

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Fristedt, Andi

Deputy Commissioner for Policy, Legislation, & International Affairs, Office of the Commissioner, Food & Drug Administration, Department of Health & Human Services

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Fristedt, Andi [electronically signed on 04/29/2023 by Fristedt, Andi in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Olesh, Stanley, Certifying Official [electronically signed on 07/20/2023 by Olesh, Stanley in Integrity.gov]

Other review conducted by

/s/ Olesh, Stanley, Ethics Official [electronically signed on 07/20/2023 by Olesh, Stanley in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 07/20/2023

Data Revised 07/19/2023

Data Revised 07/18/2023

Data Revised 07/17/2023

Data Revised 05/01/2023

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(05/01/2023, Shouman, Mohammad): End Initial Review: 05/01/2023. MS.
N/A	N/A	General	(07/20/2023, Olesh, Stanley): 7/20 - finished obtaining the rest of the full fund names -- SO

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Filer's IRA #1	No			
1.1	Vanguard Total Bond Market ETF (BND)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2	Fidelity 500 Index Fund (FXAIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.3	Vanguard Total Stock Market ETF (VTI)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.4	Vanguard Windsor II Fund, Investor Shares (VWNFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.5	Fidelity Government Cash Reserves (FDRXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	Filer's IRA #2	No			
2.1	Invesco FTSE RAFI US 1000 ETF (PRF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	Filer's IRA #3	No		Cash Distributions	\$2,280
3.1	DoubleLine Total Return Bond Fund, Class I (Institutional) (DBLTX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.2	Fidelity New Millennium Fund (FMILX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.3	Fidelity Puritan Fund (FPURX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
3.4	Vanguard Total Stock Market ETF (VTI)	Yes	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Spouse's IRA	No			
1.1	Vanguard Total Stock Market ETF (VTI)	Yes	\$50,001 - \$100,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US bank #2 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
2	DC College Savings Plan #1	No			
2.1	DC College Savings 2031 Portfolio	Yes	\$50,001 - \$100,000		None (or less than \$201)
3	DC College Savings Plan #2	No			
3.1	DC College Savings 2031 Portfolio	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	DC College Savings Plan #3	No			
4.1	DC College Savings 2034 Portfolio	Yes	\$50,001 - \$100,000		None (or less than \$201)
5	Brokerage account #1	No			
5.1	Fidelity Total Market Index Fund (FSKAX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
5.2	FCash	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	Brokerage account #2	No			
6.1	Vanguard Total Stock Market ETF (VTI)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
6.2	Fidelity Government Money Market Fund (SPAXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	Brokerage account #3	No			
7.1	Vanguard Federal Money Market Fund (VMFXX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
7.2	Vanguard Total Stock Market ETF (VTI)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3	Vanguard Treasury Money Market Fund (VUSXX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
7.4	Vanguard Total International Stock ETF (VXUS)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
7.5	US Treasury Note	N/A	\$50,001 - \$100,000		None (or less than \$201)
8	Brokerage account #4	No			
8.1	Vanguard Federal Money Market Fund Investor Shares (VMFXX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9	Brokerage account #5	No			
9.1	Vanguard Federal Money Market Fund (VMFXX)	Yes	None (or less than \$1,001)		None (or less than \$201)
9.2	Fidelity Real Estate Investment Portfolio (FRESX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
9.3	Vanguard Total Stock Market ETF (VTI)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
10	UTMA #1				
10.1	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
11	UTMA #2	No			
11.1	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
12	Goldman Sachs CD	N/A	\$50,001 - \$100,000		None (or less than \$201)
13	Family Trust	No			
13.1	CITIBANK NA CD SIOUX FALLS SD ACT/365 FDIC INSD CPN 3.150% DUE 06/28/22 DTD 06/28/18 FC 12/28/18 - 12/31/2022 See Endnote	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.2	Vanguard Total Bond Market ETF - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
13.3	DELAWARE GROUP EQUITY FDS II-VALUE FD INSTL CL - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
13.4	iShares JPMorgan USD Emerg Markets Bond - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
13.5	Fidelity Contrafund - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
13.6	Fidelity Municipal Income - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
13.7	Fidelity Magellan - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
13.8	Hartford Floating Rate A - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
13.9	iShares iBoxx \$ High Yield Corporate Bond ETF - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
13.10	iShares Core MSCI Emerging Markets - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
13.11	iShares Core S&P Mid-Cap ETF - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
13.12	iShares Core S&P Small-Cap - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
13.13	iShares Russell 2000 - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
13.14	iShares Russell 2000 Growth Index Fund - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
13.15	iShares Russell Mid-Cap Growth ETF - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.16	iShares Russell Mid-Cap - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
13.17	Jensen Quality Growth I - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
13.18	SPDR Barclays High Yield Bond ETF - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
13.19	iShares MBS - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
13.20	Mfs Value Fund CI I - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
13.21	PowerShares Preferred ETF - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
13.22	RUSSELL INVT CO U S STRATEGIC EQUITY FD - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
13.23	SPDR S&P 500 TRUST ETF 072922 93 - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
13.24	AMG TimesSquare Mid Cap Growth I - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
13.25	T. Rowe Price Overseas Stock - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
13.26	Vanguard Intermediate Term Corporate Bond Etf - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
13.27	Vanguard FTSE All-World ex-US ETF - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
13.28	Victory Sycamore Small Company Opp I - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
13.29	Vanguard FTSE Emerging Markets ETF - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.30	Technology Select Sector SPDR ETF - 12/31/2022	See Endnote	Yes	None (or less than \$1,001)	\$201 - \$1,000
13.31	Columbia Dividend Income Inst2		Yes	\$250,001 - \$500,000	None (or less than \$201)
13.32	GMO Quality Fund I		Yes	\$250,001 - \$500,000	None (or less than \$201)
13.33	Harbor Capital Appreciation Ret		N/A	\$250,001 - \$500,000	None (or less than \$201)
13.34	Nuance Mid Cap Value Institutional		Yes	\$50,001 - \$100,000	None (or less than \$201)
13.35	Principal Midcap Inst CI - 12/31/2022		Yes	\$50,001 - \$100,000	None (or less than \$201)
13.36	Segall Bryant & Hamill Sm Cp Val Ins - 12/31/2022		Yes	\$100,001 - \$250,000	\$201 - \$1,000
13.37	Wasatch Small Cap Growth - 12/31/2022		Yes	\$100,001 - \$250,000	None (or less than \$201)
13.38	Causeway International Value Instl - 12/31/2022		Yes	\$100,001 - \$250,000	None (or less than \$201)
13.39	ClearBridge International Growth I - 12/31/2022		Yes	\$100,001 - \$250,000	None (or less than \$201)
13.40	Manning & Napier Rainier Intl Discv I - 12/31/2022		Yes	\$15,001 - \$50,000	None (or less than \$201)
13.41	Pear Tree Polaris Fgn Val Sm Cap Instl - 12/31/2022		Yes	\$50,001 - \$100,000	None (or less than \$201)
13.42	Royce International Premier Instl - 12/31/2022		Yes	\$15,001 - \$50,000	None (or less than \$201)
13.43	PIMCO Income Instl - 12/31/2022		Yes	\$100,001 - \$250,000	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.44	Discover Bank 3.300 06/27/23 06/27/2023 3.300%	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
13.45	Morgan Pvt Bnk 1.750 02/20/24 02/20/2024 1.750% - 12/31/2022	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
13.46	Blackstone Alternative Multi-Strategy I - 12/31/2022	Yes	\$100,001 - \$250,000		None (or less than \$201)
13.47	Franklin K2 Alternative Strategies Adv - 12/31/2022	Yes	\$100,001 - \$250,000		None (or less than \$201)
13.48	IMGP Alternative Strategies Fund - 12/31/2022	Yes	\$100,001 - \$250,000		None (or less than \$201)
13.49	American Beacon AHL Managed Futures Strategy Y - 12/31/2022	Yes	\$50,001 - \$100,000		None (or less than \$201)
13.50	PIMCO TRENDS Managed Futures Strat Instl - 12/31/2022	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
13.51	American Beacon AHL TargetRisk Y - 12/31/2022	Yes	\$50,001 - \$100,000		None (or less than \$201)
13.52	CASH	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
13.53	Schwab Value Advantage	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	FIDELITY CONTRAFUND EDP	See Endnote	Purchase	02/07/2022	\$1,001 - \$15,000
2	CITIBANK NA EDP SIOUX FALLS SD ACT/365 FDIC INSD CPN 3.150% DUE 06/28/22	See Endnote	Sale	06/28/2022	\$100,001 - \$250,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
3	FIDELITY TAX EXEMPT TR MONEY MARKET TR	See Endnote	Sale	09/28/2022	\$1,001 - \$15,000
4	GOLDMAN SACHS TR FINL SQUARE TREAS INSTRS FD INSTL CL	See Endnote	Sale	09/28/2022	\$1,001 - \$15,000
5	Schwab Value Advantage	See Endnote	Purchase	11/18/2022	\$250,001 - \$500,000
6	Fidelity Contrafund	See Endnote	Purchase	12/09/2022	\$15,001 - \$50,000
7	Jensen Quality Growth I	See Endnote	Purchase	12/15/2022	\$1,001 - \$15,000
8	Mfs Value Fund CI I	See Endnote	Purchase	12/15/2022	\$1,001 - \$15,000
9	Columbia Dividend Income Inst2	See Endnote	Purchase	12/15/2022	\$250,001 - \$500,000
10	GMO Quality Fund I	See Endnote	Purchase	12/15/2022	\$250,001 - \$500,000
11	Manning & Napier Rainier Intl Discv I	See Endnote	Purchase	12/15/2022	\$15,001 - \$50,000
12	Pear Tree Polaris Fgn Val Sm Cap Instl	See Endnote	Purchase	12/15/2022	\$50,001 - \$100,000
13	PIMCO TRENDS Managed Futures Strat Instl	See Endnote	Purchase	12/15/2022	\$50,001 - \$100,000
14	Principal Midcap Inst CI	See Endnote	Purchase	12/15/2022	\$50,001 - \$100,000
15	Royce International Premier Instl	See Endnote	Purchase	12/15/2022	\$15,001 - \$50,000
16	Schwab Value Advantage	See Endnote	Purchase	12/15/2022	\$100,001 - \$250,000
17	Segall Bryant & Hamill Sm Cp Val Ins	See Endnote	Purchase	12/15/2022	\$100,001 - \$250,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
18	Wasatch Small Cap Growth	See Endnote	Purchase	12/15/2022	\$100,001 - \$250,000
19	American Century Ultra Inv	See Endnote	Sale	12/15/2022	\$100,001 - \$250,000
20	American Fd Europacific	See Endnote	Sale	12/15/2022	\$1,001 - \$15,000
21	AMG TimesSquare Mid Cap Growth I	See Endnote	Sale	12/15/2022	\$1,001 - \$15,000
22	Artisan Small Cap Advisor	See Endnote	Sale	12/15/2022	\$1,001 - \$15,000
23	Delaware Value Inst	See Endnote	Sale	12/15/2022	\$15,001 - \$50,000
24	Fidelity Contrafund	See Endnote	Sale	12/15/2022	\$250,001 - \$500,000
25	Fidelity Magellan	See Endnote	Sale	12/15/2022	\$1,001 - \$15,000
26	Fidelity Municipal Income	See Endnote	Sale	12/15/2022	\$50,001 - \$100,000
27	Harbor Capital Appreciation Instl	See Endnote	Sale	12/15/2022	\$1,001 - \$15,000
28	Hartford Floating Rate A	See Endnote	Sale	12/15/2022	\$15,001 - \$50,000
29	Invesco Developing Markets Y	See Endnote	Sale	12/15/2022	\$1,001 - \$15,000
30	iShares Core S&P Mid-Cap ETF	See Endnote	Sale	12/15/2022	\$250,001 - \$500,000
31	iShares Core S&P Small-Cap	See Endnote	Sale	12/15/2022	\$100,001 - \$250,000
32	iShares Russell 2000	See Endnote	Sale	12/15/2022	\$15,001 - \$50,000
33	iShares Russell 2000 Growth Index Fund	See Endnote	Sale	12/15/2022	\$50,001 - \$100,000
34	iShares Russell Mid-Cap Growth ETF	See Endnote	Sale	12/15/2022	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
35	Jensen Quality Growth I	See Endnote	Sale	12/15/2022	\$100,001 - \$250,000
36	JHancock Disciplined Value Mid Cap I	See Endnote	Sale	12/15/2022	\$1,001 - \$15,000
37	MainStay MacKay High Yield Muncpl Bd I	See Endnote	Sale	12/15/2022	\$1,001 - \$15,000
38	MFS Emerging Markets Debt I	See Endnote	Sale	12/15/2022	\$1,001 - \$15,000
39	Mfs Value Fund CI I	See Endnote	Sale	12/15/2022	\$50,001 - \$100,000
40	Russell Emerging Markets S	See Endnote	Sale	12/15/2022	\$15,001 - \$50,000
41	Russell International Developed Mkts S	See Endnote	Sale	12/15/2022	\$15,001 - \$50,000
42	Russell Inv Multi-Asset Growth Strat S	See Endnote	Sale	12/15/2022	\$1,001 - \$15,000
43	Russell Inv US Strategic Equity S	See Endnote	Sale	12/15/2022	\$15,001 - \$50,000
44	Russell Strategic Bond S	See Endnote	Sale	12/15/2022	\$1,001 - \$15,000
45	Russell US Small Cap Equity S	See Endnote	Sale	12/15/2022	\$15,001 - \$50,000
46	T. Rowe Price Overseas Stock	See Endnote	Sale	12/15/2022	\$50,001 - \$100,000
47	T. Rowe Price Summit Municipal Intm Inv	See Endnote	Sale	12/15/2022	\$1,001 - \$15,000
48	Victory Sycamore Small Company Opp I	See Endnote	Sale	12/15/2022	\$15,001 - \$50,000
49	Wells Fargo Advantage Emerg Mkts Eq I	See Endnote	Sale	12/15/2022	\$250,001 - \$500,000
50	Jensen Quality Growth I	See Endnote	Sale	12/16/2022	\$1,001 - \$15,000
51	Mfs Value Fund CI I	See Endnote	Sale	12/16/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
52	Harbor Capital Appreciation Ret	See Endnote	Purchase	12/19/2022	\$250,001 - \$500,000
53	Schwab Value Advantage	See Endnote	Purchase	12/19/2022	\$100,001 - \$250,000
54	Consumer Discret Sel Sect SPDR ETF	See Endnote	Sale	12/19/2022	\$15,001 - \$50,000
55	SPDR S&P 500 ETF	See Endnote	Sale	12/19/2022	\$15,001 - \$50,000
56	Technology Select Sector SPDR ETF	See Endnote	Sale	12/19/2022	\$1,001 - \$15,000
57	Technology Select Sector SPDR ETF	See Endnote	Sale	12/19/2022	\$1,001 - \$15,000
58	Technology Select Sector SPDR ETF	See Endnote	Sale	12/19/2022	\$1,001 - \$15,000
59	Technology Select Sector SPDR ETF	See Endnote	Sale	12/19/2022	\$1,001 - \$15,000
60	Technology Select Sector SPDR ETF	See Endnote	Sale	12/19/2022	\$1,001 - \$15,000
61	Technology Select Sector SPDR ETF	See Endnote	Sale	12/19/2022	\$15,001 - \$50,000
62	Blackstone Alternative Multi-Strategy I	See Endnote	Purchase	12/21/2022	\$100,001 - \$250,000
63	ClearBridge International Growth I	See Endnote	Purchase	12/21/2022	\$100,001 - \$250,000
64	IMGP Alternative Strategies Fund	See Endnote	Purchase	12/21/2022	\$100,001 - \$250,000
65	Nuance Mid Cap Value Institutional	See Endnote	Purchase	12/21/2022	\$50,001 - \$100,000
66	iShares Russell Mid-Cap	See Endnote	Sale	12/21/2022	\$100,001 - \$250,000
67	Schwab Value Advantage	See Endnote	Sale	12/21/2022	\$250,001 - \$500,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
68	American Beacon AHL Managed Futures Strategy Y	See Endnote	Purchase	12/23/2022	\$50,001 - \$100,000
69	American Beacon AHL TargetRisk Y	See Endnote	Purchase	12/23/2022	\$50,001 - \$100,000
70	Causeway International Value Instl	See Endnote	Purchase	12/23/2022	\$100,001 - \$250,000
71	iShares Core MSCI Emerging Markets	See Endnote	Sale	12/23/2022	\$15,001 - \$50,000
72	Schwab Value Advantage	See Endnote	Sale	12/23/2022	\$100,001 - \$250,000
73	Vanguard FTSE All-World ex-US ETF	See Endnote	Sale	12/23/2022	\$100,001 - \$250,000
74	Vanguard FTSE Emerging Markets ETF	See Endnote	Sale	12/23/2022	\$15,001 - \$50,000
75	PIMCO Income Instl	See Endnote	Purchase	12/27/2022	\$100,001 - \$250,000
76	iShares iBoxx \$ High Yield Corporate Bond ETF	See Endnote	Sale	12/27/2022	\$15,001 - \$50,000
77	iShares JPMorgan USD Emerg Markets Bond	See Endnote	Sale	12/27/2022	\$15,001 - \$50,000
78	iShares MBS	See Endnote	Sale	12/27/2022	\$15,001 - \$50,000
79	PowerShares Preferred ETF	See Endnote	Sale	12/27/2022	\$1,001 - \$15,000
80	Schwab Value Advantage	See Endnote	Sale	12/27/2022	\$100,001 - \$250,000
81	SPDR Barclays High Yield Bond ETF	See Endnote	Sale	12/27/2022	\$15,001 - \$50,000
82	Vanguard Intermediate Term Corporate Bond Etf	See Endnote	Sale	12/27/2022	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
83	Vanguard Short-Term Corporate Bond ETF	See Endnote	Sale	12/27/2022	\$1,001 - \$15,000
84	Vanguard Total Bond Market ETF	See Endnote	Sale	12/27/2022	\$15,001 - \$50,000
85	Franklin K2 Alternative Strategies Adv	See Endnote	Purchase	12/30/2022	\$100,001 - \$250,000
86	Schwab Value Advantage	See Endnote	Purchase	12/30/2022	\$50,001 - \$100,000
87	Fidelity Government Money Market Fund (SPAXX)		Purchase	01/13/2022	\$15,001 - \$50,000
88	Vanguard Total Stock Market Index Fund (VTI)		Purchase	01/24/2022	\$1,001 - \$15,000
89	Vanguard Total Stock Market Index Fund (VTI)		Purchase	03/07/2022	\$1,001 - \$15,000
90	Vanguard Total Stock Market Index Fund (VTI)		Purchase	03/30/2022	\$1,001 - \$15,000
91	Fidelity Government Money Market Fund (SPAXX)		Purchase	01/13/2022	\$15,001 - \$50,000
92	Vanguard Total Stock Market Index Fund (VTI)		Purchase	01/24/2022	\$1,001 - \$15,000
93	Vanguard Total Stock Market Index Fund (VTI)		Purchase	03/07/2022	\$1,001 - \$15,000
94	Vanguard Total Stock Market Index Fund (VTI)		Purchase	03/30/2022	\$1,001 - \$15,000
95	Vanguard Windsor II Fund (VWNFX)	See Endnote	Purchase	12/20/2022	\$1,001 - \$15,000
96	Fidelity New Millennium Fund (FMILX)		Purchase	12/27/2022	\$1,001 - \$15,000
97	DoubleLine Total Return Bond Fund (DBLTX)		Sale	11/29/2022	\$1,001 - \$15,000
98	Fidelity Government Money Market Fund (SPAXX)		Purchase	11/30/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
99	Fidelity Puritan Fund (FPURX)	See Endnote	Purchase	10/14/2022	\$1,001 - \$15,000
100	Vanguard Total Stock Market Index Fund (VTI)		Purchase	12/30/2022	\$15,001 - \$50,000
101	U S Treasury Note 2.75% 08/31/25 08/31/18		Purchase	11/02/2022	\$50,001 - \$100,000
102	Vanguard Total Stock Market Index Fund (VTI)		Purchase	10/05/2022	\$15,001 - \$50,000
103	Vanguard Treasury Money Market Fund (VUSXX)		Sale	07/26/2022	\$250,001 - \$500,000
104	Vanguard Total Stock Market Index Fund (VTI)		Purchase	07/06/2022	\$15,001 - \$50,000
105	Vanguard Total Stock Market Index Fund (VTI)		Purchase	06/22/2022	\$15,001 - \$50,000
106	Vanguard Treasury Money Market Fund (VUSXX)		Sale	05/11/2022	\$50,001 - \$100,000
107	Matthews Asia Dividend Fund (MAPIX)		Sale	04/06/2022	\$15,001 - \$50,000
108	Vanguard Total Stock Market Index Fund (VTI)		Purchase	04/05/2022	\$15,001 - \$50,000
109	Vanguard Treasury Money Market Fund (VUSXX)		Sale	03/29/2022	\$15,001 - \$50,000
110	Vanguard Total Stock Market Index Fund (VTI)		Purchase	01/03/2022	\$15,001 - \$50,000
111	Vanguard Total Stock Market Index Fund (VTI)	See Endnote	Purchase	03/28/2022	\$1,001 - \$15,000
112	Vanguard Total Stock Market Index Fund (VTI)		Purchase	03/30/2022	\$1,001 - \$15,000
113	Vanguard Total Stock Market Index Fund (VTI)		Purchase	05/02/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
114	Vanguard Total Stock Market Index Fund (VTI)		Purchase	05/20/2022	\$1,001 - \$15,000
115	Vanguard Total Stock Market Index Fund (VTI)	See Endnote	Purchase	06/28/2022	\$1,001 - \$15,000
116	Vanguard Total Stock Market Index Fund (VTI)		Purchase	07/20/2022	\$1,001 - \$15,000
117	Fidelity Real Estate Investment Portfolio (FRESX)	See Endnote	Purchase	09/12/2022	\$1,001 - \$15,000
118	Vanguard Total Stock Market Index Fund (VTI)	See Endnote	Purchase	09/28/2022	\$1,001 - \$15,000
119	Vanguard Total Stock Market Index Fund (VTI)	See Endnote	Purchase	12/28/2022	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION	VALUE
1	National Foundation for Infectious Diseases	Bethesda, Maryland	National Foundation for Infectious Diseases Awards Gala Ticket	500

Endnotes

PART	#	ENDNOTE
6.	13.1	Sold prior to year end

PART	#	ENDNOTE
6.	13.2	Sold prior to year end
6.	13.3	Sold prior to year end
6.	13.4	Sold prior to year end
6.	13.5	Sold prior to year end
6.	13.6	Sold prior to year end
6.	13.7	Sold prior to year end
6.	13.8	Sold prior to year end
6.	13.9	Sold prior to year end
6.	13.10	Sold prior to year end
6.	13.11	Sold prior to year end
6.	13.12	Sold prior to year end
6.	13.13	Sold prior to year end
6.	13.14	Sold prior to year end
6.	13.15	Sold prior to year end
6.	13.16	Sold prior to year end
6.	13.17	Sold prior to year end
6.	13.18	Sold prior to year end
6.	13.19	Sold prior to year end
6.	13.20	Sold prior to year end
6.	13.21	Sold prior to year end
6.	13.22	Sold prior to year end
6.	13.23	Sold prior to year end

PART	#	ENDNOTE
6.	13.24	Sold prior to year end
6.	13.25	Sold prior to year end
6.	13.26	Sold prior to year end
6.	13.27	Sold prior to year end
6.	13.28	Sold prior to year end
6.	13.29	Sold prior to year end
6.	13.30	Sold prior to year end
7.	1	Dividend Reinvestment
7.	2	Certificate of Deposit Maturity
7.	3	Transactions implemented by Advisor: Wells Fargo
7.	4	Transactions implemented by Advisor: Wells Fargo
7.	5	Transactions implemented by Advisor: Leisure Capital Management
7.	6	Dividend Reinvestment
7.	7	Dividend Reinvestment
7.	8	Dividend Reinvestment
7.	9	Transactions implemented by Advisor: Leisure Capital Management
7.	10	Transactions implemented by Advisor: Leisure Capital Management
7.	11	Transactions implemented by Advisor: Leisure Capital Management
7.	12	Transactions implemented by Advisor: Leisure Capital Management
7.	13	Transactions implemented by Advisor: Leisure Capital Management
7.	14	Transactions implemented by Advisor: Leisure Capital Management
7.	15	Transactions implemented by Advisor: Leisure Capital Management

PART	#	ENDNOTE
7.	16	Transactions implemented by Advisor: Leisure Capital Management
7.	17	Transactions implemented by Advisor: Leisure Capital Management
7.	18	Transactions implemented by Advisor: Leisure Capital Management
7.	19	Transactions implemented by Advisor: Leisure Capital Management
7.	20	Transactions implemented by Advisor: Leisure Capital Management
7.	21	Transactions implemented by Advisor: Leisure Capital Management
7.	22	Transactions implemented by Advisor: Leisure Capital Management
7.	23	Transactions implemented by Advisor: Leisure Capital Management
7.	24	Transactions implemented by Advisor: Leisure Capital Management
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7.	26	Transactions implemented by Advisor: Leisure Capital Management
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7.	30	Transactions implemented by Advisor: Leisure Capital Management
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7.	35	Transactions implemented by Advisor: Leisure Capital Management
7.	36	Transactions implemented by Advisor: Leisure Capital Management
7.	37	Transactions implemented by Advisor: Leisure Capital Management

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7.	38	Transactions implemented by Advisor: Leisure Capital Management
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7.	59	Transactions implemented by Advisor: Leisure Capital Management

PART	#	ENDNOTE
7.	60	Transactions implemented by Advisor: Leisure Capital Management
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7.	81	Transactions implemented by Advisor: Leisure Capital Management

PART	#	ENDNOTE
7.	82	Transactions implemented by Advisor: Leisure Capital Management
7.	83	Transactions implemented by Advisor: Leisure Capital Management
7.	84	Transactions implemented by Advisor: Leisure Capital Management
7.	85	Transactions implemented by Advisor: Leisure Capital Management
7.	86	Transactions implemented by Advisor: Leisure Capital Management
7.	95	Reinvestment of Long Term Capital Gain
7.	99	Reinvestment
7.	111	Reinvestment
7.	115	Reinvestment
7.	117	Reinvestment (LT gain)
7.	118	Reinvestment
7.	119	Reinvestment

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
