

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Leventhal, Alan M

Ambassador, Department of State

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.
/s/ Leventhal, Alan M [electronically signed on 09/16/2022 by Leventhal, Alan M in Integrity.gov] - Filer received a 45 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Green, Marcella, Certifying Official [electronically signed on 11/30/2022 by Green, Marcella in Integrity.gov]

Other review conducted by
/s/ Green, Marcella, Ethics Official [electronically signed on 11/30/2022 by Green, Marcella in Integrity.gov]

U.S. Office of Government Ethics Certification
/s/ Granahan, Megan, Certifying Official [electronically signed on 12/09/2022 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	AMAZON.COM INC (AMZN)	Sale	08/18/2022	No	\$250,001 - \$500,000
2	BROADCOM INC COM (AVGO)	Sale	08/18/2022	No	\$1,000,001 - \$5,000,000
3	CITRIX SYS INC COM (CTXS)	Sale	08/18/2022	No	\$50,001 - \$100,000
4	CONOCOPHILLIPS COM (COP)	Sale	08/18/2022	No	\$50,001 - \$100,000
5	LIVENT CORP COM (LTHM)	Sale	08/18/2022	No	\$250,001 - \$500,000
6	AIRBNB INC COM CL A (ABNB)	Sale	08/29/2022	No	\$15,001 - \$50,000
7	AUTODESK INC COM (ADSK)	Sale	08/29/2022	No	\$15,001 - \$50,000
8	DOCUSIGN INC COM (DOCU)	Sale	08/29/2022	No	\$1,001 - \$15,000
9	MASTERCARD INC CL A (MA)	Sale	08/29/2022	No	\$15,001 - \$50,000
10	NIKE INC CL B (NKE)	Sale	08/29/2022	No	\$1,001 - \$15,000
11	SERVICENOW INC COM (NOW)	Sale	08/29/2022	No	\$15,001 - \$50,000
12	UNITEDHEALTH GROUP INC COM (UNH)	Sale	08/29/2022	No	\$50,001 - \$100,000
13	ABBOTT LABORATORIES COM (ABT)	Sale	08/30/2022	No	\$250,001 - \$500,000
14	ACCENTURE PLC CLASS A ORDINARY (ACN)	Sale	08/30/2022	No	\$100,001 - \$250,000
15	ADOBE INC. (ADBE)	Sale	08/30/2022	No	\$250,001 - \$500,000
16	ALIGN TECHNOLOGY INC COM (ALGN)	Sale	08/30/2022	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
17	ALNYLAM PHARMACEUTICALS INC COM (ALNY)	Sale	08/30/2022	No	\$50,001 - \$100,000
18	ALPHABET INC CAP STK CL A (GOOGL)	Sale	08/30/2022	No	\$250,001 - \$500,000
19	ALPHABET INC CAP STK CL C (GOOG)	Sale	08/30/2022	No	\$500,001 - \$1,000,000
20	AMAZON.COM INC COM (AMZN)	Sale	08/30/2022	No	\$500,001 - \$1,000,000
21	AMETEK INC NEW COM (AME)	Sale	08/30/2022	No	\$50,001 - \$100,000
22	AMPHENOL CORP CL A (APH)	Sale	08/30/2022	No	\$50,001 - \$100,000
23	APPLE INC COM (AAPL)	Sale	08/30/2022	No	\$250,001 - \$500,000
24	ASTRAZENECA PLC SPONSORED ADR (AZN)	Sale	08/30/2022	No	\$100,001 - \$250,000
25	BERRY GLOBAL GROUP INC. (BERY)	Sale	08/30/2022	No	\$15,001 - \$50,000
26	BLACKROCK INC COM (BLK)	Sale	08/30/2022	No	\$50,001 - \$100,000
27	BLACKSTONE INC (BX)	Sale	08/30/2022	No	\$100,001 - \$250,000
28	CATALENT INC COM (CTLT)	Sale	08/30/2022	No	\$50,001 - \$100,000
29	CHEVRON CORP NEW COM (CVX)	Sale	08/30/2022	No	\$100,001 - \$250,000
30	CISCO SYS INC COM (CSCO)	Sale	08/30/2022	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
31	CONOCOPHILLIPS COM (COP)	Sale	08/30/2022	No	\$50,001 - \$100,000
32	CROWN HLDGS INC COM (CCK)	Sale	08/30/2022	No	\$50,001 - \$100,000
33	DANAHER CORP COM (DHR)	Sale	08/30/2022	No	\$100,001 - \$250,000
34	DISNEY WALT CO COM (DIS)	Sale	08/30/2022	No	\$100,001 - \$250,000
35	DOMINOS PIZZA INC COM (DPZ)	Sale	08/30/2022	No	\$15,001 - \$50,000
36	EDWARDS LIFESCIENCES CORP COM (EW)	Sale	08/30/2022	No	\$50,001 - \$100,000
37	ENPHASE ENERGY INC COM (ENPH)	Sale	08/30/2022	No	\$100,001 - \$250,000
38	FIDELITY NATL INFORMATION SVCS INC (FIS)	Sale	08/30/2022	No	\$100,001 - \$250,000
39	FMC CORP COM NEW (FMC)	Sale	08/30/2022	No	\$50,001 - \$100,000
40	GARTNER INC COM (IT)	Sale	08/30/2022	No	\$50,001 - \$100,000
41	HONEYWELL INTERNATIONAL INC COM (HON)	Sale	08/30/2022	No	\$100,001 - \$250,000
42	ILLUMINA INC COM (ILMN)	Sale	08/30/2022	No	\$15,001 - \$50,000
43	INTUITIVE SURGICAL INC COM NEW (ISRG)	Sale	08/30/2022	No	\$15,001 - \$50,000
44	JAZZ PHARMACEUTICALS PLC SHS USD (JAZZ)	Sale	08/30/2022	No	\$15,001 - \$50,000
45	JPMORGAN CHASE & CO COM (JPM)	Sale	08/30/2022	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
46	KEYSIGHT TECHNOLOGIES INC COM (KEYS)	Sale	08/30/2022	No	\$50,001 - \$100,000
47	KLA CORPORATION (KLAC)	Sale	08/30/2022	No	\$50,001 - \$100,000
48	LINDE PLC COM (LIN)	Sale	08/30/2022	No	\$100,001 - \$250,000
49	LIVENT CORP COM (LTHM)	Sale	08/30/2022	No	\$15,001 - \$50,000
50	MARRIOTT INTERNATIONAL CL A (MAR)	Sale	08/30/2022	No	\$50,001 - \$100,000
51	MEDTRONIC PLC SHS (MDT)	Sale	08/30/2022	No	\$50,001 - \$100,000
52	META PLATFORM, INC. (META)	Sale	08/30/2022	No	\$100,001 - \$250,000
53	MICROSOFT CORP COM (MSFT)	Sale	08/30/2022	No	\$500,001 - \$1,000,000
54	NETFLIX INC COM (NFLX)	Sale	08/30/2022	No	\$50,001 - \$100,000
55	OSHKOSH CORPORATION (OSK)	Sale	08/30/2022	No	\$15,001 - \$50,000
56	PALO ALTO NETWORKS INC COM (PANW)	Sale	08/30/2022	No	\$100,001 - \$250,000
57	PAYPAL HLDGS INC COM (PYPL)	Sale	08/30/2022	No	\$50,001 - \$100,000
58	PEPSICO INC COM (PEP)	Sale	08/30/2022	No	\$100,001 - \$250,000
59	RAYTHEON TECHNOLOGIES CORP COM (RTX)	Sale	08/30/2022	No	\$100,001 - \$250,000
60	RESMED INC COM (RMD)	Sale	08/30/2022	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
61	SALESFORCE INC (CRM)	Sale	08/30/2022	No	\$250,001 - \$500,000
62	STRYKER CORP COM (SYK)	Sale	08/30/2022	No	\$50,001 - \$100,000
63	TANDEM DIABETES CARE INC COM NEW (TNDM)	Sale	08/30/2022	No	\$15,001 - \$50,000
64	TELEFLEX INC COM (TFX)	Sale	08/30/2022	No	\$15,001 - \$50,000
65	THERMO FISHER CORP COM (TMO)	Sale	08/30/2022	No	\$100,001 - \$250,000
66	TWILIO INC CL A (TWLO)	Sale	08/30/2022	No	\$15,001 - \$50,000
67	VISA INC COM CL A (V)	Sale	08/30/2022	No	\$250,001 - \$500,000
68	ZOETIS INC CL A (ZTS)	Sale	08/30/2022	No	\$100,001 - \$250,000
69	APPLE INC (AAPL)	Sale	08/31/2022	No	\$500,001 - \$1,000,000
70	CISCO SYSTEMS, INC. (CSCO)	Sale	08/31/2022	No	\$250,001 - \$500,000
71	COMCAST CORP. CLASS A (CMCSA)	Sale	08/31/2022	No	\$100,001 - \$250,000
72	HEWLETT PACKARD ENTERPRISE CO. (HPE)	Sale	08/31/2022	No	\$50,001 - \$100,000
73	INTEL CORP (INTC)	Sale	08/31/2022	No	\$250,001 - \$500,000
74	KLA CORPORATION (KLAC)	Sale	08/31/2022	No	\$100,001 - \$250,000
75	LAM RESEARCH CORPORATION (LRCX)	Sale	08/31/2022	No	\$250,001 - \$500,000

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76	MICROSOFT CORPORATION (MSFT)	Sale	08/31/2022	No	\$250,001 - \$500,000
77	WARNER BROS. DISCOVERY, INC. (WBD)	Sale	08/31/2022	No	\$100,001 - \$250,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE

Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
