

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Donahue, Elisabeth H

Chief of Staff, Council of Economic Advisers

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Donahue, Elisabeth H [electronically signed on 04/18/2022 by Donahue, Elisabeth H in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Kozmycz, Marina M, Certifying Official [electronically signed on 05/09/2022 by Kozmycz, Marina M in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

Data Revised 05/09/2022

Data Revised 05/08/2022

Data Revised 05/07/2022

Data Revised 05/05/2022

1. Filer's Positions Held Outside United States Government

| # | ORGANIZATION NAME | CITY, STATE | ORGANIZATION TYPE | POSITION HELD | FROM | TO |
|---|--------------------------------|---------------------------|--------------------|---|--------|---------|
| 1 | Princeton University | Princeton, NJ, New Jersey | University/College | Associate Dean, Public Affairs and Communications, Princeton School of Public and International Affairs | 7/2010 | 2/2021 |
| 2 | Mary Ann Hirschhorn 2004 Trust | Woburn, Massachusetts | Trust | executor | 8/2021 | Present |

2. Filer's Employment Assets & Income and Retirement Accounts

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-----|---|-----|-----------------------|-------------|---------------------------|
| 1 | Princeton University 457(b) Plan: | No | | | |
| 1.1 | Vanguard Institutional Target Retirement 2030 (VTTWX) | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 2 | Princeton University Retirement Plan: | No | | | |
| 2.1 | Principal Small Cap Growth Fund I Class R^ (PCSMX) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 2.2 | TIAA Real Estate (QREARX) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 2.3 | TIAA Traditional | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.4 | Vanguard Extended Market Index Fund (VIEIX) | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-----|---|-----|-----------------------|-------------|---------------------------|
| 2.5 | Vanguard International Growth Fund Admiral Shares (VWILX) | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |
| 2.6 | Vanguard PRIMECAP Fund Admiral Shares (VPMAX) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 2.7 | Vanguard Total Stocks Market Index Fund Institutional (VITSX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 2.8 | Vanguard Wellington Fund Admiral (VWENX) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 2.9 | Vanguard Windsor II Fund Admiral Shares (VWNAX) | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |
| 3 | Princeton University Retirement Savings Plan: | No | | | |
| 3.1 | Black Rock Total Return Fund K (MPHQX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 3.2 | CREF Bond Market R3 (QCBMIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 3.3 | CREF Inflation-Linked Bond R3 (QCILIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 3.4 | Principal Small Cap Growth Fund I Class R6 (PCSMX) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.5 | TIAA Real Estate (QREARX) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.6 | TIAA Traditional | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.7 | Vanguard Extended Market Index Fund Institutional (VIEIX) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.8 | Vanguard International Growth Fund Admiral (VWILX) | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 3.9 | Vanguard International Value Fund Investor Shares (VTRIX) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-----|----------------------|-------------|---------------------------|
| 3.10 | Vanguard PRIMECAP Fund Admiral Shares (VPMAX) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.11 | Vanguard Total International Stock Index Fund Institutional (VTSNX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 3.12 | Vanguard Wellington Fund Admiral (VWENX) | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 3.13 | Vanguard Windsor II Fund Admiral Shares (VWNAX) | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 4 | Princeton University | N/A | | Salary | \$49,854 |

3. Filer's Employment Agreements and Arrangements

| # | EMPLOYER OR PARTY | CITY, STATE | STATUS AND TERMS | DATE |
|---|----------------------|---------------------------|--|--------|
| 1 | Princeton University | Princeton, NJ, New Jersey | Reduced college tuition for a child (\$9745.00 for spring 2021; \$19,490.00 for fall 2021 and spring 2022.) I will continue to receive this because I was able to retire from Princeton (rather than resign) due to length of service + age. Housing allowance: I participated in a Tenancy in Common program with Princeton University, under which they purchased 1/3 of my home when I bought it in 2011. As of spring 2021, I no longer participated in this program. | 2/2021 |
| 2 | Princeton University | Princeton, New Jersey | I will continue to participate in these defined contribution plans, but the plan sponsor no longer makes contributions. | 1/2002 |

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-----|--|-----|-------------------------|---------------|---------------------------|
| 1 | Regeneron Pharmaceuticals | N/A | | salary, bonus | |
| 2 | Regeneron Pharmaceuticals, Inc. (REGN), vested stock options | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 3 | Fidelity SEP IRA: | No | | | |
| 3.1 | U.S. Brokerage money market account (cash) #1 | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.2 | Fidelity Focused Stock Fund | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.3 | Marsico Global Fund | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4 | Bristol Myers Squibb 401k: | No | | | |
| 4.1 | Fidelity 500 Index Fund (FXAIX) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 4.2 | Fidelity Growth Company CL3 | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |
| 4.3 | Northern Trust Collective Extended Equity Market Index Fund - DC - Non-Lending - Tier Four | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 4.4 | GW&K Small/Mid Cap Core Collective Investment Fund Class A | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 4.5 | VANG IS TL INTL STK MK | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 4.6 | Bristol-Myers Squibb Company Stock Fund | No | | | |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-------|--|-----|----------------------|-------------|---------------------------|
| 4.6.1 | Bristol-Myers-Squibb Stock (BMY) | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 4.7 | FID US Bond Idx | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 4.8 | Galliard Fixed Income Fund | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 4.9 | TRP Retire 2005 | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 4.10 | TRP Retire 2030 | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 4.11 | TRP Retire 2045 | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5 | Fidelity Merck (401(k)): | No | | | |
| 5.1 | Active International Equity Fund (Fidelity) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.2 | Active US Small Cap Equity Fund (Fidelity) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.3 | Active US Large Cap Equity Fund (Fidelity) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.4 | Active Fixed Income Fund (Fidelity) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.5 | International Equity Index Fund (Fidelity) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.6 | US Large Cap Equity Index Fund (Fidelity) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.7 | AllianceBernstein 2010 Retirement Portfolio | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.8 | Alliance Bernstein 2030 Retirement Portfolio | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-------|---|-----|-----------------------|-------------|---------------------------|
| 5.9 | MERCK COM Stock Fund | No | | | |
| 5.9.1 | Merck & Co., Inc. (MRK) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 6 | Bristol Myers-Squibb Pension Plan, defined benefit plan (value not readily ascertainable) | N/A | | | None (or less than \$201) |
| 7 | Regeneron Pharmaceuticals 401(k): | No | | | |
| 7.1 | AF Small Cap World R6 | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 7.2 | Delaware SMCP Val R6 | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 7.3 | Vang EXT Mkt Idx INS | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 7.4 | MFS Mid Cap Value R6 | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 7.5 | JH Discple Value R6 | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 7.6 | JPM Lg Cap Growth R6 | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 7.7 | Regeneron Stock | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 7.8 | Morley Stable Value | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 7.9 | Vang TOT BD Mkt Inst | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 7.10 | Metwest TOT RTN BD P | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 8 | Schwab Rollover IRA: | No | | | |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-----|--------------------|-------------|---------------------------|
| 8.1 | Aberdeen Small Cap EQ UITY Inst (GSCIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 8.2 | American Century Inflation-Adjusted Bond Fund Class I Shares (AIAHX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 8.3 | Artisan International Value Fund Advisor Class Shares (APDKX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 8.4 | Baird Core Plus Bond Fund Institutional Class Shares (BCOIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 8.5 | Cohen & Steers Real Estate Securities Fund, Inc Class I Shares (CSDIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 8.6 | Credit Suisse Commodity Return Strategy Fund Institutional Class Shares (CRSOX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 8.7 | Delaware Emerging Markets Fund Institutional (DEMIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 8.8 | Delaware Small Cap Value Instl (DEVIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 8.9 | Delaware Value Fund Institutional Class Shares (DDVIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 8.10 | DWS Enhanced Commodity Strategy Fund Institutional Class Shares (SKIRX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 8.11 | Glenmede Quantitative US Large Cap Growth Equity Portfolio Institutional Class Shares (GTILX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 8.12 | Invesco Oppen Intl Sm-Md Co Fd Class Y Sh (OSMYX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 8.13 | Lazard International Equity Portfolio Institutional Shares (LZIEX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 8.14 | Northern Funds Small Cap Value Fund (NOSGX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-----|--------------------|-------------|---------------------------|
| 8.15 | Oakmark Fund Advisor Class Shares (OAYMX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 8.16 | Oakmark International Small Cap Fund Advisor Class Shares (OAYEX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 8.17 | Parnassus Core Equity Fund Institutional Shares (PRILX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 8.18 | PGIM Total Return Bond Fund Class Z Shares (PDBZX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 8.19 | Principal MidCap Fund Institutional Class Shares (PCBIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 8.20 | Templeton Foreign Fund Advisor Class Shares (TFFAX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 8.21 | TIAA-CREF International Equity Fund Advisor Class Shares (TIEHX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 8.22 | Virtus Vontobel Emerging Markets Opportunities Fund Class I Shares (HIEMX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 8.23 | Western Asset Core Bond Fund Class I Shares (WATFX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 8.24 | U.S. Brokerage money market account (cash) #2 | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 8.25 | MetWest Total Return Bond Fund Class I Shares (MWTIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 9 | Regeneron Pharmaceuticals, Inc., stock options (value not readily ascertainable): 554 unvested shares, strike \$378.98, vest 12/2021, exp. 12/2027 | N/A | | | None (or less than \$201) |
| 10 | Regeneron Pharmaceuticals, Inc., stock options (value not readily ascertainable): 1182 unvested shares, strike \$381.40, vest 12/2021-12-2022, expire 12/2028 | N/A | | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|----|---|-----|-------|-------------|---------------------------|
| 11 | Regeneron Pharmaceuticals, Inc., stock options (value not readily ascertainable): 898 unvested shares, strike 372.46, vest 12/2021-2023, expire 12/2029 | N/A | | | None (or less than \$201) |
| 12 | Regeneron Pharmaceuticals, Inc., stock options (value not readily ascertainable): 899 unvested shares, strike 492.00, vest 12/2021-2024, expire 12/2030 | N/A | | | None (or less than \$201) |

6. Other Assets and Income

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-----|---------------------------------|-----|---------------------|----------------------------|---------------------------|
| 1 | Schwab Individual Account: | No | | | |
| 1.1 | Amazon.com, Inc. (AMZN) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.2 | Berkshire Hathaway Inc. (BRKB) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.3 | LeMaitre Vascular, Inc. (LMAT) | N/A | \$15,001 - \$50,000 | Dividends | \$2,501 - \$5,000 |
| 1.4 | OneMain Holdings, Inc. (OMF) | N/A | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 |
| 1.5 | General Electric Co. (GE) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 1.6 | Kraig Biocraft Labs Inc. (KBLB) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 1.7 | Nintendo (NTDOY) | N/A | \$1,001 - \$15,000 | Capital Gains Dividends | \$1,001 - \$2,500 |
| 1.8 | Sixth Street (TSLX) | N/A | \$1,001 - \$15,000 | Dividends | \$1,001 - \$2,500 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|---------------------|-------------|---------------------------|
| 1.9 | Orion ENG Carbons (OEC) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 1.10 | The Timken Co. (TKR) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 1.11 | Merck & Co., Inc. (MRK) | N/A | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 |
| 1.12 | American Financial Group, Inc. (AFG) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 1.13 | Main Street Capital (MAIN) | N/A | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 |
| 1.14 | Grayscale Bitcoin TR (GBTC) | Yes | \$15,001 - \$50,000 | | \$5,001 - \$15,000 |
| 1.15 | Invesco Dynamic Large Cap Value ETF (PWV) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 1.16 | VictoryShares US Small Cap High Div Volatility Wtd Index ETF (CSB) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 1.17 | Xtrackers MSCI Japan Hedged Equity ETF (DBJP) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 1.18 | Proshares VIX Mid-Term Futures ETF (VIXM) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 1.19 | AI Powered Equity ETF (AIEQ) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 1.20 | First Trust IPOX Europe Equity Opportunities ETF (FPXE) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 1.21 | Fidelity Focused Stock Fund (FTQGX) | Yes | \$15,001 - \$50,000 | | \$2,501 - \$5,000 |
| 1.22 | WasatchEmerging Markets Small Cap Fund Investor Class Shares (WAEMX) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 1.23 | JPMorgan Research Market Neutral Fund Class A Shares (JMNAX) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|-----------------------|-------------|---------------------------|
| 1.24 | Morgan Stanley Institutional Fund Trust Growth Portfolio Class A Shares (MSEGX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 1.25 | John Hancock Funds III Disciplined Value Mid Cap Fund Institutional Class Shares (JVMIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 1.26 | Cash | No | \$15,001 - \$50,000 | | |
| 2 | Bank of America Corp. (BAC): | N/A | | | |
| 2.1 | U.S. Bank (cash) #1 | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 3 | LeMaitre Vascular, Inc. (LMAT) | N/A | \$100,001 - \$250,000 | Dividends | \$2,501 - \$5,000 |
| 4 | E-Trade Holdings: | No | | | |
| 4.1 | Arcelormittal (MT) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.2 | Berkshire Hathaway Inc. (BRKB) | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 4.3 | ETFMG Prime Junior Silver Miners ETF (SILJ) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.4 | Everspin Technologies, Inc. (MRAM) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 4.5 | First Solar, Inc. (FSLR) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.6 | Franklin FTSE South Korea ETF (FLKR) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 4.7 | INV Grade Corp Bond ETF (GIGB) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.8 | The Goldman Sachs Group, Inc. (GS) | N/A | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-------------|-------------------------|---------------|---------------------------|
| 4.9 | GraniteShares Platinum Trust (PLTM) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.10 | Grayscale Bitcoin Trust (GBTC) | Yes | \$50,001 - \$100,000 | | \$2,501 - \$5,000 |
| 4.11 | Hertz (HTZGQ) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.12 | HP, Inc. (HPQ) | N/A | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 |
| 4.13 | Intel Corp. (INTC) | N/A | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 |
| 4.14 | Invesco FTSE RAFI Developed Markets ex-US Small-Mid ETF (PDN) | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 4.15 | iShares PHLX Semiconductor ETF (SOXX) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 4.16 | iShares Russell Top 200 Growth ETF (IWY) | Yes | \$50,001 - \$100,000 | | \$5,001 - \$15,000 |
| 4.17 | Kinross Gold (KGC) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.18 | Nintendo (NTDOY) | See Endnote | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 |
| 4.19 | Proshares VIX Mid-Term Futures ETF (VIXM) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.20 | Panasonic (PCRFF) | N/A | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 |
| 4.21 | Qorvo, Inc. (QRVO) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 4.22 | Regeneron Pharmaceuticals, Inc. (REGN) | N/A | \$500,001 - \$1,000,000 | Capital Gains | \$100,001 - \$1,000,000 |
| 4.23 | Renaissance IPO ETF (IPO) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.24 | Seagate Technology Plc (STX) | N/A | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|-----------------------|---------------|---------------------------|
| 4.25 | Sixth Street (TSLX) | N/A | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 |
| 4.26 | VanEck Vectors Semiconductor ETF (SMH) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 4.27 | Vanguard Mid-Cap Value Index Fund ETF Class Shares (VOE) | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |
| 4.28 | Vanguard Mega Cap Growth Index Fund ETF Shares (MGK) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 4.29 | Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG) | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 4.30 | Vanguard ESG US Stock ETF (ESGV) | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 4.31 | Vanguard Dividend Growth Fund Investor Shares (VDIGX) | Yes | \$50,001 - \$100,000 | | \$201 - \$1,000 |
| 4.32 | Vanguard International Growth Fund Investor Shares (VWIGX) | Yes | \$50,001 - \$100,000 | | \$1,001 - \$2,500 |
| 4.33 | Vanguard STAR Fund Investor Shares (VGSTX) | Yes | \$100,001 - \$250,000 | | \$2,501 - \$5,000 |
| 4.34 | Sentinel (S) | N/A | \$1,001 - \$15,000 | | |
| 4.35 | Boeing Co (BA) | N/A | \$15,001 - \$50,000 | | |
| 4.36 | Gladstone Land Corp. (LAND) | N/A | \$1,001 - \$15,000 | | |
| 4.37 | Infinite Ore (ARXRF) | N/A | \$1,001 - \$15,000 | | |
| 4.38 | Canadian Solar (CSIQ) | N/A | \$1,001 - \$15,000 | Capital Gains | None (or less than \$201) |
| 5 | Fidelity Individual TOD | No | | | None (or less than \$201) |
| 5.1 | Fidelity Government Cash Reserves (FDRXX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-----|--|-----|---------------------|-------------|---------------------------|
| 5.2 | Chemed Corp. (CHE) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.3 | Grayscale Bitcoin GBTC | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.4 | Neenah, Inc. (NP) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 6 | Voya Select Advantage IRA | No | | | |
| 6.1 | Voya Solution Income Prt Adv | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 6.2 | American Funds Cap Inc Builder F! | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 6.3 | Janus Hndrsn Balanced Fund R | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 6.4 | Voya Russell Lrg Cp Val Ind Prt S | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 6.5 | Fidelity Advisor New Insights Fund Class M Shares (FNITX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 6.6 | Eaton Vance Atlanta Capital SMID-Cap Fund Class R Shares (ERSMX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 6.7 | BlackRock Health Sciences Opportunities Portfolio Service Shares (SHISX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 6.8 | PGIM Jennison Utility Fund Class R Shares (JDURX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |

7. Transactions

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|---|----------------------------------|----------|------------|--------------------|
| 1 | Vanguard ESG US Stock ETF (ESGV) | Purchase | 12/28/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|----|---|----------|------------|---------------------|
| 2 | VanEck Vectors Semiconductor ETF (SMH) | Purchase | 12/28/2021 | \$1,001 - \$15,000 |
| 3 | Everspin Technologies, Inc. (MRAM) | Purchase | 12/08/2021 | \$1,001 - \$15,000 |
| 4 | Everspin Technologies, Inc. (MRAM) | Purchase | 04/26/2021 | \$1,001 - \$15,000 |
| 5 | Sentinal 1 (S) | Purchase | 12/08/2021 | \$1,001 - \$15,000 |
| 6 | Sentinel (S) | Purchase | 11/30/2021 | \$1,001 - \$15,000 |
| 7 | Boeing Co (BA) | Purchase | 07/19/2021 | \$15,001 - \$50,000 |
| 8 | Gladstone Land Corp. (LAND) | Purchase | 12/28/2021 | \$1,001 - \$15,000 |
| 9 | Infinite Ore (ARXRF) | Purchase | 12/17/2021 | \$1,001 - \$15,000 |
| 10 | Infinite Ore (RAXRF) | Purchase | 07/22/2021 | \$1,001 - \$15,000 |
| 11 | ASML Holding (ASML) | Purchase | 07/22/2021 | \$1,001 - \$15,000 |
| 12 | Canadian Solar (CSIQ) | Sale | 12/28/2021 | \$1,001 - \$15,000 |
| 13 | ETFMG Prime Junior Silver Miners ETF (SILJ) | Sale | 12/28/2021 | \$1,001 - \$15,000 |
| 14 | Xeris Pharmaceuticals, Inc. (XERS) | Sale | 12/28/2021 | \$1,001 - \$15,000 |
| 15 | Array (ARRY) | Sale | 12/28/2021 | \$1,001 - \$15,000 |
| 16 | Stone (STNE) | Sale | 12/28/2021 | \$1,001 - \$15,000 |
| 17 | Nintendo (NTDOY) | Sale | 12/28/2021 | \$1,001 - \$15,000 |
| 18 | Bili (BILI) | Sale | 12/28/2021 | \$1,001 - \$15,000 |
| 19 | Desktop Metal (DM) | Sale | 11/24/2021 | \$1,001 - \$15,000 |
| 20 | Bili (BILI) | Sale | 11/24/2021 | \$1,001 - \$15,000 |
| 21 | Xeris Pharmaceuticals, Inc. (XERS) | Sale | 11/24/2021 | \$1,001 - \$15,000 |
| 22 | Stone (STNE) | Sale | 11/24/2021 | \$1,001 - \$15,000 |
| 23 | Photronics, Inc. (PLAB) | Sale | 12/07/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|----|--|----------|------------|--------------------|
| 24 | SEA LTD (SE) | Sale | 12/06/2021 | \$1,001 - \$15,000 |
| 25 | MatthewsPacific Tiger Fund Investor Class Shares (MAPTX) | Sale | 05/03/2021 | \$1,001 - \$15,000 |
| 26 | Greyscale Bitcoin (GBTC) | Sale | 05/03/2021 | \$1,001 - \$15,000 |
| 27 | Kirkland Lake (KL) | Sale | 05/03/2021 | \$1,001 - \$15,000 |
| 28 | Kadmon Holdings, Inc. (KDMN) | Purchase | 07/19/2021 | \$1,001 - \$15,000 |

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

| PART | # | ENDNOTE |
|------|------|--|
| 6. | 4.18 | This was sold at a loss for \$10,000. The system does not allow for dividends and capital gains. |

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

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Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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