

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Grosso, Gina M

Asst. Sec HRA/OSP, Department of Veterans Affairs

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Grosso, Gina M [electronically signed on 05/16/2022 by Grosso, Gina M in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

Other review conducted by

U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Gina Roth Contributory (4056)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.1	FIRST TRUST CAPITAL STRENGTH ETF IV	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.2	FIRST TRUST VALUE DIVIDEND IN ETF IV	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.3	ISHS MSCI EMERG MRKT FCT ETF IV	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.4	JPMORGAN DVRSFD RTRN INTRNL EQT ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.5	SCHWAB US SMALL CAP ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.6	WISDOMTREE US MIDCAP DIVIDEND ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.7	Cash & Cash Investments	No	None (or less than \$1,001)		None (or less than \$201)
1.8	FlexShares Real Assets Allocation Index Fund (ASET)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	Gina Roll Over IRA (6205 Saratoga)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
2.1	3M CO	No	\$1,001 - \$15,000		None (or less than \$201)
2.2	ACCENTURE PLC F CLASS A	No	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.3	ALPHABET INC. CLASS A	No	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
2.4	APPLE INC	No	\$1,001 - \$15,000	Capital Gains	\$5,001 - \$15,000
2.5	BECTON DICKINSON&CO	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
2.6	BERKSHIRE HATHAWAY CLASS B	No	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000
2.7	BIOGEN INC	No	\$1,001 - \$15,000		None (or less than \$201)
2.8	C H ROBINSON WORLDWD	No	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
2.9	CISCO SYSTEMS INC	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.10	COGNIZANT TECH SOLU CLASS A	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
2.11	GENERAL DYNAMICS CO	No	\$1,001 - \$15,000	Capital Gains Dividends	\$2,501 - \$5,000
2.12	HOME DEPOT INC	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
2.13	INTL FLAVORS& FRAGRA	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
2.14	JOHNSON & JOHNSON	No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
2.15	MEDTRONIC PLC F	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
2.16	MICROSOFT CORP	No	\$1,001 - \$15,000	Capital Gains Dividends	\$5,001 - \$15,000
2.17	NIKE INC CLASS B	No	\$1,001 - \$15,000		None (or less than \$201)
2.18	NOVO-NORDISK A S F SPONSORED ADR 1 ADR REPS 1 ORD SHS	No	\$1,001 - \$15,000	Capital Gains Dividends	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.19	ORACLE CORP	No	\$1,001 - \$15,000	Capital Gains Dividends	\$2,501 - \$5,000
2.20	PEPSICO INC	No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
2.21	PROCTER & GAMBLE	No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
2.22	RAYTHEON TECHNOLOGIES CO	No	\$1,001 - \$15,000		None (or less than \$201)
2.23	RECKITT BENCKISER GROU F SPONSORED ADR 1 ADR REPS 0.2 ORD SHS	No	\$1,001 - \$15,000		None (or less than \$201)
2.24	ROCHE HLDG AG F SPONSORED ADR 1 ADR RE 0.125 ORD SHS	No	\$1,001 - \$15,000		None (or less than \$201)
2.25	STARBUCKS CORP	No	\$1,001 - \$15,000		None (or less than \$201)
2.26	UNILEVER PLC F SPONSORED ADR 1 ADR REPS 1 ORD SHS	No	\$1,001 - \$15,000		None (or less than \$201)
2.27	WALMART INC	No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
2.28	WALT DISNEY CO	No	\$1,001 - \$15,000		None (or less than \$201)
2.29	Cash & Cash Investments	No	\$100,001 - \$250,000		None (or less than \$201)
2.30	Adobe, Inc. (ADBE)	No	\$1,001 - \$15,000		None (or less than \$201)
2.31	Visa Inc Class A	No	\$1,001 - \$15,000		None (or less than \$201)
3	Gina Individual (7956 St James)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1	AGNICO EAGLE MINES F	No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
3.2	ALLEGHANY CORP DEL	No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
3.3	BARRICK GOLD CORP F	No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
3.4	BERKSHIRE HATHAWAY CLASS B	No	\$1,001 - \$15,000	Capital Gains Dividends	\$5,001 - \$15,000
3.5	CISCO SYSTEMS INC	No	\$1,001 - \$15,000		None (or less than \$201)
3.6	COMCAST CORP CLASS A	No	\$1,001 - \$15,000		None (or less than \$201)
3.7	CORTEVA INC	No	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
3.8	DOMINION ENERGY INC	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
3.9	DUPONT DE NEMOURS INC	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
3.10	ENBRIDGE INC F	No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
3.11	EQUITY COMMONWEALTH	No	\$1,001 - \$15,000		None (or less than \$201)
3.12	GRAHAM HOLDINGS CO CLASS B	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
3.13	GUIDEWIRE SOFTWARE	No	\$1,001 - \$15,000		None (or less than \$201)
3.14	INGREDION INC	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
3.15	JONES LANG LASALLE	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.16	KIRKLAND LAKE GOLD LIM F		No	\$1,001 - \$15,000		None (or less than \$201)
3.17	LOEWS CORP		No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
3.18	MEDTRONIC PLC F		No	\$1,001 - \$15,000		None (or less than \$201)
3.19	STARBUCKS CORP		No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
3.20	TJX COMPANIES INC		No	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
3.21	TRAVELERS COMPANIES		No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
3.22	UNILEVER PLC F SPONSORED ADR 1 ADR REPS 1 ORD SHS		No	\$1,001 - \$15,000		None (or less than \$201)
3.23	Cash & Cash Investments		No	\$15,001 - \$50,000		None (or less than \$201)
3.24	EOG Resources Inc		No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
3.25	Merck & Co., Inc. (MRK)		No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
3.26	Verizon Communications, Inc. (VZ)		No	\$1,001 - \$15,000		None (or less than \$201)
4	Gina Belle Haven Municipal Bond Fund	See Endnote	Yes	\$250,001 - \$500,000		None (or less than \$201)
4.1	AK HSG FIN CORP 1.85%31 SING HSG DUE 12/01/31 XTRO		Yes	\$1,001 - \$15,000		None (or less than \$201)
4.2	ANDERSON IN RDA 5%28 TAXI TAX DUE 02/01/28		Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.3	BELLWOOD IL 3%29 GO UTX DUE 12/01/29 OID	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.4	BLACK BELT ENERGY G 4%51 OILG IDR DUE 06/01/51 XTRO	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.5	BLAIR CNTY PA 4%29 GO UTX DUE 10/01/29 BUILD AMERICA MUTUAL	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.6	COLORADO HEALTH FAC 5%27 SYST HLTH DUE 11/15/27	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.7	COOK CNTY IL D84-1/ 5%26 GO LTX DUE 12/01/26	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.8	COOK CNTY IL SD #16 4%34 GO UTX DUE 12/01/34	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.9	CT ST HSG FIN AUT 3.2%25 MULT HSG DUE 06/15/25 XTRO	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.10	DETROIT MICHIGAN DO 5%33 TAXI TAX DUE 07/01/33 XTRO	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.11	FAIRFAX CNTY VA IDA 5%31 SYST HLTH DUE 05/15/31 XTRO	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.12	HAMMOND IN SANI DST 5%26 GO UTX DUE 01/15/26	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.13	HIGHLANDS AT MAYF 5.5%24 GO UTX DUE 09/01/24	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.14	KENTUCKY ASSET / LI 5%26 HWY TRAN DUE 09/01/26	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.15	LOUISIANA PUBLIC FA 5%28 FAC EDUC DUE 11/01/28	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.16	PA ST TPK COMMN TPK 5%32 TPK TRAN DUE 06/01/32	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.17	SANGAMON IL CUSD #1 5%32 GO UTX DUE 12/01/32	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.18	ST LOUIS MO MFC 5%30 LEAS BLDG DUE 06/01/30 XTRO	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.19	TRIVIEW COLORADO ME 5%27 COMB UTIL DUE 12/01/27	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.20	UNION CITY NJ 2.25%32 GO UTX DUE 08/01/32	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.21	UNIVERSITY PK REC 2.5%25 SPAS DUE 05/01/25 XTRO	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.22	VT HSG FIN AGY 2.25%35 REV UTX DUE 11/01/35 XTRO	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.23	WAUKEGAN IL 4%34 GO UTX DUE 12/30/34	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.24	Cash & Cash Investments	No	\$1,001 - \$15,000		None (or less than \$201)
4.25	Cinco SW TX Mun #1	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.26	IL ST SLS TAX 4%/33 EXCISE TAX DUE 6/15/33	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.27	SUFFOLK VA 3%/30 GO UTX DUE 02/01/30 OID	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.28	ALABAMA ST UNIV REV 5%/34 PUB EDUC DUE 09/01/34	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.29	CENT TX REG MOBILIT 5%/35 TPK TRAN DUE 01/01/35	Yes	\$15,001 - \$50,000		None (or less than \$201)
5	Gina Individual 9780 (Triad Hybrid)	Yes	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.1	First Trust Capital Strength ETF IV	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
5.2	First Trust Value Dividend in ETF IV	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
5.3	ISHS MSCI Emerg MRKT FCT ETF IV	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.4	Schwab US Small Cap ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.5	Vanguard Intermediate Term BO ETF IV	Yes	\$50,001 - \$100,000		None (or less than \$201)
5.6	Wisdom Tree US MIDCAP Dividend ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.7	T. Rowe Price Spectrum Moderate ALLC	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.8	Cash & Money Market	No	\$1,001 - \$15,000		None (or less than \$201)
5.9	FLEX SHARES REAL ASTS ALCTN INDX ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.10	JPMORGAN BETABUILD INTERL EQY ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)
6	Gina Individual 8872	Yes	\$250,001 - \$500,000		None (or less than \$201)
6.1	FIRST TRUST CAPITAL STRENGTH ETF IV	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
6.2	FIRST TRUST VALUE DIVIDEND IN ETF IV	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
6.3	SCHWAB US SMALL CAP ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.4	DOUBLELINE TOTAL RETURN BOND I		Yes	\$15,001 - \$50,000		None (or less than \$201)
6.5	EATON VANCE ATLANTA CAPITAL SMID-CAP I		Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
6.6	JPMORGAN US LARGE CAP CORE PLUS I		Yes	\$15,001 - \$50,000		None (or less than \$201)
6.7	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM		Yes	\$15,001 - \$50,000		None (or less than \$201)
6.8	WCM FOCUSED EMERGING MARKETS INSTL		Yes	\$15,001 - \$50,000		None (or less than \$201)
6.9	Cash & Cash Investments		No	\$1,001 - \$15,000		None (or less than \$201)
6.10	JP MORGAN BETABUILD INTERL EQY ETF		Yes	\$50,001 - \$100,000		None (or less than \$201)
6.11	JP MORGAN HEDGED EQUITY I		Yes	\$15,001 - \$50,000		None (or less than \$201)
6.12	T Rowe Price Real Assets Fund, Inc (PRAFX)		Yes	\$15,001 - \$50,000		None (or less than \$201)
6.13	Thornburg Strategic Municipal Income Fund Class I Shares (TSSIX)		Yes	\$15,001 - \$50,000		None (or less than \$201)
7	Golden Key Group (401K) flexPATH Index+ Moderate 2025 Fund Class		Yes	\$50,001 - \$100,000		None (or less than \$201)
8	Allianz Alterity	See Endnote	No	\$1,001 - \$15,000	Allianz Alterity is a deferred variable annuity that I inherited from my Mother's estate.	\$1,409
9	Transamerica Variable Annuity Series L	See Endnote	Yes	\$50,001 - \$100,000		

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10	The RAND Corpo		No		Compensation for serving as a reviewer on a RAND report.	\$1,500
11	IL ST SLS TAX 4%/33 EXCISE TAX DUE 06/15/33		Yes	\$15,001 - \$50,000		None (or less than \$201)
12	RAND Corporation	See Endnote	No	None (or less than \$1,001)	Compensation for serving as a reviewer on a RAND report.	\$500

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY		CITY, STATE	STATUS AND TERMS	DATE
1	Golden Key Group		Reston, Virginia	I no longer have a relationship with my former employer. However, I still have money in the 401K account that I contributed to and the company matched up to a certain amount. I plan to transfer the money from this account to my government TSP account but have not had the chance to do this yet. I do not have a working relationship with my former employer.	7/2019
2	United States Air Force		Washington DC, Virginia	I will continue to participate in this defined benefit plan.	11/2018
3	BetterUp	See Endnote	San Francisco, California	Independent Consultant	6/2019
4	Inca Digital Advisor	See Endnote	Miami, Florida	Independent Contractor	5/2020
5	Eduworks Corporation	See Endnote	Corvallis, Oregon	I participate in corporate strategy meetings 2 - 3 times a year as an advisor. There is no compensation for these meetings. I also have done some consulting work for the company on contracts they have been awarded. This work is compensated but I have not done any consulting work in the last 18-months.	4/2019

#	EMPLOYER OR PARTY		CITY, STATE	STATUS AND TERMS	DATE
6	Clearforce Inc	See Endnote	Vienna, Virginia	I serve as a member of the advisory board.	7/2019
7	Buildings Anywhere	See Endnote	Palm Beach Gardens, Florida	Advisor	1/2020
8	Orchestra Macrosystems	See Endnote	Austin, Texas	Consultant	1/2020
9	Rand Corporation	See Endnote	Santa Monica, California	Review RAND document DRR-1905-1 within the allotted time in accordance with RAND's review guidance.	2/2022

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Brian Roth Contributory IRA	No			
1.1	Brian 8477	Yes	\$100,001 - \$250,000		\$50,001 - \$100,000
1.1.1	ADOBE INC	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.2	Google ALPHABET INC. CLASS A	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
1.1.3	AMAZON.COM INC	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
1.1.4	AMERN TOWER CORP REIT	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.5	ATLASSIAN CORP PLC F CLASS A	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.6	AUTODESK INC	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.1.7	BANK OF AMERICA CORP	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.8	BERKSHIRE HATHAWAY CLASS B	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
1.1.9	CHURCHILL DOWNS INC	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
1.1.10	COSTAR GROUP INC	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.1.11	COSTCO WHOLESALE CO	Yes	\$1,001 - \$15,000		\$5,001 - \$15,000
1.1.12	CYBERARK SOFTWARE LTD F	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.13	DOLLAR GENERAL CORP	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.14	EOG RESOURCES INC	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.1.15	GLOBAL PAYMENTS INC	N/A	\$1,001 - \$15,000		\$201 - \$1,000
1.1.16	HEICO CORP	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.17	ILLUMINA INC	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.18	INTUITIVE SURGICAL	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
1.1.19	JPMORGAN CHASE & CO	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.1.20	KEYSIGHT TECH INC	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.21	KINDER MORGAN INC	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.22	LENDINGTREE INC NEW	Yes	None (or less than \$1,001)		None (or less than \$201)
1.1.23	MASTERCARD INC CLASS A	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
1.1.24	NASDAQ INC	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.25	NEXTERA ENERGY INC	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
1.1.26	NIKE INC CLASS B	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.27	NOVANTA INC F	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.28	O REILLY AUTOMOTIVE	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.29	PAYCOM SOFTWARE INC	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
1.1.30	PROGRESSIVE CO OHIO	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.31	ROPER TECHNOLOGIES	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.1.32	S&P GLOBAL INC	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.33	SALESFORCE COM	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.1.34	SERVICE NOW INC	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
1.1.35	STRYKER CORP	N/A	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.36	UNION PACIFIC CORP	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.37	UNITEDHEALTH GRP INC	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
1.1.38	VISA INC CLASS A	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.39	WALT DISNEY CO	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.40	Cash & Cash Investments	No	None (or less than \$1,001)		None (or less than \$201)
2	Brian's Individual Account 7806	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.1	FIRST TRUST CAPITAL STRENGTH ETF IV	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.2	FIRST TRUST VALUE DIVIDEND IN ETF IV	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
2.3	ISHS MSCI EMERG MRKT FCT ETF IV	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	SCHWAB US SMALL CAP ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.5	VANGUARD INTERMEDIATE TERM BO ETF IV	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.6	WISDOMTREE US MIDCAP DIVIDEND ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.7	Cash & Cash Investments	No	\$15,001 - \$50,000		None (or less than \$201)
2.8	FlexShares Real Assets Allocation Index Fund (ASET)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.9	JPMorgan BetaBuilders International Equity ETF (BBIN)		\$1,001 - \$15,000		None (or less than \$201)
2.10	Cash and Money Market	No	\$1,001 - \$15,000		None (or less than \$201)
3	Brian Reg IRA 1936	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3.1	First Trust Capital	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
3.2	First Trust Value	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.3	ISHS MSCI Emerg Mrtk	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	Schwab Small Cap ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.5	Wisdom Tree	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.6	Cash & Money Market	No	None (or less than \$1,001)		None (or less than \$201)
3.7	FlexShares Real Assets Allocation Index Fund (ASET)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.8	JPMorgan BetaBuilders International Equity ETF (BBIN)	Yes	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Gina U.S. Bank #1 (primary)	See Endnote	No	\$50,001 - \$100,000	None (or less than \$201)
2	Gina U.S. Bank #2 Savings	See Endnote	No	\$15,001 - \$50,000	Dividends \$1,001 - \$2,500
3	Condo Rental Yorktown VA	No	\$100,001 - \$250,000	Rent or Royalties	\$5,001 - \$15,000
4	Condo Rental in Arlington VA	No	\$250,001 - \$500,000	Rent or Royalties	\$50,001 - \$100,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Cerner Corp. (CERN)	Sale	03/30/2022	\$1,001 - \$15,000
2	Pan American Silver Corp F	Sale	10/13/2021	\$1,001 - \$15,000
3	Pfizer Inc. (PFE)	Sale	01/05/2022	\$1,001 - \$15,000
4	UnitedHealth Group, Inc. (UNH)	Sale	12/02/2021	\$1,001 - \$15,000
5	Viatis Inc	Sale	02/17/2022	\$1,001 - \$15,000
6	SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)	Sale	12/21/2021	\$1,001 - \$15,000
7	Corteva, Inc. (CTVA)	Sale	03/15/2021	\$1,001 - \$15,000
8	Diageo PLC FSSPONSORED ADR1 ADR REPS 4 ORD SHS	Sale	04/21/2021	\$1,001 - \$15,000
9	Dominion Energy, Inc. (D)	Sale	03/15/2021	\$1,001 - \$15,000
10	DuPont de Nemours, Inc. (DD)	Sale	03/15/2021	\$1,001 - \$15,000
11	Expeditors International of Washington, Inc. (EXPD)	Sale	06/28/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
12	Jones Lang LaSalle, Inc. (JLL)	Sale	09/29/2021	\$1,001 - \$15,000
13	Viatis Inc	Sale	02/23/2022	\$1,001 - \$15,000
14	Comcast Corp. (CMCSA)	Purchase	04/21/2022	\$1,001 - \$15,000
15	Dominion Energy, Inc. (D)	Purchase	01/21/2021	\$1,001 - \$15,000
16	Dominion Energy, Inc. (D)	Purchase	01/07/2021	\$1,001 - \$15,000
17	EOG Resources, Inc. (EOG)	Purchase	09/14/2021	\$1,001 - \$15,000
18	Kirkland Lake Gold Mine Lim F	Purchase	01/21/2021	\$1,001 - \$15,000
19	Medtronic Plc (MDT)	Purchase	12/02/2021	\$1,001 - \$15,000
20	Merck & Co., Inc. (MRK)	Purchase	02/23/2022	\$1,001 - \$15,000
21	Merck & Co., Inc. (MRK)	Purchase	01/05/2022	\$1,001 - \$15,000
22	UNILEVER PLC FSPONSORED ADR 1 ADR REPS 1 ORD SHS	Purchase	04/20/2022	\$1,001 - \$15,000
23	UNILEVER PLC FSPONSORED ADR 1 ADR REPS 1 ORD SHS	Purchase	06/29/2021	\$1,001 - \$15,000
24	UNILEVER PLC FSPONSORED ADR 1 ADR REPS 1 ORD SHS	Purchase	02/08/2021	\$1,001 - \$15,000
25	Verizon Communications, Inc. (VZ)	Purchase	12/13/2021	\$1,001 - \$15,000
26	Verizon Communications, Inc. (VZ)	Purchase	11/08/2021	\$1,001 - \$15,000
27	Viatis Inc	Purchase	09/29/2021	\$1,001 - \$15,000
28	JPMorgan BetaBuilders International Equity ETF (BBIN)	Purchase	03/03/2022	\$50,001 - \$100,000
29	FlexShares Real Assets Allocation Index Fund (ASET)	Purchase	03/03/2022	\$15,001 - \$50,000
30	First Trust Value Line Dividend Index Fund (FVD)	Purchase	03/03/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
31	Schwab US Small-Cap ETF (SCHA)	Purchase	01/18/2022	\$1,001 - \$15,000
32	Vanguard Intermediate-Term Bond Index Fund ETF Shares (BIV)	Purchase	01/18/2022	\$1,001 - \$15,000
33	Schwab International Equity ETF (SCHF)	Purchase	01/18/2022	\$1,001 - \$15,000
34	ISHS MSCI EMERG MRKT MINVOL FCT ETF	Purchase	01/18/2022	\$1,001 - \$15,000
35	First Trust Capital Strength ETF (FTCS)	Purchase	01/18/2022	\$1,001 - \$15,000
36	First Trust Value Line Dividend Index Fund (FVD)	Purchase	01/18/2022	\$1,001 - \$15,000
37	Vanguard Intermediate-Term Bond Index Fund ETF Shares (BIV)	Purchase	09/17/2021	\$1,001 - \$15,000
38	Schwab US Small-Cap ETF (SCHA)	Purchase	09/17/2021	\$1,001 - \$15,000
39	First Trust Value Line Dividend Index Fund (FVD)	Purchase	03/09/2021	\$1,001 - \$15,000
40	JPMorgan Diversified Return International Equity ETF (JPIN)	Purchase	03/09/2021	\$1,001 - \$15,000
41	First Trust Capital Strength ETF (FTCS)	Purchase	03/09/2021	\$15,001 - \$50,000
42	WisdomTree US MidCap Dividend Fund (DON)	Purchase	03/09/2021	\$15,001 - \$50,000
43	Vanguard Intermediate-Term Bond Index Fund ETF Shares (BIV)	Purchase	03/09/2021	\$50,001 - \$100,000
44	ISHS MSCI MARKET MINVOL FCT ETF	Purchase	03/09/2021	\$15,001 - \$50,000
45	Vanguard Intermediate-Term Investment-Grade Fund Admiral Shares (VFIDX)	Purchase	02/25/2021	\$15,001 - \$50,000
46	JPMorgan Strategic Income Opportunities Fund Class I Shares (JSOSX)	Purchase	02/25/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
47	JPMorgan US Large Cap Core Plus Fund Class I Shares (JLPSX)	Purchase	02/25/2021	\$15,001 - \$50,000
48	WCM Focused Emerging Markets Fund Institutional Class Shares (WCMEX)	Purchase	02/25/2021	\$15,001 - \$50,000
49	DoubleLine Total Return Bond Fund Class I Shares (DBLTX)	Purchase	02/25/2021	\$15,001 - \$50,000
50	Eaton Vance Atlanta Capital SMID-Cap Fund Class I Shares (EISMX)	Purchase	02/25/2021	\$15,001 - \$50,000
51	First Trust Value Line Dividend Index Fund (FVD)	Purchase	02/25/2021	\$15,001 - \$50,000
52	First Trust Capital Strength ETF (FTCS)	Purchase	02/25/2021	\$15,001 - \$50,000
53	iShares Convertible Bond ETF (ICVT)	Purchase	02/25/2021	\$1,001 - \$15,000
54	Schwab US Small-Cap ETF (SCHA)	Purchase	02/25/2021	\$15,001 - \$50,000
55	JPMorgan Diversified Return International Equity ETF (JPIN)	Purchase	02/25/2021	\$15,001 - \$50,000
56	T Rowe Price Spectrum Moderate Allocation Fund (TRPBX)	Purchase	02/16/2021	\$1,001 - \$15,000
57	JPMorgan Diversified Return International Equity ETF (JPIN)	Sale	03/03/2022	\$15,001 - \$50,000
58	Schwab International Equity ETF (SCHF)	Sale	03/03/2022	\$1,001 - \$15,000
59	First Trust Capital Strength ETF (FTCS)	Sale	03/03/2022	\$1,001 - \$15,000
60	Vanguard Intermediate-Term Bond Index Fund ETF Shares (BIV)	Sale	03/03/2022	\$15,001 - \$50,000
61	First Trust Value Line Dividend Index Fund (FVD)	Sale	09/17/2021	\$1,001 - \$15,000
62	First Trust Capital Strength ETF (FTCS)	Sale	09/17/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
63	iShares Convertible Bond ETF (ICVT)	Sale	03/09/2021	\$1,001 - \$15,000
64	Vanguard Intermediate-Term Investment-Grade Fund Admiral Shares (VFIDX)	Sale	03/08/2021	\$15,001 - \$50,000
65	JPMorgan Strategic Income Opportunities Fund Class I Shares (JSOSX)	Sale	03/08/2021	\$15,001 - \$50,000
66	JPMorgan US Large Cap Core Plus Fund Class I Shares (JLPSX)	Sale	03/08/2021	\$50,001 - \$100,000
67	WCM Focused Emerging Markets Fund Institutional Class Shares (WCMEX)	Sale	03/08/2021	\$15,001 - \$50,000
68	DoubleLine Total Return Bond Fund Class I Shares (DBLTX)	Sale	03/08/2021	\$15,001 - \$50,000
69	Eaton Vance Atlanta Capital SMID-Cap Fund Class I Shares (EISMX)	Sale	03/08/2021	\$15,001 - \$50,000
70	Neuberger Berman Real Estate Fund Institutional Class (NBRIX)	Sale	02/24/2021	\$15,001 - \$50,000
71	BNY Mellon Sustainable US Equity Fund, Inc Class I Shares (DRTCX)	Sale	02/24/2021	\$15,001 - \$50,000
72	Fidelity Advisor New Insights Fund Class Z Shares (FZANX)	Sale	02/24/2021	\$50,001 - \$100,000
73	First EagleGlobal Fund Class I Shares (SGIIX)	Sale	02/24/2021	\$15,001 - \$50,000
74	Eaton Vance Atlanta Capital SMID-Cap Fund Class I Shares (EISMX)	Sale	02/24/2021	\$15,001 - \$50,000
75	Loomis Sayles Bond Fund Institutional Class (LSBDX)	Sale	02/24/2021	\$15,001 - \$50,000
76	Equity Commonwealth (EQC)	Sale	02/24/2021	\$1,001 - \$15,000
77	First Trust Capital Strength ETF (FTCS)	Purchase	03/25/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
78	First Trust Value Line Dividend Index Fund (FVD)	Purchase	03/01/2022	\$1,001 - \$15,000
79	iShares Convertible Bond ETF (ICVT)	Purchase	03/26/2021	\$1,001 - \$15,000
80	JPMorgan BetaBuilders International Equity ETF (BBIN)	Purchase	03/01/2022	\$50,001 - \$100,000
81	JPMorgan Diversified Return International Equity ETF (JPIN)	Purchase	03/25/2021	\$15,001 - \$50,000
82	JPMorgan Hedged Equity Fund Class I Shares (JHEQX)	Purchase	03/01/2022	\$15,001 - \$50,000
83	JPMorgan Strategic Income Opportunities Fund Class I Shares (JSOSX)	Purchase	09/16/2021	\$15,001 - \$50,000
84	JPMorgan Strategic Income Opportunities Fund Class I Shares (JSOSX)	Purchase	03/25/2021	\$1,001 - \$15,000
85	Schwab US Small-Cap ETF (SCHA)	Purchase	03/25/2021	\$15,001 - \$50,000
86	T Rowe Price Real Assets Fund, Inc (PRAFX)	Purchase	03/01/2022	\$15,001 - \$50,000
87	Thornburg Strategic Income Fund Class I Shares (TSIIX)	Purchase	03/01/2022	\$15,001 - \$50,000
88	WCM Focused Emerging Markets Fund Institutional Class Shares (WCMEX)	Purchase	03/25/2021	\$15,001 - \$50,000
89	BrandesEmerging Markets Value Fund Class I Shares (BEMIX)	Sale	03/25/2021	\$15,001 - \$50,000
90	DoubleLine Total Return Bond Fund Class I Shares (DBLTX)	Sale	03/01/2022	\$1,001 - \$15,000
91	DoubleLine Total Return Bond Fund Class I Shares (DBLTX)	Sale	03/25/2021	\$1,001 - \$15,000
92	First Trust Capital Strength ETF (FTCS)	Sale	09/16/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
93	First Trust Value Line Dividend Index Fund (FVD)	Sale	09/16/2021	\$1,001 - \$15,000
94	First Trust Value Line Dividend Index Fund (FVD)	Sale	03/25/2021	\$1,001 - \$15,000
95	iShares Convertible Bond ETF (ICVT)	Sale	03/01/2022	\$1,001 - \$15,000
96	JPMorgan Diversified Return International Equity ETF (JPIN)	Sale	03/01/2022	\$15,001 - \$50,000
97	JPMorgan Diversified Return International Equity ETF (JPIN)	Sale	09/16/2021	\$1,001 - \$15,000
98	JPMorgan Strategic Income Opportunities Fund Class I Shares (JSOSX)	Sale	03/01/2022	\$15,001 - \$50,000
99	JPMorgan US Large Cap Core Plus Fund Class I Shares (JLPSX)	Sale	03/01/2022	\$1,001 - \$15,000
100	JPMorgan US Large Cap Core Plus Fund Class I Shares (JLPSX)	Sale	09/16/2021	\$1,001 - \$15,000
101	JPMorgan US Large Cap Core Plus Fund Class I Shares (JLPSX)	Sale	03/25/2021	\$1,001 - \$15,000
102	Miller Convertible Bond Fund Class I Shares (MCIFX)	Sale	03/25/2021	\$15,001 - \$50,000
103	Port Street Quality Growth Fund Institutional Class Shares (PSQGX)	Sale	03/25/2021	\$15,001 - \$50,000
104	Schwab US Small-Cap ETF (SCHA)	Sale	09/16/2021	\$1,001 - \$15,000
105	Vanguard Intermediate-Term Investment-Grade Fund Admiral Shares (VFIDX)	Sale	09/16/2021	\$1,001 - \$15,000
106	Vanguard Intermediate-Term Investment-Grade Fund Admiral Shares (VFIDX)	Sale	03/25/2021	\$1,001 - \$15,000
107	ROCHE HLDG AG FSPONSORED ADR 1 ADR RE 0.125 ORD SHS	Purchase	05/11/2022	\$1,001 - \$15,000
108	Accenture Plc (ACN)	Purchase	05/10/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
109	The Home Depot, Inc. (HD)	Purchase	05/10/2022	\$1,001 - \$15,000
110	NIKE, Inc. (NKE)	Purchase	05/09/2022	\$1,001 - \$15,000
111	The Walt Disney Co. (DIS)	Purchase	04/29/2022	\$1,001 - \$15,000
112	Starbucks Corp. (SBUX)	Purchase	03/11/2022	\$1,001 - \$15,000
113	The Home Depot, Inc. (HD)	Purchase	02/22/2022	\$1,001 - \$15,000
114	Adobe, Inc. (ADBE)	Purchase	02/14/2022	\$1,001 - \$15,000
115	International Flavors & Fragrances, Inc. (IFF)	Purchase	02/04/2022	\$1,001 - \$15,000
116	Adobe, Inc. (ADBE)	Purchase	02/03/2022	\$1,001 - \$15,000
117	Medtronic Plc (MDT)	Purchase	01/04/2022	\$1,001 - \$15,000
118	Visa, Inc. (V)	Purchase	09/17/2021	\$1,001 - \$15,000
119	3M Co. (MMM)	Purchase	09/07/2021	\$1,001 - \$15,000
120	UNILEVER PLC FSPONSORED ADR 1 ADR REPS 1 ORD SHS	Purchase	07/08/2021	\$1,001 - \$15,000
121	The Home Depot, Inc. (HD)	Purchase	06/18/2021	\$1,001 - \$15,000
122	Becton, Dickinson and Company (BDX)	Purchase	05/06/2021	\$1,001 - \$15,000
123	C.H. Robinson Worldwide, Inc. (CHRW)	Purchase	04/29/2021	\$1,001 - \$15,000
124	ROCHE HLDG AF FSPONSORED ADR 1 ADR RE 0.125 ORD SHS	Purchase	04/01/2021	\$1,001 - \$15,000
125	Becton, Dickinson and Company (BDX)	Purchase	03/19/2021	\$1,001 - \$15,000
126	ROCHE HLDG AG FSPONSORED ADR 1 ADR RE 0.125 ORD SHS	Purchase	03/05/2021	\$1,001 - \$15,000
127	The Home Depot, Inc. (HD)	Purchase	03/04/2021	\$1,001 - \$15,000
128	UNILEVER PLC FSPONSORED ADR 1 ADR REPS 1 ORD SHS	Purchase	02/04/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
129	Berkshire Hathaway Inc. (BRKB)	Sale	03/21/2022	\$1,001 - \$15,000
130	Apple, Inc. (AAPL)	Sale	01/04/2022	\$1,001 - \$15,000
131	Berkshire Hathaway Inc. (BRKB)	Sale		\$1,001 - \$15,000
132	Cisco Systems, Inc. (CSCO)	Sale	01/03/2022	\$1,001 - \$15,000
133	NOVO-NORDISK A S FSPONSORED ADR 1 ADR REPS 1 ORD SHS	Sale	08/31/2021	\$1,001 - \$15,000
134	NOVO-NORDISK A S FSPONSORED ADR 1 ADR REPS 1 ORD SHS	Sale	07/28/2021	\$1,001 - \$15,000
135	Berkshire Hathaway Inc. (BRKB)	Sale	07/28/2021	\$1,001 - \$15,000
136	Oracle Corp. (ORCL)	Sale	04/15/2021	\$1,001 - \$15,000
137	Alphabet, Inc. (GOOGL)	Sale	04/12/2021	\$1,001 - \$15,000
138	Cisco Systems, Inc. (CSCO)	Sale	03/17/2021	\$1,001 - \$15,000
139	Schwab US Treasury Money Fund Investor Class Shares (SNSXX)	Sale	02/16/2021	\$50,001 - \$100,000
140	Alphabet, Inc. (GOOGL)	Sale	02/03/2021	\$1,001 - \$15,000
141	The Walt Disney Co. (DIS)	Sale	01/04/2021	\$1,001 - \$15,000
142	ALABAMA ST UNIV REV 5%34PUB EDUC DUE 09/01/34 BUILD AMERICA MUTUAL	Purchase	04/05/2022	\$1,001 - \$15,000
143	ALABAMA ST UNIV REV 5%34PUB EDUC DUE 09/01/34BUILD AMERICA MUTUAL	Purchase	04/01/2022	\$1,001 - \$15,000
144	IL ST SLS TAX 4%33EXCISE TAX DUE 6/15/33	Purchase	01/11/2022	\$15,001 - \$50,000
145	SUFFOLK VA 3%OGO UTX DUE 2/1/300ID	Purchase	10/28/2021	\$1,001 - \$15,000
146	CINCO SW TX MUN #1 2%30VP DUE 12/01/30BUILD AMERICA MUTUAL	Purchase	08/02/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
147	BLACK BELT ENERGY G 4%51OILG IDR DUE 6/01/51	Purchase	04/19/2021	\$15,001 - \$50,000
148	CENT TX REG MOBILIT 5%35TPK TRAN DUE 01/01/35	Purchase	03/24/2021	\$15,001 - \$50,000
149	WAUKEGAN IL 4%34GO UTX DUE 12/30/34BUILD AMERICA MUTUAL	Purchase	03/08/2021	\$15,001 - \$50,000
150	ROCK ISLAND CN IL S 3%33GO UTX DUE 02/01/33	Purchase	03/08/2021	\$15,001 - \$50,000
151	ST LOUIS MO MSC 5%30LEAS BLDG DUE 06/01/30	Purchase	03/02/2021	\$15,001 - \$50,000
152	PA ST TPK COMMN TPK 5%32TPK TRAN DUE 06/01/32	Purchase	03/02/2021	\$15,001 - \$50,000
153	UNION CITY NJ 2.25%32GO UTX DUE 08/01/32	Purchase	03/01/2021	\$15,001 - \$50,000
154	ROCK ISLAND CN IL S 3%33GO UTX DUE 02/01/33	Sale	01/07/2022	\$15,001 - \$50,000
155	STOCKBRIDGE MI SCHS 5%28GO UTX DUE 05/01/28	Sale	03/17/2021	\$1,001 - \$15,000
156	LITTLE ROCK AR SWR 4%22SWR UTIL DUE 10/01/22	Sale	03/17/2021	\$1,001 - \$15,000
157	FlexShares Real Assets Allocation Index Fund (ASET)	Purchase	02/28/2022	\$1,001 - \$15,000
158	JPMorgan BetaBuilders International Equity ETF (BBIN)	Purchase	02/28/2022	\$1,001 - \$15,000
159	JPMorgan Diversified Return International Equity ETF (JPIN)	Purchase	03/23/2021	\$1,001 - \$15,000
160	First Trust Capital Strength ETF (FTCS)	Sale	02/28/2022	\$1,001 - \$15,000
161	JPMorgan Diversified Return International Equity ETF (JPIN)	Sale	02/28/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
162	First Trust Capital Strength ETF (FTCS)	Sale	03/23/2021	\$1,001 - \$15,000
163	First Trust Value Line Dividend Index Fund (FVD)	Sale	03/23/2021	\$1,001 - \$15,000
164	Chegg, Inc. (CHGG)	Sale	01/26/2022	
165	FlexShares Real Assets Allocation Index Fund (ASET)	Purchase	02/28/2022	\$1,001 - \$15,000
166	JPMorgan BetaBuilders International Equity ETF (BBIN)	Purchase	02/28/2022	\$1,001 - \$15,000
167	iShares Edge MSCI Min VolEmerging Markets ETF (EEMV)	Purchase	09/16/2021	\$1,001 - \$15,000
168	First Trust Value Line Dividend Index Fund (FVD)	Purchase	09/16/2021	\$1,001 - \$15,000
169	Vanguard Intermediate-Term Bond Index Fund ETF Shares (BIV)	Purchase	09/16/2021	\$1,001 - \$15,000
170	WisdomTree US MidCap Dividend Fund (DON)	Purchase	09/16/2021	\$1,001 - \$15,000
171	First Trust Capital Strength ETF (FTCS)	Purchase	09/16/2021	\$1,001 - \$15,000
172	JPMorgan Diversified Return International Equity ETF (JPIN)	Purchase	09/16/2021	\$1,001 - \$15,000
173	Schwab US Small-Cap ETF (SCHA)	Purchase	09/16/2021	\$1,001 - \$15,000
174	iShares Edge MSCI Min VolEmerging Markets ETF (EEMV)	Purchase	03/23/2021	\$1,001 - \$15,000
175	Vanguard Intermediate-Term Bond Index Fund ETF Shares (BIV)	Purchase	03/23/2021	\$1,001 - \$15,000
176	JPMorgan Diversified Return International Equity ETF (JPIN)	Purchase	03/23/2021	\$1,001 - \$15,000
177	Schwab US Small-Cap ETF (SCHA)	Purchase	03/23/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
178	Vanguard Intermediate-Term Bond Index Fund ETF Shares (BIV)	Sale	02/28/2022	\$1,001 - \$15,000
179	First Trust Capital Strength ETF (FTCS)	Sale	02/28/2022	\$1,001 - \$15,000
180	JPMorgan Diversified Return International Equity ETF (JPIN)	Sale	02/28/2022	\$1,001 - \$15,000
181	First Trust Capital Strength ETF (FTCS)	Sale	09/29/2021	\$1,001 - \$15,000
182	JPMorgan Diversified Return International Equity ETF (JPIN)	Sale	09/29/2021	\$1,001 - \$15,000
183	First Trust Capital Strength ETF (FTCS)	Sale	03/23/2021	\$1,001 - \$15,000
184	First Trust Value Line Dividend Index Fund (FVD)	Sale	03/23/2021	\$1,001 - \$15,000
185	FlexShares Real Assets Allocation Index Fund (ASET)	Purchase	02/28/2022	\$1,001 - \$15,000
186	JPMorgan BetaBuilders International Equity ETF (BBIN)	Purchase	02/28/2022	\$15,001 - \$50,000
187	JPMorgan Diversified Return International Equity ETF (JPIN)	Purchase	03/23/2021	\$1,001 - \$15,000
188	Schwab US Small-Cap ETF (SCHA)	Purchase	03/23/2021	\$1,001 - \$15,000
189	First Trust Capital Strength ETF (FTCS)	Sale	02/28/2022	\$1,001 - \$15,000
190	JPMorgan Diversified Return International Equity ETF (JPIN)	Sale	02/28/2022	\$1,001 - \$15,000
191	WisdomTree US MidCap Dividend Fund (DON)	Sale	02/28/2021	\$1,001 - \$15,000
192	First Trust Capital Strength ETF (FTCS)	Sale	03/23/2021	\$1,001 - \$15,000
193	First Trust Value Line Dividend Index Fund (FVD)	Sale	03/23/2021	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Sun West Mortgage Company	See Endnote	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2020	2.25%	30 years
2	Mr. Cooper	See Endnote	Mortgage (investment/rental property)	\$250,001 - \$500,000	2013	4.25	30-years
3	Lakeview		Mortgage (investment/rental property)	\$100,001 - \$250,000	2019	5.375	30 years
4	Pentagon Federal Credit Union	See Endnote	Exercised Line of Credit	\$50,001 - \$100,000	2019	5.25%	N/A

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	4	This is a managed fund by Belle Haven Investments. The assets in this fund are all tax-free municipal bonds.
2.	8	The income from this annuity is the minimum amount that must be paid per year.
2.	9	I have had this annuity for many years and have not taken any funds from it nor added any funds since its original purchase.

PART	#	ENDNOTE
2.	12	The RAND Corporation asked me to review a draft report and provide my comments. I sought and received approval from an ethics attorney in OGC. I had 3 weeks to complete the report and submitted my comments on time. I received \$500 for reviewing the report.
3.	3	<p>My compensation for this consulting is non-qualified stock option to purchase an additional 27,000 shares of the company's common stock at the then-current fair market value (the "Option Grant"). The Option grant shall vest and become exercisable over a two-year period, beginning on the date hereof (9 Jun 2019), vesting on the 6-month anniversary of the date hereof and the remainder vesting in equal monthly installments for the following 18-months and will be subject to the terms and conditions of the Company's 2015 Equity Incentive Plan.</p> <p>Given my new position I am no longer consulting for this company. This relationship ended on 1 August 2021.</p>
3.	4	<p>Option pursuant to its Equity Incentive Plan to purchase 50,000 shares of common stock in Company (shares) of common stock at a per share exercise price equal to the Fair Market Value at a per share exercise price equal to the Fair Market Value of a share of the Company's common stock.</p> <p>I have not done any consulting since I started at the VA but have not formally ended the relationship as I have not had time to connect with them to complete the action. I consulted on an as needed basis and will no longer do that.</p>
3.	5	I have not received any consulting income since 2019. I no longer serve as an advisor to this company. This relationship ended shortly after I started working for the VA.
3.	6	In this role I am granted the option to purchase 20,000 shares at \$.08 exercise price. I have not purchased any options as of the date of this document. I no longer perform advisory services for this company. I ended this relationship just before I started working at the VA.
3.	7	I have two kinds of shares for this work: CS - 6 with 30,000 shares at par value of .0001 and CS - 21 with 210,000 shares at a par value of .0001. I no longer provide advisory services for this position. This service ended when I began working at the VA.
3.	8	Per the consultant contract I am entitled to 0.250% of the Company common stock that is outstanding after the Initial Compensation. I shared my new role with the CEO and am waiting his feedback. At this time I have not been issued any common stock from the company since I joined and will update my financial disclosure form if I do receive any stock. I have not provided any consulting services to this company since I started with the VA and will not provide any consulting services in the future.
3.	9	The RAND Corporation asked me to review a draft report entitled The Military Demographic Equity Machine: A Tool for Helping Decision-makers Address Disparities in Career Success on Feb 9th, 2022. I had 3-weeks to complete my review of the report and provide a review in accordance with their guidance. I ran this request through the OGC ethics team and got approval to move forward. I sent my assessment to RAND on 23 February and received \$500 compensation on 10 March 2022.

PART	#	ENDNOTE
6.	1	This account is Gina's Checking Account.
6.	2	Gina' Pentagon Federal Savings Account
8.	1	This is the mortgage on our primary residence.
8.	2	I am in the process of refinancing this property but have not closed on the new loan yet.
8.	4	This is a home equity line of credit that was used to purchase property in North Carolina. We have twice the equity in the property than in the HELOC.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

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