                             |
| 11.1 | Vanguard Target Retirement 2030 Fund Investor Class Shares (VTHR) | See Endnote | Yes                   |             | None (or less than \$1,001) |

### 3. Filer's Employment Agreements and Arrangements

| # | EMPLOYER OR PARTY         | CITY, STATE          | STATUS AND TERMS  | DATE   |
|---|---------------------------|----------------------|---|--------|
| 1 | YMCA 403(b) Smart Account | Woodbridge, Virginia | I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation. | 7/2019 |

| # | EMPLOYER OR PARTY   | CITY, STATE             | STATUS AND TERMS   | DATE   |
|---|---|-------------------------|--|--------|
| 2 | YMCA Account (cash annuity)                               | Woodbridge, Virginia    | I will continue to participate in this plan in which I can take a lump sum distribution or cash annuity at retirement age. The plan sponsor will not make further contributions after my separation. | 1/2018 |
| 3 | National Military Family Association, Inc.                | Alexandria, Virginia    | I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.  | 1/2020 |
| 4 | ZS Associates, Inc.                                       | Evanston, Illinois      | I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.  | 1/2011 |
| 5 | Roche Laboratories, Inc. (U.S. ROCHE 401(K) SAVINGS PLAN) | Nutley, New Jersey      | I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.  | 1/1997 |
| 6 | A20 Strategies, LLC                                       | Silver Spring, Maryland | My consulting business will be inactive during my appointment and all outstanding client fees will be fixed before I enter government service.   | 3/2022 |
| 7 | Montgomery County Public Schools 403B Plan                | Rockville, Maryland     | I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.  | 1/2022 |
| 8 | Montgomery County Public Schools 457 Plan                 | Rockville, Maryland     | I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.  | 1/2022 |

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

| #   | DESCRIPTION   | EIF | VALUE                | INCOME TYPE | INCOME AMOUNT             |
|-----|---|-----|----------------------|-------------|---------------------------|
| 1   | National Association of School Nurses (Non-profit Specialty Nursing Organization) | N/A |                      | 2022 Salary |                           |
| 2   | 401K (Kingdom Trust)  | No  |                      |             |                           |
| 2.1 | Black Falcon Global Macro Fund I, LP  | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 2.2 | Black Falcon Global Macro Fund I, LP-SP   | Yes | \$50,001 - \$100,000 |             | None (or less than \$201) |
| 3   | Rollover IRA (Fidelity)   | No  |                      |             |                           |
| 3.1 | FDEGX FIDELITY GROWTH STRATEGIES FUND   | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 3.2 | FDGRX FIDELITY GROWTH COMPANY   | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 3.3 | FEQIX FIDELITY EQUITY INCOME  | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 3.4 | FGRIX FIDELITY GROWTH & INCOME  | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 3.5 | FMLX FIDELITY NEW MILLENNIUM  | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 3.6 | FOCPX FIDELITY OTC PORT   | Yes | \$50,001 - \$100,000 |             | None (or less than \$201) |
| 3.7 | FXAIX FIDELITY 500 INDEX FUND   | Yes | \$50,001 - \$100,000 |             | None (or less than \$201) |
| 4   | SEP IRA (Fidelity)  | No  |                      |             |                           |
| 4.1 | FOCPX FIDELITY OTC PORT   | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |

## 6. Other Assets and Income

| #  | DESCRIPTION                                       | EIF         | VALUE                | INCOME TYPE             | INCOME AMOUNT             |                   |
|----|---|-------------|----------------------|-------------------------|---------------------------|-------------------|
| 1  | US Bank #1 - Cash                                 | N/A         | \$1,001 - \$15,000   |                         | None (or less than \$201) |                   |
| 2  | US Credit Union #1 - Cash                         | See Endnote | N/A                  | \$250,001 - \$500,000   | Interest                  | \$1,001 - \$2,500 |
| 3  | US Credit Union #2 - Cash                         | See Endnote | N/A                  | \$500,001 - \$1,000,000 | Interest                  | \$2,501 - \$5,000 |
| 4  | American Century Investments - TWCUX Ultra Fund   | Yes         | \$1,001 - \$15,000   |                         | None (or less than \$201) |                   |
| 5  | SPAXX** FIDELITY GOVERNMENT MONEY MARKET          | Yes         | \$1,001 - \$15,000   |                         | None (or less than \$201) |                   |
| 6  | BRKB BERKSHIRE HATHAWAY INC COM USD0.0033 CLASS B | N/A         | \$15,001 - \$50,000  |                         | None (or less than \$201) |                   |
| 7  | CI CIGNA CORP NEW COM                             | N/A         | \$1,001 - \$15,000   |                         | None (or less than \$201) |                   |
| 8  | CMCSA COMCAST CORP                                | N/A         | \$1,001 - \$15,000   |                         | None (or less than \$201) |                   |
| 9  | CSCO CISCO SYSTEMS INC                            | N/A         | \$1,001 - \$15,000   |                         | None (or less than \$201) |                   |
| 10 | FTEXX FIDELITY MUNICIPAL MONEY MARKET             | Yes         | \$1,001 - \$15,000   |                         | None (or less than \$201) |                   |
| 11 | INTC INTEL CORP COM USD0.001                      | N/A         | \$1,001 - \$15,000   |                         | None (or less than \$201) |                   |
| 12 | MRK MERCK & CO. INC COM                           | N/A         | \$50,001 - \$100,000 | Dividends               | \$1,001 - \$2,500         |                   |
| 13 | OGN ORGANON & CO COMMON STOCK                     | N/A         | \$1,001 - \$15,000   |                         | None (or less than \$201) |                   |
| 14 | USAA 529- Victory Capital (Nevada)                | No          |                      | Distributions           | \$55,400                  |                   |



| #    | DESCRIPTION                                |             | EIF | VALUE               | INCOME TYPE | INCOME AMOUNT             |
|------|--|-------------|-----|---------------------|-------------|---------------------------|
| 14.1 | Age-Based Option 18+: In College Portfolio |             | Yes | \$1,001 - \$15,000  |             | None (or less than \$201) |
| 14.2 | Aggressive Growth Portfolio                |             | Yes | \$1,001 - \$15,000  |             | None (or less than \$201) |
| 14.3 | Growth Portfolio                           |             | Yes | \$1,001 - \$15,000  |             | None (or less than \$201) |
| 14.4 | In College Portfolio                       |             | Yes | \$1,001 - \$15,000  |             | None (or less than \$201) |
| 14.5 | Moderately Aggressive Portfolio            |             | Yes | \$1,001 - \$15,000  |             | None (or less than \$201) |
| 15   | Fidelity Health Care Portfolio (FSPHX)     | See Endnote | Yes | \$15,001 - \$50,000 |             | None (or less than \$201) |
| 16   | Pfizer Inc. (PFE)                          | See Endnote | N/A | \$1,001 - \$15,000  | Dividends   | \$201 - \$1,000           |

## 7. Transactions

None

## 8. Liabilities

| # | CREDITOR NAME                | TYPE                           | AMOUNT                  | YEAR INCURRED | RATE   | TERM     |
|---|------------------------------|--------------------------------|-------------------------|---------------|--------|----------|
| 1 | Freedom Mortgage Corporation | Mortgage on Personal Residence | \$500,001 - \$1,000,000 | 2021          | 2.875% | 30 years |

## 9. Gifts and Travel Reimbursements

| # | SOURCE NAME                    |             | CITY, STATE             | BRIEF DESCRIPTION   | VALUE    |
|---|--------------------------------|-------------|-------------------------|---|----------|
| 1 | Armed Services YMCA of the USA | See Endnote | Woodbridge,<br>Virginia | Gift of two tickets (valued at \$750 each) for my wife and me to attend the Angels of the Battlefield Gala on 17 November 2022. | 1,500.00 |

## Endnotes

| PART | #    | ENDNOTE  |
|------|------|--|
| 2.   | 4.2  | Deliberate over reporting. Asset value went below \$1,000 due to market fluctuation.   |
| 2.   | 11.1 | Deliberate over reporting.   |
| 6.   | 2    | Some funds owned jointly with non-dependent relatives.   |
| 6.   | 3    | Owned jointly with non-dependent relative.   |
| 6.   | 15   | Owned jointly with non-dependent relative (parent).<br>I came to own this asset in 2022 when my parent added me as a joint owner to their brokerage account.   |
| 6.   | 16   | No longer own this asset. Asset was sold on 11 Apr 2023.<br>Asset was owned jointly with non-dependent relative (parent).<br>I came to own this asset when my parent added me as a joint owner to their brokerage account. |
| 9.   | 1    | Reviewed by SOCO office on 7 November 2022   |

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

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