Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Prescott, Jeffrey

Deputy to the Permanent Representative of the United Nations, Department of State

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Prescott, Jeffrey [electronically signed on 05/05/2022 by Prescott, Jeffrey in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Veidenheimer, Paul S, Certifying Official [electronically signed on 05/23/2022 by Veidenheimer, Paul S in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

Data Revised 05/23/2022

Data Revised 05/16/2022

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Rollover IRA	No			
1.1	AB Large Cap Growth Fund Cl Advisor	Yes	$50,001 - $100,000		None (or less than $201)
1.2	AB Value Fund Advisor Cl	Yes	$50,001 - $100,000		None (or less than $201)
1.3	iShares Tr Core MSCI EAFE ETF	Yes	$50,001 - $100,000		None (or less than $201)
1.4	AB All Market Real Return Portfolio Cl 1	Yes	$15,001 - $50,000		None (or less than $201)
1.5	Bernstein Emerging Markets Portfolio	Yes	$15,001 - $50,000		None (or less than $201)
2	Roth IRA	No			
2.1	AB Wealth Appreciation Strategy FD Adv Cl	Yes	$50,001 - $100,000		None (or less than $201)
3	SEP IRA	No			
3.1	Vanguard 500 Index Admiral Cl	Yes	$15,001 - $50,000		None (or less than $201)
3.2	Vanguard Target Retirement 2040 Fund Investor Class Shares (VFORX)	Yes	$50,001 - $100,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.3	Vanguard Total Stock Market Index Fund Admiral Class Shares (VTSAX)	Yes	$15,001 - $50,000		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Asia Society	N/A		salary	
2	SEP-IRA	No			
2.1	Balanced Index Fund Adm	Yes	$50,001 - $100,000		None (or less than $201)
3	Asia Society	No			
3.1	TIAA Traditional	Yes	$1,001 - $15,000		None (or less than $201)
3.2	CREF Growth R2	Yes	$1,001 - $15,000		None (or less than $201)
3.3	Harbor Capital Apprec In	Yes	$100,001 - $250,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.4	TIAA Real Estate	Yes	$1,001 - $15,000		None (or less than $201)
3.5	Metrop West Tot Ret Bd M	Yes	$1,001 - $15,000		None (or less than $201)
4	Roth IRA Susan				
4.1	AB Wealth Appreciation Strategy Advisor Class Shares (AWAYX)	Yes	$15,001 - $50,000		None (or less than $201)
5	SEP-IRA Susan				
5.1	AB Wealth Appreciation Strategy Advisor Class Shares (AWAYX)	Yes	$50,001 - $100,000		None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Bank #1 (checking)	N/A	$1,001 - $15,000		None (or less than $201)
2	U.S. Bank #1 (savings)	N/A	$15,001 - $50,000		None (or less than $201)
3	U.S. Bank #2 (checking)	N/A	$1,001 - $15,000		None (or less than $201)
4	U.S. Bank #2 (savings)	N/A	$1,001 - $15,000		None (or less than $201)
5	ABTax-Managed Wealth Appreciation Strategy Advisor Class Shares (ATWYX)	Yes	$100,001 - $250,000		$1,001 - $2,500
6	ABTax-Managed Wealth Appreciation Strategy Advisor Class Shares (ATWYX)	Yes	$50,001 - $100,000		$201 - $1,000
7	Brokerage Account [redacted]				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.1	Sanford C Bernstein Fund, Inc Diversified Municipal Class Shares (SNDPX)	Yes	$15,001 - $50,000		$201 - $1,000
7.2	ABTax-Managed Wealth Appreciation Strategy Advisor Class Shares (ATWYX)	Yes	$100,001 - $250,000		$1,001 - $2,500
8	Brokerage Account - [redacted]				
8.1	Sanford C Bernstein Fund, Inc Diversified Municipal Class Shares (SNDPX)	Yes	$100,001 - $250,000		$2,501 - $5,000
8.2	AB Discovery Growth Fund, Inc Class Advisor Shares (CHCYX)	Yes	$15,001 - $50,000		None (or less than $201)
8.3	AB Discovery Value Fund Advisor Class Shares (ABYSX)	Yes	$15,001 - $50,000		None (or less than $201)
8.4	AB Small Cap Core Portfolio Adv Cl	Yes	$15,001 - $50,000		None (or less than $201)
8.5	Bernstein International Strategic Eqi Portfolio SCB	Yes	$250,001 - $500,000		$2,501 - $5,000
8.6	Sanford C Bernstein Fund, Inc Emerging Markets Class Shares (SNEMX)	Yes	$15,001 - $50,000		$201 - $1,000
8.7	iShares Core S&P 500 ETF (IVV)	Yes	$250,001 - $500,000		$2,501 - $5,000
8.8	Bernstein International Strategic Eqi Portfolio SCB	Yes	$250,001 - $500,000		$5,001 - $15,000
8.9	Bernstein Emerging Markets Portfolio	Yes	$15,001 - $50,000		$201 - $1,000
9	U.S. Bank #3 (checking)	N/A	$1,001 - $15,000		None (or less than $201)
10	Vanguard Brokerage Account - [redacted]				
10.1	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	$15,001 - $50,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.2	Vanguard Total Bond Market Index Fund Admiral Shares (VBTLX)	Yes	$1,001 - $15,000		None (or less than $201)
10.3	USAA 500 Index Fund Member Shares (USSPX)	Yes	$15,001 - $50,000		None (or less than $201)
11	Brokerage Account - [redacted]				
11.1	iShares Core S&P Total US Stock Market ETF (ITOT)	Yes	$250,001 - $500,000		$5,001 - $15,000
12	Brokerage Account - [redacted] (cash only)	N/A	$50,001 - $100,000		None (or less than $201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Adobe, Inc. (ADBE)	Sale	04/16/2021	$1,001 - $15,000
2	Amdocs Limited	Sale	04/16/2021	$1,001 - $15,000
3	Bank of America Corp. (BAC)	Sale	04/16/2021	$1,001 - $15,000
4	Amazon.com, Inc. (AMZN)	Sale	04/16/2021	$15,001 - $50,000
5	Alphabet, Inc. (GOOG)	Sale	04/16/2021	$15,001 - $50,000
6	Apple, Inc. (AAPL)	Sale	04/16/2021	$15,001 - $50,000
7	American Financial Group, Inc. (AFG)	Sale	04/16/2021	$1,001 - $15,000
8	Bristol-Myers Squibb (BMY)	Sale	04/16/2021	$1,001 - $15,000
9	Check Point Software Technologies Inc	Sale	04/16/2021	$1,001 - $15,000
10	Citigroup, Inc. (C)	Sale	04/16/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
11	Citrix Systems, Inc. (CTXS)	Sale	04/16/2021	$1,001 - $15,000
12	Clovis Oncology, Inc. (CLVS)	Sale	04/16/2021	$1,001 - $15,000
13	Comcast Corp. (CMCSA)	Sale	04/16/2021	$1,001 - $15,000
14	Eli Lilly & Co. (LLY)	Sale	04/16/2021	$1,001 - $15,000
15	Facebook, Inc. (FB)	Sale	04/16/2021	$15,001 - $50,000
16	Intel Corp. (INTC)	Sale	04/16/2021	$1,001 - $15,000
17	The Goldman Sachs Group, Inc. (GS)	Sale	04/16/2021	$1,001 - $15,000
18	Fidelity National Information Services, Inc. (FIS)	Sale	04/16/2021	$1,001 - $15,000
19	Intercontinental Exchange, Inc. (ICE)	Sale	04/16/2021	$1,001 - $15,000
20	Honeywell International, Inc. (HON)	Sale	04/16/2021	$1,001 - $15,000
21	Johnson & Johnson (JNJ)	Sale	04/16/2021	$1,001 - $15,000
22	KLA Corp. (KLAC)	Sale	04/16/2021	$1,001 - $15,000
23	Merck & Co., Inc. (MRK)	Sale	04/16/2021	$1,001 - $15,000
24	JPMorgan Chase & Co. (JPM)	Sale	04/16/2021	$1,001 - $15,000
25	Mastercard, Inc. (MA)	Sale	04/16/2021	$1,001 - $15,000
26	LPL Financial Holdings, Inc. (LPLA)	Sale	04/16/2021	$1,001 - $15,000
27	NortonLifeLock Inc	Sale	04/16/2021	$1,001 - $15,000
28	NVIDIA Corp. (NVDA)	Sale	04/16/2021	$1,001 - $15,000
29	Motorola Solutions, Inc. (MSI)	Sale	04/16/2021	$1,001 - $15,000
30	Nuance Communications, Inc. (NUAN)	Sale	04/16/2021	$1,001 - $15,000
31	Microsoft Corp. (MSFT)	Sale	04/16/2021	$50,001 - $100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
32	Nice LTD	Sale	04/16/2021	$1,001 - $15,000
33	NXP Semiconductors	Sale	04/16/2021	$1,001 - $15,000
34	Oracle Corp. (ORCL)	Sale	04/16/2021	$1,001 - $15,000
35	Procter & Gamble Co. (PG)	Sale	04/16/2021	$1,001 - $15,000
36	PayPal Holdings, Inc. (PYPL)	Sale	04/16/2021	$1,001 - $15,000
37	The PNC Financial Services Group, Inc. (PNC)	Sale	04/16/2021	$1,001 - $15,000
38	Pfizer Inc. (PFE)	Sale	04/16/2021	$1,001 - $15,000
39	Regeneron Pharmaceuticals, Inc. (REGN)	Sale	04/16/2021	$1,001 - $15,000
40	QUALCOMM, Inc. (QCOM)	Sale	04/16/2021	$1,001 - $15,000
41	Roche Holding Inc	Sale	04/16/2021	$1,001 - $15,000
42	S&P Global, Inc. (SPGI)	Sale	04/16/2021	$1,001 - $15,000
43	T-Mobile US, Inc. (TMUS)	Sale	04/16/2021	$1,001 - $15,000
44	Taiwan Semiconductor Mfg Co	Sale	04/16/2021	$1,001 - $15,000
45	Verizon Communications, Inc. (VZ)	Sale	04/16/2021	$1,001 - $15,000
46	Texas Instruments Incorporated (TXN)	Sale	04/16/2021	$1,001 - $15,000
47	Visa, Inc. (V)	Sale	04/16/2021	$15,001 - $50,000
48	Vertex Pharmaceuticals, Inc. (VRTX)	Sale	04/16/2021	$1,001 - $15,000
49	Wells Fargo & Co	Sale	04/16/2021	$1,001 - $15,000
50	VMware, Inc. (VMW)	Sale	04/16/2021	$1,001 - $15,000
51	Zoetis, Inc. (ZTS)	Sale	04/16/2021	$1,001 - $15,000
52	Activision Blizzard, Inc. (ATVI)	Sale	04/16/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
53	Ameren Corp. (AEE)	Sale	04/16/2021	$1,001 - $15,000
54	Xilinx, Inc. (XLNX)	Sale	04/16/2021	$1,001 - $15,000
55	Anthem, Inc. (ANTM)	Sale	04/16/2021	$1,001 - $15,000
56	American Electric Power Co., Inc. (AEP)	Sale	04/16/2021	$1,001 - $15,000
57	AutoZone, Inc. (AZO)	Sale	04/16/2021	$1,001 - $15,000
58	C H Robinson Worldwide Inc	Sale	04/16/2021	$1,001 - $15,000
59	Booz Allen Hamilton Holding Corp. (BAH)	Sale	04/16/2021	$1,001 - $15,000
60	CDW Corp. (CDW)	Sale	04/16/2021	$1,001 - $15,000
61	British American Tobacco	Sale	04/16/2021	$1,001 - $15,000
62	Automatic Data Processing, Inc. (ADP)	Sale	04/16/2021	$1,001 - $15,000
63	CME Group, Inc. (CME)	Sale	04/16/2021	$1,001 - $15,000
64	DaVita, Inc. (DVA)	Sale	04/16/2021	$1,001 - $15,000
65	The Coca-Cola Co. (KO)	Sale	04/16/2021	$1,001 - $15,000
66	Dollar General Corp. (DG)	Sale	04/16/2021	$1,001 - $15,000
67	Deckers Outdoor Corp. (DECK)	Sale	04/16/2021	$1,001 - $15,000
68	Dominion Energy, Inc. (D)	Sale	04/16/2021	$1,001 - $15,000
69	Essent Group Ltd. (ESNT)	Sale	04/16/2021	$1,001 - $15,000
70	Electronic Arts, Inc. (EA)	Sale	04/16/2021	$1,001 - $15,000
71	Lockheed Martin Corp. (LMT)	Sale	04/16/2021	$1,001 - $15,000
72	Everest Re Group Ltd. (RE)	Sale	04/16/2021	$1,001 - $15,000
73	The Home Depot, Inc. (HD)	Sale	04/16/2021	$1,001 - $15,000
74	Genpact Ltd. (G)	Sale	04/16/2021	$1,001 - $15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
75	O'Reilly Automotive, Inc. (ORLY)		Sale	04/16/2021	$1,001 - $15,000
76	L3Harris Technologies, Inc. (LHX)		Sale	04/16/2021	$1,001 - $15,000
77	NextEra Energy, Inc. (NEE)		Sale	04/16/2021	$1,001 - $15,000
78	Masco Corp. (MAS)		Sale	04/16/2021	$1,001 - $15,000
79	McDonald's Corp. (MCD)		Sale	04/16/2021	$1,001 - $15,000
80	Paychex, Inc. (PAYX)		Sale	04/16/2021	$1,001 - $15,000
81	PepsiCo, Inc. (PEP)		Sale	04/16/2021	$1,001 - $15,000
82	Philip Morris International, Inc. (PM)		Sale	04/16/2021	$1,001 - $15,000
83	Progressive Corp. (PGR)		Sale	04/16/2021	$1,001 - $15,000
84	Republic Services, Inc. (RSG)		Sale	04/16/2021	$1,001 - $15,000
85	Relx PLC		Sale	04/16/2021	$1,001 - $15,000
86	RenaissanceRe Holdings Ltd. (RNR)		Sale	04/16/2021	$1,001 - $15,000
87	Sealed Air Corp. (SEE)		Sale	04/16/2021	$1,001 - $15,000
88	UnitedHealth Group, Inc. (UNH)		Sale	04/16/2021	$1,001 - $15,000
89	Verisk Analytics, Inc. (VRSK)		Sale	04/16/2021	$1,001 - $15,000
90	Sun Communities, Inc. (SUI)		Sale	04/16/2021	$1,001 - $15,000
91	Walmart, Inc. (WMT)		Sale	04/16/2021	$1,001 - $15,000
92	National Security Action 401(k) - rollover distribution	See Endnote	Sale	02/10/2021	$50,001 - $100,000
93	Vanguard 500 Index Fund Admiral Shares (VFIAX)		Purchase	03/08/2021	$15,001 - $50,000
94	Vanguard Target Retirement 2040 Fund Investor Class Shares (VFORX)		Purchase	03/08/2021	$50,001 - $100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
95	Vanguard Total Stock Market Index Fund Admiral Class Shares (VTSAX)	Purchase	03/08/2021	$15,001 - $50,000
96	iShares Core S&P Total US Stock Market ETF (ITOT)	Purchase	04/16/2021	$250,001 - $500,000
97	iShares Core S&P Total US Stock Market ETF (ITOT)	Purchase	04/19/2021	$15,001 - $50,000
98	Bernstein International Strategic Equities Portfolio	Sale	07/20/2021	$1,001 - $15,000
99	iShares Core S&P 500 ETF (IVV)	Purchase	04/16/2021	$250,001 - $500,000
100	Bernstein International Strategic Equities Portfolio	Purchase	04/16/2021	$1,001 - $15,000
101	Bernstein Diversified Municipal Portfolio	Purchase	04/16/2021	$1,001 - $15,000
102	AB Discovery Growth Fund, Inc Class Advisor Shares (CHCYX)	Purchase	04/16/2021	$1,001 - $15,000
103	AB Discovery Growth Fund, Inc Class Advisor Shares (CHCYX)	Purchase	04/16/2021	$1,001 - $15,000
104	iShares Core S&P 500 ETF (IVV)	Purchase	04/19/2021	$15,001 - $50,000
105	AB Emerging Markets Port	Purchase	12/08/2021	$1,001 - $15,000
106	AB Discovery Growth Fund, Inc Class Advisor Shares (CHCYX)		12/09/2021	$1,001 - $15,000
107	Bernstein International Strategic Equities Portfolio	Purchase	12/10/2021	$15,001 - $50,000
108	AB Discovery Value Fund Advisor Class Shares (ABYSX)	Purchase	12/13/2021	$1,001 - $15,000
109	AB Emerging Markets Port	Purchase	12/20/2021	$1,001 - $15,000
110	ABTax-Managed Wealth Appreciation Strategy Advisor Class Shares (ATWYX)	Purchase	12/15/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
111	AB Large Cap Growth Fund, Inc Advisor Class Shares (APGYX)	Purchase	10/13/2021	$1,001 - $15,000
112	AllianceBernstein All Market R Class 1	Purchase	12/08/2021	$1,001 - $15,000
113	AB Emerging Markets Port	Purchase	12/08/2021	$1,001 - $15,000
114	AB Emerging Markets Port	Purchase	12/10/2021	$1,001 - $15,000
115	AB Value Fund Advisor Class Shares (ABVYX)	Purchase	12/13/2021	$1,001 - $15,000
116	AB Wealth Appreciation Strategy Advisor Class Shares (AWAYX)	Purchase	12/15/2021	$1,001 - $15,000
117	ABTax-Managed Wealth Appreciation Strategy Advisor Class Shares (ATWYX)	Purchase	12/15/2021	$1,001 - $15,000
118	ABTax-Managed Wealth Appreciation Strategy Advisor Class Shares (ATWYX)	Purchase	12/15/2021	$1,001 - $15,000
119	AB Wealth Appreciation Strategy Advisor Class Shares (AWAYX)	Purchase	12/15/2021	$1,001 - $15,000
120	AB Wealth Appreciation Strategy Advisor Class Shares (AWAYX)	Purchase	12/15/2021	$1,001 - $15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
7.	92	Reflects sale of State Street Aggregate Bond Index, Vanguard Balanced Index, Vanguard Growth Index, and Vanguard Value Index.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
