

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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**Feldblum, Chai**

Commissioner, Equal Employment Opportunity Commission

Date of Termination: 01/03/2019

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Feldblum, Chai [electronically signed on 12/26/2018 by Feldblum, Chai in Integrity.gov]**

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ Oram, Kathleen, Certifying Official [electronically signed on 03/13/2019 by Oram, Kathleen in Integrity.gov]**

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Other review conducted by

**/s/ Jackson, Colleen A, Ethics Official [electronically signed on 02/26/2019 by Jackson, Colleen A in Integrity.gov]**

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U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 03/21/2019 by Granahan, Megan in Integrity.gov]

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Data Revised 02/26/2019

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## 1. Filer's Positions Held Outside United States Government

None

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## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Vanguard Windsor Fund	Yes	\$500,001 - \$1,000,000		\$201 - \$1,000
2	Vanguard Index 500	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
3	Fidelity Mid-Cap Stock	Yes	\$100,001 - \$250,000		\$201 - \$1,000
4	Fidelity Small-Cap Stock	Yes	\$100,001 - \$250,000		\$201 - \$1,000
5	Aspen Publication Inc.: "LawMaking: Introduction to Statutory & Regulatory Interpretation"	No	None (or less than \$1,001)		None (or less than \$201)
6	Fidelity Large Cap	Yes	\$100,001 - \$250,000		\$201 - \$1,000
7	Vanguard Intermediate Term Tax Exempt Fund Admiral Shares	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	Health Care SPDR	Yes	\$1,001 - \$15,000		None (or less than \$201)
9	Vanguard Federal Money Market Fund	See Endnote	Yes	\$50,001 - \$100,000	\$201 - \$1,000
10	Vanguard Target Retirement 2025	See Endnote	Yes	\$500,001 - \$1,000,000	\$5,001 - \$15,000

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### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Georgetown University Law Center	Washington, District of Columbia	I have not contributed funds to this defined contribution plan after my separation in July 2013, but still have existing funds in this plan connected to Georgetown Law School.	8/1991

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Georgetown University Law Center	N/A		salary	
2	TIAA-CREF	Yes	None (or less than \$1,001)		
2.1	IShares Core S&P 500 ETF IVV	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.2	ISHARES CORE S&P SMALL CAP ETF IJR	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.3	ISHARES MSCI EAFE SMALL CAP ETF SCZ	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.4	ISHARES US AEROSPACE & DEFENSE ETF ITA	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.5	POWERSHARES NASDAQ INTERNET PORTFOLIO ETF PNQI	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.6	POWERSHARES BUYBACK ACH PKW	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.7	POWESHARES PHARMACEUTICALS PORT PJP	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.8	POWERSHARES INTL CORPORATE BOND PORT PICB	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.9	SPDR EMERGING ASIA PACIFIC GMF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.10	SPDR S&P INSURANCE ETF KIE	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.11	VANGUARD FTSE VEA	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.12	VANGUARD CONSUMER DSC INDEX VCR	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.13	VANGUARD CRSP US SM CAP GROWTH IND VBK	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.14	WISDOMTREE INTL SMALL CAP DIVIDEND FUND DLS	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.15	WISDOMTREE EURO DFE	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.16	INTEGRYS ENERGY GROUP INC JR SUB VARIABLE 45822P204	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
2.17	INVESCO QQQ TRUST QQQ	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.18	INVESCO S&P MIDCAP 400 PURE GROWTH RFG	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.19	ISHARES NASDAQ BIOTECHNOLOGY IBB	Yes			\$201 - \$1,000
2.20	PROSHARES ULTRA FINANCIALS UYG	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.21	PROSHARES ULTRA QQQ QLD	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.22	TECHNOLOGY SELECT SECTOR SPDRXLK	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.23	VANGUARD DIVIDEND APPRECIATION VIG	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3	American Civil Liberties Union, defined benefit plan (value not readily ascertainable)	N/A			\$5,001 - \$15,000
4	Casebook: Sexuality, Gender and the Law, Foundation Press (value not easily ascertainable)	No		Rent or Royalties	\$2,501 - \$5,000
5	Casebook: Power of Procedure, Aspen Press (value not easily ascertainable)	No		Rent or Royalties	\$1,001 - \$2,500
6	Casebook: The Law of Emergencies, Elgar Press (value not easily ascertainable)	No		Rent or Royalties	\$2,501 - \$5,000

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank account # 1 (Cash) PNC	No	\$1,001 - \$15,000		None (or less than \$201)
2	Real Estate Undeveloped Slaughter Beach, DE	No	\$50,001 - \$100,000		None (or less than \$201)
3	U.S. credit union # 2 (Cash) Georgetown	No	\$15,001 - \$50,000		None (or less than \$201)
4	U.S. bank account #3 (Cash) PNC	No	\$15,001 - \$50,000		None (or less than \$201)
5	Real Estate Undeveloped Slaughter Beach, DE	No	\$50,001 - \$100,000		None (or less than \$201)
6	Real Estate Residential Rehoboth Beach, DE	No	\$500,001 - \$1,000,000	Rent or Royalties	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7	BROWN ADVISORY GROWTH	Yes	\$1,001 - \$15,000		None (or less than \$201)
8	Schwab Cash Reserves	No	\$15,001 - \$50,000	Checking account with Schwab	\$6,550
9	Fidelity BLUE CHIP GROWTH FBGRX/312	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	Royalties from legal casebooks from West Publishing	No	\$1,001 - \$15,000	Rent or Royalties	\$5,001 - \$15,000
11	VANGUARD INTERM TERM TAX EXEMPT FD ADMIRAL SHARE VWIUX	Yes	\$15,001 - \$50,000		\$201 - \$1,000
12	VANGUARD LTD TERM TAX EXEMPT ADMIRAL SHARE VMLUX	Yes	\$15,001 - \$50,000		\$201 - \$1,000
13	GABELLI SMALL CAP GABSX	Yes	\$1,001 - \$15,000		\$201 - \$1,000
14	POWERSHARES S&P EMERGING MARKETS ETF EELV	Yes	\$1,001 - \$15,000		None (or less than \$201)
15	POWERSHARES S&P SMALL CAP LOW ETF IV XSLV	Yes	\$1,001 - \$15,000		None (or less than \$201)
16	VANGUARD FTSE EUROPE E VGK	Yes	\$1,001 - \$15,000		None (or less than \$201)
17	VANGUARD TOTAL INTERNTL STOCK ETF IV VXUS	Yes	\$1,001 - \$15,000		None (or less than \$201)
18	ISHARES CORE S&P IVV	Yes	\$1,001 - \$15,000		None (or less than \$201)
19	ISHARES CORE S&P SMALL CAP ETF IJR	Yes	\$1,001 - \$15,000		None (or less than \$201)
20	ISHARES MSCI SCZ	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21	ISHARES MORNINGSTAR MID CAP VALUE ETF JKI	Yes	\$1,001 - \$15,000		\$201 - \$1,000
22	ISHARES US AEROSPACE & ITA	Yes	\$1,001 - \$15,000		None (or less than \$201)
23	POWERSHARES NASDAQ INTERNET PORTFOLIO PNQI	Yes	\$1,001 - \$15,000		None (or less than \$201)
24	POWERSHARES BUYBACK PKW	Yes	\$1,001 - \$15,000		None (or less than \$201)
25	POWERSHARES INTL CORPORATE PICP	Yes	\$1,001 - \$15,000		\$201 - \$1,000
26	SPDR EMERGING ASIA PACIFIC GMF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
27	SPDR S&P SMALL CAP VALUE ETF SLYV	Yes	\$1,001 - \$15,000		None (or less than \$201)
28	SPDR S&P REGIONAL BANKING ETF KRE	Yes	\$1,001 - \$15,000		\$201 - \$1,000
29	SPDR S&P INSURANCE ETF KIE	Yes	\$1,001 - \$15,000		\$201 - \$1,000
30	VANGUARD FTSE DEVELOPED MARKETS ETF VEA	Yes	\$1,001 - \$15,000		None (or less than \$201)
31	VANGUARD CONSUMER DSC INDEX VCR	Yes	\$1,001 - \$15,000		None (or less than \$201)
32	VANGUARD CRSP US SMALL CAP GROWTH IND ETF VBK	Yes	\$1,001 - \$15,000		None (or less than \$201)
33	GUARD CRSP US LARGE CAP VALUE IND ETVAN VTV	Yes	\$1,001 - \$15,000		\$201 - \$1,000
34	WISDOMTREE INTL SMALL CAP DIVIDEND FUND DLS	Yes	\$1,001 - \$15,000		None (or less than \$201)
35	WISDOMTREE EURO SMALL CAP DIVIDEND DFE	Yes	\$1,001 - \$15,000		None (or less than \$201)
36	Real Estate Residential Ft. Lauderdale	No	\$250,001 - \$500,000	Rent or Royalties	\$201 - \$1,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
37	SELECT SECTOR HEALTH CARE SPDR XLV	Yes	\$1,001 - \$15,000		\$201 - \$1,000
38	VANGUARD INTL EXPLORER INVESTOR SHARE VINEX	Yes	\$1,001 - \$15,000		\$201 - \$1,000

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	PROSHARES ULTRAPRO DOW30 UDOW	Purchase	01/25/18	\$15,001 - \$50,000
2	PROSHARES ULTRAPRO DOW30 UDOW	Sale	02/02/18	\$15,001 - \$50,000
3	PROSHARES ULTRAPRO DOW30 UDOW	Purchase	02/5/18	\$15,001 - \$50,000
4	PROSHARES ULTRAPRO DOW30 UDOW	Sale	02/09/18	\$15,001 - \$50,000
5	SPDR SERIES TRUST S&P 600 SMCP VAL SLYV	Sale	04/16/18	\$15,001 - \$50,000
6	ISHARES MRGSTR MD CP VAL JKI	Sale	04/16/18	\$15,001 - \$50,000
7	POWERSHARES S&P 500 STAPLS RHS	Sale	04/16/18	\$1,001 - \$15,000
8	VANGUARD VALUE VTV	Sale	04/16/18	\$15,001 - \$50,000
9	SELECT SECTOR SPDR TRUST TECHNOLOGY XLK	Sale	04/16/18	\$1,001 - \$15,000
10	SELECT SECTOR SPDR TRUST SBI CONS STPLS XLP	Purchase	04/30/18	\$15,001 - \$50,000
11	SELECT SECTOR SPDR TRUST SBI CONS STPLS XLP	Purchase	05/08/18	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
12	VANGUARD DIV APP VIG	Purchase	05/08/18	\$1,001 - \$15,000
13	VANGUARD HIGH DIV YLD VYM	Purchase	05/08/18	\$1,001 - \$15,000
14	WISDOMTREE TRUST MID EAST DIVD GULF	Purchase	05/18/18	\$1,001 - \$15,000
15	SELECT SECTOR SPDR TRUST SBI CONS STPLS XLP	Sale	06/08/18	\$15,001 - \$50,000
16	WISDOMTREE EUROPE SMLCP DFE	Sale	07/24/18	\$15,001 - \$50,000
17	WISDOMTREE TRUST MID EAST DIVD GULF	Sale	07/24/18	\$1,001 - \$15,000
18	VANGUARD HIGH DIV YLD VYM	Sale	07/24/18	\$1,001 - \$15,000
19	PROSHARES PSHS ULTRA QQQ QLD	Purchase	04/05/18	\$1,001 - \$15,000
20	PROSHARES PSHS ULTRA QQQ QLD	Sale	04/16/18	\$15,001 - \$50,000
21	POWERSHARES S&P MIDCP GRWT RFG	Sale	04/16/18	\$1,001 - \$15,000
22	POWERSHARES QQQ TRUST UNIT SER 1 QQQ	Sale	04/16/18	\$15,001 - \$50,000
23	FIRST TRUST DJ INTERNT IDX FDN	Sale	04/16/18	\$15,001 - \$50,000
24	POWERSHARES INTL CORP BOND PICB	Sale	05/04/18	\$1,001 - \$15,000
25	ISHARES NASDAQ BIOTECH IBB	Sale	05/04/18	\$1,001 - \$15,000
26	FMIHXFMI LARGE CAP FUND	Sale	05/23/18	\$1,001 - \$15,000
27	VWIUXVANGUARD INTERM TERM TAX EXEMPT FD ADMIRAL SHARE	Purchase	05/23/18	\$1,001 - \$15,000
28	FMI Large Cap Fund Institutional Class FMIQX	Purchase	06/18/18	\$1,001 - \$15,000
29	FMI LARGE CAP FUND FMIHX	Sale	06/18/18	\$1,001 - \$15,000
30	FMI LARGE CAP FUND FMIHX	Sale	08/22/18	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
31	VANGUARD LTD TERM TAX EXEMPT ADMIRAL SHARE VMLUX	Sale	08/22/18	\$1,001 - \$15,000
32	VANGUARD TOTAL INTERNTL STOCK VXUS	Purchase	08/22/18	\$1,001 - \$15,000

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo	Mortgage on Personal Residence	\$250,001 - \$500,000	2013	3.875	30 year
2	Wells Fargo	Mortgage on Personal Residence	\$250,001 - \$500,000	2015	3.0	15 year
3	Wells Fargo	Mortgage on Personal Residence	\$250,001 - \$500,000	2013	3.875%	30 year
4	Wells Fargo	Mortgage on Personal Residence	\$100,001 - \$250,000	2018	4.625	30 year

## 9. Gifts and Travel Reimbursements

None

## Endnotes

PART	#	ENDNOTE
2.	9	This is the new name for Vanguard ST Inv, which is the fund I reported last year.
2.	10	Apparently this is the new name for the Vanguard Wellington Fund that I reported last year.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## **8. Liabilities**

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## **9. Gifts and Travel Reimbursements**

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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