Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Saenz, Adrian

Special Assistant to the President & Deputy Director for White House Office of Public Engagement, White House - Biden-Harris Administration

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Saenz, Adrian [electronically signed on 05/08/2022 by Saenz, Adrian in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Kozmycz, Marina M, Certifying Official [electronically signed on 05/11/2022 by Kozmycz, Marina M in Integrity.gov]

Other review conducted by
/s/ Kozmycz, Marina M, Ethics Official [electronically signed on 05/11/2022 by Kozmycz, Marina M in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 05/11/2022

Data Revised 05/10/2022

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	New Paradigm Strategy Group	Washington, District of Columbia	Corporation	Partner	3/2017	1/2021
2	Mosaic Media Strategy Group	Washington, District of Columbia	Corporation	President/Partner	8/2017	1/2021
3	Latinovative Media, LLC	Lewes, Delaware	Corporation	President	7/2020	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	New Paradigm Strategy Group (Public Affairs)	N/A		Salary/Bonus	$9,300
2	IRA #1	No			
2.1	HARTFORD CORE EQUITY FD - I	Yes	$1,001 - $15,000		None (or less than $201)
2.2	FEDERATED HERMES KAUFMANN SMALL CAP FD	Yes	$1,001 - $15,000		None (or less than $201)
2.3	PGIM JENNISON GLOBAL OPPORTUNITIES CL Z	Yes	$1,001 - $15,000		None (or less than $201)
2.4	NORTHERN MID-CAP INDEX PORTFOLIO	Yes	$15,001 - $50,000		None (or less than $201)
2.5	JPMORGAN EMERGING MARKETS EQUITY FUND (JEMSX)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.6	ROYCE INT PREMIER FUND INV CL	Yes	$1,001 - $15,000		None (or less than $201)
2.7	PRINCIPAL GLOBAL REAL ESTATE SECURITIES (POSIX)	Yes	$1,001 - $15,000		None (or less than $201)
2.8	PIMCO INCOME I-2	Yes	$1,001 - $15,000		None (or less than $201)
2.9	PGIM SHORT-TERM CORP BOND CL Z	Yes	$1,001 - $15,000		None (or less than $201)
2.10	Neuberger Berman Large Cap Value Fund Institutional Class (NBPIX)	Yes	$15,001 - $50,000		None (or less than $201)
2.11	Parnassus Endeavor Fund Institutional Class Shares (PFPWX)	Yes	$1,001 - $15,000		None (or less than $201)
2.12	American Century Small Cap Value Fund Class I Shares (ACVIX)	Yes	$1,001 - $15,000		None (or less than $201)
2.13	PIMCO RAE International Fund Class I-2 Shares (PPYPX)	Yes	$1,001 - $15,000		None (or less than $201)
2.14	BNY Mellon Core Plus Fund Class I Shares (DCPIX)	Yes	$1,001 - $15,000		None (or less than $201)
2.15	Federated Total Return Bond Fund Institutional Shares (FTRBX)	Yes	$1,001 - $15,000		None (or less than $201)
2.16	Principal Finisterre UnconstrainedEmerging Markets Bond Fund Institutional Class Shares (PFUMX)	Yes	$1,001 - $15,000		None (or less than $201)
3	New Paradigm Strategy Group 401(k)	No			
3.1	Vanguard Target Retirement 2035 Fund Investor Class Shares (VTTHX)	Yes	$100,001 - $250,000		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	New Paradigm Strategy Group	Washington, District of Columbia	I received the balance of the 2020 annual year-end performance bonus owed to me. The balance was paid to me at the same time that current partners in the company receives the balance of bonus owed to them. The bonus amount was determined based on the company's standard formula and set in December 2020.	3/2017
2	New Paradigm Strategy Group	Washington, District of Columbia	I received a distribution from the company for the company's 2020 contribution to my 401(k). The amount was set in December 2020 using the same formula the company used for determining 2019 401(k) contributions. The distribution was made to me at the same time as distributions made to other plan participants. The company will make no further contributions.	3/2017
3	Latinovative Media, LLC	Lewes, Delaware	This is a dormant company. During my government service, it will continue to remain dormant and will not advertise.	7/2020

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fifth Third Financial Corporation	N/A		salary, bonus	
2	Fifth Third Bancorp, unvested restricted stock units	N/A	$100,001 - $250,000	Dividend equivalent payments	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	Fifth Third Bancorp 401(k)	No			
3.1	JPMCB SmartRetirement DRE 2045 Fund-CF-A	Yes	$15,001 - $50,000		None (or less than $201)
3.2	SSgA Global All Cap Equity ex-US Index K	Yes	$1,001 - $15,000		None (or less than $201)
3.3	Victory Small Cap Value Collective 75	Yes	$15,001 - $50,000		None (or less than $201)
3.4	State Street S&P Mid Cap Index NL Cl M	Yes	$1,001 - $15,000		None (or less than $201)
3.5	SSgA S&P 500 Index Fund Class K	Yes	$15,001 - $50,000		None (or less than $201)
4	Fifth Third Bancorp, stock	N/A	$15,001 - $50,000	vested stock	
5	IRA #1	No			
5.1	Neuberger Berman Large Cap Value Fund Institutional Class (NBPIX)	Yes	$50,001 - $100,000		None (or less than $201)
5.2	Avantis International Small Cap Value ETF (AVDV)	Yes	$15,001 - $50,000		None (or less than $201)
5.3	Vanguard Mid-Cap Index Fund Admiral Shares (VIMAX)	Yes	$15,001 - $50,000		None (or less than $201)
5.4	Hartford Core Equity Fund Class I Shares (HGIIX)	Yes	$50,001 - $100,000		None (or less than $201)
5.5	iShares Latin America 40 ETF (ILF)	Yes	$1,001 - $15,000		None (or less than $201)
5.6	Avantis US Small Cap Value ETF (AVUV)	Yes	$1,001 - $15,000		None (or less than $201)
5.7	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.8	Global X Copper Miners ETF (COPX)	Yes	$1,001 - $15,000		None (or less than $201)
5.9	Royce International Premier Fund Investment Class Shares (RIPNX)	Yes	$1,001 - $15,000		None (or less than $201)
5.10	BNY Mellon Core Plus Fund Class I Shares (DCPIX)	Yes	$1,001 - $15,000		None (or less than $201)
5.11	Baron Discovery Fund Institutional Class Shares (BDFIX)	Yes	$15,001 - $50,000		None (or less than $201)
5.12	BlackRock High Yield Bond Portfolio Institutional Shares (BHYIX)	Yes	$1,001 - $15,000		None (or less than $201)
5.13	Federated Total Return Bond Fund Institutional Shares (FTRBX)	Yes	$1,001 - $15,000		None (or less than $201)
5.14	PIMCO Income Fund Class I-2 Shares (PONPX)	Yes	$1,001 - $15,000		None (or less than $201)
5.15	PIMCO International Bond Fund (US Dollar-Hedged) Class I-2 Shares (PFBPX)	Yes	$1,001 - $15,000		None (or less than $201)
5.16	Principal Finisterre UnconstrainedEmerging Markets Bond Fund Institutional Class Shares (PFUMX)	Yes	$1,001 - $15,000		None (or less than $201)
5.17	PGIM JennisonGlobal Opportunities Fund Class Z Shares (PRJZX)	Yes	$15,001 - $50,000		None (or less than $201)
5.18	PGIM Short-Term Corporate Bond Fund Class Z Shares (PIFZX)	Yes	$1,001 - $15,000		None (or less than $201)
5.19	Avantis US Small Cap Value ETF (AVUV)	Yes	$1,001 - $15,000		None (or less than $201)
5.20	iShares MSCI China ETF (MCHI)	Yes	$1,001 - $15,000		None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Northwestern Mutual Investment Account	No			
1.1	NORTHERN MID-CAP INDEX PORTFOLIO	Yes	$15,001 - $50,000		None (or less than $201)
1.2	BLACKROCK HEALTH SCI OPPS INST	Yes	$1,001 - $15,000		None (or less than $201)
1.3	Hartford Core Equity Fund Class I Shares (HGIIX)	Yes	$50,001 - $100,000		None (or less than $201)
1.4	FEDERATED HERMES KAUFMANN SMALL CAP FD	Yes	$1,001 - $15,000		None (or less than $201)
1.5	PGIM JENNISON GLOBAL OPPORTUNITIES CL Z	Yes	$15,001 - $50,000		None (or less than $201)
1.6	JPMorgan Emerging Markets Equity Fund Class I Shares (JEMSX)	Yes	$15,001 - $50,000		None (or less than $201)
1.7	BlackRock Health Sciences Opportunities Portfolio Institutional Shares (SHSSX)	Yes	$1,001 - $15,000		None (or less than $201)
1.8	ROYCE INT PREMIER FUND INV CL	Yes	$15,001 - $50,000		None (or less than $201)
1.9	PRINCIPAL GLOBAL REAL ESTATE SECURITIES (POSIX)	Yes	$1,001 - $15,000		None (or less than $201)
1.10	TIAA-CREF FDS LRG CP VAL ADV	Yes	$50,001 - $100,000		$1,001 - $2,500
1.11	TIAA-CREF FDS LRG CP GWT ADV	Yes	$15,001 - $50,000		None (or less than $201)
1.12	PIMCO Income Fund Class I-2 Shares (PONPX)	Yes	$1,001 - $15,000		None (or less than $201)
1.13	PGIM SHORT-TERM CORP BOND CL Z	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.14	Hartford Municipal Opportunities Fund Class I Shares (HHMIX)	Yes	$1,001 - $15,000		None (or less than $201)
1.15	American Century Small Cap Value Fund Class I Shares (ACVIX)	Yes	$15,001 - $50,000		None (or less than $201)
1.16	BNY Mellon Core Plus Fund Class I Shares (DCPIX)	Yes	$1,001 - $15,000		None (or less than $201)
1.17	BlackRock Technology Opportunities Fund Institutional Shares (BGSIX)	Yes	$1,001 - $15,000		None (or less than $201)
1.18	BlackRock High Yield Bond Portfolio Institutional Shares (BHYIX)	Yes	$1,001 - $15,000		None (or less than $201)
1.19	DWS Managed Municipal Bond Fund Institutional Class Shares (SMLIX)	Yes	$1,001 - $15,000		None (or less than $201)
1.20	Federated Total Return Bond Fund Institutional Shares (FTRBX)	Yes	$1,001 - $15,000		None (or less than $201)
1.21	Federated Kaufmann Small Cap Fund Institutional Class Shares (FKAIX)	Yes	None (or less than $1,001)		$201 - $1,000
2	U.S. credit union #1 (cash)	N/A	$15,001 - $50,000		None (or less than $201)
3	DC College Savings Plan 529	No			
3.1	US Socially Responsible Equity Portfolio	Yes	$1,001 - $15,000		None (or less than $201)
3.2	US Large Cap Equity Portfolio	Yes	$1,001 - $15,000		None (or less than $201)
3.3	US Small Cap Equity Portfolio	Yes	$1,001 - $15,000		None (or less than $201)
4	DC College Savings Plan 529	No			
4.1	US Socially Responsible Equity Portfolio	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	Ether/USD Coinbase (ETH=:Exchange)	N/A	$1,001 - $15,000		None (or less than $201)
6	Northwestern Mutual Life Insurance, whole life	N/A	$1,001 - $15,000		None (or less than $201)
7	U.S. credit union #1 (cash checking)	N/A	$50,001 - $100,000		None (or less than $201)
8	Northwestern Mutual Life Insurance, whole life	N/A	$15,001 - $50,000		None (or less than $201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	St Str S&P MidCap Index NL Fd C	Sale	12/03/2021	$50,001 - $100,000
2	St Str US Bond Index NL Fd C	Sale	12/03/2021	$1,001 - $15,000
3	St Str International Index NL FD C	Sale	12/03/2021	$15,001 - $50,000
4	ST Str Russell Sm Cp Ind NL Fd S	Sale	12/03/2021	$15,001 - $50,000
5	St Str S&P 500 Index NL Fd N	Sale	12/03/2021	$100,001 - $250,000
6	Avantis International Small Cap Value ETF (AVDV)	Purchase	12/14/2021	$15,001 - $50,000
7	Avantis US Small Cap Value ETF (AVUV)	Purchase	12/14/2021	$1,001 - $15,000
8	Baron Discovery Fund Institutional Class Shares (BDFIX)	Purchase	12/14/2021	$1,001 - $15,000
9	BlackRock High Yield Bond Portfolio Institutional Shares (BHYIX)	Purchase	12/14/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
10	Global X Copper Miners ETF (COPX)	Purchase	12/14/2021	$1,001 - $15,000
11	BNY Mellon Core Plus Fund Class I Shares (DCPIX)	Purchase	12/14/2021	$1,001 - $15,000
12	Federated Total Return Bond Fund Institutional Shares (FTRBX)	Purchase	12/14/2021	$1,001 - $15,000
13	Hartford Core Equity Fund Class I Shares (HGIIX)	Purchase	12/14/2021	$50,001 - $100,000
14	Neuberger Berman Large Cap Value Fund Institutional Class (NBPIX)	Purchase	12/14/2021	$15,001 - $50,000
15	PGIM JennisonEmerging Markets Equity Opportunities Fund Class Z Shares (PDEZX)	Purchase	12/14/2021	$15,001 - $50,000
16	PIMCO International Bond Fund (US Dollar-Hedged) Class I-2 Shares (PFBPX)	Purchase	12/14/2021	$1,001 - $15,000
17	Parnassus Mid Cap Fund Institutional Class Shares (PFPMX)	Purchase	12/14/2021	$15,001 - $50,000
18	Parnassus Endeavor Fund Institutional Class Shares (PFPWX)	Purchase	12/14/2021	$50,001 - $100,000
19	Principal Finisterre UnconstrainedEmerging Markets Bond Fund Institutional Class Shares (PFUMX)	Purchase	12/14/2021	$1,001 - $15,000
20	PGIM Short-Term Corporate Bond Fund Class Z Shares (PIFZX)	Purchase	12/14/2021	$1,001 - $15,000
21	PIMCO Income Fund Class I-2 Shares (PONPX)	Purchase	12/14/2021	$1,001 - $15,000
22	PGIM JennisonGlobal Opportunities Fund Class Z Shares (PRJZX)	Purchase	12/14/2021	$15,001 - $50,000
23	Royce International Premier Fund Investment Class Shares (RIPNX)	Purchase	12/14/2021	$1,001 - $15,000
24	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)	Purchase	12/14/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
25	Federated HermesGlobal Small Cap Fund Institutional Shares (FHSIX)	Sale	03/22/2021	$1,001 - $15,000
26	Fidelity MSCI Consumer Staples Index ETF (FSTA)	Sale	02/18/2021	$1,001 - $15,000
27	Federated Kaufmann Small Cap Fund Institutional Class Shares (FKAIX)	Sale	03/18/2021	$1,001 - $15,000
28	TIAA-CREF Large-Cap Growth Index Fund Advisor Class Shares (TRIHX)	Sale	04/23/2021	$1,001 - $15,000
29	TIAA-CREF Large-Cap Value Index Fund Advisor Class Shares (THCVX)	Sale	05/05/2021	$15,001 - $50,000
30	BlackRock Health Sciences Opportunities Portfolio Institutional Shares (SHSSX)	Sale	05/07/2021	$1,001 - $15,000
31	BlackRock Technology Opportunities Fund Institutional Shares (BGSIX)	Sale	05/12/2021	$1,001 - $15,000
32	Royce International Premier Fund Investment Class Shares (RIPNX)	Sale	05/13/2021	$1,001 - $15,000
33	Hartford Core Equity Fund Class I Shares (HGIIX)	Sale	10/25/2021	$1,001 - $15,000
34	TIAA-CREF Large-Cap Value Index Fund Advisor Class Shares (THCVX)	Purchase	02/18/2021	$1,001 - $15,000
35	American Century Small Cap Value Fund Class I Shares (ACVIX)	Purchase	03/18/2021	$1,001 - $15,000
36	TIAA-CREF Large-Cap Value Index Fund Advisor Class Shares (THCVX)	Purchase	04/23/2021	$1,001 - $15,000
37	Hartford Core Equity Fund Class I Shares (HGIIX)	Purchase	04/23/2021	$1,001 - $15,000
38	Parnassus Endeavor Fund Institutional Class Shares (PFPWX)	Purchase	04/23/2021	$1,001 - $15,000
39	Neuberger Berman Large Cap Value Fund Institutional Class (NBPIX)	Purchase	05/05/2021	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
40	Hartford Core Equity Fund Class I Shares (HGIIX)	Purchase	05/07/2021	$1,001 - $15,000
41	Parnassus Endeavor Fund Institutional Class Shares (PFPWX)	Purchase	05/07/2021	$1,001 - $15,000
42	Neuberger Berman Large Cap Value Fund Institutional Class (NBPIX)	Purchase	05/07/2021	$1,001 - $15,000
43	Hartford Core Equity Fund Class I Shares (HGIIX)	Purchase	05/12/2021	$1,001 - $15,000
44	Parnassus Endeavor Fund Institutional Class Shares (PFPWX)	Purchase	05/12/2021	$1,001 - $15,000
45	Neuberger Berman Large Cap Value Fund Institutional Class (NBPIX)	Purchase	05/12/2021	$1,001 - $15,000
46	PIMCO RAE International Fund Class I-2 Shares (PPYPX)	Purchase	05/13/2021	$1,001 - $15,000
47	Parnassus Mid Cap Fund Institutional Class Shares (PFPMX)	Sale	12/21/2021	$15,001 - $50,000
48	PGIM JennisonEmerging Markets Equity Opportunities Fund Class Z Shares (PDEZX)	Sale	12/22/2021	$15,001 - $50,000
49	Parnassus Endeavor Fund Institutional Class Shares (PFPWX)	Sale	12/30/2021	$50,001 - $100,000
50	Vanguard Mid-Cap Index Fund Admiral Shares (VIMAX)	Purchase	12/21/2021	$15,001 - $50,000
51	iShares Latin America 40 ETF (ILF)	Purchase	12/22/2021	$1,001 - $15,000
52	iShares MSCI China ETF (MCHI)	Purchase	12/22/2021	$1,001 - $15,000
53	Neuberger Berman Large Cap Value Fund Institutional Class (NBPIX)	Purchase	12/30/2021	$15,001 - $50,000
54	Hartford Core Equity Fund Class I Shares (HGIIX)	Purchase	12/30/2021	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
55	Fidelity MSCI Consumer Staples Index ETF (FSTA)	Sale	02/18/2021	$1,001 - $15,000
56	Federated Kaufmann Small Cap Fund Institutional Class Shares (FKAIX)	Sale	03/22/2021	$1,001 - $15,000
57	PGIM JennisonGlobal Opportunities Fund Class Z Shares (PRJZX)	Purchase	02/11/2021	$1,001 - $15,000
58	JPMorgan Emerging Markets Equity Fund Class I Shares (JEMSX)	Purchase	02/11/2021	$1,001 - $15,000
59	Federated Kaufmann Small Cap Fund Institutional Class Shares (FKAIX)	Purchase	02/11/2021	$1,001 - $15,000
60	Northern Funds Mid Cap Index Fund (NOMIX)	Purchase	02/11/2021	$1,001 - $15,000
61	TIAA-CREF Large-Cap Growth Index Fund Advisor Class Shares (TRIHX)	Purchase	02/11/2021	$1,001 - $15,000
62	Hartford Core Equity Fund Class I Shares (HGIIX)	Purchase	02/11/2021	$1,001 - $15,000
63	TIAA-CREF Large-Cap Value Index Fund Advisor Class Shares (THCVX)	Purchase	02/11/2021	$1,001 - $15,000
64	PrincipalGlobal Real Estate Securities Fund Institutional Class Shares (POSIX)	Purchase	02/11/2021	$1,001 - $15,000
65	Royce International Premier Fund Investment Class Shares (RIPNX)	Purchase	02/11/2021	$1,001 - $15,000
66	TIAA-CREF Large-Cap Value Index Fund Advisor Class Shares (THCVX)	Purchase	02/18/2021	$1,001 - $15,000
67	JPMorgan Emerging Markets Equity Fund Class I Shares (JEMSX)	Purchase	02/19/2021	$1,001 - $15,000
68	Federated Kaufmann Small Cap Fund Institutional Class Shares (FKAIX)	Purchase	02/19/2021	$1,001 - $15,000
69	PGIM JennisonGlobal Opportunities Fund Class Z Shares (PRJZX)	Purchase	02/19/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
70	TIAA-CREF Large-Cap Growth Index Fund Advisor Class Shares (TRIHX)	Purchase	02/19/2021	$1,001 - $15,000
71	Northern Funds Mid Cap Index Fund (NOMIX)	Purchase	02/19/2021	$1,001 - $15,000
72	PrincipalGlobal Real Estate Securities Fund Institutional Class Shares (POSIX)	Purchase	02/19/2021	$1,001 - $15,000
73	TIAA-CREF Large-Cap Value Index Fund Advisor Class Shares (THCVX)	Purchase	02/19/2021	$1,001 - $15,000
74	Hartford Core Equity Fund Class I Shares (HGIIX)	Purchase	02/19/2021	$1,001 - $15,000
75	Royce International Premier Fund Investment Class Shares (RIPNX)	Purchase	02/19/2021	$1,001 - $15,000
76	PrincipalGlobal Real Estate Securities Fund Institutional Class Shares (POSIX)	Purchase	02/26/2021	$1,001 - $15,000
77	PGIM JennisonGlobal Opportunities Fund Class Z Shares (PRJZX)	Purchase	02/26/2021	$1,001 - $15,000
78	Hartford Municipal Opportunities Fund Class I Shares (HHMIX)	Purchase	02/26/2021	$1,001 - $15,000
79	JPMorgan Emerging Markets Equity Fund Class I Shares (JEMSX)	Purchase	02/26/2021	$1,001 - $15,000
80	TIAA-CREF Large-Cap Growth Index Fund Advisor Class Shares (TRIHX)	Purchase	02/26/2021	$1,001 - $15,000
81	Federated Kaufmann Small Cap Fund Institutional Class Shares (FKAIX)	Purchase	02/26/2021	$1,001 - $15,000
82	TIAA-CREF Large-Cap Value Index Fund Advisor Class Shares (THCVX)	Purchase	02/26/2021	$1,001 - $15,000
83	Hartford Core Equity Fund Class I Shares (HGIIX)	Purchase	02/26/2021	$1,001 - $15,000
84	Royce International Premier Fund Investment Class Shares (RIPNX)	Purchase	02/26/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
85	Northern Funds Mid Cap Index Fund (NOMIX)	Purchase	02/26/2021	$1,001 - $15,000
86	DWS Managed Municipal Bond Fund Institutional Class Shares (SMLIX)	Purchase	02/26/2021	$1,001 - $15,000
87	JPMorgan Emerging Markets Equity Fund Class I Shares (JEMSX)	Purchase	03/05/2021	$1,001 - $15,000
88	Federated Kaufmann Small Cap Fund Institutional Class Shares (FKAIX)	Purchase	03/05/2021	$1,001 - $15,000
89	TIAA-CREF Large-Cap Growth Index Fund Advisor Class Shares (TRIHX)	Purchase	03/05/2021	$1,001 - $15,000
90	Northern Funds Mid Cap Index Fund (NOMIX)	Purchase	03/05/2021	$1,001 - $15,000
91	TIAA-CREF Large-Cap Value Index Fund Advisor Class Shares (THCVX)	Purchase	03/05/2021	$1,001 - $15,000
92	PrincipalGlobal Real Estate Securities Fund Institutional Class Shares (POSIX)	Purchase	03/05/2021	$1,001 - $15,000
93	Hartford Core Equity Fund Class I Shares (HGIIX)	Purchase	03/05/2021	$1,001 - $15,000
94	Royce International Premier Fund Investment Class Shares (RIPNX)	Purchase	03/05/2021	$1,001 - $15,000
95	PGIM JennisonGlobal Opportunities Fund Class Z Shares (PRJZX)	Purchase	03/05/2021	$1,001 - $15,000
96	TIAA-CREF Large-Cap Value Index Fund Advisor Class Shares (THCVX)	Purchase	03/12/2021	$1,001 - $15,000
97	PrincipalGlobal Real Estate Securities Fund Institutional Class Shares (POSIX)	Purchase	03/12/2021	$1,001 - $15,000
98	Hartford Core Equity Fund Class I Shares (HGIIX)	Purchase	03/12/2021	$1,001 - $15,000
99	Northern Funds Mid Cap Index Fund (NOMIX)	Purchase	03/12/2021	$1,001 - $15,000
100	Royce International Premier Fund Investment Class Shares (RIPNX)	Purchase	03/12/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
101	PGIM JennisonGlobal Opportunities Fund Class Z Shares (PRJZX)	Purchase	03/12/2021	$1,001 - $15,000
102	JPMorgan Emerging Markets Equity Fund Class I Shares (JEMSX)	Purchase	03/12/2021	$1,001 - $15,000
103	Federated Kaufmann Small Cap Fund Institutional Class Shares (FKAIX)	Purchase	03/12/2021	$1,001 - $15,000
104	TIAA-CREF Large-Cap Growth Index Fund Advisor Class Shares (TRIHX)	Purchase	03/12/2021	$1,001 - $15,000
105	Hartford Core Equity Fund Class I Shares (HGIIX)	Purchase	03/22/2021	$15,001 - $50,000
106	PGIM JennisonGlobal Opportunities Fund Class Z Shares (PRJZX)	Purchase	03/22/2021	$1,001 - $15,000
107	American Century Small Cap Value Fund Class I Shares (ACVIX)	Purchase	03/22/2021	$1,001 - $15,000
108	TIAA-CREF Large-Cap Value Index Fund Advisor Class Shares (THCVX)	Purchase	03/22/2021	$1,001 - $15,000
109	JPMorgan Emerging Markets Equity Fund Class I Shares (JEMSX)	Purchase	03/22/2021	$1,001 - $15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

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Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).