Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Inglis, John Chris

National Cyber Director, Office of the National Cyber Director

Other Federal Government Positions Held During the Preceding 12 Months:

Member DoD Defense Science Board (3/2019 - 2/2021)

Trustee National Intelligence University (6/2017 - 2/2021)

Member US Strategic Command Strategic Advisory Group (6/2016 - 10/2020)

Names of Congressional Committees Considering Nomination:

- Committee on Homeland Security and Governmental Affairs

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Inglis, John Chris [electronically signed on 04/04/2021 by Inglis, John Chris in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Olorunnipa, Funmi, Certifying Official [electronically signed on 05/21/2021 by Olorunnipa, Funmi in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 05/26/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	FedEx		Memphis, Tennessee	Corporation	Director	11/2015	Present
2	Huntington Bancshares		Columbus, Ohio	Corporation	Director	5/2016	Present
3	Blackpoint Cyber		Ellicott City, Maryland	Corporation	Director	11/2014	Present
4	Paladin Capital Group		Washington, District of Columbia	Corporation	Managing Director	6/2014	Present
5	Penn State Advanced Research Laboratory		State College, Pennsylvania	University/College	Advisory Board Member	12/2014	Present
6	KeyW		Hanover, Maryland	Corporation	Director	7/2016	7/2019
7	ANSER		Falls Church, Virginia	Non-Profit	Director	5/2014	7/2019
8	National Cryptologic Museum Foundation		Ft Meade, Maryland	Non-Profit	Director	6/2014	Present
9	Convergint Technologies		Schaumburg, Illinois	Corporation	Director	5/2015	1/2019
10	U.S. Chamber of Commerce		Washington, District of Columbia	Corporation	Advisor/Fellow	1/2015	4/2020
11	WestExec Advisors, LLC	See Endnote	Washington, District of Columbia	Corporation	Senior Advisor	9/2018	Present
12	ManTech International		Herndon, Virginia	Corporation	Consultant	2/2019	Present

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
13	Securonix		Addison, Texas	Corporation	Consultant then nonvoting Director (2018)	6/2014	Present
14	Avance IT Solutions LLC		Pikesville, Maryland	Corporation	Part-time Instructor	7/2016	Present
15	Trinity Cyber LLC	See Endnote	Bowie, Maryland	Corporation	Advisor	10/2018	Present
16	HawkEye 360		Herndon, Virginia	Corporation	Member, Advisory Board	4/2020	Present
17	Vequrity, Inc, dba Range Force		Manassas, Virginia	Corporation	Member, Advisory Board	10/2019	Present
18	Elbit Systems of America	See Endnote	Ft Worth, Texas	Corporation	Director	9/2020	Present
19	Inglis A&C LLC		Annapolis, Maryland	Corporation	President and Employee	5/2015	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Blackpoint Cyber Stock Options (vested)		N/A	$100,001 - $250,000		None (or less than $201)
2	Huntington Bancshares Inc., vested deferred stock units		N/A	$500,001 - $1,000,000		None (or less than $201)
3	Paladin Capital Group (Venture capital firm investing in technology companies)		N/A		Salary	$75,000
4	Convergint Technologies (Security services (fire alarms and physical security systems))	See Endnote	N/A		Cash out payment	$2,542
5	U.S. Chamber of Commerce (Chamber of Commerce)		N/A		Consulting Fees	$20,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	Avance IT Solutions LLC (Provides contracted educators to the U.S. Naval Academy)	N/A		Salary	$117,634
7	IRA #1	No			
7.1	Schwab S&P 500 Index Fund (SWPPX)	Yes	$50,001 - $100,000		None (or less than $201)
7.2	Schwab Target 2030 Fund	Yes	$50,001 - $100,000		None (or less than $201)
7.3	Walmart Stock	N/A	$15,001 - $50,000		None (or less than $201)
7.4	Schwab Municipal Money Fund Investor Class Shares (SWTXX)	Yes	$1,001 - $15,000		None (or less than $201)
8	IRA #2	No			
8.1	T Rowe Price Retirement 2030 Fund	Yes	$250,001 - $500,000		None (or less than $201)
9	Paladin Capital Group, 401(k) plan	No			
9.1	Vanguard Interm Bond Idx Adm	Yes	$1,001 - $15,000		None (or less than $201)
9.2	BlackRock Low Dur Bnd Instl	Yes	$1,001 - $15,000		None (or less than $201)
9.3	Morgan Stanley Adv Large Gr	Yes	$1,001 - $15,000		None (or less than $201)
9.4	Securian AM S&P 500/Citigrp VI	Yes	$1,001 - $15,000		None (or less than $201)
9.5	Hood River Sm-Cap Gr Instl	Yes	$1,001 - $15,000		None (or less than $201)
9.6	Wells Fargo Special Sml Cp Val	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.7	TIAA-CREF Intl Eq Idx Instl	Yes	$1,001 - $15,000		None (or less than $201)
10	Stanford University - 25 March 2021	N/A		honorarium for to be published article	$7,500
11	Inherited IRA #1	No		cash distribution	$970
11.1	American Funds Capital Income Builder Class A Shares (CAIBX)	Yes	$1,001 - $15,000		None (or less than $201)
11.2	T Rowe Price Government Money Fund, Inc (PRRXX)	Yes	None (or less than $1,001)		None (or less than $201)
11.3	American Funds Growth Fund of America Class A Shares (AGTHX)	Yes	$1,001 - $15,000		None (or less than $201)
11.4	American Growth Funds Investment Company of America Class A Shares (AIVSX)	Yes	$1,001 - $15,000		None (or less than $201)
12	Inherited IRA #2	No		cash distribution	$2,100
12.1	Vanguard Short-Term Bond Index Fund Admiral Shares (VBIRX)	Yes	$1,001 - $15,000		None (or less than $201)
12.2	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	$1,001 - $15,000		None (or less than $201)
12.3	Vanguard Energy Fund Investor Shares (VGENX)	Yes	$1,001 - $15,000		None (or less than $201)
12.4	Vanguard Growth & Income Fund Admiral Shares (VGIAX)	Yes	$15,001 - $50,000		None (or less than $201)
12.5	Vanguard Mid-Cap Index Fund Admiral Shares (VIMAX)	Yes	$15,001 - $50,000		None (or less than $201)
12.6	Vanguard Cash Reserves Federal Money Market Fund	Yes	None (or less than $1,001)		None (or less than $201)
12.7	Vanguard Target Retirement Income Fund Investor Class Shares (VTINX)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13	Trinity Cyber LLC (Network Threat Detection and Prevention), vested stock options	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
14	Inglis A&C LLC		No			
14.1	FedEx		N/A		Director Fees	$243,175
14.2	Huntington Bancshares, anticipated director fees: cash receivable		N/A	$15,001 - $50,000		None (or less than $201)
14.3	Huntington Bancshares		N/A		Director Fees	$155,500
14.4	Penn State Advanced Research Laboratory (Research and Development principally for the Department of Defense)		N/A		Advisory Board Fees	$5,781
14.5	WestExec Advisors, LLC (Consultancy for national security businesses)	See Endnote	N/A		Consulting Fees	$15,000
14.6	ManTech International		N/A		Consulting Fees	$114,710
14.7	Securonix (Cyber Security products and services)		N/A		Director Fees	$65,500
14.8	Elbit Systems of America (National defense products services)		N/A		Director Fees	$86,308
14.9	Blackpoint Cyber (Cyber Security services)		N/A		Director Fees	$40,000
14.10	U.S. bank #3 (cash)		N/A	$50,001 - $100,000		None (or less than $201)
14.11	Penn State Advanced Research Laboratory, anticipated director fees: cash receivable		N/A	$1,001 - $15,000		None (or less than $201)
14.12	Securonix, anticipated director fees: cash receivable		N/A	$1,001 - $15,000		None (or less than $201)
15	FedEx Stock		N/A	$1,000,001 - $5,000,000	Dividends	$5,001 - $15,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16	Maryland-National Capital Region Emergency Response System (anticipated honorarium) - April 28, 2021		N/A	None (or less than $1,001)		None (or less than $201)
17	Securonix, vested stock options		N/A	$500,001 - $1,000,000		None (or less than $201)
18	HawkEye 360 vested stock options		N/A	$1,001 - $15,000		None (or less than $201)
19	HawkEye 360 unvested stock options	See Endnote	N/A	$15,001 - $50,000		None (or less than $201)
20	FedEx, stock options (not yet exercised), vested		N/A	$1,000,001 - $5,000,000		None (or less than $201)
21	FedEx, stock options (not yet exercised), unvested		N/A	$100,001 - $250,000		None (or less than $201)
22	Paladin Cyber Investors II LP		No			
22.1	CalypsoAI Corp (artificial intelligency cybersecurity company)		N/A	$1,001 - $15,000		None (or less than $201)
22.2	dq Technologies AG (software company)		N/A	None (or less than $1,001)		None (or less than $201)
22.3	Hack the Box Ltd (cyber security training)		N/A	None (or less than $1,001)		None (or less than $201)
22.4	Nisos Holdings, Inc. (technology-enabled cyber security services and investigations company)		N/A	$1,001 - $15,000		None (or less than $201)
22.5	Vectrix Security, Inc. (cloud and cyber security company)		N/A	None (or less than $1,001)		None (or less than $201)
22.6	Basilard Biotech, Inc. (cell-based therapies engineering company)		N/A	None (or less than $1,001)		None (or less than $201)
22.7	Edifice Health, Inc. (healthcare technology systems development company)		N/A	None (or less than $1,001)		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.8	IriusRisk, S.L. (enables organizations to build software designed with security best practices)		N/A	None (or less than $1,001)		None (or less than $201)
22.9	Paladin Cyber Investors II LP, carried interest; 3% of the GP's 1% of profit.		N/A	None (or less than $1,001)		None (or less than $201)
23	Paladin Investors III LP, carried interest; .25 percent of the 1% GP profits interest in 10X Genomics	See Endnote	N/A	$100,001 - $250,000		None (or less than $201)
24	Vequrity, Inc, dba Range Force (cyber security training), vested stock options		N/A	$1,001 - $15,000		None (or less than $201)
25	Vequrity, Inc, dba Range Force (Cyber security training) unvested stock options (value not readily ascertainable)	See Endnote	N/A			None (or less than $201)
26	IRA #3		No			
26.1	GEBA Stable Value Fund		Yes	$50,001 - $100,000		None (or less than $201)
27	Paladin Cyber Investors LP		No			
27.1	Digital Shadows, Inc. (digital risk protection company)		N/A	None (or less than $1,001)		None (or less than $201)
27.2	Elliptic Enterprises, Ltd. (blockchain analytics, training and certification services company)		N/A	$1,001 - $15,000		None (or less than $201)
27.3	General Automation Lab Technologies, Inc (microbiological technology development company)		N/A	None (or less than $1,001)		None (or less than $201)
27.4	RiskSense, Inc. (pro-active cyber risk management)		N/A	None (or less than $1,001)		None (or less than $201)
27.5	Expel, Inc. (managed security service provider)		N/A	$1,001 - $15,000		None (or less than $201)
27.6	Inscripta, Inc (digital genome engineering company)		N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27.7	Karamba Security, Inc (autonomous cybersecurity solutions company)	N/A	None (or less than $1,001)		None (or less than $201)
27.8	RiskLens, Inc. (cyber risk quantification software)	N/A	$1,001 - $15,000		None (or less than $201)
27.9	Panaseer, Ltd. (cyber security solutions company)	N/A	None (or less than $1,001)		None (or less than $201)
27.10	Ursa Space Systems, Inc. (Space 3.0 analytics-as-a-service company)	N/A	$1,001 - $15,000		None (or less than $201)
27.11	Cyberhedge Europe Sarl (stealth security company)	N/A	None (or less than $1,001)		None (or less than $201)
27.12	Boldend, Inc. (cyberspace defense contractor)	N/A	$1,001 - $15,000		None (or less than $201)
27.13	Teraki GmbH (data processing software company)	N/A	None (or less than $1,001)		None (or less than $201)
27.14	DP Organizer AB (data protection management company)	N/A	None (or less than $1,001)		None (or less than $201)
27.15	Secure Code Warrior Limited (software development company)	N/A	$1,001 - $15,000		None (or less than $201)
27.16	Scythe, Inc. (application software design and development company)	N/A	None (or less than $1,001)		None (or less than $201)
27.17	GreyNoise Intelligence, Inc. (cyber security product company)	N/A	None (or less than $1,001)		None (or less than $201)
27.18	Vequrity, Inc, dba Range Force (cyber security training company)	N/A	$1,001 - $15,000		None (or less than $201)
27.19	Net Abstraction, Inc (internet and cyber security firm)	N/A	None (or less than $1,001)		None (or less than $201)
27.20	Dashbird, Inc. (monitoring and intelligence platform company)	N/A	None (or less than $1,001)		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27.21	Paladin Cyber Investors LP, carried interest; 2% percent of the 1% GP profits interest.	N/A	$250,001 - $500,000	Capital Gains	$5,001 - $15,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	FedEx	Memphis, Tennessee	I will forfeit my unvested stock options upon separation. I will divest my vested stock options.	11/2015
2	Blackpoint Cyber	Ellicott City, Maryland	I will divest my vested stock options.	11/2014
3	Paladin Capital Group	Washington, District of Columbia	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	1/2020
4	HawkEye 360	Herndon, Virginia	I will forfeit my unvested stock options upon separation. I will divest my vested stock options.	4/2020
5	Vequrity, Inc, dba Range Force	Manassas, Virginia	I will forfeit my unvested stock options upon separation. I will divest my vested stock options by transferring them to Paladin Capital Group.	10/2019
6	Paladin Capital Group	Washington, District of Columbia	After my Vequrity, Inc., dba Range Force options are transferred to Paladin Capital Group, Paladin Capital Group will pay me for the fair value of the unexercised options less the exercise price.	4/2021
7	Huntington Bancshares	Columbus, Ohio	I have an arrangement with this employer to receive deferred vested stock units under a deferred compensation plan. Six months after my separation, the deferred stock units will be transferred into my deferred compensation account and will be distributed in five annual installment payments thereafter.	5/2016
8	Securonix	Addison, Texas	I will divest my vested stock options.	8/2015

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
9	Paladin Capital Group	Washington, District of Columbia	Pursuant to my contract, I retain carried interest in Paladin Cyber Investors LP. The percentage was fixed in July 2016. Payment will be made if and when the fund profits from its investments. After my separation, Paladin will purchase my carried interest and invested interest in the fund from me at fair value as of the date of my resignation.	7/2016
10	Paladin Capital Group	Washington, District of Columbia	As an employee benefit, I retain carried interest in 10X Genomics, Inc., an underlying holding of Paladin Investors III LP. The percentage was fixed in May 2020. After my separation, Paladin will purchase my carried interest from me at fair value as of the date of my resignation.	5/2020
11	Paladin Capital Group	Washington, District of Columbia	Pursuant to my contract, I retain carried interest in Paladin Cyber Investors II LP. The percentage was fixed in April 2020. After my separation, Paladin will purchase my carried interest and invested interest from me at fair value as of the date of my resignation.	4/2020

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	FedEx	Memphis, Tennessee	Board Director
2	Huntington Bancshares	Columbus, Ohio	Board Director
3	Paladin Capital Group	Washington, District of Columbia	Part-time Managing Director
4	Penn State Advanced Research Laboratory	State College, Pennsylvania	Advisory Board Member
5	KeyW	Hanover, Maryland	Board Director (ended July 2019)

#	SOURCE NAME		CITY, STATE	BRIEF DESCRIPTION OF DUTIES
6	ANSER		Falls Church, Virginia	Board Director (ended July 2019)
7	U.S. Chamber of Commerce		Washington, District of Columbia	Advisor (ended April 2020)
8	WestExec Advisors, LLC	See Endnote	Washington, District of Columbia	Consultant
9	ManTech International		Herndon, Virginia	Consultant for internal leaders and business lines.
10	Securonix		Addison, Texas	Non voting Board Director and Consultant
11	Avance IT Solutions LLC	See Endnote	Pikesville, Maryland	Part-time employee providing contracted services to the U.S. Naval Academy.
12	Elbit Systems of America		Ft Worth, Texas	Board Director
13	HawkEye 360		Herndon, Virginia	Advisory Board Service
14	Vequrity, Inc, dba Range Force		Manassas, Virginia	Advisory Board Service
15	Blackpoint Cyber		Ellicott City, Maryland	Board Director
16	Convergint Technologies		Schaumburg, Illinois	Board Director (ended January 2019)
17	Crowdstrike Holdings, LLC	See Endnote	Sunnyvale, California	Consulting services (client of WestExec Advisors, LLC)
18	Virtru	See Endnote	Washington, District of Columbia	Consulting services (client of WestExec Advisors, LLC)
19	Inglis A&C LLC		Annapolis, Maryland	President and Employee

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
20	Stanford University	Stanford, California	Honorarium for to be published article

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Roth IRA	No			
1.1	Schwab Target 2030 Fund	Yes	$15,001 - $50,000		None (or less than $201)
1.2	U.S. investment account (cash)	N/A	$15,001 - $50,000		None (or less than $201)
2	Individual retirement account	No			
2.1	GEBA Stable Value Fund	Yes	$1,001 - $15,000		None (or less than $201)
3	American Gas Association Pension, defined benefit plan (value not readily ascertainable)	N/A		Monthly Retirement Payments	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank #1 (cash)	N/A	$500,001 - $1,000,000	Interest	$2,501 - $5,000
2	U.S. bank #2 (cash)	N/A	$250,001 - $500,000	Interest	$1,001 - $2,500
3	U.S. bank #3 (cash)	N/A	$100,001 - $250,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	U.S. bank #4 (cash)	N/A	$50,001 - $100,000		None (or less than $201)
5	U.S. credit union #1 (cash)	N/A	$1,001 - $15,000		None (or less than $201)
6	U.S. bank #5 (cash)	N/A	$1,001 - $15,000		None (or less than $201)
7	Citibank UK Savings/Checking	N/A	$1,001 - $15,000		None (or less than $201)
8	U.S. bank #6 (cash)	N/A	$1,001 - $15,000		None (or less than $201)
9	U.S. bank #7 (cash)	N/A	$500,001 - $1,000,000	Interest	$5,001 - $15,000
10	Walmart Stock	N/A	$15,001 - $50,000		None (or less than $201)
11	Federated Hermes Kaufmann Fund (KAUFX)	Yes	$100,001 - $250,000		$15,001 - $50,000
12	USAA 500 Index Fund Reward Shares (USPRX)	Yes	$100,001 - $250,000		$5,001 - $15,000
13	USAA Tax-Exempt Intermediate-Term Fund Fund Class Shares (USATX)	Yes	$100,001 - $250,000		$2,501 - $5,000
14	Schwab Target 2030 Fund (SWDRX)	Yes	$100,001 - $250,000		$2,501 - $5,000
15	USAA Small Cap Stock Fund Fund Class Shares (USCAX)	Yes	$100,001 - $250,000		$5,001 - $15,000
16	USAA Income Stock Fund Fund Class Shares (USISX)	Yes	$100,001 - $250,000		$1,001 - $2,500
17	USAA Target Retirement 2030 Fund (URTRX)	Yes	$50,001 - $100,000		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18	USAA Cornerstone Moderate Fund (USBSX)	Yes	$50,001 - $100,000		$201 - $1,000
19	Schwab S&P 500 Index Fund (SWPPX)	Yes	$50,001 - $100,000		None (or less than $201)
20	USAA Aggressive Growth Fund Fund Class Shares (USAUX)	Yes	$15,001 - $50,000		None (or less than $201)
21	USAA Growth Fund Fund Class Shares (USAAX)	Yes	$15,001 - $50,000		$1,001 - $2,500
22	USAA Tax-Exempt Long-Term Fund Fund Class Shares (USTEX)	Yes	$15,001 - $50,000		None (or less than $201)
23	USAA International Fund Fund Class Shares (USIFX)	Yes	$15,001 - $50,000		None (or less than $201)
24	USAA Cornerstone Moderately Aggressive Fund (USCRX)	Yes	$15,001 - $50,000		$201 - $1,000
25	USAA Managed Allocation Fund (UMAFX)	Yes	$15,001 - $50,000		$201 - $1,000
26	USAA Precious Metals & Minerals Fund Fund Class Shares (USAGX)	Yes	$15,001 - $50,000		None (or less than $201)
27	USAA Tax-Exempt Short-Term Fund Fund Class Shares (USSTX)	Yes	$1,001 - $15,000		None (or less than $201)
28	USAA Emerging Markets (USEMX)	Yes	$1,001 - $15,000		None (or less than $201)
29	Schwab Municipal Money Fund Investor Class Shares (SWTXX)	Yes	$1,001 - $15,000		None (or less than $201)
30	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	$1,001 - $15,000		None (or less than $201)
31	Vanguard GNMA Fund Investor Shares (VFIIX)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32	Vanguard Growth & Income Fund Investor Shares (VQNPX)		Yes	$1,001 - $15,000		$201 - $1,000
33	Vanguard Total International Stock Index Fund Admiral Shares (VTIAX)		Yes	$1,001 - $15,000		None (or less than $201)
34	Vanguard High-Yield Tax-Exempt Fund Investor Shares (VWAHX)		Yes	$15,001 - $50,000		$201 - $1,000
35	Vanguard Wellington Fund Investor Shares (VWELX)		Yes	$1,001 - $15,000		$201 - $1,000
36	AT&T Inc. Stock		N/A	$50,001 - $100,000	Dividends	$201 - $1,000
37	Duke Energy Stock (DUK)		N/A	$1,001 - $15,000		None (or less than $201)
38	Enbridge Stock (ENB)		N/A	$1,001 - $15,000		None (or less than $201)
39	Frontier Communications Stock (FTRCQ)		N/A	None (or less than $1,001)		None (or less than $201)
40	Phillip Morris Stock (PM)		N/A	$1,001 - $15,000		None (or less than $201)
41	Under Armour Stock (UAA)		N/A	$1,001 - $15,000		None (or less than $201)
42	Verizon Communications, Inc. (VZ)		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
43	House (Mesa AZ)		N/A	$100,001 - $250,000	Rent or Royalties	$5,001 - $15,000
44	Condominium (50% share - Sao Paulo BR)	See Endnote	N/A	$50,001 - $100,000		None (or less than $201)
45	Huntington Stock (HBAN) (held jointly with spouse)	See Endnote	N/A	$100,001 - $250,000	Dividends	$201 - $1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
46	House (20% share), Haugue, Virginia		N/A	$50,001 - $100,000		None (or less than $201)
47	FedEx Stock (held jointly with spouse)	See Endnote	N/A	$100,001 - $250,000	Dividends	$1,001 - $2,500
48	Apple, Inc. (AAPL)		N/A	$50,001 - $100,000		None (or less than $201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	11	Filer resigned from this position in April 2021.
1.	15	Filer resigned from this position in May 2021.

PART	#	ENDNOTE
1.	18	Elbit Systems of America is a U.S.-based wholly owned subsidiary of Elbit Systems Ltd.
2.	4	The disclosed amount represents cash out of a deferred payment plan. The filer received no other payments from Convergint Technology in 2019 and has no financial interest remaining in Convergint.
2.	13	Filer divested these options in April 2021.
2.	14.5	This amount reflects monthly retainer fees received through 4/2021.
2.	19	Current value is approximately $19,250
2.	23	Fund Assets: Royalty Pharma plc (pharmaceutical and biotechnology product royalties), RDX Technologies Corporation (provides energy services and water treatment company), Cuvee Systems, Inc. (provides power solutions to the optoelectronics industry), DigiEngin, Inc. (product design, engineering consulting and prototyping), Vital Renewable Energy Company, LLC (Vital Renewable Energy Company focuses on the production of ethanol and sugar), Modius, Inc. (software to improve data center operations), Cybercore Holdings, Inc. (information technology contractor for federal and commercial organizations), Newlans, Inc. (WiSP analog signal processor), Spero Devices, Inc. (manufactures semiconductors and related solid-state devices), BuildingIQ, Inc. (energy management software for commercial buildings), 10X Genomics, Inc. (platform for genome sequencing), Elastic NV (provides open source search and analytics engine services), iCarbonX Group Ltd (digital health management company), Rubryc Therapeutics, Inc. (biotechnology company applying proprietary machine-learning and computational biology solutions), Bugcrowd, Inc. (outsourced “bug bounty” security testing), Acalvio Technologies, Inc. (Advanced Threat Defense (ATD) solutions for cybersecurity), Trident Labs, Inc. (provides dental products), Anomali, Inc. (SaaS-based cyber security intelligence platform), Menlo Microsystems, Inc. (Digital-Micro-Switch platform for electronic systems), BA-Insight, Inc. (AI-driven enterprise search solutions).
2.	25	A total of 20,000 shares, strike $0.17, issued 10/2019 and thereafter vests in equal portions on the first of each month over a 24 month period. As of the filing date, 8,336 of the total 20,000 shares are unvested.
4.	8	No clients were billed by filer (all payments are from the monthly retainer).
4.	11	Filer is a W2 employee to Avance IT Solutions LLC and serves as a contractor to the U.S. Naval Academy.
4.	17	No direct compensation received from this entity. All payments were from the fixed monthly retainer from WestExec Advisors, LLC).
4.	18	No direct compensation received from this entity. All payments were from the fixed monthly retainer from WestExec Advisors, LLC).
6.	44	Property is rented by the other owners of the condominium, who receive $3,192 annually in gross rental income. Neither filer nor his spouse receive any rental income from this property.
6.	45	Acquired on the market as a regular investor and not through the filer’s director service.

PART	#	ENDNOTE
6.	47	Acquired on the market as a regular investor and not through the filer’s director service.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).