

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Sloan, Victor S

Senior Advisor, Peace Corps

Report Year: 2024

Other Federal Government Positions Held During the Preceding 12 Months:

Senior Counselor, National Biosurveillance Integration Center Countering Weapons of Mass Destruction Office, Department of Homeland Security (/ - Present)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Sloan, Victor S [electronically signed on 06/13/2024 by Sloan, Victor S in Integrity.gov] - Filer received a 30 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

Other review conducted by

U.S. Office of Government Ethics Certification

Data Revised 06/28/2024

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Sheng Consulting LLC	Flemington, New Jersey	Corporation	Founder and CEO	11/2019	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Sheng Consulting LLC (Clinical research consulting)	N/A		Consulting Fees	\$10,375
2	Oncopath Genomics, LLC (oncology diagnostics) (stock)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3	Sheng Consulting LLC 401k	No			
3.1	Schwab Bank	N/A	None (or less than \$1,001)		None (or less than \$201)
3.2	Vanguard FTSE Developed markets ETF (VEA)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.3	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.4	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4	Victor Sloan Contributory IRA - Ellevest	No			
4.1	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
4.2	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.3	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
4.4	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.5	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.6	Vanguard Short-Term Bond Index Fund ETF Shares (BSV)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.7	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.8	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.9	Vanguard Small-Cap Index Fund ETF Shares (VB)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.10	iShares JPMorgan US Emerging Markets Bond ETF (EMB)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.11	Vanguard Mid-Cap Value Index Fund ETF Class Shares (VOE)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.12	Charles Schwab Bank	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.13	VanEck Vectors JP Morgan EM Local Currency Bond ETF (EMLC)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.14	Greenbacker Renewable Energy Company	No	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
4.15	Decathlon Alpha Social Equality Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
5	Victor Sloan traditional IRA UBS	No			
5.1	UBS Bank	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.2	iShares Core S&P Small-Cap ETF (IJR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.3	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
5.4	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.5	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
5.6	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.7	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
5.8	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.9	Vanguard Russell 1000 Value Index Fund ETF Shares (VONV)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.10	Vanguard Russell 2000 Index Fund ETF Shares (VTWO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.11	iShares 20+ Year Treasury Bond ETF (TLT)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.12	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.13	iShares JPMorgan US Emerging Markets Bond ETF (EMB)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.14	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.15	VanEck Vectors JP Morgan EM Local Currency Bond ETF (EMLC)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.16	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.17	iShares 0-3 Month Treasury Bond ETF iShares 0-3 Month Treasury Bond ETF (SGOV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.18	Schwab US TIPS ETF (SCHP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	Victor Sloan IRA UBS #2	No			
6.1	UBS Bank	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.2	Abbott Laboratories (ABT)	No	\$1,001 - \$15,000		None (or less than \$201)
6.3	Alphabet, Inc. (GOOGL)	No	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500
6.4	Amazon.com, Inc. (AMZN)	No	\$15,001 - \$50,000		None (or less than \$201)
6.5	American Tower Corporation (REIT) (AMT)	No	\$1,001 - \$15,000		None (or less than \$201)
6.6	Ameriprise Financial, Inc. (AMP)	No	\$1,001 - \$15,000		None (or less than \$201)
6.7	Apple, Inc. (AAPL)	No	\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500
6.8	Applied Materials, Inc. (AMAT)	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
6.9	Boston Scientific Corp. (BSX)	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
6.10	Costco Wholesale Corp. (COST)	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
6.11	Danaher Corp. (DHR)	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
6.12	Honeywell International, Inc. (HON)	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.13	Estee Lauder Cos. (EL)	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
6.14	Microsoft Corp. (MSFT)	No	\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500
6.15	NIKE, Inc. (NKE)	No	\$1,001 - \$15,000		None (or less than \$201)
6.16	O'Reilly Automotive, Inc. (ORLY)	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
6.17	Parker-Hannifin Corp. (PH)	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
6.18	Rockwell Automation, Inc. (ROK)	No	\$1,001 - \$15,000		None (or less than \$201)
6.19	S&P Global, Inc. (SPGI)	No	\$1,001 - \$15,000		None (or less than \$201)
6.20	salesforce.com, inc. (CRM)	No	\$1,001 - \$15,000		None (or less than \$201)
6.21	Texas Instruments Incorporated (TXN)	No	\$1,001 - \$15,000		None (or less than \$201)
6.22	The TJX Cos., Inc. (TJX)	No	\$1,001 - \$15,000		None (or less than \$201)
6.23	Union Pacific Corp. (UNP)	No	\$1,001 - \$15,000		None (or less than \$201)
6.24	UnitedHealth Group, Inc. (UNH)	No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
6.25	Visa, Inc. (V)	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
6.26	The Coca-Cola Co. (KO)	No	\$1,001 - \$15,000		None (or less than \$201)
6.27	Intuitive Surgical, Inc. (ISRG)	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.28	Eli Lilly & Co. (LLY)	No	\$1,001 - \$15,000		None (or less than \$201)
6.29	Procter & Gamble Co. (PG)	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
6.30	Schlumberger NV (SLB)	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
6.31	The Sherwin-Williams Co. (SHW)	No	\$1,001 - \$15,000		None (or less than \$201)
6.32	Thermo Fisher Scientific, Inc. (TMO)	No	\$1,001 - \$15,000		None (or less than \$201)
6.33	Accenture Plc (ACN)	No	\$1,001 - \$15,000		None (or less than \$201)
6.34	Advanced Micro Devices, Inc. (AMD)	No	\$1,001 - \$15,000		None (or less than \$201)
6.35	ASML Holding N.V. New York Registry Shares (ASML)	No	\$1,001 - \$15,000		None (or less than \$201)
6.36	Broadcom, Inc. (AVGO)	No	\$1,001 - \$15,000		None (or less than \$201)
6.37	Chipotle Mexican Grill, Inc. (CMG)	No	\$1,001 - \$15,000		None (or less than \$201)
6.38	Intuit, Inc. (INTU)	No	\$1,001 - \$15,000		None (or less than \$201)
6.39	Mastercard, Inc. (MA)	No	\$1,001 - \$15,000		None (or less than \$201)
6.40	Meta Platforms Inc. Class A Common Stock (META)	No	\$15,001 - \$50,000		None (or less than \$201)
6.41	Palo Alto Networks, Inc. (PANW)	No	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Sheng Consulting LLC	Flemington, New Jersey	I will continue to participate in this defined contribution plan, and the plan sponsor will continue to make contributions.	1/2020

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Merck Stock	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
2	IRA #3 Merck	No			
3	Manpower 401K	No			
3.1	JPM SRPB DRE 2020 CF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4	Schwab Rollover IRA	No			
4.1	Vanguard Target Retirement 2020 Fund Investor Class Shares (VTWNX)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
4.2	Wellington Fund Admiral VWENX	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
5	Traditional IRA UBS	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.1	UBS Bank	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.2	iShares Core S&P Small-Cap ETF (IJR)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
5.3	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
5.4	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
5.5	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
5.6	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.7	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
5.8	VanEck Vectors JP Morgan EM Local Currency Bond ETF (EMLC)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.9	Vanguard Russell 1000 Value Index Fund ETF Shares (VONV)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.10	Vanguard Russell 2000 Index Fund ETF Shares (VTWO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.11	iShares 20+ Year Treasury Bond ETF (TLT)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.12	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
5.13	iSharesJPMorgan USDEmerging Markets Bond ETF (EMB)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.14	iShares 0-3 Month Treasury Bond ETF iShares 0-3 Month Treasury Bond ETF (SGOV)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.15	Schwab US TIPS ETF (SCHP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.16	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6	IRA #2 UBS	No			
6.1	UBS Bank	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.2	Abbott Laboratories (ABT)	No	\$1,001 - \$15,000		None (or less than \$201)
6.3	Alphabet, Inc. (GOOGL)	No	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000
6.4	Amazon.com, Inc. (AMZN)	No	\$15,001 - \$50,000		None (or less than \$201)
6.5	American Tower Corporation (REIT) (AMT)	No	\$1,001 - \$15,000		None (or less than \$201)
6.6	Ameriprise Financial, Inc. (AMP)	No	\$1,001 - \$15,000		None (or less than \$201)
6.7	Apple, Inc. (AAPL)	No	\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500
6.8	Applied Materials, Inc. (AMAT)	No	\$1,001 - \$15,000		None (or less than \$201)
6.9	Boston Scientific Corp. (BSX)	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
6.10	Costco Wholesale Corp. (COST)	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
6.11	Danaher Corp. (DHR)	No	\$1,001 - \$15,000		None (or less than \$201)
6.12	Honeywell International, Inc. (HON)	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.13	Estee Lauder Cos. (EL)	No	\$1,001 - \$15,000		None (or less than \$201)
6.14	Microsoft Corp. (MSFT)	No	\$15,001 - \$50,000	Capital Gains Dividends	\$2,501 - \$5,000
6.15	NIKE, Inc. (NKE)	No	\$1,001 - \$15,000		None (or less than \$201)
6.16	O'Reilly Automotive, Inc. (ORLY)	No	\$1,001 - \$15,000		None (or less than \$201)
6.17	Parker-Hannifin Corp. (PH)	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
6.18	Rockwell Automation, Inc. (ROK)	No	\$1,001 - \$15,000		None (or less than \$201)
6.19	S&P Global, Inc. (SPGI)	No	\$1,001 - \$15,000		None (or less than \$201)
6.20	salesforce.com, inc. (CRM)	No	\$1,001 - \$15,000		None (or less than \$201)
6.21	The Sherwin-Williams Co. (SHW)	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
6.22	Texas Instruments Incorporated (TXN)	No	\$1,001 - \$15,000		None (or less than \$201)
6.23	Thermo Fisher Scientific, Inc. (TMO)	No	\$1,001 - \$15,000		None (or less than \$201)
6.24	The TJX Cos., Inc. (TJX)	No	\$1,001 - \$15,000		None (or less than \$201)
6.25	Union Pacific Corp. (UNP)	No	\$1,001 - \$15,000		None (or less than \$201)
6.26	UnitedHealth Group, Inc. (UNH)	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.27	Visa, Inc. (V)	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
6.28	The Coca-Cola Co. (KO)	No	\$1,001 - \$15,000		None (or less than \$201)
6.29	Intuitive Surgical, Inc. (ISRG)	No	\$1,001 - \$15,000		None (or less than \$201)
6.30	Eli Lilly & Co. (LLY)	No	\$1,001 - \$15,000		None (or less than \$201)
6.31	Palo Alto Networks, Inc. (PANW)	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
6.32	Procter & Gamble Co. (PG)	No	\$1,001 - \$15,000		None (or less than \$201)
6.33	Schlumberger NV (SLB)	No	\$1,001 - \$15,000		None (or less than \$201)
6.34	Accenture Plc (ACN)	No	\$1,001 - \$15,000		None (or less than \$201)
6.35	Advanced Micro Devices, Inc. (AMD)	No	\$1,001 - \$15,000		None (or less than \$201)
6.36	ASML Holding N.V. New York Registry Shares (ASML)	No	\$1,001 - \$15,000		None (or less than \$201)
6.37	Broadcom, Inc. (AVGO)	No	\$1,001 - \$15,000		None (or less than \$201)
6.38	Chipotle Mexican Grill, Inc. (CMG)	No	\$1,001 - \$15,000		None (or less than \$201)
6.39	Intuit, Inc. (INTU)	No	\$1,001 - \$15,000		None (or less than \$201)
6.40	Mastercard, Inc. (MA)	No	\$1,001 - \$15,000		None (or less than \$201)
6.41	Meta Platforms Inc. Class A Common Stock (META)	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7	Hunterdon County Government	N/A		Salary	
8	Homeplate Catering	N/A		Salary	
9	MetaPhase Consulting LLC	N/A		Salary	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	529 #1 American Funds	No			
1.1	Growth Fund of America Class 529-A Shares (CGFAX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
1.2	Investment Company of America Class 529-A Shares (CICAX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.3	New Perspective Fund Class 529-A Shares (CNPAX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.4	New World Fund, Inc Class 529-A Shares (CNWAX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.5	American SMALLCAP World Fund, Inc Class 529-A Shares (CSPAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2	529 #2 American Funds	No			
2.1	Capital World Growth & Income Fund Class 529-A Shares (CWIAX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.2	Growth Fund of America Class 529-A Shares (CGFAX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2.3	Investment Company of America Class 529-A Shares (CICAX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.4	New Perspective Fund Class 529-A Shares (CNPAX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2.5	American SMALLCAP World Fund, Inc Class 529-A Shares (CSPAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.6	New World Fund, Inc Class 529-A Shares (CNWAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	UCB stock	See Endnote	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
4	US Bank #1	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
5	PNC Joint Investment Account	No			
5.1	Goldman Sachs Bk USA CD	No	\$15,001 - \$50,000		None (or less than \$201)
5.2	Goldman Sachs BK USA CD Zero Cpn	No	\$50,001 - \$100,000		None (or less than \$201)
5.3	GS Fin Corp Mtn Zero Cpn	No	\$15,001 - \$50,000		None (or less than \$201)
6	joint checking account old bank	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	older daughter savings acct #1 credit union	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
8	Younger daughter savings acct #1 credit union	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
9	Credit union account	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
10	T Rowe Price New America Growth Fund, Inc (PRWAX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
11	Victor Sloan & Sandra Gong Jt Ten Ellevest x54	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.1	Alliant Strategic Opportunity Zone Fund (Schwab)	No	\$100,001 - \$250,000		None (or less than \$201)
11.2	iShares ESG MSCI EAFE ETF (ESGD)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
11.3	iShares ESG MSCI EM ETF (ESGE)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
11.4	iShares MSCI USA ESG Select ETF (SUSA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.5	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.6	Vanguard Mid-Cap Value Index Fund ETF Class Shares (VOE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.7	Vanguard Small-Cap Index Fund ETF Shares (VB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.8	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.9	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.10	Access Capital Community Investment Fund Class I Shares (ACCSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.11	Pax Ellevest Global Women's Leadership Fund Institutional Class Shares (PWXIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.12	Cross Border Impact Ventures	No	\$15,001 - \$50,000		None (or less than \$201)
11.13	Charles Schwab Family FD Val Adv Mny Inv	No	\$100,001 - \$250,000		\$1,001 - \$2,500
11.14	iShares ESG MSCI USA Leaders ETF (SUSL)	Yes	\$15,001 - \$50,000		None (or less than \$201)
11.15	Schwab Value Advantage Money Fund Investor Class Shares (SWVXX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	Managed Account #1	No			
12.1	UBS Bank	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.2	iShares Core S&P Small-Cap ETF (IJR)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
12.3	iShares MSCI EAFE ETF (EFA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.4	iShares MSCI EAFE Growth ETF (EFG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.5	iShares MSCI World ETF (URTH)	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.6	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
12.7	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
12.8	Schwab International Equity ETF (SCHF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.9	Schwab US Small-Cap ETF (SCHA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.10	Schwab US Large-Cap Value ETF (SCHV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.11	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.12	SPDR Portfolio S&P 500 Value ETF (SPYV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.13	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Yes	None (or less than \$1,001)		None (or less than \$201)
12.14	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.15	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
12.16	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
12.17	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
12.18	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
12.19	Invesco National AMT-Free Municipal Bond ETF (PZA)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
12.20	iShares Core US Aggregate Bond ETF (AGG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.21	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Yes	None (or less than \$1,001)		None (or less than \$201)
12.22	iShares National Muni Bond ETF (MUB)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
12.23	iSharesJPMorgan USDEmerging Markets Bond ETF (EMB)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
12.24	iShares Short-Term National Muni Bond ETF (SUB)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
12.25	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
12.26	iShares 0-3 Month Treasury Bond ETF iShares 0-3 Month Treasury Bond ETF (SGOV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.27	Schwab US TIPS ETF (SCHP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.28	VanEck Vectors JP Morgan EM Local Currency Bond ETF (EMLC)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13	Victor Sloan/Sandra Gong UBS	No			
13.1	UBS Bank	No	\$1,001 - \$15,000		None (or less than \$201)
13.2	Analog Devices, Inc. (ADI)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.3	Automatic Data Processing, Inc. (ADP)	No	\$1,001 - \$15,000		None (or less than \$201)
13.4	BlackRock, Inc. (BLK)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.5	Broadcom, Inc. (AVGO)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
13.6	Chubb Ltd. (CB)	No	\$1,001 - \$15,000		None (or less than \$201)
13.7	The Coca-Cola Co. (KO)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.8	Diageo (DEO)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.9	EOG Resources, Inc. (EOG)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.10	Exxon Mobil Corp. (XOM)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
13.11	Honeywell International, Inc. (HON)	No	\$1,001 - \$15,000		None (or less than \$201)
13.12	The Home Depot, Inc. (HD)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.13	Johnson & Johnson (JNJ)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.14	JPMorgan (JPM)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
13.15	Linde Plc (LIN)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
13.16	Marsh & McLennan Cos., Inc. (MMC)	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.17	McDonald's Corp. (MCD)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
13.18	Microsoft Corp. (MSFT)	No	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
13.19	Morgan Stanley (MS)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.20	NextEra Energy, Inc. (NEE)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.21	Oracle Corp. (ORCL)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
13.22	Phillips 66 (PSX)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.23	Procter & Gamble Co. (PG)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
13.24	Prologis, Inc. (PLD)	No	\$1,001 - \$15,000		None (or less than \$201)
13.25	Republic Services, Inc. (RSG)	No	\$1,001 - \$15,000		None (or less than \$201)
13.26	Starbucks Corp. (SBUX)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.27	Union Pacific Corp. (UNP)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.28	United Parcel Service, Inc. (UPS)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.29	UnitedHealth Group, Inc. (UNH)	No	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
13.30	Abbott Laboratories (ABT)	No	\$1,001 - \$15,000		None (or less than \$201)
13.31	AbbVie, Inc. (ABBV)	No	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
13.32	Accenture Plc (ACN)	No	\$15,001 - \$50,000		None (or less than \$201)
13.33	American Electric Power Co., Inc. (AEP)	No	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.34	Amgen Inc. (AMGN)	No	\$1,001 - \$15,000		\$201 - \$1,000
13.35	Taiwan Semiconductor Manufacturing Company Ltd. (TSM)	No	\$15,001 - \$50,000		None (or less than \$201)
13.36	Trane Technologies plc (TT)	No	\$1,001 - \$15,000		None (or less than \$201)
14	older daughter savings account #2	N/A	None (or less than \$1,001)		None (or less than \$201)
15	younger daughter savings account #2	N/A	\$15,001 - \$50,000		None (or less than \$201)
16	Viatis (VTRS)	No	None (or less than \$1,001)		None (or less than \$201)
17	Pfizer Inc. (PFE)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18	Apple, Inc. (AAPL)	No	\$1,001 - \$15,000		None (or less than \$201)
19	Tesla, Inc. (TSLA)	No	\$1,001 - \$15,000		None (or less than \$201)
20	Apple, Inc. (AAPL)	No	\$1,001 - \$15,000		None (or less than \$201)
21	Tesla, Inc. (TSLA)	No	\$1,001 - \$15,000		None (or less than \$201)
22	older daughter checking acct #1 credit union	N/A	None (or less than \$1,001)		None (or less than \$201)
23	younger daughter checking acct	N/A	\$1,001 - \$15,000		None (or less than \$201)
24	88 Notes LLC	N/A	\$15,001 - \$50,000	distribution	\$14,880
25	Nickel Productions LLC	No	\$1,001 - \$15,000	net income from theatrical production	\$5,031

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26	estate of a family member	See Endnote	N/A	\$1,001 - \$15,000	None (or less than \$201)
27	proceeds from sale of father's house		N/A	\$50,001 - \$100,000	None (or less than \$201)
28	inherited IRA		No		
28.1	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)		Yes	\$1,001 - \$15,000	None (or less than \$201)
28.2	Vanguard Developed Markets Index Fund ETF Shares (VEA)		Yes	\$1,001 - \$15,000	None (or less than \$201)
28.3	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)		Yes	\$1,001 - \$15,000	None (or less than \$201)
28.4	Vanguard Total Bond Market Index Fund ETF Shares (BND)		Yes	\$1,001 - \$15,000	None (or less than \$201)
29	inherited Roth IRA		No		None (or less than \$201)
29.1	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)		Yes	\$15,001 - \$50,000	None (or less than \$201)
29.2	Vanguard Value Index Fund ETF Shares (VTV)		Yes	\$1,001 - \$15,000	\$201 - \$1,000
29.3	Vanguard Mid-Cap Index Fund ETF Shares (VO)		Yes	\$1,001 - \$15,000	None (or less than \$201)
29.4	Vanguard Mid-Cap Value Index Fund ETF Class Shares (VOE)		Yes	\$1,001 - \$15,000	None (or less than \$201)
29.5	Vanguard Small-Cap Index Fund ETF Shares (VB)		Yes	\$1,001 - \$15,000	None (or less than \$201)
29.6	Vanguard Small-Cap Index Fund ETF Shares (VB)		Yes	\$1,001 - \$15,000	None (or less than \$201)
29.7	Vanguard Developed Markets Index Fund ETF Shares (VEA)		Yes	\$15,001 - \$50,000	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29.8	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
29.9	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Yes	\$15,001 - \$50,000		None (or less than \$201)
29.10	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
29.11	Vanguard Short-Term Bond Index Fund ETF Shares (BSV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
29.12	iShares JPMorgan US Emerging Markets Bond ETF (EMB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
29.13	VanEck Vectors JP Morgan EM Local Currency Bond ETF (EMLC)	Yes	None (or less than \$1,001)		None (or less than \$201)
29.14	schwab bank	N/A	\$1,001 - \$15,000		None (or less than \$201)
30	Joint tenant acct x49	No			
30.1	Atara Biotherapeutics, Inc. (ATRA)	No	None (or less than \$1,001)		None (or less than \$201)
30.2	BAC	No	\$1,001 - \$15,000		None (or less than \$201)
30.3	Carnival Corp. (CCL)	No	\$1,001 - \$15,000		None (or less than \$201)
30.4	CYDY	No	None (or less than \$1,001)		None (or less than \$201)
30.5	Ford Motor Co. (F)	No	None (or less than \$1,001)		None (or less than \$201)
30.6	General Electric Co. (GE)	No	\$1,001 - \$15,000		None (or less than \$201)
30.7	Abeona Therapeutics, Inc. (ABEO)	No	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30.8	BATRA	No	None (or less than \$1,001)		None (or less than \$201)
30.9	Berkshire Hathaway Inc. (BRKB)	No	\$15,001 - \$50,000		None (or less than \$201)
30.10	DNTH (Dianthus Therapeutics)	See Endnote	None (or less than \$1,001)		None (or less than \$201)
30.11	GEHC	No	None (or less than \$1,001)		None (or less than \$201)
30.12	JP Morgan (JPM)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30.13	Novartis AG Common Stock (NVS)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
30.14	SDZNY	No	None (or less than \$1,001)		None (or less than \$201)
30.15	money market bank 2	N/A	\$50,001 - \$100,000		None (or less than \$201)
30.16	Eastern Bank CD	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000
30.17	Goldman Sachs Bank CD	No	\$15,001 - \$50,000		None (or less than \$201)
31	Victor Sloan & Sandra Gong Jt Ten Mun Bond	No	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000
31.1	AB Impact Municipal Income Shares (ABIMX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
31.2	California St Univ Rev 5% 11/1/2029	No	\$1,001 - \$15,000		None (or less than \$201)
31.3	california st univ rev 5% 11/01/2031	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
31.4	Dallas Cnty Tx Hosp Dist 4% 8/15/2034	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31.5	El Paso Tex 5% 8/15/2033	No	\$1,001 - \$15,000		None (or less than \$201)
31.6	Energy Northwest Was Elec Rev 5% 7/1/2034	No	\$1,001 - \$15,000		None (or less than \$201)
31.7	Great Lakes Wtr Auth Mich Sew 5.25% 7/1/2042	No	\$1,001 - \$15,000		None (or less than \$201)
31.8	Los Angeles Calif Dept Wtr & P 5% 7/1/2043	No	\$1,001 - \$15,000		None (or less than \$201)
31.9	Los Angeles Calif Uni Sch Dist 5% 7/1/2041	No	\$1,001 - \$15,000		None (or less than \$201)
31.10	Louisville and Jefferson Cnty Ky 5% 10/1/2032	No	\$1,001 - \$15,000		None (or less than \$201)
31.11	Maricopa Cnty Ariz Spl Health 5% 7/1/2033	No	\$1,001 - \$15,000		None (or less than \$201)
31.12	Massachusetts Bay Transn Auth 4% 7/1/2040	No	\$1,001 - \$15,000		None (or less than \$201)
31.13	Michigan St Bldg Auth Rev 5% 4/15/2030	No	\$1,001 - \$15,000		None (or less than \$201)
31.14	New york St Environmental FACS 5% 6/15/2027	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
31.15	Ohio St Wtr Dev Auth Wtr Pollu 5% 6/1/2029	No	\$1,001 - \$15,000		None (or less than \$201)
31.16	San Antonio Tex Indpt Sch Dist 4% 8/15/2036	No	\$15,001 - \$50,000		None (or less than \$201)
31.17	San Antonio TX Wtr Rev 5% 5/15/2037	No	\$1,001 - \$15,000		None (or less than \$201)
31.18	South Carolina St Ports Auth P 5% 7/1/2033	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31.19	West Va Commr of Hwys Spl Olbi 5% 9/1/2028	No	\$1,001 - \$15,000		None (or less than \$201)
32	younger daughter CD	No	\$1,001 - \$15,000		None (or less than \$201)
33	joint checking account new bank	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
34	joint money market bank 3	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
35	US Treasury Securities	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
36	older daughter savings acct #3	N/A	\$1,001 - \$15,000		None (or less than \$201)
37	older daughter money market bank #1	N/A	\$1,001 - \$15,000		None (or less than \$201)
38	S Gong RMA 2	No			
38.1	iShares Core S&P Small-Cap ETF (IJR)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
38.2	iShares MSCI EAFE ETF (EFA)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
38.3	UBS bank	N/A	\$1,001 - \$15,000		None (or less than \$201)
38.4	iShares MSCI EAFE Growth ETF (EFG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
38.5	iShares MSCI World ETF (URTH)	Yes	\$1,001 - \$15,000		None (or less than \$201)
38.6	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
38.7	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
38.8	Schwab International Equity ETF (SCHF)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
38.9	Schwab US Small-Cap ETF (SCHA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
38.10	Schwab US Large-Cap Value ETF (SCHV)	Yes	None (or less than \$1,001)		None (or less than \$201)
38.11	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Yes	None (or less than \$1,001)		None (or less than \$201)
38.12	SPDR Portfolio S&P 500 Value ETF (SPYV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
38.13	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
38.14	Vanguard Small-Cap Growth Index Fund ETF Class Shares (VBK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
38.15	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
38.16	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
38.17	Vanguard Mid-Cap Growth Index Fund ETF Shares (VOT)	Yes	None (or less than \$1,001)		None (or less than \$201)
38.18	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
38.19	Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Shares (VSS)	Yes	None (or less than \$1,001)		None (or less than \$201)
38.20	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
38.21	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
38.22	Vanguard Total International Stock Index Fund ETF Shares (VXUS)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
38.23	Invesco National AMT-Free Municipal Bond ETF (PZA)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
38.24	Invesco Emerging Markets Sovereign Debt ETF (PCY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
38.25	iShares Core US Aggregate Bond ETF (AGG)	Yes	None (or less than \$1,001)		None (or less than \$201)
38.26	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
38.27	iShares National Muni Bond ETF (MUB)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
38.28	iShares Short-Term National Muni Bond ETF (SUB)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
38.29	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
38.30	iShares 0-3 Month Treasury Bond ETF iShares 0-3 Month Treasury Bond ETF (SGOV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
38.31	Schwab US TIPS ETF (SCHP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
38.32	SPDRNuveen Bloomberg Barclays Short Term Municipal Bond ETF (SHM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
38.33	VanEck Vectors JP Morgan EM Local Currency Bond ETF (EMLC)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
38.34	Xtrackers USD High Yield Corporate Bond ETF (HYLB)	Yes	None (or less than \$1,001)		None (or less than \$201)
39	VS Managed account #2	No	\$15,001 - \$50,000	Dividends Interest	\$201 - \$1,000
40	UBS Select Government Institutional Fund (SEGXX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
41	UBS Managed Account #2	No	\$15,001 - \$50,000		None (or less than \$201)
41.1	UBS Bank	No	\$1,001 - \$15,000		None (or less than \$201)
41.2	Lincoln National Life Ins Co Moneyguard III	No	\$50,001 - \$100,000		None (or less than \$201)
41.3	Citizens Financial Group, Inc. (Rhode Island) (CFG)	No	\$1,001 - \$15,000		None (or less than \$201)
42	AVGSF Yassir 2021	No	\$15,001 - \$50,000		None (or less than \$201)
43	AVSF Yassir 2023 LLC	No	\$1,001 - \$15,000		None (or less than \$201)
44	AV Growth Fund 3Q LLC	No	\$1,001 - \$15,000		None (or less than \$201)
45	AVSF Ellevest 2022 LLC	No	\$1,001 - \$15,000		None (or less than \$201)
46	AVGSF Rigetti 2020	No	\$15,001 - \$50,000		None (or less than \$201)
47	AVG 2020 Equity Shareholder Raise 2	No	\$15,001 - \$50,000		None (or less than \$201)
48	AVGSF what3words 2019	No	\$1,001 - \$15,000		None (or less than \$201)
49	AVG Q2 2019 Partner Fund LLC	No	\$15,001 - \$50,000		None (or less than \$201)
50	AVG Women's Fund Q2 2019	No	\$1,001 - \$15,000		None (or less than \$201)
51	AVG 2020 Equity Shareholder Raise 2	No	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
52	AVG what3words 2019	No	\$1,001 - \$15,000		None (or less than \$201)
53	AVG Women's Fund Q2 2019	No	\$1,001 - \$15,000		None (or less than \$201)
54	AVG Q2 2019 Partner Fund LLC	No	\$15,001 - \$50,000		None (or less than \$201)
55	BMO CD #1	N/A	\$15,001 - \$50,000		None (or less than \$201)
56	BMO CD #2	N/A	\$15,001 - \$50,000		None (or less than \$201)
57	BMO CD #3	N/A	\$15,001 - \$50,000		None (or less than \$201)
58	Purlie Victorious LLC	N/A	\$15,001 - \$50,000		None (or less than \$201)
59	U.S. savings bonds	N/A	\$100,001 - \$250,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Alphabet, Inc. (GOOGL)	Sale	01/17/2023	\$1,001 - \$15,000
2	salesforce.com, inc. (CRM)	Sale	01/17/2023	\$1,001 - \$15,000
3	Abbott Laboratories (ABT)	Purchase	01/11/2023	\$1,001 - \$15,000
4	Exxon Mobil Corp. (XOM)	Purchase	01/11/2023	\$1,001 - \$15,000
5	Republic Services, Inc. (RSG)	Sale	01/09/2023	\$1,001 - \$15,000
6	Accenture Plc (ACN)	Sale	01/09/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
7	Truist Financial TFC	Sale	01/11/2023	\$1,001 - \$15,000
8	Truist Financial TFC	Sale	01/10/2023	\$1,001 - \$15,000
9	iShares Core MSCI EAFE ETF (IEFA)	Sale	01/23/2023	\$1,001 - \$15,000
10	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	01/20/2023	\$1,001 - \$15,000
11	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	01/20/2023	\$1,001 - \$15,000
12	Vanguard Russell 1000 Value Index Fund ETF Shares (VONV)	Purchase	01/20/2023	\$1,001 - \$15,000
13	iShares MSCI EAFE ETF (EFA)	Sale	01/20/2023	\$1,001 - \$15,000
14	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)	Sale	01/20/2023	\$1,001 - \$15,000
15	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	01/20/2023	\$1,001 - \$15,000
16	SPDR Nuveen Bloomberg Barclays Short Term Municipal Bond ETF (SHM)	Purchase	01/20/2023	\$1,001 - \$15,000
17	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	01/20/2023	\$1,001 - \$15,000
18	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Sale	01/20/2023	\$1,001 - \$15,000
19	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)	Sale	01/20/2023	\$1,001 - \$15,000
20	iShares Core MSCI EAFE ETF (IEFA)	Sale	01/23/2023	\$15,001 - \$50,000
21	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	01/20/2023	\$1,001 - \$15,000
22	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	01/20/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
23	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Sale	01/20/2023	\$1,001 - \$15,000
24	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)	Sale	01/20/2023	\$1,001 - \$15,000
25	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	01/20/2023	\$1,001 - \$15,000
26	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Purchase	01/20/2023	\$1,001 - \$15,000
27	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	01/20/2023	\$15,001 - \$50,000
28	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Sale	01/30/2023	\$1,001 - \$15,000
29	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Sale	01/30/2023	\$1,001 - \$15,000
30	iSharesJPMorgan USDEmerging Markets Bond ETF (EMB)	Sale	01/30/2023	\$1,001 - \$15,000
31	Vanguard Short-Term Bond Index Fund ETF Shares (BSV)	Sale	01/30/2023	\$1,001 - \$15,000
32	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Sale	01/30/2023	\$1,001 - \$15,000
33	Decathlon Alpha Social Equality Fund	Purchase	02/06/2023	\$1,001 - \$15,000
34	Alphabet, Inc. (GOOGL)	Sale	01/17/2023	\$1,001 - \$15,000
35	salesforce.com, inc. (CRM)	Sale	01/17/2023	\$1,001 - \$15,000
36	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Purchase	01/20/2023	\$1,001 - \$15,000
37	Abbott Laboratories (ABT)	Purchase	02/10/2023	\$1,001 - \$15,000
38	Microsoft Corp. (MSFT)	Purchase	02/10/2023	\$1,001 - \$15,000
39	Accenture Plc (ACN)	Purchase	02/10/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
40	Johnson & Johnson (JNJ)	Sale	02/10/2023	\$1,001 - \$15,000
41	Accenture Plc (ACN)	Purchase	02/09/2023	\$1,001 - \$15,000
42	Johnson & Johnson (JNJ)	Sale	02/09/2023	\$1,001 - \$15,000
43	AbbVie, Inc. (ABBV)	Sale	02/09/2023	\$1,001 - \$15,000
44	NextEra Energy, Inc. (NEE)	Sale	02/09/2023	\$1,001 - \$15,000
45	Republic Services, Inc. (RSG)	Sale	02/09/2023	\$1,001 - \$15,000
46	iShares Core MSCI EAFE ETF (IEFA)	Sale	01/23/2023	\$15,001 - \$50,000
47	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	01/20/2023	\$1,001 - \$15,000
48	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	01/20/2023	\$15,001 - \$50,000
49	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Purchase	01/20/2023	\$1,001 - \$15,000
50	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Sale	01/26/2023	\$1,001 - \$15,000
51	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Sale	01/26/2023	\$1,001 - \$15,000
52	iSharesJPMorgan USDEmerging Markets Bond ETF (EMB)	Sale	01/26/2023	\$1,001 - \$15,000
53	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Sale	01/26/2023	\$1,001 - \$15,000
54	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Purchase	02/24/2023	\$1,001 - \$15,000
55	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)	Sale	02/24/2023	\$1,001 - \$15,000
56	iShares Short-Term National Muni Bond ETF (SUB)	Purchase	02/24/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
57	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)	Sale	02/24/2023	\$1,001 - \$15,000
58	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Sale	02/24/2023	\$1,001 - \$15,000
59	iShares National Muni Bond ETF (MUB)	Purchase	02/24/2023	\$1,001 - \$15,000
60	iShares Short-Term National Muni Bond ETF (SUB)	Purchase	02/24/2023	\$1,001 - \$15,000
61	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)	Sale	02/24/2023	\$1,001 - \$15,000
62	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Sale	02/24/2023	\$1,001 - \$15,000
63	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Purchase	02/24/2023	\$1,001 - \$15,000
64	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)	Sale	02/24/2023	\$1,001 - \$15,000
65	American Electric Power Co., Inc. (AEP)	Purchase	03/03/2023	\$1,001 - \$15,000
66	Chubb Ltd. (CB)	Sale	03/03/2023	\$1,001 - \$15,000
67	Accenture Plc (ACN)	Purchase	03/28/2023	\$1,001 - \$15,000
68	The Home Depot, Inc. (HD)	Sale	03/28/2023	\$1,001 - \$15,000
69	Accenture Plc (ACN)	Purchase	03/28/2023	\$1,001 - \$15,000
70	The Home Depot, Inc. (HD)	Sale	03/28/2023	\$1,001 - \$15,000
71	Intuit, Inc. (INTU)	Purchase	03/27/2023	\$1,001 - \$15,000
72	The Home Depot, Inc. (HD)	Sale	03/27/2023	\$1,001 - \$15,000
73	Intuit, Inc. (INTU)	Purchase	03/27/2023	\$1,001 - \$15,000
74	Accenture Plc (ACN)	Purchase	03/27/2023	\$1,001 - \$15,000
75	The Home Depot, Inc. (HD)	Sale	03/27/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
76	Accenture Plc (ACN)	Purchase	03/24/2023	\$1,001 - \$15,000
77	Alphabet, Inc. (GOOGL)	Sale	03/24/2023	\$1,001 - \$15,000
78	The Home Depot, Inc. (HD)	Sale	03/24/2023	\$1,001 - \$15,000
79	Texas Instruments Incorporated (TXN)	Sale	03/24/2023	\$1,001 - \$15,000
80	Accenture Plc (ACN)	Purchase	03/24/2023	\$1,001 - \$15,000
81	Alphabet, Inc. (GOOGL)	Sale	03/24/2023	\$1,001 - \$15,000
82	The Home Depot, Inc. (HD)	Sale	03/24/2023	\$1,001 - \$15,000
83	Texas Instruments Incorporated (TXN)	Sale	03/24/2023	\$1,001 - \$15,000
84	Exxon Mobil Corp. (XOM)	Purchase	03/22/2023	\$1,001 - \$15,000
85	NextEra Energy, Inc. (NEE)	Purchase	03/22/2023	\$1,001 - \$15,000
86	Cisco Systems, Inc. (CSCO)	Sale	03/22/2023	\$1,001 - \$15,000
87	UnitedHealth Group, Inc. (UNH)	Purchase	03/24/2023	\$1,001 - \$15,000
88	Starbucks Corp. (SBUX)	Purchase	03/21/2023	\$1,001 - \$15,000
89	Amgen Inc. (AMGN)	Sale	03/21/2023	\$1,001 - \$15,000
90	Cisco Systems, Inc. (CSCO)	Sale	03/21/2023	\$1,001 - \$15,000
91	EOG Resources, Inc. (EOG)	Sale	03/21/2023	\$1,001 - \$15,000
92	Discover Financial Services (DFS)	Sale	03/21/2023	\$1,001 - \$15,000
93	Advanced Micro Devices, Inc. (AMD)	Purchase	04/19/2023	\$1,001 - \$15,000
94	Accenture Plc (ACN)	Purchase	04/19/2023	\$1,001 - \$15,000
95	Alphabet, Inc. (GOOG)	Sale	04/19/2023	\$1,001 - \$15,000
96	Microsoft Corp. (MSFT)	Sale	04/19/2023	\$1,001 - \$15,000
97	Advanced Micro Devices, Inc. (AMD)	Purchase	04/19/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
98	Accenture Plc (ACN)	Purchase	04/19/2023	\$1,001 - \$15,000
99	Alphabet, Inc. (GOOG)	Sale	04/19/2023	\$1,001 - \$15,000
100	Microsoft Corp. (MSFT)	Sale	04/19/2023	\$1,001 - \$15,000
101	Advanced Micro Devices, Inc. (AMD)	Purchase	04/19/2023	\$1,001 - \$15,000
102	Accenture Plc (ACN)	Purchase	04/19/2023	\$1,001 - \$15,000
103	Alphabet, Inc. (GOOG)	Sale	04/19/2023	\$1,001 - \$15,000
104	Microsoft Corp. (MSFT)	Sale	04/19/2023	\$1,001 - \$15,000
105	Advanced Micro Devices, Inc. (AMD)	Purchase	04/19/2023	\$1,001 - \$15,000
106	Accenture Plc (ACN)	Purchase	04/19/2023	\$1,001 - \$15,000
107	Alphabet, Inc. (GOOG)	Sale	04/19/2023	\$1,001 - \$15,000
108	Microsoft Corp. (MSFT)	Sale	04/19/2023	\$1,001 - \$15,000
109	EOG Resources, Inc. (EOG)	Purchase	05/09/2023	\$1,001 - \$15,000
110	AbbVie, Inc. (ABBV)	Purchase	05/09/2023	\$1,001 - \$15,000
111	American Electric Power Co., Inc. (AEP)	Sale	05/09/2023	\$1,001 - \$15,000
112	Discover Financial Services (DFS)	Sale	05/09/2023	\$1,001 - \$15,000
113	Exxon Mobil Corp. (XOM)	Sale	05/09/2023	\$1,001 - \$15,000
114	Phillips 66 (PSX)	Sale	05/09/2023	\$1,001 - \$15,000
115	Amgen Inc. (AMGN)	Sale	06/02/2023	\$1,001 - \$15,000
116	Abbott Laboratories (ABT)	Purchase	06/01/2023	\$1,001 - \$15,000
117	AbbVie, Inc. (ABBV)	Purchase	06/01/2023	\$1,001 - \$15,000
118	Amgen Inc. (AMGN)	Sale	06/01/2023	\$1,001 - \$15,000
119	Applied Materials, Inc. (AMAT)	Sale	06/28/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
120	Mastercard, Inc. (MA)	Purchase	06/28/2023	\$1,001 - \$15,000
121	Applied Materials, Inc. (AMAT)	Sale	06/28/2023	\$1,001 - \$15,000
122	Meta Platforms Inc. Class A Common Stock (META)	Purchase	06/26/2023	\$1,001 - \$15,000
123	Mastercard, Inc. (MA)	Purchase	06/26/2023	\$1,001 - \$15,000
124	Texas Instruments Incorporated (TXN)	Sale	06/26/2023	\$1,001 - \$15,000
125	Meta Platforms Inc. Class A Common Stock (META)	Purchase	06/26/2023	\$1,001 - \$15,000
126	Mastercard, Inc. (MA)	Purchase	06/26/2023	\$1,001 - \$15,000
127	Texas Instruments Incorporated (TXN)	Sale	06/26/2023	\$1,001 - \$15,000
128	Meta Platforms Inc. Class A Common Stock (META)	Purchase	06/23/2023	\$1,001 - \$15,000
129	Mastercard, Inc. (MA)	Purchase	06/23/2023	\$1,001 - \$15,000
130	salesforce.com, inc. (CRM)	Purchase	06/23/2023	\$1,001 - \$15,000
131	Apple, Inc. (AAPL)	Sale	06/23/2023	\$1,001 - \$15,000
132	Dollar General Corp. (DG)	Sale	06/23/2023	\$1,001 - \$15,000
133	Texas Instruments Incorporated (TXN)	Sale	06/23/2023	\$1,001 - \$15,000
134	Meta Platforms Inc. Class A Common Stock (META)	Purchase	06/23/2023	\$1,001 - \$15,000
135	Mastercard, Inc. (MA)	Purchase	06/23/2023	\$1,001 - \$15,000
136	salesforce.com, inc. (CRM)	Purchase	06/23/2023	\$1,001 - \$15,000
137	Apple, Inc. (AAPL)	Sale	06/23/2023	\$1,001 - \$15,000
138	Dollar General Corp. (DG)	Sale	06/23/2023	\$1,001 - \$15,000
139	Texas Instruments Incorporated (TXN)	Sale	06/23/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
140	Taiwan Semiconductor Manufacturing Company Ltd. (TSM)	Purchase	07/26/2023	\$1,001 - \$15,000
141	Taiwan Semiconductor Manufacturing Company Ltd. (TSM)	Purchase	07/25/2023	\$1,001 - \$15,000
142	Taiwan Semiconductor Manufacturing Company Ltd. (TSM)	Purchase	07/24/2023	\$1,001 - \$15,000
143	EOG Resources, Inc. (EOG)	Sale	07/24/2023	\$1,001 - \$15,000
144	Johnson & Johnson (JNJ)	Sale	07/24/2023	\$1,001 - \$15,000
145	Prologis, Inc. (PLD)	Sale	07/24/2023	\$1,001 - \$15,000
146	Abbott Laboratories (ABT)	Purchase	08/10/2023	\$1,001 - \$15,000
147	Advanced Micro Devices, Inc. (AMD)	Purchase	08/10/2023	\$1,001 - \$15,000
148	Amazon.com, Inc. (AMZN)	Purchase	08/10/2023	\$1,001 - \$15,000
149	Ameriprise Financial, Inc. (AMP)	Purchase	08/10/2023	\$1,001 - \$15,000
150	Applied Materials, Inc. (AMAT)	Purchase	08/10/2023	\$1,001 - \$15,000
151	Apple, Inc. (AAPL)	Purchase	08/10/2023	\$1,001 - \$15,000
152	Boston Scientific Corp. (BSX)	Purchase	08/10/2023	\$1,001 - \$15,000
153	American Tower Corporation (REIT) (AMT)	Purchase	08/10/2023	\$1,001 - \$15,000
154	Chipotle Mexican Grill, Inc. (CMG)	Purchase	08/10/2023	\$1,001 - \$15,000
155	Costco Wholesale Corp. (COST)	Purchase	08/10/2023	\$1,001 - \$15,000
156	The Coca-Cola Co. (KO)	Purchase	08/10/2023	\$1,001 - \$15,000
157	Alphabet, Inc. (GOOG)	Purchase	08/10/2023	\$1,001 - \$15,000
158	Danaher Corp. (DHR)	Purchase	08/10/2023	\$1,001 - \$15,000
159	Intuit, Inc. (INTU)	Purchase	08/10/2023	\$1,001 - \$15,000
160	Intuitive Surgical, Inc. (ISRG)	Purchase	08/10/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
161	Meta Platforms Inc. Class A Common Stock (META)	Purchase	08/10/2023	\$1,001 - \$15,000
162	Eli Lilly & Co. (LLY)	Purchase	08/10/2023	\$1,001 - \$15,000
163	Mastercard, Inc. (MA)	Purchase	08/10/2023	\$1,001 - \$15,000
164	Microsoft Corp. (MSFT)	Purchase	08/10/2023	\$1,001 - \$15,000
165	NIKE, Inc. (NKE)	Purchase	08/10/2023	\$1,001 - \$15,000
166	O'Reilly Automotive, Inc. (ORLY)	Purchase	08/10/2023	\$1,001 - \$15,000
167	Palo Alto Networks, Inc. (PANW)	Purchase	08/10/2023	\$1,001 - \$15,000
168	Parker-Hannifin Corp. (PH)	Purchase	08/10/2023	\$1,001 - \$15,000
169	Procter & Gamble Co. (PG)	Purchase	08/10/2023	\$1,001 - \$15,000
170	salesforce.com, inc. (CRM)	Purchase	08/10/2023	\$1,001 - \$15,000
171	Union Pacific Corp. (UNP)	Sale	08/01/2023	\$1,001 - \$15,000
172	Estee Lauder Cos. (EL)	Sale	08/01/2023	\$1,001 - \$15,000
173	Union Pacific Corp. (UNP)	Sale	08/01/2023	\$1,001 - \$15,000
174	Chipotle Mexican Grill, Inc. (CMG)	Purchase	08/02/2023	\$1,001 - \$15,000
175	Meta Platforms Inc. Class A Common Stock (META)	Purchase	08/02/2023	\$1,001 - \$15,000
176	Chipotle Mexican Grill, Inc. (CMG)	Purchase	08/02/2023	\$1,001 - \$15,000
177	Meta Platforms Inc. Class A Common Stock (META)	Purchase	08/02/2023	\$1,001 - \$15,000
178	Visa, Inc. (V)	Purchase	08/10/2023	\$1,001 - \$15,000
179	UnitedHealth Group, Inc. (UNH)	Purchase	08/10/2023	\$1,001 - \$15,000
180	The TJX Cos., Inc. (TJX)	Purchase	08/10/2023	\$1,001 - \$15,000
181	Schlumberger NV (SLB)	Purchase	08/10/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
182	The Sherwin-Williams Co. (SHW)	Purchase	08/10/2023	\$1,001 - \$15,000
183	Thermo Fisher Scientific, Inc. (TMO)	Purchase	08/10/2023	\$1,001 - \$15,000
184	Accenture Plc (ACN)	Purchase	08/10/2023	\$1,001 - \$15,000
185	S&P Global, Inc. (SPGI)	Purchase	08/10/2023	\$1,001 - \$15,000
186	Abbott Laboratories (ABT)	Purchase	08/10/2023	\$1,001 - \$15,000
187	Advanced Micro Devices, Inc. (AMD)	Purchase	08/10/2023	\$1,001 - \$15,000
188	Amazon.com, Inc. (AMZN)	Purchase	08/10/2023	\$1,001 - \$15,000
189	Ameriprise Financial, Inc. (AMP)	Purchase	08/10/2023	\$1,001 - \$15,000
190	Applied Materials, Inc. (AMAT)	Purchase	08/10/2023	\$1,001 - \$15,000
191	Apple, Inc. (AAPL)	Purchase	08/10/2023	\$1,001 - \$15,000
192	Boston Scientific Corp. (BSX)	Purchase	08/10/2023	\$1,001 - \$15,000
193	American Tower Corporation (REIT) (AMT)	Purchase	08/10/2023	\$1,001 - \$15,000
194	Chipotle Mexican Grill, Inc. (CMG)	Purchase	08/10/2023	\$1,001 - \$15,000
195	Costco Wholesale Corp. (COST)	Purchase	08/10/2023	\$1,001 - \$15,000
196	The Coca-Cola Co. (KO)	Purchase	08/10/2023	\$1,001 - \$15,000
197	Alphabet, Inc. (GOOG)	Purchase	08/10/2023	\$1,001 - \$15,000
198	Danaher Corp. (DHR)	Purchase	08/10/2023	\$1,001 - \$15,000
199	Intuit, Inc. (INTU)	Purchase	08/10/2023	\$1,001 - \$15,000
200	Intuitive Surgical, Inc. (ISRG)	Purchase	08/10/2023	\$1,001 - \$15,000
201	Meta Platforms Inc. Class A Common Stock (META)	Purchase	08/10/2023	\$1,001 - \$15,000
202	Eli Lilly & Co. (LLY)	Purchase	08/10/2023	\$1,001 - \$15,000
203	Mastercard, Inc. (MA)	Purchase	08/10/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
204	Microsoft Corp. (MSFT)	Purchase	08/10/2023	\$1,001 - \$15,000
205	NIKE, Inc. (NKE)	Purchase	08/10/2023	\$1,001 - \$15,000
206	O'Reilly Automotive, Inc. (ORLY)	Purchase	08/10/2023	\$1,001 - \$15,000
207	Palo Alto Networks, Inc. (PANW)	Purchase	08/10/2023	\$1,001 - \$15,000
208	Parker-Hannifin Corp. (PH)	Purchase	08/10/2023	\$1,001 - \$15,000
209	Procter & Gamble Co. (PG)	Purchase	08/10/2023	\$1,001 - \$15,000
210	salesforce.com, inc. (CRM)	Purchase	08/10/2023	\$1,001 - \$15,000
211	Union Pacific Corp. (UNP)	Sale	08/01/2023	\$1,001 - \$15,000
212	Estee Lauder Cos. (EL)	Sale	08/01/2023	\$1,001 - \$15,000
213	Union Pacific Corp. (UNP)	Sale	08/01/2023	\$1,001 - \$15,000
214	Chipotle Mexican Grill, Inc. (CMG)	Purchase	08/02/2023	\$1,001 - \$15,000
215	Meta Platforms Inc. Class A Common Stock (META)	Purchase	08/02/2023	\$1,001 - \$15,000
216	Chipotle Mexican Grill, Inc. (CMG)	Purchase	08/02/2023	\$1,001 - \$15,000
217	Meta Platforms Inc. Class A Common Stock (META)	Purchase	08/02/2023	\$1,001 - \$15,000
218	Visa, Inc. (V)	Purchase	08/10/2023	\$1,001 - \$15,000
219	UnitedHealth Group, Inc. (UNH)	Purchase	08/10/2023	\$1,001 - \$15,000
220	The TJX Cos., Inc. (TJX)	Purchase	08/10/2023	\$1,001 - \$15,000
221	Schlumberger NV (SLB)	Purchase	08/10/2023	\$1,001 - \$15,000
222	The Sherwin-Williams Co. (SHW)	Purchase	08/10/2023	\$1,001 - \$15,000
223	Thermo Fisher Scientific, Inc. (TMO)	Purchase	08/10/2023	\$1,001 - \$15,000
224	Accenture Plc (ACN)	Purchase	08/10/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
225	S&P Global, Inc. (SPGI)	Purchase	08/10/2023	\$1,001 - \$15,000
226	Chipotle Mexican Grill, Inc. (CMG)	Purchase	08/17/2023	\$1,001 - \$15,000
227	Morgan Stanley (MS)	Purchase	08/17/2023	\$1,001 - \$15,000
228	Taiwan Semiconductor Manufacturing Company Ltd. (TSM)	Purchase	08/17/2023	\$1,001 - \$15,000
229	Chipotle Mexican Grill, Inc. (CMG)	Purchase	08/16/2023	\$1,001 - \$15,000
230	Taiwan Semiconductor Manufacturing Company Ltd. (TSM)	Purchase	08/16/2023	\$1,001 - \$15,000
231	Exxon Mobil Corp. (XOM)	Sale	08/16/2023	\$1,001 - \$15,000
232	Raytheon Technologies Corporation Common Stock (RTX)	Sale	08/16/2023	\$1,001 - \$15,000
233	Procter & Gamble Co. (PG)	Sale	09/26/2023	\$1,001 - \$15,000
234	Procter & Gamble Co. (PG)	Sale	09/25/2023	\$1,001 - \$15,000
235	Morgan Stanley (MS)	Purchase	09/25/2023	\$1,001 - \$15,000
236	Oracle Corp. (ORCL)	Purchase	09/25/2023	\$1,001 - \$15,000
237	Union Pacific Corp. (UNP)	Purchase	09/25/2023	\$1,001 - \$15,000
238	Starbucks Corp. (SBUX)	Purchase	09/25/2023	\$1,001 - \$15,000
239	Raytheon Technologies Corporation Common Stock (RTX)	Sale	09/25/2023	\$1,001 - \$15,000
240	Texas Instruments Incorporated (TXN)	Sale	09/25/2023	\$1,001 - \$15,000
241	Broadcom, Inc. (AVGO)	Purchase	09/22/2023	\$1,001 - \$15,000
242	Meta Platforms Inc. Class A Common Stock (META)	Purchase	09/22/2023	\$1,001 - \$15,000
243	Danaher Corp. (DHR)	Sale	09/22/2023	\$1,001 - \$15,000
244	Procter & Gamble Co. (PG)	Sale	09/22/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
245	Broadcom, Inc. (AVGO)	Purchase	09/22/2023	\$1,001 - \$15,000
246	Meta Platforms Inc. Class A Common Stock (META)	Purchase	09/22/2023	\$1,001 - \$15,000
247	Danaher Corp. (DHR)	Sale	09/22/2023	\$1,001 - \$15,000
248	Procter & Gamble Co. (PG)	Sale	09/22/2023	\$1,001 - \$15,000
249	The Coca-Cola Co. (KO)	Purchase	09/22/2023	\$1,001 - \$15,000
250	Chubb Ltd. (CB)	Purchase	09/22/2023	\$1,001 - \$15,000
251	Accenture Plc (ACN)	Purchase	09/22/2023	\$1,001 - \$15,000
252	Taiwan Semiconductor Manufacturing Company Ltd. (TSM)	Purchase	09/22/2023	\$1,001 - \$15,000
253	United Parcel Service, Inc. (UPS)	Purchase	09/22/2023	\$1,001 - \$15,000
254	Diageo plc Common Stock (DEO)	Sale	09/22/2023	\$1,001 - \$15,000
255	Raytheon Technologies Corporation Common Stock (RTX)	Sale	09/22/2023	\$1,001 - \$15,000
256	Texas Instruments Incorporated (TXN)	Sale	09/22/2023	\$1,001 - \$15,000
257	The Coca-Cola Co. (KO)	Purchase	10/06/2023	\$1,001 - \$15,000
258	AbbVie, Inc. (ABBV)	Purchase	10/06/2023	\$1,001 - \$15,000
259	Procter & Gamble Co. (PG)	Purchase	10/06/2023	\$1,001 - \$15,000
260	UnitedHealth Group, Inc. (UNH)	Purchase	10/06/2023	\$1,001 - \$15,000
261	UnitedHealth Group, Inc. (UNH)	Purchase	10/06/2023	\$1,001 - \$15,000
262	Chubb Ltd. (CB)	Sale	10/04/2023	\$1,001 - \$15,000
263	Honeywell International, Inc. (HON)	Sale	10/04/2023	\$1,001 - \$15,000
264	Morgan Stanley (MS)	Sale	10/04/2023	\$1,001 - \$15,000
265	Accenture Plc (ACN)	Sale	10/04/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
266	Texas Instruments Incorporated (TXN)	Sale	10/04/2023	\$1,001 - \$15,000
267	Union Pacific Corp. (UNP)	Sale	10/04/2023	\$1,001 - \$15,000
268	Abbott Laboratories (ABT)	Sale	10/03/2023	\$1,001 - \$15,000
269	American Electric Power Co., Inc. (AEP)	Sale	10/03/2023	\$1,001 - \$15,000
270	Diageo plc Common Stock (DEO)	Sale	10/03/2023	\$1,001 - \$15,000
271	EOG Resources, Inc. (EOG)	Sale	10/03/2023	\$1,001 - \$15,000
272	Johnson & Johnson (JNJ)	Sale	10/03/2023	\$1,001 - \$15,000
273	NextEra Energy, Inc. (NEE)	Sale	10/03/2023	\$1,001 - \$15,000
274	Prologis, Inc. (PLD)	Sale	10/03/2023	\$1,001 - \$15,000
275	Raytheon Technologies Corporation Common Stock (RTX)	Sale	10/03/2023	\$1,001 - \$15,000
276	Taiwan Semiconductor Manufacturing Company Ltd. (TSM)	Sale	10/03/2023	\$1,001 - \$15,000
277	United Parcel Service, Inc. (UPS)	Sale	10/03/2023	\$1,001 - \$15,000
278	Starbucks Corp. (SBUX)	Sale	10/03/2023	\$1,001 - \$15,000
279	International Flavors & Fragrances, Inc. (IFF)	Sale	10/19/2023	\$1,001 - \$15,000
280	Kansas St Tep Transn Hwy Rev 5% 09/01/2027	Sale	10/27/2023	\$1,001 - \$15,000
281	Mtropolitan Tansn Auth NY D 5.25% 11/5/2030	Sale	10/27/2023	\$1,001 - \$15,000
282	California Infrastructure & EC 5% 10/01/2020	Sale	10/27/2023	\$1,001 - \$15,000
283	Delaware State 5% 07/01/2027	Sale	10/27/2023	\$1,001 - \$15,000
284	Port Bellingham Wash Rev 5% 12/01/2030	Sale	10/27/2023	\$1,001 - \$15,000
285	Wisconsin State Transn Rev 5% 07/01/2028	Sale	10/27/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
286	Kansas St Tpk Auth Tpk Rev 5% 09/01/2028	Sale	10/27/2023	\$1,001 - \$15,000
287	Curators Univ Mo Sys TACS Rev 5% 11/01/2030	Sale	10/27/2023	\$1,001 - \$15,000
288	Dow, Inc. (DOW)	Sale	10/19/2023	\$1,001 - \$15,000
289	Corteva, Inc. (CTVA)	Sale	10/19/2023	\$1,001 - \$15,000
290	DuPont de Nemours, Inc. (DD)	Sale	10/19/2023	\$1,001 - \$15,000
291	Washington St 4% 02/01/2037	Sale	10/27/2023	\$1,001 - \$15,000
292	Honolulu Hawaii City and Cnty 4% 07/01/2035	Sale	10/27/2023	\$1,001 - \$15,000
293	Stamford Conn 4% 04/01/2031	Sale	10/20/2023	\$1,001 - \$15,000
294	California St Pub Wks Brd Leas 5% 09/01/2027	Sale	10/27/2023	\$1,001 - \$15,000
295	Austin Tx Wtr and Wastewaters Sys 5% 11/15/2031	Sale	10/27/2023	\$1,001 - \$15,000
296	Minnesota St 5% 10/01/2027	Sale	10/27/2023	\$1,001 - \$15,000
297	Lincoln Neb Elec Sys Rev 5% 09/01/2028	Sale	10/27/2023	\$1,001 - \$15,000
298	Hawaii Cnty Hawaii 5% 09/01/2034	Sale	10/27/2023	\$1,001 - \$15,000
299	Alabama St Pub Sch & College A 5% 11/01/2032	Sale	10/27/2023	\$1,001 - \$15,000
300	Oklahoma Transn Auth OK F/K/A 5% 01/01/2031	Sale	10/20/2023	\$1,001 - \$15,000
301	Purdue Univ Ind Univ Revs 5% 07/01/2030	Sale	10/20/2023	\$1,001 - \$15,000
302	Gilbert Ariz 4% 07/01/2029	Sale	10/20/2023	\$15,001 - \$50,000
303	Memphis Tenn 5% 05/01/2028	Sale	10/20/2023	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
304	Boeing Co (BA)	Sale	10/19/2023	\$15,001 - \$50,000
305	Norfolk VA 4% 03/01/2036	Sale	10/20/2023	\$15,001 - \$50,000
306	Milwaukee Wis Sew Rev 5% 06/01/2035	Sale	10/20/2023	\$15,001 - \$50,000
307	District Columbia Income Tax R 5% 03/01/2034	Sale	10/20/2023	\$15,001 - \$50,000
308	Massachusetts St Sch Bldg Auth 5\$ 08/15/2035	Sale	10/20/2023	\$15,001 - \$50,000
309	Alumni Ventures Group Growth Fund 3Q LLC	Purchase	11/01/2023	\$1,001 - \$15,000
310	Mass Bay T 4% 40 SPAS due 7/1/40	Purchase	11/09/2023	\$1,001 - \$15,000
311	NY State Dorm Auth 5% 27Univ Educ Due 7/1/27XTRO	Sale	11/07/2023	\$1,001 - \$15,000
312	San Antonio TX W5%37WRSR Util Due 5/15/37	Purchase	11/02/2023	\$1,001 - \$15,000
313	Energy NW WA Elec R 5%34 ELEC Util due 7/1/34	Purchase	11/01/2023	\$1,001 - \$15,000
314	El Paso TX 5% 33GO UTX due 8/15/33	Purchase	11/01/2023	\$1,001 - \$15,000
315	Maricopa Cty Ari 5%33GO UTX due 7/1/33	Purchase	11/01/2023	\$1,001 - \$15,000
316	Los Angeles Califor 5%41GO UTX due 7/1/41	Purchase	11/01/2023	\$1,001 - \$15,000
317	Dallas CN TX HSP D 4%34DB LTX Due 8/15/34	Purchase	10/31/2023	\$1,001 - \$15,000
318	New Jersey ST Tpk A 5%33TPK Tran Due 1/1/33	Sale	10/30/2023	\$1,001 - \$15,000
319	MI ST BLDG AUTH 5%32FAC BLDG due 10/15/32XTRO	Sale	10/30/2023	\$1,001 - \$15,000
320	Manatee Cty FL 5%32VP TRAN due 10/1/32	Sale	10/30/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
321	Kansas City Mo 5%38GO UTX Due 2/1/38	Sale	10/30/2023	\$1,001 - \$15,000
322	Union Pacific Corp. (UNP)	Purchase	11/07/2023	\$1,001 - \$15,000
323	Taiwan Semiconductor Manufacturing Company Ltd. (TSM)	Purchase	11/07/2023	\$1,001 - \$15,000
324	Microsoft Corp. (MSFT)	Sale	11/06/2023	\$1,001 - \$15,000
325	Facebook, Inc. (FB) (META)	Purchase	11/06/2023	\$1,001 - \$15,000
326	Microsoft Corp. (MSFT)	Sale	11/06/2023	\$1,001 - \$15,000
327	UnitedHealth Group, Inc. (UNH)	Sale	11/06/2023	\$1,001 - \$15,000
328	Abbott Laboratories (ABT)	Purchase	11/06/2023	\$1,001 - \$15,000
329	American Electric Power Co., Inc. (AEP)	Purchase	11/06/2023	\$1,001 - \$15,000
330	BlackRock, Inc. (BLK)	Purchase	11/06/2023	\$1,001 - \$15,000
331	Diageo plc Common Stock (DEO)	Purchase	11/06/2023	\$1,001 - \$15,000
332	EOG Resources, Inc. (EOG)	Purchase	11/06/2023	\$1,001 - \$15,000
333	Honeywell International, Inc. (HON)	Purchase	11/06/2023	\$1,001 - \$15,000
334	Johnson & Johnson (JNJ)	Purchase	11/06/2023	\$1,001 - \$15,000
335	JPM	Purchase	11/06/2023	\$1,001 - \$15,000
336	Morgan Stanley (MS)	Purchase	11/06/2023	\$1,001 - \$15,000
337	NextEra Energy, Inc. (NEE)	Purchase	11/06/2023	\$1,001 - \$15,000
338	Oracle Corp. (ORCL)	Purchase	11/06/2023	\$1,001 - \$15,000
339	Prologis, Inc. (PLD)	Purchase	11/06/2023	\$1,001 - \$15,000
340	Accenture Plc (ACN)	Purchase	11/06/2023	\$1,001 - \$15,000
341	Taiwan Semiconductor Manufacturing Company Ltd. (TSM)	Purchase	11/06/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
342	Trane Technologies plc (TT)	Purchase	11/06/2023	\$1,001 - \$15,000
343	Union Pacific Corp. (UNP)	Purchase	11/06/2023	\$1,001 - \$15,000
344	United Parcel Service, Inc. (UPS)	Purchase	11/06/2023	\$1,001 - \$15,000
345	Starbucks Corp. (SBUX)	Purchase	11/06/2023	\$1,001 - \$15,000
346	AbbVie, Inc. (ABBV)	Sale	11/06/2023	\$1,001 - \$15,000
347	UnitedHealth Group, Inc. (UNH)	Sale	11/06/2023	\$1,001 - \$15,000
348	OR ST DEPT ADMN LTR 5%37LOTT GAME DUE 4/1/37	Sale	11/13/2023	\$1,001 - \$15,000
349	LANCASTER CN NE SD#5%32GO UTX DUE 1/15 32	Sale	11/13/2023	\$1,001 - \$15,000
350	MEPHIS TN 5%28 GO UTX DUE 5/1 28	Sale	10/20/2023	\$15,001 - \$50,000
351	MA ST SCH BLDG AUTH 5%33EXCISE TAX DUE 8/15/33	Sale	10/20/2023	\$15,001 - \$50,000
352	MILWAUKEE WI SWR 5%35SWR UTIL DUE 6/1/35	Sale	10/20/2023	\$15,001 - \$50,000
353	DC INCOME TAX 5%34 COMB TAX DUE 3/1/34	Sale	10/20/2023	\$15,001 - \$50,000
354	PURDUE UNIV IND UNI 5%30 PUB EDUC DUE 7/1/30	Sale	10/20/2023	\$1,001 - \$15,000
355	OK ST TPK AUTH 5%31 EXCISE TAX DUE 1/1/31	Sale	10/20/2023	\$1,001 - \$15,000
356	NORFOLK VA 4%36GO UTX DUE 4/1/31	Sale	10/20/2023	\$1,001 - \$15,000
357	STAMFORD CT 4%31GP DUE 4/1/31	Sale	10/20/2023	\$1,001 - \$15,000
358	GILBERT ARIZ 4%29GO UTX DUE 7/1/29	Sale	10/20/2023	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
359	LOS ANGELES CA W/P 5%WTR UTIL DUE 7/1/43	Purchase	11/21/2023	\$1,001 - \$15,000
360	GREAT LAKES WATE 5.25%42SWR UTIL DUE 7/1/42	Purchase	11/15/2023	\$1,001 - \$15,000
361	OR ST DEPT ADMIN LTR 5%37LOTT GAME DUE 4/1/37	Sale	11/13/2023	\$1,001 - \$15,000
362	LANCASTER CN NE SD#5%32GO UTX DUE 1/15/32	Sale	11/13/2023	\$1,001 - \$15,000
363	Union Pacific Corp. (UNP)	Purchase	12/04/2023	\$1,001 - \$15,000
364	Alphabet, Inc. (GOOG)	Purchase	12/01/2023	\$1,001 - \$15,000
365	Microsoft Corp. (MSFT)	Sale	12/01/2023	\$1,001 - \$15,000
366	Palo Alto Networks, Inc. (PANW)	Sale	12/01/2023	\$1,001 - \$15,000
367	Alphabet, Inc. (GOOG)	Purchase	12/01/2023	\$1,001 - \$15,000
368	Microsoft Corp. (MSFT)	Sale	12/01/2023	\$1,001 - \$15,000
369	Palo Alto Networks, Inc. (PANW)	Sale	12/01/2023	\$1,001 - \$15,000
370	Diageo plc Common Stock (DEO)	Sale	12/15/2023	\$1,001 - \$15,000
371	JPMorgan Chase & Co. (JPM)	Purchase	12/14/2023	\$1,001 - \$15,000
372	United Parcel Service, Inc. (UPS)	Purchase	12/14/2023	\$1,001 - \$15,000
373	Starbucks Corp. (SBUX)	Purchase	12/14/2023	\$1,001 - \$15,000
374	Diageo plc Common Stock (DEO)	Sale	12/14/2023	\$1,001 - \$15,000
375	Cross Border Impact Venture Fund	Purchase	12/15/2023	\$15,001 - \$50,000
376	Schwab Value Advantage Money Fund Investor Class Shares (SWVXX)	Sale	12/04/2023	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
377	Community Reinvestment Act Qualified Investment Fund Institutional Class Shares (CRANX)	Sale	10/20/2023	\$1,001 - \$15,000
378	iShares National Muni Bond ETF (MUB)	Sale	10/19/2023	\$15,001 - \$50,000
379	iShares Short-Term National Muni Bond ETF (SUB)	Sale	10/19/2023	\$1,001 - \$15,000
380	Pax Ellevest Global Women's Leadership Fund Institutional Class Shares (PXWIX)	Sale	08/23/2023	\$1,001 - \$15,000
381	Access Capital Community Investment Fund Class I Shares (ACCSX)	Sale	08/21/2023	\$1,001 - \$15,000
382	iShares Short-Term National Muni Bond ETF (SUB)	Sale	08/21/2023	\$1,001 - \$15,000
383	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Sale	10/12/2023	\$1,001 - \$15,000
384	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Sale	10/12/2023	\$1,001 - \$15,000
385	Vanguard Value Index Fund ETF Shares (VTV)	Sale	10/12/2023	\$1,001 - \$15,000
386	Vanguard Short-Term Bond Index Fund ETF Shares (BSV)	Sale	10/12/2023	\$1,001 - \$15,000
387	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Sale	08/23/2023	\$15,001 - \$50,000
388	Schwab US TIPS ETF (SCHP)	Sale	08/21/2023	\$1,001 - \$15,000
389	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Sale	01/26/2023	\$1,001 - \$15,000
390	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Sale	01/26/2023	\$1,001 - \$15,000
391	iShares JPMorgan US Emerging Markets Bond ETF (EMB)	Sale	01/26/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
392	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Sale	01/26/2023	\$1,001 - \$15,000
393	Vanguard Short-Term Bond Index Fund ETF Shares (BSV)	Sale	01/26/2023	\$1,001 - \$15,000
394	iShares Global REIT ETF (REET)	Sale	11/17/2023	\$1,001 - \$15,000
395	Vanguard Value Index Fund ETF Shares (VTV)	Sale	11/17/2023	\$15,001 - \$50,000
396	Schwab International Equity ETF (SCHF)	Sale	11/17/2023	\$15,001 - \$50,000
397	SPDR S&P 600 Small Cap Value ETF (SLYV)	Sale	11/17/2023	\$1,001 - \$15,000
398	Vanguard Growth Index Fund ETF Class Shares (VUG)	Sale	11/17/2023	\$15,001 - \$50,000
399	Vanguard Growth Index Fund ETF Class Shares (VUG)	Sale	11/17/2023	\$1,001 - \$15,000
400	Schwab International Equity ETF (SCHF)	Sale	11/17/2023	\$1,001 - \$15,000
401	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Sale	11/17/2023	\$1,001 - \$15,000
402	SPDR Gold MiniShares Trust (GLDM)	Sale	11/17/2023	\$1,001 - \$15,000
403	WisdomTree Floating Rate Treasury Fund (USFR)	Sale	11/17/2023	\$1,001 - \$15,000
404	Schwab Short-Term US Treasury ETF (SCHO)	Sale	11/17/2023	\$1,001 - \$15,000
405	iShares 0-5 Year TIPS Bond ETF (STIP)	Sale	11/17/2023	\$1,001 - \$15,000
406	iShares 0-5 Year TIPS Bond ETF (STIP)	Sale	11/17/2023	\$1,001 - \$15,000
407	SPDR S&P 600 Small Cap Value ETF (SLYV)	Sale	11/17/2023	\$1,001 - \$15,000
408	Vanguard Short-Term Corporate Bond Index Fund ETF Class Shares (VCSH)	Sale	11/17/2023	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
409	Vanguard Value Index Fund ETF Shares (VTV)		Sale	11/17/2023	\$1,001 - \$15,000
410	Schwab Value Advantage Money Fund Investor Class Shares (SWVXX)	See Endnote	Purchase	12/29/2023	\$1,001 - \$15,000
411	iShares ESG MSCI EAFE ETF (ESGD)		Purchase	08/23/2023	\$1,001 - \$15,000
412	iShares ESG MSCI USA Leaders ETF (SUSL)		Purchase	08/23/2023	\$1,001 - \$15,000
413	Vanguard Mid-Cap Index Fund ETF Shares (VO)		Purchase	10/19/2023	\$1,001 - \$15,000
414	Community Reinvestment Act Qualified Investment Fund Institutional Class Shares (CRANX)		Purchase	08/22/2023	\$1,001 - \$15,000
415	Vanguard Small-Cap Index Fund ETF Shares (VB)		Purchase	10/19/2023	\$1,001 - \$15,000
416	iShares National Muni Bond ETF (MUB)		Purchase	08/21/2023	\$1,001 - \$15,000
417	ISHARES ESG AWARE MSCI EM ETF		Purchase	10/19/2023	\$1,001 - \$15,000
418	Schwab Value Advantage Money Fund Investor Class Shares (SWVXX)		Purchase	11/30/2023	\$1,001 - \$15,000
419	iShares ESG MSCI USA Leaders ETF (SUSL)		Purchase	10/19/2023	\$1,001 - \$15,000
420	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)		Purchase	10/19/2023	\$15,001 - \$50,000
421	iShares ESG MSCI EAFE ETF (ESGD)		Purchase	10/19/2023	\$15,001 - \$50,000
422	Schwab Value Advantage Money Fund Investor Class Shares (SWVXX)	See Endnote	Purchase	10/23/2023	\$100,001 - \$250,000
423	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	See Endnote	Purchase	03/29/2023	\$1,001 - \$15,000
424	Vanguard Developed Markets Index Fund ETF Shares (VEA)	See Endnote	Purchase	06/26/2023	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
425	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	See Endnote	Purchase	06/29/2023	\$1,001 - \$15,000
426	Vanguard Total Bond Market Index Fund ETF Shares (BND)		Purchase	08/21/2023	\$1,001 - \$15,000
427	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)		Purchase	08/23/2023	\$15,001 - \$50,000
428	Vanguard Developed Markets Index Fund ETF Shares (VEA)	See Endnote	Purchase	09/27/2023	\$1,001 - \$15,000
429	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	See Endnote	Purchase	09/27/2023	\$1,001 - \$15,000
430	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	See Endnote	Purchase	12/22/2023	\$1,001 - \$15,000
431	Vanguard Developed Markets Index Fund ETF Shares (VEA)	See Endnote	Purchase	12/22/2023	\$1,001 - \$15,000
432	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	See Endnote	Purchase	12/28/2023	\$1,001 - \$15,000
433	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)		Purchase	10/31/2023	\$1,001 - \$15,000
434	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)		Purchase	10/31/2023	\$1,001 - \$15,000
435	Vanguard Developed Markets Index Fund ETF Shares (VEA)		Purchase	10/31/2023	\$1,001 - \$15,000
436	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)		Purchase	11/17/2023	\$1,001 - \$15,000
437	Vanguard Total Bond Market Index Fund ETF Shares (BND)		Purchase	11/17/2023	\$1,001 - \$15,000
438	Vanguard Developed Markets Index Fund ETF Shares (VEA)		Purchase	11/17/2023	\$1,001 - \$15,000
439	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)		Purchase	11/17/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
440	Vanguard Small-Cap Index Fund ETF Shares (VB)	Purchase	11/17/2023	\$1,001 - \$15,000
441	iSharesJPMorgan USDEmerging Markets Bond ETF (EMB)	Purchase	11/17/2023	\$1,001 - \$15,000
442	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Purchase	11/17/2023	\$1,001 - \$15,000
443	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Purchase	11/17/2023	\$1,001 - \$15,000
444	Vanguard Short-Term Bond Index Fund ETF Shares (BSV)	Purchase	11/17/2023	\$1,001 - \$15,000
445	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Purchase	11/17/2023	\$15,001 - \$50,000
446	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Purchase	11/17/2023	\$15,001 - \$50,000
447	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Purchase	11/17/2023	\$15,001 - \$50,000
448	AB Impact Municipal Income Shares (ABIMX)	Purchase	11/01/2023	\$100,001 - \$250,000
449	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	12/29/2023	\$1,001 - \$15,000
450	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Purchase	12/29/2023	\$1,001 - \$15,000
451	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	12/29/2023	\$1,001 - \$15,000
452	Vanguard Russell 2000 Index Fund ETF Shares (VTWO)	Purchase	12/18/2023	\$15,001 - \$50,000
453	Invesco S&P 500 Equal Weight ETF (RSP)	Sale	12/18/2023	\$15,001 - \$50,000
454	iShares 0-3 Month Treasury Bond ETF iShares 0-3 Month Treasury Bond ETF (SGOV)	Purchase	12/13/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
455	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Purchase	12/13/2023	\$1,001 - \$15,000
456	iShares Core MSCI EAFE ETF (IEFA)	Purchase	12/13/2023	\$1,001 - \$15,000
457	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Purchase	12/13/2023	\$1,001 - \$15,000
458	Vanguard Russell 1000 Value Index Fund ETF Shares (VONV)	Purchase	11/10/2023	\$1,001 - \$15,000
459	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	11/10/2023	\$1,001 - \$15,000
460	Invesco S&P 500 Equal Weight ETF (RSP)	Sale	11/10/2023	\$15,001 - \$50,000
461	Schwab US TIPS ETF (SCHP)	Purchase	09/15/2023	\$1,001 - \$15,000
462	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Sale	09/15/2023	\$1,001 - \$15,000
463	Vanguard Russell 1000 Value Index Fund ETF Shares (VONV)	Purchase	08/18/2023	\$1,001 - \$15,000
464	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	08/18/2023	\$1,001 - \$15,000
465	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Sale	08/18/2023	\$1,001 - \$15,000
466	iShares Core MSCI EAFE ETF (IEFA)	Sale	07/28/2023	\$1,001 - \$15,000
467	iShares 0-3 Month Treasury Bond ETF iShares 0-3 Month Treasury Bond ETF (SGOV)	Purchase	07/14/2023	\$1,001 - \$15,000
468	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	07/11/2023	\$1,001 - \$15,000
469	iShares Core MSCI EAFE ETF (IEFA)	Purchase	07/11/2023	\$1,001 - \$15,000
470	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Purchase	07/11/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
471	Vanguard Russell 2000 Index Fund ETF Shares (VTWO)	Purchase	06/20/2023	\$1,001 - \$15,000
472	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Purchase	06/20/2023	\$1,001 - \$15,000
473	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Purchase	06/20/2023	\$1,001 - \$15,000
474	iSharesJPMorgan USDEmerging Markets Bond ETF (EMB)	Purchase	06/20/2023	\$1,001 - \$15,000
475	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Purchase	06/20/2023	\$1,001 - \$15,000
476	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	06/20/2023	\$1,001 - \$15,000
477	Invesco S&P 500 Equal Weight ETF (RSP)	Purchase	06/16/2023	\$15,001 - \$50,000
478	Vanguard Growth Index Fund ETF Class Shares (VUG)	Sale	06/16/2023	\$15,001 - \$50,000
479	Vanguard Value Index Fund ETF Shares (VTV)	Sale	06/16/2023	\$1,001 - \$15,000
480	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Sale	06/16/2023	\$1,001 - \$15,000
481	iShares Core MSCIEmerging Markets ETF (IEMG)	Sale	06/16/2023	\$1,001 - \$15,000
482	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Purchase	04/21/2023	\$15,001 - \$50,000
483	iShares Core MSCI EAFE ETF (IEFA)	Sale	01/23/2023	\$15,001 - \$50,000
484	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	01/20/2023	\$15,001 - \$50,000
485	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Purchase	01/20/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
486	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	01/20/2023	\$1,001 - \$15,000
487	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)	Sale	01/20/2023	\$1,001 - \$15,000
488	iShares MSCI EAFE ETF (EFA)	Sale	01/20/2023	\$1,001 - \$15,000
489	iShares MBS ETF (MBB)	Sale	04/21/2023	\$1,001 - \$15,000
490	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Sale	04/21/2023	\$1,001 - \$15,000
491	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Purchase	03/24/2023	\$1,001 - \$15,000
492	Vanguard Value Index Fund ETF Shares (VTV)	Sale	03/24/2023	\$1,001 - \$15,000
493	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Purchase	02/24/2023	\$1,001 - \$15,000
494	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)	Sale	01/26/2023	\$1,001 - \$15,000
495	Vanguard Russell 1000 Value Index Fund ETF Shares (VONV)	Purchase	01/20/2023	\$1,001 - \$15,000
496	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	01/20/2023	\$1,001 - \$15,000
497	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Purchase	01/20/2023	\$1,001 - \$15,000
498	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Purchase	01/20/2023	\$1,001 - \$15,000
499	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Purchase	01/20/2023	\$1,001 - \$15,000
500	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Sale	01/20/2023	\$1,001 - \$15,000
501	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)	Sale	01/20/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
502	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	01/20/2023	\$1,001 - \$15,000
503	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	01/20/2023	\$1,001 - \$15,000
504	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)	Sale	02/24/2023	\$1,001 - \$15,000
505	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Sale	02/24/2023	\$1,001 - \$15,000
506	iShares National Muni Bond ETF (MUB)	Purchase	02/24/2023	\$1,001 - \$15,000
507	iShares Short-Term National Muni Bond ETF (SUB)	Purchase	02/24/2023	\$1,001 - \$15,000
508	iShares S&P Small-Cap 600 Growth ETF (IJT)	Sale	03/24/2023	\$1,001 - \$15,000
509	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	03/24/2023	\$1,001 - \$15,000
510	Invesco National AMT-Free Municipal Bond ETF (PZA)	Purchase	03/24/2023	\$1,001 - \$15,000
511	iShares Core MSCI Emerging Markets ETF (IEMG)	Sale	05/19/2023	\$1,001 - \$15,000
512	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Sale	06/16/2023	\$1,001 - \$15,000
513	iShares Short-Term National Muni Bond ETF (SUB)	Purchase	06/16/2023	\$1,001 - \$15,000
514	iShares Core S&P Small-Cap ETF (IJR)	Purchase	08/18/2023	\$1,001 - \$15,000
515	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	08/18/2023	\$1,001 - \$15,000
516	Schwab US TIPS ETF (SCHP)	Purchase	09/15/2023	\$1,001 - \$15,000
517	SPDR Nuveen Bloomberg Barclays Municipal Bond ETF (TFI)	Sale	10/18/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
518	Vanguard Long-Term Bond Index Fund ETF Shares (BLV)	Sale	10/18/2023	\$1,001 - \$15,000
519	iShares 0-3 Month Treasury Bond ETF iShares 0-3 Month Treasury Bond ETF (SGOV)	Purchase	10/18/2023	\$1,001 - \$15,000
520	Schwab US TIPS ETF (SCHP)	Purchase	10/18/2023	\$1,001 - \$15,000
521	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Sale	01/20/2023	\$1,001 - \$15,000
522	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)	Sale	01/20/2023	\$1,001 - \$15,000
523	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	01/20/2023	\$1,001 - \$15,000
524	SPDR Nuveen Bloomberg Barclays Short Term Municipal Bond ETF (SHM)	Purchase	01/20/2023	\$1,001 - \$15,000
525	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	01/20/2023	\$1,001 - \$15,000
526	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)	Sale	02/24/2023	\$1,001 - \$15,000
527	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Sale	02/24/2023	\$1,001 - \$15,000
528	iShares Short-Term National Muni Bond ETF (SUB)	Purchase	02/24/2023	\$1,001 - \$15,000
529	iShares S&P Small-Cap 600 Growth ETF (IJT)	Sale	03/24/2023	\$1,001 - \$15,000
530	Vanguard Value Index Fund ETF Shares (VTV)	Sale	03/24/2023	\$1,001 - \$15,000
531	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	03/24/2023	\$1,001 - \$15,000
532	Invesco National AMT-Free Municipal Bond ETF (PZA)	Purchase	03/24/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
533	iShares Short-Term National Muni Bond ETF (SUB)	Purchase	04/21/2023	\$1,001 - \$15,000
534	iShares Short-Term National Muni Bond ETF (SUB)	Purchase	05/19/2023	\$1,001 - \$15,000
535	iShares Core MSCIEmerging Markets ETF (IEMG)	Sale	05/19/2023	\$1,001 - \$15,000
536	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Sale	06/16/2023	\$1,001 - \$15,000
537	iShares Short-Term National Muni Bond ETF (SUB)	Purchase	06/16/2023	\$1,001 - \$15,000
538	Invesco National AMT-Free Municipal Bond ETF (PZA)	Sale	08/18/2023	\$1,001 - \$15,000
539	Vanguard Long-Term Bond Index Fund ETF Shares (BLV)	Sale	08/18/2023	\$1,001 - \$15,000
540	iShares Core S&P Small-Cap ETF (IJR)	Purchase	08/18/2023	\$1,001 - \$15,000
541	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	08/18/2023	\$1,001 - \$15,000
542	iShares Core S&P Small-Cap ETF (IJR)	Sale	09/15/2023	\$1,001 - \$15,000
543	Schwab US TIPS ETF (SCHP)	Purchase	09/15/2023	\$1,001 - \$15,000
544	Invesco National AMT-Free Municipal Bond ETF (PZA)	Sale	10/18/2023	\$1,001 - \$15,000
545	iShares Core S&P Small-Cap ETF (IJR)	Purchase	10/18/2023	\$1,001 - \$15,000
546	iShares 0-3 Month Treasury Bond ETF iShares 0-3 Month Treasury Bond ETF (SGOV)	Purchase	10/18/2023	\$1,001 - \$15,000
547	SPDRNuveen Bloomberg Barclays Short Term Municipal Bond ETF (SHM)	Sale	11/10/2023	\$1,001 - \$15,000
548	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	12/29/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
549	Vanguard Russell 2000 Index Fund ETF Shares (VTWO)	Purchase	12/18/2023	\$1,001 - \$15,000
550	Invesco S&P 500 Equal Weight ETF (RSP)	Sale	12/18/2023	\$1,001 - \$15,000
551	iShares Core MSCI EAFE ETF (IEFA)	Purchase	11/28/2023	\$1,001 - \$15,000
552	Vanguard Russell 1000 Value Index Fund ETF Shares (VONV)	Purchase	11/10/2023	\$1,001 - \$15,000
553	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	11/10/2023	\$1,001 - \$15,000
554	Invesco S&P 500 Equal Weight ETF (RSP)	Sale	11/10/2023	\$1,001 - \$15,000
555	Schwab US TIPS ETF (SCHP)	Purchase	09/15/2023	\$1,001 - \$15,000
556	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Sale	09/15/2023	\$1,001 - \$15,000
557	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	08/18/2023	\$1,001 - \$15,000
558	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Sale	08/18/2023	\$1,001 - \$15,000
559	iShares 0-3 Month Treasury Bond ETF iShares 0-3 Month Treasury Bond ETF (SGOV)	Purchase	07/14/2023	\$1,001 - \$15,000
560	Vanguard Russell 2000 Index Fund ETF Shares (VTWO)	Purchase	06/20/2023	\$1,001 - \$15,000
561	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Purchase	06/20/2023	\$1,001 - \$15,000
562	Invesco S&P 500 Equal Weight ETF (RSP)	Purchase	06/16/2023	\$1,001 - \$15,000
563	Vanguard Growth Index Fund ETF Class Shares (VUG)	Sale	06/16/2023	\$1,001 - \$15,000
564	Vanguard Value Index Fund ETF Shares (VTV)	Sale	06/16/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
565	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Sale	06/16/2023	\$1,001 - \$15,000
566	iShares Core MSCIEmerging Markets ETF (IEMG)	Sale	06/16/2023	\$1,001 - \$15,000
567	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Purchase	04/21/2023	\$1,001 - \$15,000
568	iShares MBS ETF (MBB)	Sale	04/21/2023	\$1,001 - \$15,000
569	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Sale	04/21/2023	\$1,001 - \$15,000
570	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Purchase	03/24/2023	\$1,001 - \$15,000
571	Vanguard Value Index Fund ETF Shares (VTV)	Sale	03/24/2023	\$1,001 - \$15,000
572	iShares Broad USD Investment Grade Corporate Bond ETF (USIG)	Purchase	02/24/2023	\$1,001 - \$15,000
573	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)	Sale	02/24/2023	\$1,001 - \$15,000
574	iShares Core MSCI EAFE ETF (IEFA)	Sale	01/23/2023	\$1,001 - \$15,000
575	Vanguard Russell 1000 Value Index Fund ETF Shares (VONV)	Purchase	01/20/2023	\$1,001 - \$15,000
576	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	01/20/2023	\$1,001 - \$15,000
577	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	01/20/2023	\$1,001 - \$15,000
578	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Purchase	01/20/2023	\$1,001 - \$15,000
579	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	01/20/2023	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
580	SPDR S&P Oil & Gas Exploration & Production ETF (XOP)		Sale	01/20/2023	\$1,001 - \$15,000
581	iShares MSCI EAFE ETF (EFA)		Sale	01/20/2023	\$1,001 - \$15,000
582	Growth Fund of America Class A Shares (AGTHX)	See Endnote	Sale	12/01/2023	\$1,001 - \$15,000
583	Investment Company of America Class A Shares (AIVSX)	See Endnote	Sale	12/01/2023	\$1,001 - \$15,000
584	New Perspective Fund Class A Shares (ANWPX)	See Endnote	Sale	12/01/2023	\$1,001 - \$15,000
585	New World Fund, Inc Class F3 Shares (FNWFX)	See Endnote	Sale	12/01/2023	\$1,001 - \$15,000
586	American SMALLCAP World Fund, Inc Class A Shares (SMCWX)	See Endnote	Sale	12/01/2023	\$1,001 - \$15,000
587	Capital World Growth & Income Fund Class A Shares (CWGIX)	See Endnote	Sale	09/15/2023	\$1,001 - \$15,000
588	Capital World Growth & Income Fund Class A Shares (CWGIX)	See Endnote	Sale	05/17/2023	\$1,001 - \$15,000
589	Capital World Growth & Income Fund Class A Shares (CWGIX)	See Endnote	Sale	05/17/2023	\$1,001 - \$15,000
590	Capital World Growth & Income Fund Class A Shares (CWGIX)	See Endnote	Sale	02/06/2023	\$1,001 - \$15,000
591	Capital World Growth & Income Fund Class A Shares (CWGIX)	See Endnote	Sale	02/06/2023	\$1,001 - \$15,000
592	Growth Fund of America Class A Shares (AGTHX)	See Endnote	Sale	02/06/2023	\$1,001 - \$15,000
593	Growth Fund of America Class A Shares (AGTHX)	See Endnote	Sale	02/06/2023	\$1,001 - \$15,000
594	Growth Fund of America Class A Shares (AGTHX)	See Endnote	Sale	12/15/2023	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
595	Investment Company of America Class A Shares (AIVSX)	See Endnote	Sale	12/15/2023	\$1,001 - \$15,000
596	New Perspective Fund Class A Shares (ANWPX)	See Endnote	Sale	12/15/2023	\$1,001 - \$15,000
597	Capital World Growth & Income Fund Class A Shares (CWGIX)	See Endnote	Sale	11/17/2023	\$1,001 - \$15,000
598	Growth Fund of America Class A Shares (AGTHX)	See Endnote	Sale	11/17/2023	\$1,001 - \$15,000
599	Investment Company of America Class A Shares (AIVSX)	See Endnote	Sale	11/17/2023	\$1,001 - \$15,000
600	New Perspective Fund Class A Shares (ANWPX)	See Endnote	Sale	11/17/2023	\$1,001 - \$15,000
601	American SMALLCAP World Fund, Inc Class A Shares (SMCWX)	See Endnote	Sale	11/17/2023	\$1,001 - \$15,000
602	American SMALLCAP World Fund, Inc Class A Shares (SMCWX)	See Endnote	Sale	11/17/2023	\$1,001 - \$15,000
603	Capital World Growth & Income Fund Class A Shares (CWGIX)	See Endnote	Sale	09/15/2023	\$1,001 - \$15,000
604	Growth Fund of America Class A Shares (AGTHX)	See Endnote	Sale	09/15/2023	\$15,001 - \$50,000
605	Investment Company of America Class A Shares (AIVSX)	See Endnote	Sale	09/15/2023	\$15,001 - \$50,000
606	Capital World Growth & Income Fund Class A Shares (CWGIX)	See Endnote	Sale	10/16/2023	\$1,001 - \$15,000
607	Growth Fund of America Class A Shares (AGTHX)	See Endnote	Sale	10/16/2023	\$1,001 - \$15,000
608	Investment Company of America Class A Shares (AIVSX)	See Endnote	Sale	10/16/2023	\$1,001 - \$15,000
609	New Perspective Fund Class A Shares (ANWPX)	See Endnote	Sale	10/16/2023	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
610	American SMALLCAP World Fund, Inc Class A Shares (SMCWX)	See Endnote	Sale	10/16/2023	\$1,001 - \$15,000
611	Capital World Growth & Income Fund Class A Shares (CWGIX)	See Endnote	Sale	08/03/2023	\$1,001 - \$15,000
612	Growth Fund of America Class A Shares (AGTHX)	See Endnote	Sale	08/03/2023	\$1,001 - \$15,000
613	Investment Company of America Class A Shares (AIVSX)	See Endnote	Sale	08/03/2023	\$1,001 - \$15,000
614	New World Fund, Inc Class F3 Shares (FNWFX)	See Endnote	Sale	08/03/2023	\$1,001 - \$15,000
615	American SMALLCAP World Fund, Inc Class A Shares (SMCWX)	See Endnote	Sale	08/03/2023	\$1,001 - \$15,000
616	Growth Fund of America Class A Shares (AGTHX)	See Endnote	Sale	04/24/2023	\$1,001 - \$15,000
617	Growth Fund of America Class A Shares (AGTHX)	See Endnote	Sale	04/24/2023	\$1,001 - \$15,000
618	Growth Fund of America Class A Shares (AGTHX)	See Endnote	Sale	04/24/2023	\$1,001 - \$15,000
619	New World Fund, Inc Class F3 Shares (FNWFX)	See Endnote	Sale	04/24/2023	\$1,001 - \$15,000
620	New World Fund, Inc Class F3 Shares (FNWFX)	See Endnote	Sale	04/24/2023	\$1,001 - \$15,000
621	American SMALLCAP World Fund, Inc Class A Shares (SMCWX)	See Endnote	Sale	04/24/2023	\$1,001 - \$15,000
622	American SMALLCAP World Fund, Inc Class A Shares (SMCWX)	See Endnote	Sale	04/24/2023	\$1,001 - \$15,000
623	Capital World Growth & Income Fund Class A Shares (CWGIX)	See Endnote	Sale	02/06/2023	\$1,001 - \$15,000
624	Capital World Growth & Income Fund Class A Shares (CWGIX)	See Endnote	Sale	02/06/2023	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
625	Growth Fund of America Class A Shares (AGTHX)	See Endnote	Sale	02/06/2023	\$1,001 - \$15,000
626	Growth Fund of America Class A Shares (AGTHX)	See Endnote	Sale	02/06/2023	\$1,001 - \$15,000
627	American SMALLCAP World Fund, Inc Class A Shares (SMCWX)	See Endnote	Sale	02/06/2023	\$1,001 - \$15,000
628	American SMALLCAP World Fund, Inc Class A Shares (SMCWX)	See Endnote	Sale	02/06/2023	\$1,001 - \$15,000
629	Schwab Value Advantage Money Fund Investor Class Shares (SWVXX)	See Endnote	Purchase	12/29/2023	\$1,001 - \$15,000
630	Vanguard Developed Markets Index Fund ETF Shares (VEA)	See Endnote	Purchase	09/22/2023	\$1,001 - \$15,000
631	Vanguard Developed Markets Index Fund ETF Shares (VEA)	See Endnote	Purchase	06/26/2023	\$1,001 - \$15,000
632	Vanguard Developed Markets Index Fund ETF Shares (VEA)	See Endnote	Purchase	12/22/2023	\$1,001 - \$15,000
633	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	See Endnote	Purchase	12/22/2023	\$1,001 - \$15,000
634	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	See Endnote	Purchase	03/29/2023	\$1,001 - \$15,000
635	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	See Endnote	Purchase	09/27/2023	\$1,001 - \$15,000
636	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	See Endnote	Purchase	06/29/2023	\$1,001 - \$15,000
637	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	See Endnote	Purchase	12/28/2023	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
6.	3	Note - this stock is a combination of stock purchased through employee stock purchase plan and stock awards for performance.
6.	26	beneficial interest in estate that has not yet been distributed
6.	30.10	acquired through merger with Magenta Therapeutics (MGTA)
7.	410	dividend reinvestment
7.	422	dividend reinvestment
7.	423	Dividend reinvestment
7.	424	dividend reinvestment
7.	425	dividend reinvestment
7.	428	dividend reinvestment
7.	429	dividend reinvestment
7.	430	dividend reinvestment
7.	431	dividend reinvestment
7.	432	dividend reinvestment

PART	#	ENDNOTE
7.	582	FBO daughter #1
7.	583	FBO daughter #1
7.	584	FBO daughter #1
7.	585	FBO daughter #1
7.	586	FBO daughter #1
7.	587	FBO daughter #1
7.	588	FBO daughter #1
7.	589	FBO daughter #1
7.	590	FBD daughter #1
7.	591	FBO daughter #1
7.	592	FBO daughter #1
7.	593	FBO daughter #1
7.	594	FBO daughter #2
7.	595	FBO daughter #2
7.	596	FBO daughter #2
7.	597	FBO daughter #2
7.	598	FBO daughter #2
7.	599	FBO daughter #2
7.	600	FBO daughter #2
7.	601	FBO daughter #2
7.	602	FBO daughter #2
7.	603	FBO daughter #2

PART	#	ENDNOTE
7.	604	FBO daughter #2
7.	605	FBO daughter #2
7.	606	FBO daughter #2
7.	607	FBO daughter #2
7.	608	FBO daughter #2
7.	609	FBO daughter #2
7.	610	FBO daughter #2
7.	611	FBO daughter #2
7.	612	FBO daughter #2
7.	613	FBO daughter #2
7.	614	FBO daughter #2
7.	615	FBO daughter #2
7.	616	FBO daughter #2
7.	617	FBO daughter #2
7.	618	FBO daughter #2
7.	619	FBO daughter #2
7.	620	FBO daughter #2
7.	621	FBO daughter #2
7.	622	FBO daughter #2
7.	623	FBO daughter #2
7.	624	FBO daughter #2
7.	625	FBO daughter #2

PART	#	ENDNOTE
7.	626	FBO daughter #2
7.	627	FBO daughter #2
7.	628	FBO daughter #2
7.	629	dividend reinvestment
7.	630	dividend reinvestment
7.	631	dividend reinvestment
7.	632	dividend reinvestment
7.	633	dividend reinvestment
7.	634	dividend reinvestment
7.	635	dividend reinvestment
7.	636	dividend reinvestment
7.	637	dividend reinvestment

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. § 13101 et seq., as amended by the Representative Louise McIntosh Slaughter Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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