

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Greisman, Lois C

Assoc Dir, Division of Marketing Practices, Bureau of Consumer Protection, Federal Trade Commission

Report Year: 2019

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Greisman, Lois C [electronically signed on 05/06/2019 by Greisman, Lois C in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Pankey, Lorielle L, Certifying Official [electronically signed on 06/26/2019 by Pankey, Lorielle L in Integrity.gov]

Other review conducted by

/s/ Wong, Jeremy, Ethics Official [electronically signed on 06/25/2019 by Wong, Jeremy in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 06/25/2019

Data Revised 06/12/2019

Data Revised 06/10/2019

Data Revised 06/06/2019

1. Filer's Positions Held Outside United States Government

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Conoco Phillips (COP) See Endnote	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
2	Phillips 66 (PSX)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
3	Southern Co (SO)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4	Waste Management Inc (WM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5	Mutual Fund: Nuveen VA Premium Income Muni FD (NPV) See Endnote	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
6	U.S. bank account #2 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
7	U.S. bank account #3 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
8	Dreyfus Municipal Bond Fund (DRTAX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
9	Dreyfus Municipal Bond Opportunity Fund (PTEBX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
10	TVA Strips	N/A	\$100,001 - \$250,000		None (or less than \$201)
11	U.S. bank account #5 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
12	Wells Fargo 100% Treasury Money Market Fund - Class A (WFTXX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13	Wells Fargo Treasury Plus Money Market Fund - Class Inst (PISXX)		Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
14	Invesco S&P 500 Equal Weight ETF (RSP)	See Endnote	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
15	iShares Dow Jones U.S. Index Fund (IYY)		Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
16	SPDR S&P 500 ETF Trust (SPY)	See Endnote	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
17	Vanguard Total STK MKT (VTI)		Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
18	Vanguard 500 Index Fund (VOO)		Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
19	U.S. bank account #34 (M cash/cd)	See Endnote	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
20	U.S. bank account #35 (M cash/cd)		N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
21	U.S. bank account #36 (M cash/cd)		N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
22	U.S. bank account #39 (M cash/cd)		N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
23	U.S. bank account #43 (M cash/cd)		N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
24	U.S. bank #44 (M cash/cd)		N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
25	Invesco S&P 500 Equal RSP	See Endnote	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
26	Ishares Dow Jones US IYY		Yes	\$100,001 - \$250,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27	SPDR S&P ETF Trust SPY	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
28	Vanguard Total STK MKT VTI	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
29	Vanguard 500 Index Fund VOO	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
30	U.S. Brokerage Account #45 (cash)	N/A	\$500,001 - \$1,000,000	Interest	\$2,501 - \$5,000
31	U.S. Bank Account #46 (cash)	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
32	U.S. bank account #37 (M cash/cd)	See Endnote	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
33	U.S. bank account #38 (M cash/cd)	No	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
34	U.S. bank account #40 (M cash/cd)	No	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
35	U.S. bank account #41 (M cash/cd)	No	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
36	U.S bank account #42 (M cash/cd)	No	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
37	iShares iBonds Dec 2020 Term Corporate ETF (IBDL)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
38	iShares iBonds Dec 2021 Term Corporate ETF (IBDM)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
39	iShares iBonds Dec 2022 Term Corporate ETF (IBDN)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
40	iShares iBonds Dec 2023 Term Corporate ETF (IBDO)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
41	iShares iBonds Dec 2024 Term Corporate ETF (IBDP)		Yes	None (or less than \$1,001)		\$2,501 - \$5,000
42	iShares iBonds Dec 2025 Term Corporate ETF (IBDQ)		Yes	None (or less than \$1,001)		\$2,501 - \$5,000
43	iShares iBonds Dec 2026 Term Corporate ETF (IBDR)		Yes	None (or less than \$1,001)		\$5,001 - \$15,000
44	iShares iBonds Dec 2027 Term Corporate ETF (IBDS)		Yes	None (or less than \$1,001)		\$1,001 - \$2,500
45	iShares Edge MSCI USA Momentum Factor ETF (MTUM)	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank account #8 (cash/cd)	See Endnote	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
2	U.S. bank account #9 (cash/cd)		N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
3	U.S. bank account #11 (cash/cd)		N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
4	ALEX VA OID PRF 18	See Endnote	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
5	VA ST SER A-2 OID		N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
6	VIRGINIA BEACH PUB IMPT BONDS SERB OID Jan10		N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
7	VIRGINIA COLLEGE BLDG BONDS REV A OID Nov11		N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
8	VIRGINIA COMWLTH TRANSN BRD BONDS Rev Cap Oid May 11		N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
9	NEWPORT NEWS VA BONDS Prf 18	See Endnote	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
10	NEWPORT NEWS VA SER A&B OID PRF 18	See Endnote	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
11	ALEXANDRIA VA CAP IMPT Oid Prf 21 BONDS		N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
12	ALEXANDRIA VA CAP IMPT OID July 11 BONDS		N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
13	VIRGINIA ST RES AUTH INFRASTRUCTURE BONDS Rev Ser B	See Endnote	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
14	CHESTERFIELD CNTY VA PUB IMPT BONDS		N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	ISHARES TIPS BOND (TIP)	See Endnote	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
16	SCHLUMBERGER LTD COMMON STOCK (SLB)		N/A	\$1,001 - \$15,000		None (or less than \$201)
17	ISHARES MSCI JAPAN ETF (EWJ)	See Endnote	Yes	\$50,001 - \$100,000		\$201 - \$1,000
18	VIRGINIA ST RES AUTH INFRA BONDS Rev St Moral		N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
19	VIRGINIA ST HSG DEV AUTH Non Amt Non Ace BONDS		N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
20	AXCELIS TECHNOLOGIES COMMON STOCK (ACLS)		N/A	\$1,001 - \$15,000		None (or less than \$201)
21	BSQUARE CORP COMMON STOCK (BSQR)		N/A	\$1,001 - \$15,000		None (or less than \$201)
22	COCA COLA CO. (KO)		N/A	\$1,001 - \$15,000		None (or less than \$201)
23	VIRGINIA COMWLTH TRANS BONDS Rev A Rf Oid		N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
24	U.S. brokerage account #1 (cash)		N/A	\$500,001 - \$1,000,000	Interest	\$201 - \$1,000
25	U.S. bank account #14 (cash/cd)	See Endnote	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
26	U.S. bank account #16 (cash/cd)		N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
27	U.S. brokerage account #18 (cash)	See Endnote	N/A	\$100,001 - \$250,000		None (or less than \$201)
28	RXEOB.COM, LLC PARTNERSHIP		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
29	U.S. bank account (cash/cd) #20		N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30	U.S. bank account (cash/cd) #19		N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
31	U.S. bank account (cash/cd) #21		N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
32	Fed Home Loan Mtg CallableNotes Multi% June 302010	See Endnote	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
33	SPDR S&P 500 Trust ETF (SPY)	See Endnote	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
34	U.S. bank account (cash/cd) #23	See Endnote	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
35	U.S. bank account (cash/cd) #25	See Endnote	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
36	U.S. bank account #27 (cash/cd)		N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
37	U.S. bank account #28 (cash/cd)		N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
38	U.S. bank account #29 (W cash/cd)		N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
39	U.S. bank account #30 (W cash/cd)		N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
40	U.S. bank account #31 (W cash/cd)		N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
41	U.S. bank account #32 (W cash/cd)		N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
42	U.S.bank account #33 (W cash/cd)		N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
43	Federal Home Ln Mtg Corp Callable Notes SR 0002 Multi% Mar 29 2022		N/A	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
44	Albemarle Cnty VA Sch 5 Pct 6/01/2021		N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
45	Virginia Beach VA Go Pub BDS SER B APR 15		N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
46	Virginia ST Pub Sch Auth Oblig PW County		N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
47	Virginia St Pub Sch Auth Sch Fing Sch A St GTD		N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
48	Fairfax Cnty Va Pub Impt BDS SER A Mar15		N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
49	U.s. bank ccount #50(W cash/cd)	See Endnote	No	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
50	U.S. bank account #51 (W cash/cd)	See Endnote	No	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
51	U.S. bank account #52 (M cash/cd)	See Endnote	No	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
52	U.S. bank account #53 (M cash/cd)	See Endnote	No	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
53	U.S. bank account # 54 (M cash/cd)	See Endnote	No	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
54	U.S. bank account # 55	See Endnote	No	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
55	U/S/ bank account #56	See Endnote	No	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
56	U.S. bank account #57 (M cash/cd)	See Endnote	No	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
57	U.S. bank account #58 (M cash/cd)	See Endnote	No	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
58	U.S. bank account #59 (M cash/cd)	See Endnote	No	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
59	U.S. bank account #60 (M cash/cd)	See Endnote	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
60	U.S. bank account #61 (M cash/cd)	See Endnote	No	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
61	Nixon Peabody, cash balance pension plan	See Endnote	N/A	\$500,001 - \$1,000,000	Retirement Payments (monthly)	\$9,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Mutual Fund: Nuveen VA Premium Income Muni FD	Sale	06/13/18	\$100,001 - \$250,000
2	ISHARES TIPS BOND	Sale	10/23/18	\$100,001 - \$250,000
3	iShares iBonds Dec 2020	Purchase	01/02/18	\$250,001 - \$500,000
4	iShares iBonds Dec 2021	Purchase	01/02/18	\$250,001 - \$500,000
5	iShares iBonds Dec 2022	Purchase	01/02/18	\$250,001 - \$500,000
6	iShares iBonds Dec 2023	Purchase	01/02/18	\$250,001 - \$500,000
7	iShares iBonds Dec 2024	Purchase	01/02/18	\$250,001 - \$500,000
8	iShares iBonds Dec 2025	Purchase	01/02/18	\$250,001 - \$500,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
9	iShares iBonds Dec 2026		Purchase	01/02/18	\$250,001 - \$500,000
10	iShares iBonds Dec 2027		Purchase	01/02/18	\$50,001 - \$100,000
11	iShares Edge MSCI Momentum Factor ETF		Purchase	01/17/18	\$1,001 - \$15,000
12	iShares Dow Jones US		Purchase	11/2/18	\$1,001 - \$15,000
13	Vanguard Total STK MKT		Purchase	11/2/18	\$1,001 - \$15,000
14	Invesco S&P 500 Equal		Purchase	11/02/18	\$1,001 - \$15,000
15	iShares iBonds Dec 2020	See Endnote	Sale	06/13/18	\$250,001 - \$500,000
16	iShares iBonds Dec 2021	See Endnote	Sale	06/13/18	\$250,001 - \$500,000
17	iShares iBonds Dec 2022	See Endnote	Sale	06/13/18	\$250,001 - \$500,000
18	iShares iBonds Dec 2023	See Endnote	Sale	06/13/18	\$250,001 - \$500,000
19	iShares iBonds Dec 2024	See Endnote	Sale	06/13/18	\$250,001 - \$500,000
20	iShares iBonds Dec 2025	See Endnote	Sale	06/13/18	\$250,001 - \$500,000
21	iShares iBonds Dec 2026	See Endnote	Sale	06/13/18	\$250,001 - \$500,000
22	iShares iBonds Dec 2027		Sale	06/13/18	\$50,001 - \$100,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	1	Increase in value.
2.	5	Sold 6/13/18
2.	14	Previously called "Guggenheim."
2.	16	Increase in value.
2.	19	Redeemed 12/14/18
2.	25	Name change.
2.	32	This and accounts 38, 40, 41 and 42 were inadvertently left off last year's report.
2.	45	new
6.	1	Redeemed 3/7/18
6.	4	Redeemed 7/16/18
6.	9	Redeemed 3/18/18
6.	10	Redeemed 3/1/18
6.	13	Change in value.
6.	15	Sold 10/23/18

PART	#	ENDNOTE
6.	17	Increase in value.
6.	25	Redeemed 4/2/18
6.	27	Change to brokerage account; value increase.
6.	32	New. Transaction #6.
6.	33	New. Transaction 7. Increase in value.
6.	34	Redeemed 6/18/18
6.	35	Redeemed 5/11/18
6.	49	Acquired 6/15/18
6.	50	acquired 6/13/18
6.	51	acq 8/3/18
6.	52	acq 8/3/18
6.	53	acq 8/3 18
6.	54	acq 8/3/18
6.	55	acq 8/3/18
6.	56	acq 8/3/18
6.	57	acq 8/3/18
6.	58	acq 8/3/18
6.	59	acq 8/3/18
6.	60	acq 8/3/18
6.	61	Inherited, no part 3 entry required
7.	15	multiple sale dates

PART	#	ENDNOTE
7.	16	multiple sale dates
7.	17	Multiple sale dates
7.	18	multiple sale dates
7.	19	Multiple sale dates
7.	20	multiple sale dates
7.	21	multiple sale dates

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
