

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Coulter, Kathryn

Chief of Staff, Department of Homeland Security

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

Senior Official Performing the Duties of Under Secretary (5/2021 - Present)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Coulter, Kathryn [electronically signed on 05/14/2022 by Coulter, Kathryn in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Sade, Dana, Certifying Official [electronically signed on 06/01/2022 by Sade, Dana in Integrity.gov]

Other review conducted by

/s/ Sade, Dana, Ethics Official [electronically signed on 06/01/2022 by Sade, Dana in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 06/01/2022

Data Revised 05/31/2022

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	MITRE Corp 401(A)	No			
1.1	Fidelity Freedom Index 2040 Fund Investor Class	Yes	\$50,001 - \$100,000		None (or less than \$201)
2	MITRE 403(b)	No			
2.1	Fidelity Freedom INdex 2040 Fund Investor Class (FBIFX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
3	Fidelity SAI International Index Fund (FIONX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4	Individual Brokerage Acct -- Fidelity SAI U.S. Quality Index Fund (FUQIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5	Individual Brokerage Acct -- Fidelity SAI Small-Mid Cap 500 Index Fund (FZFLX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6	Individual Brokerage Acct -- iShares S&P 500 Index (IVV)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
7	Individual Brokerage Acct -- iShares MUB: National Muni Bond	Yes	\$1,001 - \$15,000		None (or less than \$201)
8	Individual Brokerage Acct -- FUMIX: Fidelity SAI US Momentum Index Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9	Individual Brokerage Acct -- SCZ: iShares MSCI EAFE Small-Cap ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10	Individual Brokerage Acct -- VLUE: iShares Edge MSCI USA Value Factor ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
11	Individual Brokerage Acct -- AMZN Amazon	N/A	\$1,001 - \$15,000		None (or less than \$201)
12	Individual Brokerage Acct -- GOOGLE	N/A	\$1,001 - \$15,000		\$201 - \$1,000
13	Individual Brokerage Acct -- PRMTX: T Rowe price Communications & Technology Fund	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
14	Brokerage 2 -- T Rowe Price Growth Stock Ret	Yes	\$1,001 - \$15,000		None (or less than \$201)
15	Brokerage 2 -- State Street Russell Sm Cap Index Ret	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
16	Brokerage 2 -- TA BlackRock Health Sciences Opportunities Portfolio Investor A Shares		\$1,001 - \$15,000		None (or less than \$201)
17	Brokerage 2 -- TA BlackRock Lifepath Index 2045	Yes	\$1,001 - \$15,000		None (or less than \$201)
18	Brokerage 2 -- TA BlackRock Lifepath Index 2055 Ret	Yes	\$1,001 - \$15,000		None (or less than \$201)
19	Individual Brokerage Acct -- Fidelity FPADX -- Emerging Markets INdex Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
20	Individual Brokerage Acct -- PRVAX -- T Rowe Price VA Tax Free Bond Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
21	Individual Brokerage Acct -- IJH -- IShares Core S&T Mid-Cap ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
22	Individual Brokerage Acct -- IEFA -- IShares Trust Core MSCI EAFE ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
23	Individual Brokerage Acct -- SCHE -- Schwab Strategic TR Emerging Mkts Equity	Yes	\$1,001 - \$15,000		\$201 - \$1,000
24	iShares Edge MSCI USA Momentum Factor ETF (MTUM)	Yes	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25	iShares Edge MSCI USA Quality Factor ETF (QUAL)	Yes	\$1,001 - \$15,000		None (or less than \$201)
26	iShares TIPS Bond ETF (TIP)	Yes	None (or less than \$1,001)		None (or less than \$201)
27	iShares MSCI EAFE Value ETF (EFV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
28	Strategic Advisers Tax-Sensitive Short Duration Fund (FGNSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
29	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
30	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Yes	None (or less than \$1,001)		None (or less than \$201)
31	Fidelity SAI Small-Mid Cap 500 Index Fund (FZFLX)		\$1,001 - \$15,000		\$201 - \$1,000
32	Individual Brokerage Acct -- IWM iShares Russell 2000 ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
33	Individual Brokerage Acct -- SCHF Schwab Strategic TR INTL Equity ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
34	Individual Brokerage Acct -- VUG Vanguard Index FDS GRWTH	Yes	\$1,001 - \$15,000		None (or less than \$201)
35	Individual Brokerage Acct -- TFI SPDR Nuveen Bloomberg Municipal	Yes	\$1,001 - \$15,000		None (or less than \$201)
36	Individual Brokerage Acct -- IYR iShares U S Real Estate	Yes	\$1,001 - \$15,000		None (or less than \$201)
37	Individual Brokerage Acct -- Fidelity International Enhanced Index Fund (FIENX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
38	Individual Brokerage Acct -- MFS Virginia Municipal Bond Fund Class A Shares (MSVAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
39	Fidelity Extended Market Index Fund (FSMAX)		\$15,001 - \$50,000		\$201 - \$1,000
40	Fidelity SAI INTL Small CAP INDEX FUND (FSISX)		\$1,001 - \$15,000		None (or less than \$201)
41	Strategic Advisers Fidelity Emerging Markets Fund (FGOMX)		\$1,001 - \$15,000		None (or less than \$201)
42	Fidelity SAI INTL Quality Index Fund (FQITX)		\$1,001 - \$15,000		\$201 - \$1,000
43	Fidelity SAI INTL Momentum Index Fund (FITMX)		\$1,001 - \$15,000		None (or less than \$201)
44	Fidelity SAI International Value Index Fund (FIWCX)		\$1,001 - \$15,000		\$201 - \$1,000
45	Fidelity SAI US Value Index Fund (FSWCX)		\$1,001 - \$15,000		\$201 - \$1,000
46	Fidelity SAI US Momentum Index Fund (FUMIX)		\$1,001 - \$15,000		\$1,001 - \$2,500
47	Fidelity SAI US Large Cap Index Fund (FLCPX)		\$50,001 - \$100,000		\$5,001 - \$15,000
48	Fidelity SAI Real Estate Index Fund (FESIX)		\$1,001 - \$15,000		\$201 - \$1,000
49	Fidelity SAI US Quality Index Fund (FUQIX)		\$1,001 - \$15,000		\$201 - \$1,000
50	Fidelity Advisor Floating Rate High Income Fund Class Z Shares (FIQSX)		\$1,001 - \$15,000		None (or less than \$201)
51	Fidelity US Bond Index Fund (FXNAX)		\$15,001 - \$50,000		\$201 - \$1,000
52	Fidelity Advisor Government Income Fund Class Z Shares (FIKPX)		\$1,001 - \$15,000		None (or less than \$201)
53	Strategic Advisers Income Opportunities Fund (FPIOX)		\$1,001 - \$15,000		\$201 - \$1,000
54	Fidelity Inflation-Protected Bond Index Fund (FIPDX)		\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
55	Fidelity SAI Low Duration Income Fund (FZOLX)		\$1,001 - \$15,000		None (or less than \$201)
56	Fidelity Advisor Corporate Bond Fund Class Z Shares (FIKOX)		\$1,001 - \$15,000		None (or less than \$201)
57	Fidelity SAI Long-Term Treasury Bond Index Fund (FBLTX)		\$1,001 - \$15,000		None (or less than \$201)
58	Fidelity Advisor New Markets Income Fund Class Z Shares (FGBMX)		None (or less than \$1,001)		None (or less than \$201)
59	Fidelity Government Cash Reserves (FDRXX)		\$1,001 - \$15,000		None (or less than \$201)
60	iShares Core S&P Small-Cap ETF (IJR)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
61	Fidelity SAI US Treasury Bond Index Fund (FUTBX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
62	Fidelity Conservative Income Municipal Bond Fund Institutional Class Shares (FMNDX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
63	Fidelity SAIEmerging Markets Index Fund (FERGX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
64	iShares US Treasury Bond ETF (GOVT)		\$1,001 - \$15,000		\$201 - \$1,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Patuxent Partnership	Lexington Park, Maryland	defined contribution pension plan (all assets reflected elsewhere in 278)	4/2018

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US bank # 1 (cash)	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
2	US bank #2 (cash)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Fidelity SAIEmerging Markets Index Fund (FERGX)	Sale		\$1,001 - \$15,000
2	SPDR Portfolio S&P 500 Value ETF (SPYV)	Sale		\$1,001 - \$15,000
3	EFG iShares TR EAFE GRWTH ETF	Sale		\$1,001 - \$15,000
4	Fidelity SAI US Value Index Fund (FSWCX)	Sale		\$1,001 - \$15,000
5	iShares Core MSCIEmerging Markets ETF (IEMG)	Sale		\$1,001 - \$15,000
6	Individual Brokerage Acct -- iShares S&P SmallCap 600 Index (IJR)	Sale		\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
7	Fidelity SAI US Treasurty Bond Index (FUTBX)	Sale		\$1,001 - \$15,000
8	Fidelity SAI Small-Mid Cap 500 Index Fund (FZFLX)	Purchase		\$1,001 - \$15,000
9	FLEXSHARES Trust Morningstar Global UPST (GUNR)	Sale		\$1,001 - \$15,000
10	INVESCO Exchange Traded FD TR (RPV)	Sale		\$1,001 - \$15,000
11	iShares Core S&P Small-Cap ETF (IJR)	Sale		\$1,001 - \$15,000
12	Schwab US TIPS ETF (SCHP)	Sale		\$1,001 - \$15,000
13	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Sale		\$1,001 - \$15,000
14	T Rowe Price New Era Fund, Inc (PRNEX)	Sale		\$1,001 - \$15,000
15	VanguardEmerging Markets Stock Index Fund ETF Shares (VWO)	Sale		\$1,001 - \$15,000
16	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Sale		\$1,001 - \$15,000
17	Individual Brokerage Acct -- IWM iShares Russell 2000 ETF	Purchase		\$1,001 - \$15,000
18	SCHF Schwab Strategic TR INTL Equity ETF	Purchase		\$1,001 - \$15,000
19	VUG Vanguard Index FDS GRWTH	Purchase		\$1,001 - \$15,000
20	TFI SPDR Nuveen Bloomberg Municipal	Purchase		\$1,001 - \$15,000
21	IYR iShares U S Real Estate	Purchase		\$1,001 - \$15,000
22	Fidelity International Enhanced Index Fund (FIENX)	Purchase		\$1,001 - \$15,000
23	MFS Virginia Municipal Bond Fund Class A Shares (MSVAX)	Purchase		\$1,001 - \$15,000
24	Fidelity Extended Market Index Fund (FSMAX)	Purchase		\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
25	Fidelity SAI INTL Small CAP INDEX FUND (FSISX)	Purchase		\$1,001 - \$15,000
26	Strategic Advisers FidelityEmerging Markets Fund (FGOMX)	Purchase		\$1,001 - \$15,000
27	Fidelity SAI INTL Quality Index Fund (FQITX)	Purchase		\$1,001 - \$15,000
28	Fidelity SAI INTL Momentum Index Fund (FITMX)	Purchase		\$1,001 - \$15,000
29	Fidelity SAI International Value Index Fund (FIWCX)	Purchase		\$1,001 - \$15,000
30	Fidelity SAI US Value Index Fund (FSWCX)	Purchase		\$1,001 - \$15,000
31	Fidelity SAI US Momentum Index Fund (FUMIX)	Purchase		\$1,001 - \$15,000
32	Fidelity SAI US Large Cap Index Fund (FLCPX)	Purchase		\$50,001 - \$100,000
33	Fidelity SAI Real Estate Index Fund (FESIX)	Purchase		\$1,001 - \$15,000
34	Fidelity SAI US Quality Index Fund (FUQIX)	Purchase		\$1,001 - \$15,000
35	Fidelity Advisor Floating Rate High Income Fund Class Z Shares (FIQSX)	Purchase		\$1,001 - \$15,000
36	Fidelity US Bond Index Fund (FXNAX)	Purchase		\$15,001 - \$50,000
37	Fidelity Advisor Government Income Fund Class Z Shares (FIKPX)	Purchase		\$1,001 - \$15,000
38	Strategic Advisers Income Opportunities Fund (FPIOX)	Purchase		\$1,001 - \$15,000
39	Fidelity Inflation-Protected Bond Index Fund (FIPDX)	Purchase		\$1,001 - \$15,000
40	Fidelity SAI Low Duration Income Fund (FZOLX)	Purchase		\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
41	Fidelity Advisor Corporate Bond Fund Class Z Shares (FIKOX)	Purchase		\$1,001 - \$15,000
42	Fidelity SAI Long-Term Treasury Bond Index Fund (FBLTX)	Purchase		\$1,001 - \$15,000
43	Fidelity Advisor New Markets Income Fund Class Z Shares (FGBMX)	Purchase		\$1,001 - \$15,000
44	Fidelity Government Cash Reserves (FDRXX)	Purchase		\$1,001 - \$15,000
45	iShares Core S&P Small-Cap ETF (IJR)	Purchase		\$1,001 - \$15,000
46	Fidelity SAI US Treasury Bond Index Fund (FUTBX)	Purchase		\$1,001 - \$15,000
47	Fidelity Conservative Income Municipal Bond Fund Institutional Class Shares (FMNDX)	Purchase		\$1,001 - \$15,000
48	Fidelity SAIEmerging Markets Index Fund (FERGX)	Purchase		\$1,001 - \$15,000
49	iShares US Treasury Bond ETF (GOVT)	Purchase		\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
