

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Campbell, Cheryl

Assistant Secretary for Administration, Department of Health & Human Services

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

Principal Deputy Assistant Secretary for Administration (3/2021 - 6/2021)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Campbell, Cheryl [electronically signed on 07/21/2022 by Campbell, Cheryl in Integrity.gov] - Filer received a 90 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ CardMina, Mary, Certifying Official [electronically signed on 09/19/2022 by CardMina, Mary in Integrity.gov]

Other review conducted by

/s/ McBurney, Molly, Ethics Official [electronically signed on 09/14/2022 by McBurney, Molly in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 09/14/2022

Data Revised 09/06/2022

Data Revised 08/31/2022

Data Revised 08/30/2022

Data Revised 08/19/2022

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(08/19/2022, McBurney, Molly): IR date: 8/17/2022; MMcB
N/A	N/A	General	(09/14/2022, McBurney, Molly): Filer did not file OGE Form 278-T for stock divestitures in 2021. Those transactions have been reported here, and filer has been counseled to either request a late filing fee waiver or pay the late filing fee.
2.	2.2	Discovery SMID Cap Growth Fund (WFDSX)	(08/30/2022, McBurney, Molly): Fund changed names; it was included in prior report.

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	EagleForce Foundation, Inc.	Herndon, Virginia	Non-Profit	CEO	1/2018	3/2021
2	Kaiser Permanente	Washington, District of Columbia	Non-Profit	Advisory Board	9/2016	3/2021
3	Mediterranean Construction and Design, Inc.	Jensen Beach, Florida	Corporation	Corporate Secretary	11/2020	3/2021

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Roth IRA	No			
1.1	US sweep account #1 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
1.2	US sweep account #2 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
2	CGI Technologies and Solutions, Inc., deferred compensation	No		cash payment	\$69,485
2.1	Vanguard Total Bond Market Index Fund Institutional Shares (VBTIX)	Yes	\$1,001 - \$15,000		
2.2	Discovery SMID Cap Growth Fund (WFDSX)	Yes	\$1,001 - \$15,000		
2.3	DFA World ex US Core Equity Portfolio Institutional Class Shares (DFWIX)	Yes	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.4	Vanguard Developed Markets Index Fund Institutional Shares (VTMNX)	Yes	\$1,001 - \$15,000		
2.5	Vanguard Institutional Index Fund Institutional Plus Shares (VIMI)	Yes	\$15,001 - \$50,000		
2.6	Victory Integrity Small-Cap Value Fund Share Class A (VSCVX)	Yes	\$1,001 - \$15,000		

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	CGI Technologies and Solutions, Inc.	Fairfax, Virginia	This is a deferred compensation account. The account is not eligible to accept additional contributions. I receive a scheduled yearly payout in June/July, until year 2027.	6/2017

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	EagleForce Associates, Inc. (Information Technology and Software Development)	N/A		salary, bonus	
2	Eagleforce Associates, Inc.	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	System and Method for Machine Based Medical Diagnostic Code Identification, Accumulation, Analysis, and Automatic Claim Process Adjudication, US Patent #10,552,576 (value not readily ascertainable)	N/A			None (or less than \$201)
4	Systems and Methods for Monitoring and Analyzing Transactions, US Patent #8,930,295 (value not readily ascertainable)	N/A			None (or less than \$201)
5	ADP, Inc. 401(k)	No			
5.1	T Rowe Price Retirement 2020 Fund Class R Shares (RRTBX)	Yes	\$100,001 - \$250,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US bank account #1 (cash account)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
2	US bank account #2 (cash account)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
3	US bank account #3 (cash account)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
4	Residential real estate, Fairfax Station, VA	N/A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000
5	Residential real estate, Weston, FL	N/A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000
6	Residential real estate #1, Severn MD	N/A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000
7	Residential real estate #2, Severn, MD	N/A	\$250,001 - \$500,000	Rent or Royalties	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	Residential real estate, Chicago, IL	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$15,001 - \$50,000
9	Residential real estate #1, Jensen Beach FL	N/A	\$250,001 - \$500,000	Rent or Royalties	None (or less than \$201)
10	Residential real estate #2, Jensen Beach, FL	N/A	\$500,001 - \$1,000,000	Rent or Royalties	None (or less than \$201)
11	Residential real estate #3, Jensen Beach, FL	N/A	\$250,001 - \$500,000	Rent or Royalties	None (or less than \$201)
12	Residential real estate #4, Jensen Beach, FL	N/A	\$250,001 - \$500,000	Rent or Royalties	None (or less than \$201)
13	Undeveloped land, Ft. Washington, MD	N/A	\$250,001 - \$500,000		None (or less than \$201)
14	Mediterranean Construction and Design, Inc.	N/A	\$250,001 - \$500,000		None (or less than \$201)
15	Brokerage Account	No			
15.1	Berkshire Hathaway Inc. (BRKB)	N/A	\$100,001 - \$250,000		None (or less than \$201)
15.2	Delaware Smid Cap Growth Fund Institutional Class Shares (DFDIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
15.3	Franklin DynaTech Fund Advisor Class Shares (FDYZX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
15.4	Calamos Market Neutral Income Fund Class I Shares (CMNIX)	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
15.5	Invesco Preferred ETF (PGX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
15.6	Goldman Sachs Emerging Markets Equity Fund Institutional Shares (GEMIX)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
15.7	Columbia Select Large Cap Value Fund Institutional Class Shares (CSVZX)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.8	iShares Preferred and Income Securities ETF (PFF)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
15.9	iShares Core S&P 500 ETF (IVV)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
15.10	iShares Edge MSCI USA Momentum Factor ETF (MTUM)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
15.11	MainStay MacKay High Yield Municipal Bond Fund Class I Shares (MMHIX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
15.12	Pacer Lunt Large Cap Alternator ETF (ALTL)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
15.13	Schwab US Large-Cap Growth ETF (SCHG)	Yes	\$500,001 - \$1,000,000		\$2,501 - \$5,000
15.14	SPDR EURO STOXX 50 ETF (FEZ)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
15.15	SPDR S&P Dividend ETF (SDY)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
15.16	Vanguard Value ETF (VTV)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
15.17	Vanguard Real Estate ETF (VNQ)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
15.18	Vanguard Dividend Appreciation ETF (VIG)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
15.19	US sweep account #3 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
15.20	The Home Depot, Inc. (HD)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
15.21	Netflix, Inc. (NFLX)	N/A	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.22	American Funds International Growth & Income Fund Class F2 Shares (IGFFX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
15.23	American Century Small Cap Value Fund Class I Shares (ACVIX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
16	Apple, Inc. (AAPL)	N/A	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000
17	Target Corp. (TGT)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Schwab US Large-Cap Growth ETF (SCHG)	Purchase	08/17/2021	\$100,001 - \$250,000
2	SPDR EURO STOXX 50 ETF (FEZ)	Purchase	08/16/2021	\$50,001 - \$100,000
3	iShares Preferred and Income Securities ETF (PFF)	Purchase	08/12/2021	\$250,001 - \$500,000
4	Invesco Preferred ETF (PGX)	Purchase	08/12/2021	\$250,001 - \$500,000
5	Schwab US Large-Cap Growth ETF (SCHG)	Purchase	08/12/2021	\$100,001 - \$250,000
6	Vanguard Value Index Fund ETF Shares (VTV)	Purchase	08/12/2021	\$100,001 - \$250,000
7	Pacer Lunt Large Cap Alternator ETF (ALTL)	Purchase	08/10/2021	\$100,001 - \$250,000
8	SPDR EURO STOXX 50 ETF (FEZ)	Purchase	08/10/2021	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
9	iShares Edge MSCI USA Momentum Factor ETF (MTUM)	Purchase	08/10/2021	\$100,001 - \$250,000
10	SPDR S&P Dividend ETF (SDY)	Purchase	08/10/2021	\$100,001 - \$250,000
11	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Purchase	08/10/2021	\$100,001 - \$250,000
12	Vanguard Value Index Fund ETF Shares (VTV)	Purchase	08/10/2021	\$100,001 - \$250,000
13	Schwab US Large-Cap Growth ETF (SCHG)	Purchase	08/10/2021	\$100,001 - \$250,000
14	Goldman Sachs Emerging Markets Equity Fund Institutional Shares (GEMIX)	Purchase	08/09/2021	\$50,001 - \$100,000
15	Delaware Smid Cap Growth Fund Institutional Class Shares (DFDIX)	Purchase	08/09/2021	\$50,001 - \$100,000
16	American Century Small Cap Value Fund Class I Shares (ACVIX)	Purchase	08/09/2021	\$50,001 - \$100,000
17	Vanguard Value Index Fund ETF Shares (VTV)	Purchase	08/06/2021	\$100,001 - \$250,000
18	Pacer Lunt Large Cap Alternator ETF (ALTL)	Purchase	08/06/2021	\$100,001 - \$250,000
19	SPDR EURO STOXX 50 ETF (FEZ)	Purchase	08/06/2021	\$50,001 - \$100,000
20	iShares Edge MSCI USA Momentum Factor ETF (MTUM)	Purchase	08/06/2021	\$100,001 - \$250,000
21	Schwab US Large-Cap Growth ETF (SCHG)	Purchase	08/06/2021	\$100,001 - \$250,000
22	SPDR S&P Dividend ETF (SDY)	Purchase	08/06/2021	\$100,001 - \$250,000
23	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Purchase	08/06/2021	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
24	MainStay MacKay High Yield Municipal Bond Fund Class I Shares (MMHIX)	Purchase	08/05/2021	\$250,001 - \$500,000
25	Calamos Market Neutral Income Fund Class I Shares (CMNIX)	Purchase	08/05/2021	\$250,001 - \$500,000
26	International Growth & Income Fund Class F2 Shares (IGFFX)	Purchase	08/05/2021	\$50,001 - \$100,000
27	Goldman Sachs Emerging Markets Equity Fund Institutional Shares (GEMIX)	Purchase	08/05/2021	\$50,001 - \$100,000
28	Franklin DynaTech Fund Advisor Class Shares (FDYZX)	Purchase	08/05/2021	\$100,001 - \$250,000
29	Delaware Smid Cap Growth Fund Institutional Class Shares (DFDIX)	Purchase	08/05/2021	\$50,001 - \$100,000
30	Columbia Select Large Cap Value Fund Institutional Class Shares (CSVZX)	Purchase	08/05/2021	\$100,001 - \$250,000
31	American Century Small Cap Value Fund Class I Shares (ACVIX)	Purchase	08/05/2021	\$50,001 - \$100,000
32	Pacer Lunt Large Cap Alternator ETF (ALTL)	Purchase	08/03/2021	\$100,001 - \$250,000
33	SPDR EURO STOXX 50 ETF (FEZ)	Purchase	08/03/2021	\$50,001 - \$100,000
34	iShares Edge MSCI USA Momentum Factor ETF (MTUM)	Purchase	08/03/2021	\$100,001 - \$250,000
35	Schwab US Large-Cap Growth ETF (SCHG)	Purchase	08/03/2021	\$100,001 - \$250,000
36	SPDR S&P Dividend ETF (SDY)	Purchase	08/03/2021	\$100,001 - \$250,000
37	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Purchase	08/03/2021	\$100,001 - \$250,000
38	Vanguard Value Index Fund ETF Shares (VTV)	Purchase	08/03/2021	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
39	Columbia Select Large Cap Value Fund Institutional Class Shares (CSVZX)	Purchase	08/02/2021	\$100,001 - \$250,000
40	American Century Small Cap Value Fund Class I Shares (ACVIX)	Purchase	08/02/2021	\$15,001 - \$50,000
41	International Growth & Income Fund Class F2 Shares (IGFFX)	Purchase	08/02/2021	\$50,001 - \$100,000
42	Goldman Sachs Emerging Markets Equity Fund Institutional Shares (GEMIX)	Purchase	08/02/2021	\$50,001 - \$100,000
43	Franklin DynaTech Fund Advisor Class Shares (FDYZX)	Purchase	08/02/2021	\$100,001 - \$250,000
44	Delaware Smid Cap Growth Fund Institutional Class Shares (DFDIX)	Purchase	08/02/2021	\$50,001 - \$100,000
45	Pacer Lunt Large Cap Alternator ETF (ALTL)	Purchase	07/21/2021	\$100,001 - \$250,000
46	SPDR EURO STOXX 50 ETF (FEZ)	Purchase	07/21/2021	\$50,001 - \$100,000
47	iShares Edge MSCI USA Momentum Factor ETF (MTUM)	Purchase	07/21/2021	\$100,001 - \$250,000
48	Schwab US Large-Cap Growth ETF (SCHG)	Purchase	07/21/2021	\$100,001 - \$250,000
49	SPDR S&P Dividend ETF (SDY)	Purchase	07/21/2021	\$100,001 - \$250,000
50	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Purchase	07/21/2021	\$100,001 - \$250,000
51	Vanguard Value Index Fund ETF Shares (VTV)	Purchase	07/21/2021	\$100,001 - \$250,000
52	Delaware Smid Cap Growth Fund Institutional Class Shares (DFDIX)	Purchase	07/20/2021	\$50,001 - \$100,000
53	Columbia Select Large Cap Value Fund Institutional Class Shares (CSVZX)	Purchase	07/20/2021	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
54	International Growth & Income Fund Class F2 Shares (IGFFX)	Purchase	07/20/2021	\$50,001 - \$100,000
55	Goldman Sachs Emerging Markets Equity Fund Institutional Shares (GEMIX)	Purchase	07/20/2021	\$50,001 - \$100,000
56	Franklin DynaTech Fund Advisor Class Shares (FDYZX)	Purchase	07/20/2021	\$100,001 - \$250,000
57	American Century Small Cap Value Fund Class I Shares (ACVIX)	Purchase	07/20/2021	\$15,001 - \$50,000
58	SAGE Therapeutics, Inc. (SAGE)	Sale	06/22/2021	\$1,001 - \$15,000
59	Sarepta Therapeutics, Inc. (SRPT)	Sale	06/22/2021	\$1,001 - \$15,000
60	CGI Inc. (GIB)	Sale	06/18/2021	\$500,001 - \$1,000,000
61	Alphabet, Inc. (GOOG)	Sale	06/18/2021	\$250,001 - \$500,000
62	Alector, Inc. (ALEC)	Sale	06/18/2021	\$15,001 - \$50,000
63	Alibaba Group Holding Ltd (BABA)	Sale	06/18/2021	\$100,001 - \$250,000
64	Facebook, Inc. (FB)	Sale	06/18/2021	\$250,001 - \$500,000
65	Uber Technologies, Inc. (UBER)	Sale	06/15/2021	\$15,001 - \$50,000
66	Apple, Inc. (AAPL)	Sale	06/15/2021	\$1,000,001 - \$5,000,000
67	Amazon.com, Inc. (AMZN)	Sale	06/15/2021	\$1,000,001 - \$5,000,000
68	Facebook, Inc. (FB)	Sale	06/15/2021	\$250,001 - \$500,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
69	CGI Inc. (GIB)	Sale	06/15/2021	\$500,001 - \$1,000,000
70	MongoDB, Inc. (MDB)	Sale	06/15/2021	\$500,001 - \$1,000,000
71	Target Corp. (TGT)	Sale	06/15/2021	\$250,001 - \$500,000
72	Franklin DynaTech Fund Advisor Class Shares (FDYZX)	Sale	08/10/2021	\$250,001 - \$500,000
73	Cohen & Steers Low Duration Preferred and Income Fund, Inc Class I Shares (LPXIX)	Sale	08/10/2021	\$250,001 - \$500,000
74	International Growth & Income Fund Class F2 Shares (IGFFX)	Sale	08/10/2021	\$50,001 - \$100,000
75	Columbia Select Large Cap Value Fund Institutional Class Shares (CSVZX)	Sale	08/10/2021	\$100,001 - \$250,000
76	Cohen & Steers Preferred Securities and Income Fund, Inc Class I Shares (CPXIX)	Sale	08/10/2021	\$250,001 - \$500,000
77	International Growth & Income Fund Class F2 Shares (IGFFX)	Purchase	08/09/2021	\$50,001 - \$100,000
78	Columbia Select Large Cap Value Fund Institutional Class Shares (CSVZX)	Purchase	08/09/2021	\$100,001 - \$250,000
79	Franklin DynaTech Fund Advisor Class Shares (FDYZX)	Purchase	08/09/2021	\$250,001 - \$500,000
80	Cohen & Steers Low Duration Preferred and Income Fund, Inc Class I Shares (LPXIX)	Purchase	08/05/2021	\$250,001 - \$500,000
81	Cohen & Steers Preferred Securities and Income Fund, Inc Class I Shares (CPXIX)	Purchase	08/05/2021	\$250,001 - \$500,000
82	Discovery Fund Institutional Class Shares (WFDSX)	Sale	07/01/2021	\$1,001 - \$15,000
83	World ex US Core Equity Portfolio Institutional Class Shares (DFWIX)	Sale	07/01/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
84	Vanguard Total Bond Market Index Fund Institutional Shares (VBTIX)	Sale	07/01/2021	\$1,001 - \$15,000
85	Vanguard Institutional Index Fund Institutional Plus Shares (VIIIIX)	Sale	07/01/2021	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Mr. Cooper	Mortgage (investment/rental property)	\$100,001 - \$250,000	2017	4.625	30 years
2	Merrill Lynch	Asset Based Loan	\$1,000,001 - \$5,000,000	2019	LIBOR+ 0.84	Revolving

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
