Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Stadnik, Andrew

Associate Executive Director for Laboratory Sciences, Consumer Product Safety Commission

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Stadnik, Andrew [electronically signed on 05/09/2022 by Stadnik, Andrew in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Buford, Melissa, Certifying Official [electronically signed on 06/16/2022 by Buford, Melissa in Integrity.gov]

Other review conducted by
/s/ Blizzard, Tyler, Ethics Official [electronically signed on 06/09/2022 by Blizzard, Tyler in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 06/09/2022

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Classics AAU Basketball Club	Rockville, Maryland	Non-Profit	President and Head Coach of 3 teams	3/2005	Present
2	Potomac Vallley AAU	Largo, Maryland	Non-Profit	Lieutenant Governor	6/2008	Present
3	Potomac Valley AAU	Largo, Maryland	Non-Profit	Girls Basketball Committee Member	5/2006	Present
4	Timberlawn Homeowners' Association	Rockville, Maryland	Non-Profit	President	5/2021	5/2023

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	AGS IRA	No	$250,001 - $500,000	Traditional IRA - No current income distributed - Dividends and Captial Gains retained within underlying assets in account	$
1.1	Schwab Cash Balance	N/A	$15,001 - $50,000		None (or less than $201)
1.2	AMETEK Inc	N/A	$15,001 - $50,000	Dividends	None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3	Apple Inc. - Common Stock		N/A	$15,001 - $50,000	Capital Gains Dividends	$201 - $1,000
1.4	B&G Foods (BGS)		N/A	$15,001 - $50,000	Capital Gains Dividends	$201 - $1,000
1.5	Baxter Intl (BAX)		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
1.6	BHP Group Ltd		N/A	$1,001 - $15,000	Capital Gains Dividends	$1,001 - $2,500
1.7	Main Street Capital (MAIN)		N/A	$15,001 - $50,000	Capital Gains Dividends	$201 - $1,000
1.8	QUALCOMM Inc		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
1.9	Visa Inc		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
1.10	Carillon Scout Mid Cap Fund (UMBMX)		Yes	$50,001 - $100,000		$1,001 - $2,500
1.11	Seven Canyons Wasatch World Innovators (WAGTX)		Yes	$50,001 - $100,000		$5,001 - $15,000
1.12	Universal Health Realty Trust (UHT)		Yes	$15,001 - $50,000		$201 - $1,000
1.13	Realty Income Corp. (O)		N/A	$15,001 - $50,000	Dividends	$1,001 - $2,500
1.14	ENB Enbridge	See Endnote	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
1.15	EPR Properties		N/A	$1,001 - $15,000	Dividends	$201 - $1,000
1.16	Bank of America Corp		N/A	$1,001 - $15,000	Dividends	$201 - $1,000
1.17	Miller Industries		N/A	$1,001 - $15,000	Dividends	None (or less than $201)
1.18	Powell Industries, Inc. - Common Stock		N/A	$1,001 - $15,000	Dividends	$201 - $1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.19	Ryder System Inc (R)		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
1.20	Altra Industrial Motion Corp. (AIMC)		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
1.21	Cohen & Steers Infrastructure Fund, Inc (UTF)		Yes	$1,001 - $15,000		$201 - $1,000
1.22	Merck & Co., Inc. (MRK)		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
1.23	Western Asset High Income Fund II Inc (HIX)		Yes	$1,001 - $15,000		$1,001 - $2,500
1.24	Global X US Preferred ETF (PFFD)		Yes	$1,001 - $15,000		$201 - $1,000
1.25	Orion Office REIT (ONL)	See Endnote	Yes	None (or less than $1,001)		None (or less than $201)
1.26	Organon Inc (OGN)	See Endnote	N/A	None (or less than $1,001)		None (or less than $201)
1.27	NL Industries, Inc. (NL)		N/A	$1,001 - $15,000	Dividends	None (or less than $201)
1.28	Lynas Rare Earths ADR (LYSDY)		N/A	$1,001 - $15,000		None (or less than $201)
1.29	GrayScale Digital Ordinary Shares (GDLC)		N/A	$1,001 - $15,000		None (or less than $201)
1.30	BeyondSpring, Inc. (BYSI)		N/A	None (or less than $1,001)		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Centene Corporation		N/A		salary, bonus	
2	Centene-Fidelity 401k	See Endnote	No	$250,001 - $500,000	Capital Gains Dividends Interest	$15,001 - $50,000
2.1	Fidelity International Discovery K		Yes	$50,001 - $100,000		$1,001 - $2,500
2.2	UBS US Small Cap Growth Fund		Yes	$50,001 - $100,000		$2,501 - $5,000
2.3	Janus Enterprise Fund		Yes	$50,001 - $100,000		$1,001 - $2,500
2.4	Cohen & Steers Realty Income A		Yes	$15,001 - $50,000		$1,001 - $2,500
2.5	Vanguard Healthcare Admiral Shares Fund		Yes	$50,001 - $100,000		$1,001 - $2,500
2.6	Fidelity 500 Index Fund (FXAIX)	See Endnote	Yes	$50,001 - $100,000		$2,501 - $5,000
2.7	T. Rowe Price Blue Chip Growth	See Endnote	Yes	$50,001 - $100,000		$1,001 - $2,500
3	SLS IRA		No	Over $1,000,000		None (or less than $201)
3.1	Clorox (CLX)		N/A	$15,001 - $50,000	Capital Gains Dividends	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2	Community Trust Bancorp (CTBI)	N/A	$15,001 - $50,000	Capital Gains Dividends	$1,001 - $2,500
3.3	Conoco Phillips	N/A	$15,001 - $50,000	Capital Gains Dividends	$201 - $1,000
3.4	Emerson Electric Corp (EMR)	N/A	$15,001 - $50,000	Capital Gains Dividends	$1,001 - $2,500
3.5	Espey Mfg and Electronics Corp (ESP)	N/A	$1,001 - $15,000	Capital Gains Dividends	$1,001 - $2,500
3.6	Fidelity National Financial (FNF)	N/A	$15,001 - $50,000	Capital Gains Dividends	$201 - $1,000
3.7	Cannae Holdings - CNNE	N/A	$15,001 - $50,000		None (or less than $201)
3.8	Gilead Sciences (GILD)	N/A	$1,001 - $15,000	Capital Gains Dividends	$201 - $1,000
3.9	John Bean Technologies	N/A	$15,001 - $50,000		None (or less than $201)
3.10	Kimberly Clark Corp (KMB)	N/A	$15,001 - $50,000	Capital Gains Dividends	$201 - $1,000
3.11	Kinder Morgan Inc (KMI)	N/A	$1,001 - $15,000	Capital Gains Dividends	$201 - $1,000
3.12	Phillips 66 (PSX)	N/A	$15,001 - $50,000	Capital Gains Dividends	$1,001 - $2,500
3.13	Reaves Utility Income Fund (UTG)	N/A	$15,001 - $50,000	Capital Gains Dividends	$2,501 - $5,000
3.14	Safety Insurance Group (SAFT)	N/A	$15,001 - $50,000	Capital Gains Dividends	$201 - $1,000
3.15	Southwest Airlines (LUV)	N/A	$1,001 - $15,000	Dividends	None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.16	Texas Instruments (TXN)		N/A	$50,001 - $100,000	Dividends	$1,001 - $2,500
3.17	Hennessy Focus Fund (HFCSX)		Yes	$50,001 - $100,000		$2,501 - $5,000
3.18	Wasatch Frontier Emerging Markets (WAFMX)		Yes	$15,001 - $50,000		$201 - $1,000
3.19	Barron's 400 ETF		Yes	$1,001 - $15,000		None (or less than $201)
3.20	Omega Healthcare Investments (OHI)		Yes	$15,001 - $50,000		$2,501 - $5,000
3.21	SPDR Gold Shares ETF (GLD)		Yes	$15,001 - $50,000		None (or less than $201)
3.22	WisdomTree Intl Small Cap Div ETF (DLS)		Yes	$1,001 - $15,000		$201 - $1,000
3.23	Abbott Laboratories		N/A	$50,001 - $100,000	Dividends	$201 - $1,000
3.24	AbbVie Inc.		N/A	$50,001 - $100,000	Dividends	$2,501 - $5,000
3.25	Boeing Co		N/A	$15,001 - $50,000	Dividends	None (or less than $201)
3.26	Schwab Cash Balance	See Endnote	No	$50,001 - $100,000	Interest	None (or less than $201)
3.27	Black Knight Inc - BKI		N/A	$1,001 - $15,000		None (or less than $201)
3.28	Realty Income - O		Yes	$15,001 - $50,000		$201 - $1,000
3.29	Peoples Bancorp, Inc. (Ohio) (PEBO)	See Endnote	N/A	$1,001 - $15,000	Capital Gains Dividends	$201 - $1,000
3.30	Stepan		N/A	$1,001 - $15,000	Capital Gains Dividends	None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.31	Verizon Communications Inc		N/A	$1,001 - $15,000	Capital Gains Dividends	$201 - $1,000
3.32	Schwab US Dividend ETF (SCHD)		Yes	$15,001 - $50,000		$201 - $1,000
3.33	Fuller & Thaler Behavioral Small Cap Equity Fund (FTHNX)		Yes	$15,001 - $50,000		$201 - $1,000
3.34	Packaging Corp of America (PKG)		N/A	$1,001 - $15,000	Dividends	$201 - $1,000
3.35	Softbank Group Corp - SFTBY		N/A	$1,001 - $15,000	Dividends	None (or less than $201)
3.36	Citizens Financial Group, Inc. (Rhode Island) (CFG)		N/A	$1,001 - $15,000	Dividends	$201 - $1,000
3.37	Dominion Energy, Inc. (D)		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
3.38	Kenon Holdings Ordinary Shares (KEN)		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
3.39	Merck & Co., Inc. (MRK)		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
3.40	Sysco Corp. (SYY)		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
3.41	Western Asset High Income Fund II Inc (HIX)		Yes	$1,001 - $15,000		$1,001 - $2,500
3.42	Archer Aviation (ACHR)		N/A	$1,001 - $15,000		None (or less than $201)
3.43	BlackRock Resources & Commodities Strategy Trust (BCX)		Yes	$1,001 - $15,000		$201 - $1,000
3.44	Clearway Energy, Inc. (CWEN)		N/A	$1,001 - $15,000	Dividends	$201 - $1,000
3.45	Organon Inc (OGN)	See Endnote	N/A	None (or less than $1,001)	Dividends	None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.46	Orion Office REIR (ONL)	See Endnote	Yes	None (or less than $1,001)		None (or less than $201)
3.47	Invesco Preferred ETF (PGX)		Yes	$1,001 - $15,000		$201 - $1,000

6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	SLS BofA Checking	See Endnote	N/A	$1,001 - $15,000	Interest	None (or less than $201)
2	SLS BofA Savings	See Endnote	N/A	$15,001 - $50,000	Interest	None (or less than $201)
3	USAA Universal Life - SLS100	See Endnote	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
4	MONY Whole Life Insurance- AGS100	See Endnote	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
5	AGS ETrade Trust		No	$1,001 - $15,000	Dividends Capital Gains Interest	None (or less than $201)
5.1	Etrade Cash Sweep		N/A	$1,001 - $15,000	Interest	None (or less than $201)
5.2	Suro Captial Corp (SSSS)		N/A	None (or less than $1,001)	Dividends	None (or less than $201)
6	AGS-SLS S1		No	$1,001 - $15,000	Dividends Capital Gains	None (or less than $201)
6.1	Schwab Cash Balance		N/A	$1,001 - $15,000		None (or less than $201)
7	BofA Savings Account		N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	BofA Checking Account		N/A	$1,001 - $15,000		None (or less than $201)
9	SLS Fidelity Brokerage (ESOP)	See Endnote	No	$15,001 - $50,000	Capital Gains Dividends	None (or less than $201)
9.1	Centene Corp Common	See Endnote	N/A	$15,001 - $50,000	Capital Gains Dividends	None (or less than $201)
10	USAA Universal Life - AGS350	See Endnote	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	OGN - Organom & Co	See Endnote	Purchase	06/04/2021	$1,001 - $15,000
2	OGN - Organon & Co	See Endnote	Purchase	06/04/2021	$1,001 - $15,000
3	Apple, Inc. (AAPL)	See Endnote	Sale	08/16/2021	$1,001 - $15,000
4	Invesco Preferred ETF (PGX)		Purchase	01/12/2021	$1,001 - $15,000
5	SNDL - Sundial Corp		Purchase	02/03/2021	$1,001 - $15,000
6	BBDC - Barings Capital		Sale	02/08/2021	$1,001 - $15,000
7	Call Option Humanigen 2/19/21 - $15 - 2 Contracts	See Endnote	Purchase	02/08/2021	$1,001 - $15,000
8	Call Options Humanigen $25 05/21/21 - Sold 2 Open Contracts	See Endnote	Sale	02/08/2021	$1,001 - $15,000
9	Global X US Preferred ETF (PFFD)	See Endnote	Purchase	02/25/2021	$1,001 - $15,000
10	SSSS -Suro Capital Corp		Purchase	03/26/2021	$1,001 - $15,000
11	Clearway Energy, Inc. (CWEN)	See Endnote	Purchase	04/05/2021	$1,001 - $15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
12	FlexShares High Yield Value-Scored Bond Index Fund (HYGV)		Purchase	04/12/2021	$1,001 - $15,000
13	LYSDY - Lynas Rare Earths Limited ADR		Purchase	04/21/2021	$1,001 - $15,000
14	Ford Motor Co. (F)		Sale	05/06/2021	$1,001 - $15,000
15	Synovus Financial Corp. (SNV)		Sale	05/10/2021	$1,001 - $15,000
16	BlackRock Resources & Commodities Strategy Trust (BCX)	See Endnote	Purchase	05/19/2021	$1,001 - $15,000
17	Atossa Therapeutics, Inc. (ATOS)		Purchase	05/25/2021	$1,001 - $15,000
18	SNDL - SUndial Growers		Sale	06/07/2021	$1,001 - $15,000
19	Inovio Pharmaceuticals, Inc. (INO)		Sale	06/07/2021	$1,001 - $15,000
20	NL Industries, Inc. (NL)		Purchase	07/27/2021	$1,001 - $15,000
21	Sorrento Therapeutics, Inc. (SRNE)		Sale	08/03/2021	$1,001 - $15,000
22	Agile Therapeutics, Inc. (AGRX)		Sale	08/26/2021	$1,001 - $15,000
23	FlexShares High Yield Value-Scored Bond Index Fund (HYGV)		Sale	08/16/2021	$1,001 - $15,000
24	Humanigen Inc - HGEN		Sale	08/30/2021	$1,001 - $15,000
25	CTI BioPharma Corp. (CTIC)		Sale	08/30/2021	$1,001 - $15,000
26	SSGA SPDR S&P 500 ETF Trust	See Endnote	Sale	09/02/2021	$1,001 - $15,000
27	SSGA SPDR Mid Cap 400 EF Trust Portfolio	See Endnote	Sale	09/02/2021	$1,001 - $15,000
28	SSGA SPDR S&P 600 Small Cap ETF Trut Portfolio	See Endnote	Sale	09/02/2021	$1,001 - $15,000
29	SSGA SPDR Portfolio Developed World ex-US ETF	See Endnote	Sale	09/02/2021	$1,001 - $15,000
30	SSGA SPDR International Small Cap ETF Portfolio	See Endnote	Sale	09/02/2021	$1,001 - $15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
31	GDLC - Grayscale Digital Ordinary Shares		Purchase	09/13/2021	$1,001 - $15,000
32	J. Alexander's Holdings, Inc. (JAX)	See Endnote	Sale	10/01/2021	$1,001 - $15,000
33	Premier Financial Bancorp, Inc. (PFBI)	See Endnote	Sale	09/20/2021	$1,001 - $15,000
34	Peoples Bancorp, Inc. (Ohio) (PEBO)	See Endnote	Purchase	09/20/2021	$1,001 - $15,000
35	BeyondSpring, Inc. (BYSI)		Purchase	10/20/2021	$1,001 - $15,000
36	Texas Instruments Incorporated (TXN)	See Endnote	Sale	10/21/2021	$15,001 - $50,000
37	Omega Healthcare Investors, Inc. (OHI)	See Endnote	Purchase	11/16/2021	$1,001 - $15,000
38	ACHR - Archer Aviation	See Endnote	Purchase	11/24/2021	$1,001 - $15,000
39	Covanta Holding Corp. (CVA)	See Endnote	Sale	12/01/2021	$1,001 - $15,000
40	Fidelity International Discovery Fund Class K Shares (FIDKX)	See Endnote	Purchase	12/03/2021	$1,001 - $15,000
41	Hennessy Focus Fund Investor Class Shares (HFCSX)	See Endnote	Purchase	12/07/2021	$1,001 - $15,000
42	Fuller & Thaler Behavioral Small-Cap Equity Fund Investor Class Shares (FTHNX)	See Endnote	Purchase	12/07/2021	$1,001 - $15,000
43	Atossa Therapeutics, Inc. (ATOS)	See Endnote	Sale	12/15/2021	$1,001 - $15,000
44	SSSS - Suro Capital Corp		Sale	12/15/2021	$1,001 - $15,000
45	Cohen & Steers Realty Shares, Inc Class L Shares (CSRSX)	See Endnote	Purchase	12/09/2021	$1,001 - $15,000
46	T Rowe Price Blue Chip Growth Fund, Inc Class I Shares (TBCIX)	See Endnote	Purchase	12/14/2021	$1,001 - $15,000
47	Carillon Scout Mid Cap Fund Class I Shares (UMBMX)	See Endnote	Purchase	12/20/2021	$1,001 - $15,000
48	Janus Henderson Enterprise Fund Class N Shares (JDMNX)	See Endnote	Purchase	12/20/2021	$1,001 - $15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
49	UBS US Small Cap Growth Fund Class P Shares (BISCX)	See Endnote	Purchase	12/21/2021	$15,001 - $50,000
50	General Motors Co. (GM)		Sale	12/28/2021	$1,001 - $15,000
51	Vanguard Health Care Fund Admiral Shares (VGHAX)	See Endnote	Purchase	12/29/2021	$1,001 - $15,000
52	Seven Canyons World Innovators Fund Investor Class Shares (WAGTX)	See Endnote	Purchase	12/30/2021	$1,001 - $15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	1.14	Spectra Energy merger with Enbridge
2.	1.25	Spin off from Realty Income (O) in 2021
2.	1.26	Merck Spin Off in 2021
5.	2	Spouse Alerus 401k transferred by merged employer company to Fidelity 401k
5.	2.6	FXAIX switched by Fidelity from Fidelity 500 Index Institutional Fund

PART	#	ENDNOTE
5.	2.7	Swapped out of Fidelity Capital Appreciation Fund
5.	3.26	Schwab Cash Balances in general balance not in fund
5.	3.29	Added 12-4-18, PFBI merged into PEBO 2021
5.	3.45	Merck Spin off 2021
5.	3.46	Realty Income (O) Spin off 2021
6.	1	Dewey Payments
6.	2	Dewey Savings
6.	3	USAA does not list specific underlying assets related to this account
6.	4	Reporting Surrender Cash Value vs Death benefit
6.	9	Centene Employee Stock Ownership Plan thru Fidelity - Initiated Jan 2016 after employer merger with Centene Corp. Transactions quarterly less than $400 per
6.	9.1	Centene Employee Stock Ownership Plan thru Fidelity - Initiated Jan 2016 after employer merger with Centene Corp. Transactions quarterly less than $400 per
6.	10	USAA Does not report underlying assets
7.	1	Spin-off from Merck
7.	2	Spin-off from Merck
7.	3	Partial sale of APPL holdings due to price appreciation and rebalance.
7.	7	Bought 2 HGEN Options $15 2-19-21 and see next transaction sold Covered Calls for 2 HGEN options $35 on 5/21/21. Net of both xactions $383.
7.	8	See note with related buy transaction
7.	9	IRA
7.	11	S-IRA
7.	16	IRA
7.	26	Close out of 529 Account - 1 of 5 transactions

PART	#	ENDNOTE
7.	27	Close out of 529 Account - 1 of 5 transactions
7.	28	Close out of 529 Account - 1 of 5 transactions
7.	29	Close out of 529 Account - 1 of 5 transactions
7.	30	Close out of 529 Account - 1 of 5 transactions
7.	32	Buy out of shares due to merger
7.	33	PFBI merged into PEBO - see corresponding "purchase" Listing
7.	34	PFBI merged into PEBO 9-20-21 - see corresponding Sell listing for PFBI
7.	36	IRA rebalance
7.	37	Quarterly dividend payment over $1k reinvested
7.	38	IRA
7.	39	Buy out merger into private entity
7.	40	401k EIF annual dividend distribution/reinvestment
7.	41	IRA EIF Cap Gain Distribution/Reinvestment
7.	42	IRA EIF Cap Gain Distribution Reinvestmen
7.	43	Net actually under $1k
7.	45	Dividend Reinvestment
7.	46	Dividend Reinvested
7.	47	LT and ST gains distribution/purchase
7.	48	Dividend Distribution/Purchase
7.	49	Dividend Distribution/Purchase
7.	51	EIF Dividend Reinvestment
7.	52	EIF LT and ST Cap gains reinvestment

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
