

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Benjamin, Stephen K

Assistant to the President, Director Office of Public Engagement, White House - Biden-Harris Administration

Report Year: 2024

Other Federal Government Positions Held During the Preceding 12 Months:

Chairman, Commerce First Responder Network Authority (9/2021 - 3/2023)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Benjamin, Stephen K [electronically signed on 05/15/2024 by Benjamin, Stephen K in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Dorsey, Osasumwen Z, Certifying Official [electronically signed on 05/15/2024 by Dorsey, Osasumwen Z in Integrity.gov]

Other review conducted by

/s/ Dorsey, Osasumwen Z, Ethics Official [electronically signed on 05/15/2024 by Dorsey, Osasumwen Z in Integrity.gov]

U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	The Benjamin Firm, LLC	See Endnote	Columbia , South Carolina	Law Firm	President	1/2013	3/2023
2	Lexipol, LLC		Frisco, Texas	Corporation	Member Board of Directors	2/2022	3/2023
3	Eyenovia, Inc.		New York, New York	Corporation	Member, Board of Directors	2/2022	3/2023
4	Optus Financial Holding Corporation		Columbia, South Carolina	Corporation	Member, Board of Directors	6/2021	3/2023
5	Blanding House, LLC		Columbia , South Carolina	Corporation	Managing Member	10/2021	3/2023
6	Siebert Williams Shank & Co., LLC		Detroit, Michigan	Corporation	Employee, Advisor to CEO	1/2022	3/2023
7	U.S. Global Leadership Coalition		Washington, District of Columbia	Non-Profit	Co-Chairman	11/2020	3/2023
8	National Judicial College		Reno, Nevada	Non-Profit	Board of Directors	8/2020	3/2023
9	Benedict College		Columbia, South Carolina	University/College	Trustee	6/2013	3/2023
10	South Carolina Environmental Law Project		Georgetown , South Carolina	Non-Profit	Board member	1/2023	3/2023
11	HistoryMakers		Chicago, Illinois	Non-Profit	Board Member	12/2022	3/2023
12	Itselectric		New York, New York	Corporation	Advisor	5/2022	3/2023
13	Lacuna Technologies		Palo Alto, California	Corporation	Executive Advisory Board Member	11/2019	3/2023

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
14	Indigov	Washington, District of Columbia	Corporation	Advisory Council Member	3/2021	3/2023
15	Pacaso	Miami, Florida	Corporation	Government Advisory Board Member	4/2022	3/2023
16	Flex Association	Washington, District of Columbia	Industry Association	Board Chairman	1/2022	3/2023
17	BGR Group	Washington, District of Columbia	Corporation	Advisory Board Co-Chair	12/2021	3/2023
18	Hangar	Washington , District of Columbia	Corporation	Head of Policy	4/2021	3/2023
19	Milken Institute	Washington , District of Columbia	Non-Profit	Advisory Council Member	3/2022	3/2023
20	Third Way	Washington, District of Columbia	Non-Profit	Board Member	1/2019	3/2023
21	Mayors Against Illegal Guns	New York, New York	Non-Profit	Honorary Co-Chair	8/2022	3/2023

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Civint, LLC, promissory note from sale of The Benjamin Firm, LLC	N/A	\$1,000,001 - \$5,000,000	Interest	\$100,001 - \$1,000,000
2	The Benjamin Firm, LLC (law firm)	N/A		Salary	\$81,250

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	Siebert Williams Shank & Co., LLC (Independent financial services firm)	N/A		Salary	\$53,372
4	Lexipol, LLC (law enforcement consulting)	N/A		Board service fees	\$40,000
5	Eyenovia, Inc.	N/A		Board service fees	\$41,688
6	Eyenovia (Director Stock Award), vested stock options	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
7	Indigov (government experience management platform), vested stock options	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
8	SEP IRA	No			
8.1	Franklin Rising Dividends Fund Class A Shares (FRDPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.2	Franklin Strategic Income Fund Class A Shares (FRSTX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.3	Franklin Small Cap Value Fund Class A Shares (FRVLX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.4	Franklin DynaTech Fund Class A Shares (FKDNX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9	Aetna Inc (AET)	N/A		Client fees	\$26,000
10	Versaterm, Inc. (software development)	N/A		Advisory Fees	\$25,000
11	SoundThinking Inc. (SSTI)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
12	South Carolina Retirement System 1 (defined benefit plan)	N/A	\$50,001 - \$100,000		None (or less than \$201)
13	South Carolina Retirement System 2 (defined benefit plan)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14	Civint, LLC	N/A		Down Payment for sale of The Benjamin Firm, LLC	\$500,000
15	Siebert Williams Shank & Co., LLC 401(k): The Standard Stable Asset Fund A (XSAFA)	Yes	\$15,001 - \$50,000		None (or less than \$201)
16	The Benjamin Firm, LLC	N/A	None (or less than \$1,001)	LLC Distribution	\$184,903
17	The University of South Carolina	N/A		Salary	\$4,550

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	The Benjamin Firm, LLC	Columbia , South Carolina	Prior to assuming my White House duties, I entered into a purchase agreement for sale of the law firm. I was the single member of the law firm, which has since ceased engaging in any business, including the representation of clients. All amounts owed to me by any of my clients were fixed and invoiced before I assumed my duties at the White House.	1/2023
2	State of South Carolina	Columbia, South Carolina	I will continue to participate in this defined benefit plan.	1/2001
3	Blanding House, LLC dba The Mansion on Blanding	Columbia, South Carolina	I own the property, and Creative Space Enterprise has assumed the day-to-day management of this property. I will continue to have a financial interest in this entity, but I will not provide services material to the production of income. Instead, I will receive only passive investment income from it.	3/2023
4	Siebert Williams Shank & Co., LLC	Detroit , Michigan	I will continue to participate in this defined contribution plan. The plan sponsor ceased making contributions upon separation.	1/2022

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
5	Civint, LLC	Columbia, South Carolina	Pursuant to a purchase agreement for sale of The Benjamin Firm LLC, I hold a promissory note from the entity.	3/2023

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	State of South Carolina Judicial Department	N/A		salary	
2	State of South Carolina	No			
2.1	South Carolina Retirement System (defined benefit plan)	N/A	\$250,001 - \$500,000		None (or less than \$201)
3	United States Court of Appeals, Fourth Circuit			salary	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank #1 (cash)	N/A	\$250,001 - \$500,000		None (or less than \$201)
2	U.S. credit union #1 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	South Carolina Future Scholar 529 Savings Plan		No			
3.1	Columbia Aggr TR Age 12-13		Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Vista Hotel Partners, LLC (hotel investment group)	See Endnote	N/A	\$500,001 - \$1,000,000	Distributions	\$146,250
5	Blanding House, LLC dba Mansion on Blanding, commercial real estate (Columbia, SC)		N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$50,001 - \$100,000
6	U.S. bank #2 (cash)		N/A	\$500,001 - \$1,000,000		None (or less than \$201)

7. Transactions

None

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	First Reliance Bank	Mortgage (investment/rental property)	\$500,001 - \$1,000,000	2021	3.45	7 year w/ 20 year amortization

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
1.	1	Prior to the sale, The Benjamin Firm, LLC underwent a name change to Civint, LLC.
6.	4	My interests in this LLC were divested in January, 2024

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. § 13101 et seq., as amended by the Representative Louise McIntosh Slaughter Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

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