OGE Form 278-T (January 2013) 5 C.F.R. Part 2634 U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT: Periodic Transaction Report

| File | er's Name (Print Last, First, and Middle Initial) | Title of Position for Which Filing | | | | | | Department or Agency | | | | | | | | | | | |
|---------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|--------------------------------------|----------------------------------------------------------|------------------|---------------------|---------------------------|-----------------------------|------------------------|-------------------------|-------------------------------|--------------------------|-------------------------------------------|-----------------------|------------------------------|-------------------------------|--------------------------------|----------------------|--|
| Go | oldberg Beth L | District Director | | | | | SBA | | | | | | | | | | | | |
| Cei | rtification: I CERTIFY that the statements I have made | Signature of the Filer | | | Date | (Mon | th, Da | ıy, Yed | Notice of Extension | | | | | | | | | | |
| on t | this form and any attachments are true, complete, and rect to the best of my knowledge. | Beth Goldberg | | | | 2022-01-10 11:47:34 | | | | | | | Check if granted Indicate number of days: | | | | | | |
| Sig | nature of Intermediate Reviewing Official (if requir | Date (Mont | | Agency Use Only | | | | | | | OGE Use Only | | | | | | | | |
| | | | | | | | | 01/10/2022 | | | | | | | | | | | |
| Sig | nature of Agency's Final Reviewing Official | | Date (Mont | h, Day, Year | r) | | | Com | ments | of R | eview | ing O | fficia | ls | | | | | |
| M | larilyn Barnes | 2022-01-1 | 4 16:27:04 | | | | | | | | | | | | | | | | |
| Sig | nature of Reviewing Official at U.S. Office of Gover | rnment Ethics (if required) | Date (Mont | h, Day, Year | r) | | | 0 | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | |
| Rep You | cansactions port any purchase, sale, or exchange by you, your spouse, or a do not need to report: (1) mutual funds and other excepted es, and bonds; (4) Thrift Savings Plan accounts; (5) real prop | investment funds; (2) certificate | es of deposit, s | savings or che | ecking ou, yo | g acco | ounts ouse | , and n | noney | marke | t accou | | | | | ls, | | | |
| | | | | Transaction Type (x) | | | Amount of Transaction (x) | | | | | | | | on (x) | | | | |
| You tra i | eadlines u must file your report within 30 days of when you receive n nsaction but not later than 45 days after the transaction. Thes not apply to any voluntary additional disclosures you make. S Identification of Assets | se deadlines | Transaction Date (Mo./Day/Yr.) | Notification Received More Than 30 Days Ago* | Purchase | Sale | Exchange | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000** | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | |
| Ex. | Central Airlines Co. | | 10/1/12 | rigo | X | | | 55 55 | <i>5</i> + <i>5</i> + | X | 5 , 5 , | <i>5</i> 3 <i>5</i> 3 | <i>5</i> 3 53 | <u> </u> | <i>5</i> 3 53 | <i>5</i> 3 <i>5</i> 3 | 55 55 | <u> </u> | |
| Ex. | BMSL Propulsion, Inc. | | 9/4/12 | X | X | | | | X | | | | | | | | | | |
| 1 | ISRG - Intuitive Surg Inc | | 12/10/2021 | | × | | | × | | | | | | | | | | | |
| 2 | QCOM - Qualcomm Inc | | 12/10/2021 | | | X | | X | | | | | | | | | | | |
| 3 | PYPL - Paypal Holdings | | 12/13/2021 | | × | | | × | | | | | | | | | | | |
| 4 | QCOM - Qualcomm Inc | | 12/13/2021 | | | X | | × | | | | | | | | | | | |
| 5 | ECL - Ecolab Inc | | 12/16/2021 | | | × | | × | | | | | | | | | | | |
| 6 | IJR - S&P Small-Cap Ishares Core ETF | | 12/16/2021 | | | × | | × | | | | | | | | | | | |
| 7 | IJR - S&P Small-Cap Ishares Core ETF | | 12/16/2021 | | | × | | × | | | | | | | | | | | |
| | * Extensions of the due date should be noted in the "Notice ** This setseon applies only if the underlying esset is solely | | | 1 1 . | | | | 111 | | , | | | | • | • | | | | |

^{**} This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

| Filer's Name Goldberg | Beth | L | | Transactions continued (Use only if needed) | | | | | | | | | | | | | | | |
|------------------------|------------------|--------------------------------|----------------------------------------------------------|---------------------------------------------|------|----------------------|-----------------------|------------------------|-------------------------|--------------------------|--------------------------|----------------------------|-----------------------|------------------------------|-------------------------------|--------------------------------|----------------------|--|--|
| | | | | | | Transaction Type (x) | | | | | | Am | nsaction (x) | | | | | | |
| | 1 | Transaction Date (Mo./Day/Yr.) | Notification Received More Than 30 Days Ago* | Purchase | Sale | Exchange | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000** | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | | |
| SE - Sea | Ltd ADR | | | 12/17/2021 | | × | | | × | | | | | | | | | | |
| ² CGCIX - 0 | Calamos Globa | I Growth and | d Income Fund Class I | 12/20/2021 | | × | | | × | | | | | | | | | | |
| 3 CGCIX - 0 | Calamos Globa | I Growth and | d Income Fund Class I | 12/20/2021 | | X | | | × | | | | | | | | | | |
| 4 MGQIX - | Msif Global Sus | stain Portfoli | o - Class I | 12/20/2021 | | | × | | × | | | | | | | | | | |
| 5 MGQIX - | Msif Global Sus | stain Portfoli | o - Class I | 12/20/2021 | | | × | | × | | | | | | | | | | |
| 6 DOW - Do | ow Inc | | | 12/27/2021 | | | × | | × | | | | | | | | | | |
| 7 INTC - Int | el Corp | | | 12/27/2021 | | | × | | × | | | | | | | | | | |
| 8 LYB - Lyo | ndellbasell Indu | ustries NV | | 12/27/2021 | | | × | | × | | | | | | | | | | |
| 9 MDT - Me | edtronic Inc | | | 12/27/2021 | | | × | | × | | | | | | | | | | |
| PSX - Phi | llips 66 | | | 12/27/2021 | | | × | | × | | | | | | | | | | |
| 11 SDY - S& | P Dividend SPI | DR | | 12/27/2021 | | × | | | | × | | | | | | | | | |
| 12 SLY - S&I | P Smallcap 600 |) SPDR | | 12/27/2021 | | × | | | × | | | | | | | | | | |
| 13 SLY - S&I | P Smallcap 600 |) SPDR | | 12/27/2021 | | × | | | X | | | | | | | | | | |
| 14 T - AT&T | Inc | | | 12/27/2021 | | | × | | × | | | | | | | | | | |
| 15 VZ - Veriz | on Communica | ations Inc | | 12/27/2021 | | | × | | X | | | | | | | | | | |
| 16 AMCR - A | mcor Plc | | | 12/29/2021 | | | × | | × | | | | | | | | | | |

^{*} Extensions of the due date should be noted in the "Notice of Extension" section of this form.

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| oldberg | Beth | L | | | Transactions continued (Use only if needed) | | | | | | | | | | | | Page Number 3 | | | | | | |
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| | | | | | | | | | | | | Am | ount o | f Trans | saction (x) | | | | | | | | |
| | Ιc | dentification | Date (Mo./Day/Yr.) | 30 Days | Purchase | Sale | je. | \$1,001 - \$15,000 | \$15,001 - \$50,000 | 50,001 - | | | | | | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | | | | | |
| ADSK - Auto | odesk Inc | | | 01/06/2022 | | | × | | X | | | | | | | | | | | | | | |
| AVGO - Bro | adcom Ltd | | | 01/06/2022 | | | × | | × | | | | | | | | | | | | | | |
| CRWD - Crc | owdstrike Hold | 01/06/2022 | | × | | | X | | | | | | | | | | | | | | | | |
| CTXS - Citri | x Systems Inc | ; | | 01/06/2022 | | | × | | × | | | | | | | | | | | | | | |
| ETSY - Etsy | / Inc | | | 01/06/2022 | | × | | | X | | | | | | | | | | | | | | |
| IGV - North | American Tec | :h-Software | Ishares ETF | 01/06/2022 | | × | | | × | | | | | | | | | | | | | | |
| PODD - Inst | ulet Corp | | | 01/06/2022 | | × | | | × | | | | | | | | | | | | | | |
| XOP - S&P | Oil & Gas Exp | ol & Prod SF | PDR | 01/06/2022 | | × | | | × | | | | | | | | | | | | | | |
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| | ADSK - Auto AVGO - Broa CRWD - Cro CTXS - Citrix ETSY - Etsy IGV - North | Id ADSK - Autodesk Inc AVGO - Broadcom Ltd CRWD - Crowdstrike Hold CTXS - Citrix Systems Inc ETSY - Etsy Inc IGV - North American Tec PODD - Insulet Corp | Identification of ADSK - Autodesk Inc AVGO - Broadcom Ltd CRWD - Crowdstrike Holdings Inc CTXS - Citrix Systems Inc ETSY - Etsy Inc IGV - North American Tech-Software PODD - Insulet Corp | Identification of Assets ADSK - Autodesk Inc AVGO - Broadcom Ltd CRWD - Crowdstrike Holdings Inc CTXS - Citrix Systems Inc ETSY - Etsy Inc IGV - North American Tech-Software Ishares ETF | Transaction | Transaction Co (Use only if need (Use only if need (Use only if need (Use only if need (Mo/Day/Yr.) (Mo/Day/Yr.) | Transaction (Use only if needed) | Transaction Notification Notification Received More Than 30 Days Ago* Ago* X | Transaction Cuse only if needed Cuse only if needed | Transaction Cuse only if needed | Transaction Transaction | Transaction Notification Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type (x) Notification Type | Transaction Notification Notification Type (x) | Transaction Notification Notif | Transaction Notification Notif | Transaction Notification Notification Received Mor. Day Yr. More Than 30 Days Ago* A | Transaction Notification Date (Use only if needed) | Comparison Com | Transaction Notification Date (Mor/Day/Yr.) More Than 30 Days 30 Days | | | | |

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