

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

DeMartino, Laura

Associate Director, Div of Litigation Technology & Analysis, BCP, Federal Trade Commission

Report Year: 2019

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ DeMartino, Laura [electronically signed on 04/14/2019 by DeMartino, Laura in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Pankey, Lorielle L, Certifying Official [electronically signed on 05/29/2019 by Pankey, Lorielle L in Integrity.gov]

Other review conducted by

/s/ Wong, Jeremy, Ethics Official [electronically signed on 05/20/2019 by Wong, Jeremy in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 05/20/2019

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	July 23, 2004 CD Irrevocable Insurance Trust		Trust	Trustee	7/2004	Present
2	June 25, 2012 AD Irrevocable Insurance Trust		Trust	Trustee	6/2012	Present

2. Filer's Employment Assets & Income and Retirement Accounts

None

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Merrill Lynch Bank Deposit Program - Cash Account (now called Bank of America, NA)	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
2	Varian Medical Systems Inc (VAR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3	Synchrony Bank (savings account)	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
4	PNC Bank (checking/savings accounts)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	Capital One (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	BLACKROCK GLOBAL ALLOCATION FUND (MALOX)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
7	FIRST EAGLE GLOBAL CLASS I (SGIIX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
8	FPA CRESCENT FUND (FPACX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
9	JP MORGAN INCOME BUILDER FUND (JNBSX)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
10	LOOMIS SAYLES GLOBAL EQUITY & INCOME FUND (LSWWX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
11	PRUDENTIAL GLOBAL REAL ESTATE FUND (PURZX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
12	THORNBURG INTERMEDIATE MUNI FUND (THMIX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
13	THORNBURG INVSMT INCOME BUILDER FUND (TIBIX)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
14	VANGUARD DIVIDEND APPRECIATION FUND (VIG)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	VANGUARD EXTD MKT ETF (VXF)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
16	VANGUARD FTSE DEVELOPED MARKETS ETF (VEA)		Yes	\$100,001 - \$250,000		\$201 - \$1,000
17	VANGUARD LARGE-CAP ETF (VV)		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
18	WELLS FARGO MUNICIPAL BOND FUND (WMBIX)		Yes	None (or less than \$1,001)		\$201 - \$1,000
19	PAX WORLD GLBL ENVIROMENTAL MARKETS FUND (PGINX)		Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
20	GEB CLIRN ISS CIBC (MLTEZ)		N/A	\$1,001 - \$15,000		None (or less than \$201)
21	SP500 CLIRN ISSUER CIBC (MLTGZ)		N/A	\$1,001 - \$15,000		None (or less than \$201)
22	SP500 CLIRNS ISSUER BNS (MLTJB)		N/A	\$15,001 - \$50,000		None (or less than \$201)
23	R2000 CLIRN ISSUER BOFA (MLVCE)		N/A	\$15,001 - \$50,000		None (or less than \$201)
24	VAREX IMAGING CORP (VREX)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
25	INTL BKT CLIRN ISS CIBC (MLVHS)		N/A	\$1,001 - \$15,000		None (or less than \$201)
26	SP500 CLIRNS ISSUER BNS (MLVHV)		N/A	\$1,001 - \$15,000		None (or less than \$201)
27	R2000 CLIRN ISSUER RBC (MLVHB)		N/A	\$1,001 - \$15,000		None (or less than \$201)
28	SP500 CLIRN iSSUER WFC (MLVHA)		N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29	INTL BKT LIRN ISS CS (MLVCV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
30	SX5E LEV STEPUP ISS HSBC (MLUFU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
31	INTL BKT CLIRN ISS BNS (MLVHC)	N/A	\$15,001 - \$50,000		None (or less than \$201)
32	ISHARES CORE S&P SMALL CAP ETF (IJR)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
33	VANGUARD FTSE EMERGING MARKETS ETF (VWO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
34	JP MORGAN GLOBAL ALLOCATION FD (GAOSX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
35	SP500 CLIRN ISSUER BOFA (MLVJG)	N/A	\$15,001 - \$50,000		None (or less than \$201)
36	FPA NEW INCOME INC (FPNIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
37	PIMCO INCOME FUND (PONPX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
38	WESTERN ASSET CORE PLUS BOND FD (WACPX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
39	VIRTUS NEWFLEET MULTI- SECTOR SHT TRM BOND FD (PIMSX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
40	BLACKROCK STRATEGIC INCOME OPPRTNTS (BSIIX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
41	OAKMARK EQUITY & INCOME FUND - Advisor Class (OAYBX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
42	ISHARES CORE S&P U.S. GROWTH ETF (IUSG)	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
43	AMERICAN CENTURY EQUITY INCOME FUND (ACIIX)		Yes	\$50,001 - \$100,000		\$201 - \$1,000
44	ISHARES CORE S&P US VALUE ETF (IUSV)		Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
45	VANGUARD INFORMATION TECH ETF (VGT)		Yes	None (or less than \$1,001)		\$15,001 - \$50,000
46	VANGUARD INDUSTRIAL ETF (VIS)		Yes	None (or less than \$1,001)		\$5,001 - \$15,000
47	CONSUMER DISCRETIONARY SPDR (XLY)		Yes	None (or less than \$1,001)		\$15,001 - \$50,000
48	FIRST TRUST CLOUD COMPUTING ETF (SKYY)		Yes	None (or less than \$1,001)		\$1,001 - \$2,500
49	FIRST TR EXCHANGE TRADED FD DOW JONES IN (FDN)		Yes	None (or less than \$1,001)		\$2,501 - \$5,000
50	FRANKLIN MUTUAL GLOBAL DISCOVERY FD (MDISX)		Yes	None (or less than \$1,001)		\$1,001 - \$2,500
51	GEB CLIRN ISS CIBC (MLTZX)	See Endnote	N/A	None (or less than \$1,001)		\$1,001 - \$2,500
52	HEALTH CARE SELECT SPDR (XLV)		Yes	None (or less than \$1,001)		\$5,001 - \$15,000
53	ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG)		N/A	None (or less than \$1,001)		\$2,501 - \$5,000
54	ISHARES MSCI EAFE (EFA)		Yes	None (or less than \$1,001)		\$15,001 - \$50,000
55	ISHARES NASDAQ BIOTECH ETF (IBB)		Yes	None (or less than \$1,001)		\$1,001 - \$2,500
56	MATERIALS SELECT SECTOR SPDR FUND (XLB)		Yes	None (or less than \$1,001)		\$1,001 - \$2,500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
57	REAL ESTATE SELECT SECTOR SPDR FD (XLRE)		Yes	None (or less than \$1,001)		\$201 - \$1,000
58	SECTOR SPDR CONSMRS STPL (XLP)		Yes	None (or less than \$1,001)		\$1,001 - \$2,500
59	SECTOR SPDR ENERGY (XLE)		Yes	None (or less than \$1,001)		\$201 - \$1,000
60	SECTOR SPDR INDUSTRIAL (XLI)		Yes	None (or less than \$1,001)		\$5,001 - \$15,000
61	SECTOR SPDR UTILITIES (XLU)		Yes	None (or less than \$1,001)		\$201 - \$1,000
62	SP500 CLIRN ISSUER BAC (MLTZZT)	See Endnote	N/A	None (or less than \$1,001)		\$2,501 - \$5,000
63	SP500 CLIRN ISSUER CIBC (MLTIM)	See Endnote	N/A	None (or less than \$1,001)		\$1,001 - \$2,500
64	SP500 STEPUP ISSUER CIBC (MLZZL)		N/A	None (or less than \$1,001)		\$1,001 - \$2,500
65	SPDR S&P INSURANCE ETF (KIE)		Yes	None (or less than \$1,001)		\$1,001 - \$2,500
66	SPDR US FINANCIAL SECTOR ETF (XLF)		Yes	None (or less than \$1,001)		\$2,501 - \$5,000
67	SX5E CLIRN ISSUER BARC (MLTCJ)		N/A	None (or less than \$1,001)		\$2,501 - \$5,000
68	SX5E CLIRNS ISSUER CIBC (MLTTZ)		N/A	None (or less than \$1,001)		\$2,501 - \$5,000
69	VANECK VECTORS J.P. MORGAN EM LOCAL CURRENCY (EMLC)		Yes	None (or less than \$1,001)		\$201 - \$1,000
70	VANGUARD CONSUMER STAPLES ETF (VDC)		Yes	None (or less than \$1,001)		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
71	VANGUARD FINANCIALS ETF (VFH)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
72	VANGUARD MATERIALS ETF (VAW)	Yes	None (or less than \$1,001)		\$201 - \$1,000
73	ISHARES MSCI EUROZONE ETF (EZU)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
74	ISHARES MSCI JAPAN ETF (EWJ)	Yes	None (or less than \$1,001)		\$201 - \$1,000
75	ISHARES MSCI PACIFIC EX-JAPAN (EPP)	Yes	None (or less than \$1,001)		\$201 - \$1,000
76	ISHARES MSCI U K ETF (EWU)	Yes	None (or less than \$1,001)		\$201 - \$1,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	ISHARES MSCI EAFE (EFA)	Sale	01/19/18	\$50,001 - \$100,000
2	BLACKROCK STRATEGIC INCOME OPPRTNTS (BSIIX)	Purchase	01/19/18	\$50,001 - \$100,000
3	PIMCO INCOME FUND (PONPX)	Purchase	01/19/18	\$50,001 - \$100,000
4	VIRTUS NEWFLEET MULTI- SECTOR SHT TRM BOND FD (PIMSX)	Purchase	01/19/18	\$50,001 - \$100,000
5	VANGUARD INTERMEDIATE TERM BOND ETF (BIV)	Purchase	01/04/18	\$1,001 - \$15,000
6	WESTERN ASSET CORE PLUS BOND FD (WACPX)	Purchase	01/19/18	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
7	VANGUARD INTERMEDIATE TERM BOND ETF (BIV)	Sale	01/19/18	\$15,001 - \$50,000
8	FPA CRESCENT FUND (FPACX)	Purchase	01/04/18	\$1,001 - \$15,000
9	JP MORGAN INCOME BUILDER FUND (JNBSX)	Sale	01/19/18	\$15,001 - \$50,000
10	VANGUARD SHORT TERM BOND (BSV)	Sale	01/19/18	\$15,001 - \$50,000
11	ISHARES MSCI EUROZONE ETF (EZU)	Purchase	01/19/18	\$15,001 - \$50,000
12	SECTOR SPDR INDUSTRIAL (XLI)	Sale	01/19/18	\$15,001 - \$50,000
13	FPA NEW INCOME INC (FPNIX)	Purchase	01/19/18	\$15,001 - \$50,000
14	ISHARES MBS ETF (MBB)	Sale	01/19/18	\$15,001 - \$50,000
15	JP MORGAN GLOBAL ALLOCATION FD (GAOSX)	Purchase	01/19/18	\$15,001 - \$50,000
16	ISHARES MSCI UK ETF (EWU)	Purchase	01/19/18	\$15,001 - \$50,000
17	VANGUARD FINANCIALS ETF (VFH)	Sale	01/19/18	\$15,001 - \$50,000
18	PRUDENTIAL GLOBAL REAL ESTATE FUND (PURZX)	Sale	01/19/18	\$15,001 - \$50,000
19	ISHARES IBOXX \$ INVT GRADE CORP BD (LQD)	Sale	01/19/18	\$15,001 - \$50,000
20	FRANKLIN MUTUAL GLOBAL DISCOVERY FD (MDISX)	Sale	01/19/18	\$15,001 - \$50,000
21	ISHARES MSCI PACIFIC EX-JAPAN (EPP)	Purchase	01/19/18	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
22	THORNBURG INVSMT INCOME BUILDER FUND (TIBIX)	Sale	01/19/18	\$15,001 - \$50,000
23	FIRST EAGLE GLOBAL CLASS I (SGIIX)	Sale	01/19/18	\$15,001 - \$50,000
24	AMERICAN CENTURY EQUITY INCOME FUND (ACIIX)	Purchase	01/19/18	\$15,001 - \$50,000
25	FPA CRESCENT FUND (FPACX)	Sale	01/19/18	\$15,001 - \$50,000
26	BLACKROCK GLOBAL ALLOCATION FD (MALOX)	Sale	01/19/18	\$15,001 - \$50,000
27	LOOMIS SAYLES GLOBAL ALLOCATION FD (LSWWX)	Sale	01/19/18	\$15,001 - \$50,000
28	VANGUARD INDUSTRIAL ETF (VIS)	Purchase	01/19/18	\$15,001 - \$50,000
29	ISHARES 3-7 YEAR TREASURY BOND ETF (IEI)	Sale	01/19/18	\$15,001 - \$50,000
30	ISHARES MSCI JAPAN ETF SHS (EWJ)	Purchase	01/19/18	\$15,001 - \$50,000
31	POWERSHARES EM SOVEREIGN BOND USD ETF (PCY)	Sale	01/19/18	\$1,001 - \$15,000
32	ISHARES TIPS BOND ETF (TIP)	Sale	01/19/18	\$1,001 - \$15,000
33	MATERIALS SELECT SECTOR SPDR FUND (XLB)	Sale	01/19/18	\$1,001 - \$15,000
34	ISHARES MSCI CDA ETF (EWC)	Purchase	01/19/18	\$1,001 - \$15,000
35	SPDR US FINANCIAL SECTOR ETF (XLF)	Purchase	01/19/18	\$1,001 - \$15,000
36	VANGUARD INFORMATION TECH ETF (VGT)	Sale	01/19/18	\$15,001 - \$50,000
37	CONSUMER DISCRETIONARY SPDR (XLY)	Purchase	01/19/18	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
38	ISHARES MSCI SWITZERLAND ETF (EWL)	Purchase	01/19/18	\$1,001 - \$15,000
39	FIRST TR EXCHANGE TRADED FD DOW JONES IN (FDN)	Purchase	01/19/18	\$1,001 - \$15,000
40	FIRST TRUST CLOUD COMPUTING ETF (SKYY)	Purchase	01/19/18	\$1,001 - \$15,000
41	SECTOR SPDR UTILITIES (XLU)	Sale	01/19/18	\$1,001 - \$15,000
42	REAL ESTATE SELECT SECTOR SPDR FD (XLRE)	Sale	01/19/18	\$1,001 - \$15,000
43	VANECK VECTORS J.P. MORGAN EM LOCAL CURRENCY (EMLC)	Sale	01/19/18	\$1,001 - \$15,000
44	ISHARES IBOXX\$ HIGH YIEL CORPORATE BOND (HYG)	Sale	01/19/18	\$1,001 - \$15,000
45	SPDR S&P INSURANCE ETF (KIE)	Sale	01/19/18	\$1,001 - \$15,000
46	VANGUARD CONSUMER STAPLES ETF (VDC)	Purchase	01/19/18	\$1,001 - \$15,000
47	VANGUARD MATERIALS ETF (VAW)	Purchase	01/19/18	\$1,001 - \$15,000
48	PAX WORLD GLBL ENVRNMNTL MARKETS FUND (PGINX)	Sale	01/19/18	\$1,001 - \$15,000
49	POWERSHARES PREFERRED PORTFOLIO (PGX)	Sale	01/19/18	\$1,001 - \$15,000
50	ISHARES MSCI SWEDEN ETF (EWD)	Purchase	01/19/18	\$1,001 - \$15,000
51	ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG)	Purchase	01/19/18	\$1,001 - \$15,000
52	VANGUARD INDUSTRIAL ETF (VIS)	Sale	01/19/18	\$1,001 - \$15,000
53	VANGUARD CONSUMER STAPLES ETF (VDC)	Sale	01/19/18	\$1,001 - \$15,000
54	SPDR US FINANCIAL SECTOR ETF (XLF)	Sale	01/19/18	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
55	HEALTH CARE SELECT SPDR (XLV)		Sale	01/19/18	\$1,001 - \$15,000
56	CONSUMER DISCRETIONARY SPDR (XLY)		Sale	01/19/18	\$1,001 - \$15,000
57	VANGUARD CONSUMER STAPLES ETF (VDC)		Sale	01/19/18	\$1,001 - \$15,000
58	SPDR US FINANCIAL SECTOR ETF (XLF)		Sale	01/19/18	\$1,001 - \$15,000
59	FIRST TR EXCHANGE TRADED FD DOW JONES IN (FDN)		Sale	01/19/18	\$1,001 - \$15,000
60	FIRST TRUST CLOUD COMPUTING ETF (SKYY)		Sale	01/19/18	\$1,001 - \$15,000
61	ISHARES NASDAQ BIOTECH ETF (IBB)		Sale	01/19/18	\$1,001 - \$15,000
62	SECTOR SPDR ENERGY (XLE)		Purchase	01/19/18	\$1,001 - \$15,000
63	SX5E LEV STEPUP ISS HSBC (MLUFU)		Purchase	01/25/18	\$15,001 - \$50,000
64	SX5E CLIRN ISSUER BARC (MLTCJ)	See Endnote	Sale	01/26/18	\$15,001 - \$50,000
65	SX5E CLIRNS ISSUER CIBC (MLTTZ)	See Endnote	Sale	01/30/18	\$1,001 - \$15,000
66	SECTOR SPDR ENERGY (XLE)		Sale	01/19/18	\$1,001 - \$15,000
67	INTL BKT LIRN ISS CS (MLVCV)		Purchase	01/25/18	\$1,001 - \$15,000
68	THE OAKMARK EQUITY & INCOME FUND (OAKBX)		Purchase	01/19/18	\$15,001 - \$50,000
69	SECTOR SPDR CONSMRS STPL (XLP)		Sale	01/19/18	\$15,001 - \$50,000
70	SECTOR SPDR ENERGY (XLE)		Sale	02/20/18	\$1,001 - \$15,000
71	VANGUARD MATERIALS ETF (VAW)		Purchase	03/06/18	\$1,001 - \$15,000
72	ISHARES MSCI JAPAN ETF (EWJ)		Purchase	03/06/18	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
73	VANGUARD INFORMATION TECH ETF (VGT)	Sale	03/06/18	\$1,001 - \$15,000
74	FIRST TRUST CLOUD COMPUTING ETF (SKYY)	Sale	03/06/18	\$1,001 - \$15,000
75	SPDR US FINANCIAL SECTOR ETF (XLF)	Purchase	03/06/18	\$1,001 - \$15,000
76	CONSUMER DISCRETIONARY SPDR (XLY)	Sale	03/06/18	\$15,001 - \$50,000
77	VANGUARD CONSUMER STAPLES ETF (VDC)	Purchase	03/06/18	\$1,001 - \$15,000
78	ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG)	Sale	03/06/18	\$1,001 - \$15,000
79	ISHARES MSCI U K ETF (EWU)	Sale	03/06/18	\$1,001 - \$15,000
80	SPDR S&P INSURANCE ETF (KIE)	Purchase	03/06/18	\$1,001 - \$15,000
81	SECTOR SPDR UTILITIES (XLU)	Purchase	03/06/18	\$1,001 - \$15,000
82	ISHARES MSCI PACIFIC EX-JAPAN (EPP)	Sale	03/06/18	\$1,001 - \$15,000
83	HEALTH CARE SELECT SPDR (XLV)	Sale	03/06/18	\$1,001 - \$15,000
84	REAL ESTATE SELECT SECTOR SPDR FD (XLRE)	Purchase	03/06/18	\$1,001 - \$15,000
85	FIRST TR EXCHANGE TRADED FD DOW JONES IN (FDN)	Sale	03/06/18	\$1,001 - \$15,000
86	ISHARES NASDAQ BIOTECH ETF (IBB)	Sale	03/006/18	\$1,001 - \$15,000
87	ISHARES MSCI SWITZERLAND ETF (EWL)	Sale	03/06/18	\$1,001 - \$15,000
88	VANGUARD INDUSTRIAL ETF (VIS)	Purchase	03/06/18	\$1,001 - \$15,000
89	SPDR US FINANCIAL SECTOR ETF (XLF)	Purchase	03/28/18	\$1,001 - \$15,000
90	CONSUMER DISCRETIONARY SPDR (XLY)	Sale	03/28/18	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
91	FIRST TR EXCHANGE TRADED FD DOW JONES IN (FDN)	Purchase	03/28/18	\$1,001 - \$15,000
92	VANGUARD MATERIALS ETF (VAW)	Sale	03/28/18	\$1,001 - \$15,000
93	SP500 STEPUP ISSUER CIBC (MLZZL)	Sale	06/05/18	\$15,001 - \$50,000
94	BLACKROCK GLOBAL ALLOCATION FD (MALOX)	Sale	06/14/18	\$15,001 - \$50,000
95	FRANKLIN MUTUAL GLOBAL DISCOVERY FD (MDISX)	Sale	06/14/18	\$15,001 - \$50,000
96	THORNBURG INVSMT INCOME BUILDER FUND (TIBIX)	Sale	06/14/18	\$15,001 - \$50,000
97	JP MORGAN GLOBAL ALLOCATION FD (GAOSX)	Purchase	6/14/18	\$1,001 - \$15,000
98	AMERICAN CENTURY EQUITY INCOME FUND (ACIIX)	Purchase	6/14/18	\$1,001 - \$15,000
99	FIRST EAGLE GLOBAL CLASS (SGIIX)	Purchase	06/14/18	\$1,001 - \$15,000
100	THE OAKMARK EQUITY & INCOME FUND (OAKBX)	Purchase	6/14/18	\$1,001 - \$15,000
101	FPA CRESCENT FUND (FPACX)	Purchase	06/14/18	\$1,001 - \$15,000
102	LOOMIS SAYLES GLOBAL ALLOCATION (LSWWX)	Purchase	06/14/18	\$1,001 - \$15,000
103	ISHARES MSCI EUROZONE ETF (EZU)	Sale	06/21/18	\$1,001 - \$15,000
104	ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG)	Purchase	06/21/18	\$1,001 - \$15,000
105	VANGUARD CONSUMER STAPLES ETF (VDC)	Purchase	06/21/18	\$1,001 - \$15,000
106	ISHARES MSCI SWITZERLAND ETF (EWL)	Sale	6/21/18	\$1,001 - \$15,000
107	SPDR US FINANCIAL SECTOR ETF (XLF)	Purchase	06/22/18	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
108	FIRST TR EXCHANGE TRADED FD DOW JONES IN (FDN)	Sale	06/22/18	\$1,001 - \$15,000
109	VANGUARD INDUSTRIAL ETF (VIS)	Purchase	6/22/18	\$1,001 - \$15,000
110	SP500 CLIRN ISSUER WFC (MLVHA)	Purchase	06/29/18	\$1,001 - \$15,000
111	INTL BKT CLIRN ISS BNS (MLVHC)	Purchase	06/28/18	\$15,001 - \$50,000
112	R2000 CLIRN ISSUER RBC (MLVHB)	Purchase	06/28/18	\$1,001 - \$15,000
113	SP500 CLIRN ISSUER WFC (MLVHA)	Purchase	07/05/18	\$1,001 - \$15,000
114	R2000 CLIRN ISSUER RBC (MLVHB)	Purchase	07/06/18	\$1,001 - \$15,000
115	THORNBURG INVSMT INCOME BUILDER FUND (TIBIX)	Sale	07/24/18	\$1,001 - \$15,000
116	BLACKROCK GLOBAL ALLOCATION FD (MALOX)	Sale	07/24/18	\$1,001 - \$15,000
117	VIRTUS NEWFLEET MULTI- SECTOR SHT TRM BOND FD (PIMSX)	Sale	08/03/18	\$1,001 - \$15,000
118	SP500 CLIRNS ISSUER BNS (MLVHV)	Purchase	08/02/18	\$1,001 - \$15,000
119	FPA NEW INCOME INC (FPNIX)	Sale	08/03/18	\$1,001 - \$15,000
120	INTL BKT CLIRN ISS CIBC (MLVHS)	Purchase	08/02/18	\$1,001 - \$15,000
121	AMERICAN CENTURY EQUITY INCOME FUND (ACIIX)	Sale	08/03/18	\$1,001 - \$15,000
122	FPA CRESCENT FUND (FPACX)	Sale	08/03/18	\$1,001 - \$15,000
123	BLACKROCK STRATEGIC INCOME OPPRTNTS (BSIIX)	Sale	08/03/18	\$1,001 - \$15,000
124	PGIM GLOBAL REAL ESTATE FUND (PURZX)	Sale	08/03/18	\$1,001 - \$15,000
125	THE OAKMARK EQUITY & INCOME FUND (OAKBX)	Sale	08/03/18	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
126	PIMCO INCOME FUND (PONPX)	Sale	08/03/18	\$1,001 - \$15,000
127	VANGUARD FTSE DEVELOPED MARKETS ETF (VEA)	Purchase	08/17/18	\$15,001 - \$50,000
128	VANGUARD INFORMATION TECH ETF (VGT)	Sale	08/17/18	\$50,001 - \$100,000
129	ISHARES MSCI JAPAN ETF (EWJ)	Sale	08/17/18	\$1,001 - \$15,000
130	SPDR US FINANCIAL SECTOR ETF (XLF)	Sale	08/17/18	\$1,001 - \$15,000
131	ISHARES CORE S&P US VALUE ETF (IUSV)	Purchase	08/17/18	\$15,001 - \$50,000
132	ISHARES CORE S&P SMALL CAP ETF (IJR)	Purchase	08/17/18	\$1,001 - \$15,000
133	ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG)	Sale	08/17/18	\$1,001 - \$15,000
134	VANGUARD INDUSTRIAL ETF (VIS)	Sale	08/17/18	\$15,001 - \$50,000
135	ISHARES CORE S&P U.S. GROWTH ETF (IUSG)	Purchase	08/17/18	\$15,001 - \$50,000
136	ISHARES MSCI PACIFIC EX-JAPAN (EPP)	Sale	08/17/18	\$1,001 - \$15,000
137	ISHARES MSCI UK ETF (EWU)	Sale	08/17/18	\$1,001 - \$15,000
138	VANGUARD CONSUMER STAPLES ETF (VDC)	Sale	08/17/18	\$15,001 - \$50,000
139	ISHARES MSCI EUROZONE ETF (EZU)	Sale	08/17/18	\$1,001 - \$15,000
140	HEALTH CARE SELECT SPDR (XLV)	Sale	08/17/18	\$1,001 - \$15,000
141	CONSUMER DISCRETIONARY SPDR (XLY)	Sale	08/17/18	\$15,001 - \$50,000
142	VANGUARD MATERIALS ETF (VAW)	Sale	08/17/18	\$1,001 - \$15,000
143	ISHARES MSCI CDA ETF (EWC)	Sale	08/17/18	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
144	VANGUARD FTSE EMERGING MARKETS ETF (VWO)	Purchase	08/17/18	\$1,001 - \$15,000
145	FIRST TR EXCHANGE TRADED FD DOW JONES IN (FDN)	Sale	08/17/18	\$1,001 - \$15,000
146	ISHARES NASDAQ BIOTECH ETF (IBB)	Sale	08/17/18	\$1,001 - \$15,000
147	REAL ESTATE SELECT SECTOR SPDR FD (XLRE)	Sale	08/17/18	\$1,001 - \$15,000
148	ISHARES MSCI SWITZERLAND ETF (EWL)	Sale	08/17/18	\$1,001 - \$15,000
149	SECTOR SPDR ENERGY (XLE)	Sale	08/17/18	\$1,001 - \$15,000
150	SECTOR SPDR UTILITIES (XLU)	Sale	08/17/18	\$1,001 - \$15,000
151	SPDR S&P INSURANCE ETF (KIE)	Sale	08/17/18	\$1,001 - \$15,000
152	ISHARES CORE S&P US VALUE ETF (IUSV)	Purchase	08/20/18	\$100,001 - \$250,000
153	VANGUARD FTSE DEVELOPED MARKETS ETF (VEA)	Purchase	08/20/18	\$50,001 - \$100,000
154	ISHARES CORE S&P U.S. GROWTH ETF (IUSG)	Purchase	08/20/18	\$50,001 - \$100,000
155	VANGUARD INFORMATION TECH ETF (VGT)	Sale	08/20/18	\$15,001 - \$50,000
156	ISHARES MSCI JAPAN ETF (EWJ)	Sale	08/20/18	\$15,001 - \$50,000
157	SPDR US FINANCIAL SECTOR ETF (XLF)	Sale	08/20/18	\$15,001 - \$50,000
158	ISHARES MSCI EUROZONE ETF (EZU)	Sale	08/20/18	\$15,001 - \$50,000
159	ISHARES CORE S&P SMALL CAP ETF (IJR)	Purchase	08/20/18	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
160	ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG)	Sale	08/20/18	\$15,001 - \$50,000
161	ISHARES MSCI PACIFIC EX-JAPAN (EPP)	Sale	08/20/18	\$15,001 - \$50,000
162	VANGUARD FTSE EMERGING MARKETS ETF (VWO)	Purchase	08/20/18	\$15,001 - \$50,000
163	ISHARES MSCI U K ETF (EWU)	Sale	08/20/18	\$15,001 - \$50,000
164	VANGUARD CONSUMER STAPLES ETF (VDC)	Sale	08/20/18	\$15,001 - \$50,000
165	HEALTH CARE SELECT SPDR (XLV)	Sale	08/20/18	\$15,001 - \$50,000
166	VANGUARD INDUSTRIAL ETF (VIS)	Sale	08/20/18	\$15,001 - \$50,000
167	VANGUARD MATERIALS ETF (VAW)	Sale	08/20/18	\$1,001 - \$15,000
168	FIRST TR EXCHANGE TRADED FD DOW JONES IN (FDN)	Sale	08/20/18	\$1,001 - \$15,000
169	ISHARES NASDAQ BIOTECH ETF (IBB)	Sale	08/20/18	\$1,001 - \$15,000
170	REAL ESTATE SELECT SECTOR SPDR FD (XLRE)	Sale	08/20/18	\$1,001 - \$15,000
171	ISHARES MSCI SWITZERLAND ETF (EWL)	Sale	08/20/18	\$1,001 - \$15,000
172	CONSUMER DISCRETIONARY SPDR (XLY)	Sale	08/20/18	\$1,001 - \$15,000
173	SECTOR SPDR ENERGY (XLE)	Sale	08/20/18	\$1,001 - \$15,000
174	SECTOR SPDR UTILITIES (XLU)	Sale	08/20/18	\$1,001 - \$15,000
175	iShares MSCI Sweden ETF (EWD)	Sale	08/20/18	\$1,001 - \$15,000
176	SPDR S&P INSURANCE ETF (KIE)	Sale	08/20/18	\$1,001 - \$15,000
177	ISHARES MSCI CDA ETF (EWC)	Sale	08/20/18	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
178	VANGUARD DIVIDEND APPRECIATION ETF (VIG)	Purchase	09/05/18	\$15,001 - \$50,000
179	THORNBURG INTERMEDIATE MUNI FUND (THMIX)	Purchase	09/05/18	\$1,001 - \$15,000
180	WELLS FARGO MUNICIPAL BOND FD (WMBIX)	Purchase	09/05/18	\$1,001 - \$15,000
181	SP500 CLIRN ISSUER BOFA (MLVJG)	Purchase	09/27/18	\$15,001 - \$50,000
182	OAKMARK EQUITY & INCOME FUND (OAKBX)	Sale	12/07/2018	\$15,001 - \$50,000
183	OAKMARK EQUITY & INCOME FUND - Advisor Class (OAYBX)	Purchase	12/07/2018	\$15,001 - \$50,000
184	OAKMARK EQUITY & INCOME FUND - Advisor Class (OAYBX)	Purchase	12/27/2018	\$15,001 - \$50,000
185	THORNBURG INVSMT INCOME BUILDER FUND (TIBIX)	Sale	12/27/2018	\$15,001 - \$50,000
186	BLACKROCK GLOBAL ALLOCATION FD (MALOX)	Sale	12/27/2018	\$15,001 - \$50,000
187	WELLS FARGO MUNICIPAL BOND FD (WMBIX)	Sale	12/27/2018	\$15,001 - \$50,000
188	THORNBURG INTERMEDIATE MUNI FUND (THMIX)	Sale	12/27/2018	\$15,001 - \$50,000
189	AMERICAN CENTURY EQUITY INCOME FUND (ACIIX)	Purchase	12/27/2018	\$15,001 - \$50,000
190	SX5E STEPUP ISSUER BNS (MLZXV)	Sale	12/27/2018	\$15,001 - \$50,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
6.	24	Company spun off from Varian Medical Systems, Inc. (VAR) Stock was acquired through a stock split.
6.	51	Note redeemed 9/28/18
6.	62	Note redeemed 9/28/18
6.	63	Note redeemed on 6/29/18
7.	64	Note was redeemed on 1/26/18. Voluntary reporting on Transaction Report.
7.	65	Note was redeemed on 1/30/18. Voluntary reporting on transaction report.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

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