

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Ladjevardian, Sima

Regional Director, Reg. 6 (Dallas), Department of Health & Human Services

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Ladjevardian, Sima [electronically signed on 06/20/2022 by Ladjevardian, Sima in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Olesh, Stanley, Certifying Official [electronically signed on 07/21/2022 by Olesh, Stanley in Integrity.gov]

Other review conducted by

/s/ Olesh, Stanley, Ethics Official [electronically signed on 07/21/2022 by Olesh, Stanley in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 07/21/2022

Data Revised 07/12/2022

Data Revised 07/11/2022

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(07/21/2022, Olesh, Stanley): Added full names
N/A	N/A	General	(07/21/2022, Olesh, Stanley): Late fee waiver granted

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	International Business Machines Corp. (IBM)	Sale	01/11/2022	No	\$50,001 - \$100,000
2	JPMorgan (JPM)	Sale	01/13/2022	No	\$50,001 - \$100,000
3	Apple, Inc. (AAPL)	Sale	01/13/2022	No	\$15,001 - \$50,000
4	ATT	Sale	02/15/2022	No	\$1,001 - \$15,000
5	Intel Corp. (INTC)	Sale	03/02/2022	No	\$50,001 - \$100,000
6	Call Moderna (MRNA)	Sale	12/01/2021	No	\$1,001 - \$15,000
7	Verizon Communications, Inc. (VZ)	Sale	12/28/2021	No	\$100,001 - \$250,000
8	Banco Santander (SAN)	Purchase	12/28/2021	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
9	Apple, Inc. (AAPL)	Sale	12/29/2021	No	\$15,001 - \$50,000
10	Citigroup, Inc. (C)	Purchase	12/29/2021	No	\$50,001 - \$100,000
11	Citigroup, Inc. (C)	Purchase	01/07/2022	No	\$100,001 - \$250,000
12	The Walt Disney Co. (DIS)	Sale	01/13/2022	No	\$50,001 - \$100,000
13	Palantir Technologies Inc. (PLTR)	Sale	01/13/2022	No	\$15,001 - \$50,000
14	Kraft Foods Group Inc. (KRFT)	Sale	01/18/2022	No	\$50,001 - \$100,000
15	Meta Platforms/Facebook (FB)	Sale	02/15/2022	No	\$50,001 - \$100,000
16	Alibaba (BABA)	Purchase	02/15/2022	No	\$100,001 - \$250,000
17	Blackstone Mortgage Trust, Inc. (BXMT)	Purchase	02/17/2022	No	\$50,001 - \$100,000
18	Nuveen AMT-Free Municipal Credit Income Fund (NVG)	Purchase	02/17/2022	No	\$50,001 - \$100,000
19	Citigroup, Inc. (C)	Purchase	05/18/2022	No	\$50,001 - \$100,000
20	Moderna (MRNA) CALL	Sale	12/01/2021	No	\$1,001 - \$15,000
21	Starwood Property Trust, Inc. (STWD)	Sale	12/10/2021	No	\$100,001 - \$250,000
22	Kinder Morgan, Inc. (KMI)	Sale	12/10/2021	No	\$50,001 - \$100,000
23	Starwood Property Trust, Inc. (STWD)	Sale	12/14/2021	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
24	Kinder Morgan, Inc. (KMI)	Purchase	12/14/2021	No	\$100,001 - \$250,000
25	Apple, Inc. (AAPL)	Sale	12/29/2021	No	\$50,001 - \$100,000
26	Citibank Inc (C)	Purchase	12/29/2021	No	\$50,001 - \$100,000
27	Moderna Inc (MRNA)	Sale	01/07/2022	No	\$50,001 - \$100,000
28	Citibank Inc (C)	Purchase	01/07/2022	No	\$50,001 - \$100,000
29	BAC SELLING CALLS	Sale	01/14/2022	No	\$1,001 - \$15,000
30	The Kraft Heinz Co. (KHC)	Sale	01/18/2022	No	\$15,001 - \$50,000
31	Facebook, Inc. (FB)	Sale	02/01/2022	No	\$100,001 - \$250,000
32	Alibaba (BABA)	Purchase	02/01/2022	No	\$100,001 - \$250,000
33	AT&T (T)	Sale	02/15/2022	No	\$15,001 - \$50,000
34	Verizon Communications, Inc. (VZ)	Sale	02/15/2022	No	\$100,001 - \$250,000
35	Alibaba (BABA)	Purchase	02/15/2022	No	\$100,001 - \$250,000
36	Nuveen Municipal High Income Opportunity Fund (NMZ)	Purchase	02/17/2022	No	\$50,001 - \$100,000
37	Nuveen Municipal Credit Income Fund (NZF)	Purchase	02/18/2022	No	\$15,001 - \$50,000
38	The Walt Disney Co. (DIS)	Sale	03/15/2022	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
39	Baidu (BIDU)	Purchase	03/16/2022	No	\$50,001 - \$100,000
40	Ford Motor Co. (F)	Sale	12/03/2021	No	\$50,001 - \$100,000
41	General Electric Co. (GE)	Sale	12/03/2021	No	\$50,001 - \$100,000
42	The Kraft Heinz Co. (KHC)	Sale	12/03/2021	No	\$100,001 - \$250,000
43	Phillips 66 (PSX)	Sale	12/03/2021	No	\$50,001 - \$100,000
44	UBS Group	Sale	12/03/2021	No	\$100,001 - \$250,000
45	Starwood Property Trust, Inc. (STWD)	Sale	12/10/2021	No	\$100,001 - \$250,000
46	Starwood Property Trust, Inc. (STWD)	Sale	12/14/2021	No	\$100,001 - \$250,000
47	Boeing Co (BA) put	Sale	12/15/2021	No	\$15,001 - \$50,000
48	American Express Co. (AXP) Put	Sale	12/29/2021	No	\$15,001 - \$50,000
49	Citibank Inc (C)	Purchase	12/09/2021	No	\$100,001 - \$250,000
50	Kinder Morgan, Inc. (KMI)	Purchase	12/14/2021	No	\$100,001 - \$250,000
51	Boeing Co (BA) Put	Purchase	12/15/2021	No	\$15,001 - \$50,000
52	Boeing Co (BA) Put	Purchase	12/15/2021	No	\$15,001 - \$50,000
53	Citibank Inc (C)	Purchase	12/16/2021	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
54	IBM	Purchase	12/28/2021	No	\$100,001 - \$250,000
55	Walt Disney Co. (DIS) Put option	Purchase	12/29/2021	No	\$15,001 - \$50,000
56	Citibank Inc (C) Put	Sale	01/04/2022	No	\$100,001 - \$250,000
57	Palantir Technologies Inc (PLTR)	Sale	01/04/2022	No	\$15,001 - \$50,000
58	Teladoc Health, Inc. (TDOC)	Sale	01/04/2022	No	\$15,001 - \$50,000
59	Moderna Inc (MRNA)	Sale	01/05/2022	No	\$100,001 - \$250,000
60	Nio Inc (NIO) Call	Sale	01/05/2022	No	\$1,001 - \$15,000
61	Citibank Inc (C) Put	Sale	01/06/2022	No	\$50,001 - \$100,000
62	Discovery Call	Sale	01/05/2022	No	\$1,001 - \$15,000
63	Discovery Call	Sale	01/05/2022	No	\$1,001 - \$15,000
64	International Business Machines Corp. (IBM)	Sale	01/11/2022	No	\$100,001 - \$250,000
65	The Williams Cos., Inc. (WMB) Call	Sale	01/12/2022	No	\$1,001 - \$15,000
66	Citibank Inc (C) Put	Sale	01/18/2022	No	\$50,001 - \$100,000
67	Alibaba (BABA) Put	Sale	01/19/2022	No	\$250,001 - \$500,000
68	Alibaba (BABA) Put	Sale	01/19/2022	No	\$100,001 - \$250,000
69	American International Group, Inc. (AIG)	Sale	01/25/2022	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
70	Apple, Inc. (AAPL)	Sale	01/25/2022	No	\$50,001 - \$100,000
71	NIO	Sale	01/25/2022	No	\$50,001 - \$100,000
72	DISCOVERY CALL	Sale	01/25/2022	No	\$15,001 - \$50,000
73	Facebook, Inc. (FB)	Sale	01/26/2022	No	\$100,001 - \$250,000
74	Facebook, Inc. (FB)	Sale	01/28/2022	No	\$1,001 - \$15,000
75	Kinder Morgan Inc (KMI) Call	Sale	01/29/2022	No	\$1,001 - \$15,000
76	DISCOVERY CALL	Sale	01/31/2022	No	\$1,001 - \$15,000
77	Banco Santander SA (SAN)	Purchase	01/04/2022	No	\$100,001 - \$250,000
78	NIO	Purchase	01/03/2022	No	\$100,001 - \$250,000
79	Moderna Inc (MRNA) Put	Purchase	01/03/2022	No	\$100,001 - \$250,000
80	DISCOVERY	Purchase	01/04/2022	No	\$250,001 - \$500,000
81	The Williams Cos., Inc. (WMB) Call	Purchase	01/12/2022	No	\$1,001 - \$15,000
82	Citibank Inc (C) Put	Purchase	01/18/2022	No	\$15,001 - \$50,000
83	Alibaba (BABA) Put	Purchase	01/19/2022	No	\$100,001 - \$250,000
84	Alibaba (BABA) Put	Purchase	01/19/2022	No	\$100,001 - \$250,000
85	DISCOVERY	Purchase	01/25/2022	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
86	Banco Santander SA (SAN)	Purchase	01/25/2022	No	\$50,001 - \$100,000
87	DISCOVERY	Purchase	01/31/2022	No	\$100,001 - \$250,000
88	Facebook, Inc. (FB) CALL	Purchase	01/31/2022	No	\$1,001 - \$15,000
89	DISCOVERY CALL	Purchase	01/31/2022	No	\$15,001 - \$50,000
90	Facebook, Inc. (FB)	Sale	02/08/2022	No	\$100,001 - \$250,000
91	General Electric Co. (GE)	Sale	02/08/2022	No	\$100,001 - \$250,000
92	Walt Disney Co (DIS)	Sale	02/09/2022	No	\$50,001 - \$100,000
93	Goldman Sachs Group Inc. (GS) call	Sale	02/11/2022	No	\$1,001 - \$15,000
94	Goldman Sachs Group Inc. (GS) Put	Sale	02/11/2022	No	\$15,001 - \$50,000
95	Goldman Sachs Group Inc. (GS) Call	Sale	02/15/2022	No	\$1,001 - \$15,000
96	Moderna Inc (MRNA)	Sale	02/28/2022	No	\$50,001 - \$100,000
97	Goldman Sachs Group Inc. (GS)	Purchase	02/09/2022	No	\$100,001 - \$250,000
98	Moderna Inc (MRNA) Call	Purchase	02/11/2022	No	\$1,001 - \$15,000
99	Nokia (NOK) Put	Purchase	02/11/2022	No	\$15,001 - \$50,000
100	iShares Mortgage Real Estate ETF (REM)	Purchase	02/19/2022	No	\$50,001 - \$100,000
101	Baidu (BIDU) Call	Sale	03/02/2022	No	\$1,001 - \$15,000
102	DISCOVERY Call	Sale	03/09/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
103	Discovery	Sale	03/10/2022	No	\$100,001 - \$250,000
104	DISCOVERY	Sale	03/11/2022	No	\$100,001 - \$250,000
105	Alibaba (BABA)	Sale	03/11/2022	No	\$100,001 - \$250,000
106	Baidu (BIDU)	Sale	03/11/2022	No	\$100,001 - \$250,000
107	DISCOVERY	Sale	03/11/2022	No	\$100,001 - \$250,000
108	Enterprise Products Partners L.P. (EPD)		03/11/2022	No	\$50,001 - \$100,000
109	Kinder Morgan, Inc. (KMI)	Sale	03/11/2022	No	\$50,001 - \$100,000
110	Magellan Midstream Partners, L.P. (MMP)	Sale	03/11/2022	No	\$50,001 - \$100,000
111	Citibank Inc (C) Call	Sale	03/14/2022	No	\$1,001 - \$15,000
112	Boeing Co (BA) put	Purchase	03/02/2022	No	\$1,001 - \$15,000
113	Moderna Inc (MRNA) Call	Purchase	03/14/2022	No	\$1,001 - \$15,000
114	Alibaba (BABA)	Purchase	03/16/2022	No	\$250,001 - \$500,000
115	Baidu (BIDU)	Purchase	03/17/2022	No	\$250,001 - \$500,000
116	DISCOVERY	Purchase	03/23/2022	No	\$100,001 - \$250,000
117	DISCOVERY	Sale	04/20/2022	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
118	Goldman Sachs Group Inc. (GS)Call	Sale	04/21/2022	No	\$1,001 - \$15,000
119	Nvidia (NVDA) PUT	Purchase	04/21/2022	No	\$1,001 - \$15,000
120	Energy Transfer LP Unit (ET)	Sale	05/10/2022	No	\$50,001 - \$100,000
121	Kinder Morgan, Inc. (KMI)	Sale	05/10/2022	No	\$50,001 - \$100,000
122	Kinder Morgan, Inc. (KMI)	Sale	05/10/2022	No	\$50,001 - \$100,000
123	The Williams Cos., Inc. (WMB)	Sale	05/10/2022	No	\$50,001 - \$100,000
124	Ally Financial Inc (ALLY)	Purchase	05/23/2022	No	\$50,001 - \$100,000
125	Alibaba (BABA)	Purchase	05/25/2022	No	\$250,001 - \$500,000
126	Kinder Morgan Inc (KMI)	Purchase	12/16/2021	No	\$50,001 - \$100,000
127	Starwood Property Trust, Inc. (STWD)	Sale	12/16/2021	No	\$50,001 - \$100,000
128	Texas Instruments Inc (TI)	Sale	12/30/2021	No	\$15,001 - \$50,000
129	Citibank Inc (C)	Purchase	12/30/2021	No	\$100,001 - \$250,000
130	Verizon Communications, Inc. (VZ)VZ	Sale	12/30/2021	No	\$100,001 - \$250,000
131	Alibaba (BABA)	Purchase	03/22/2022	No	\$15,001 - \$50,000
132	Baidu (BIDU)	Sale	12/07/2021	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
133	General Electric Co. (GE)	Sale	12/07/2021	No	\$50,001 - \$100,000
134	Kinder Morgan, Inc. (KMI)	Purchase	12/09/2021	No	\$50,001 - \$100,000
135	Magellan Midstream Partners, L.P. (MMP)	Purchase	12/09/2021	No	\$100,001 - \$250,000
136	Banco Santander SA (SAN)	Purchase	12/31/2021	No	\$1,001 - \$15,000
137	Magellan Midstream Partners, L.P. (MMP)	Sale	03/10/2022	No	\$100,001 - \$250,000
138	Alibaba (BABA)	Purchase	03/18/2022	No	\$50,001 - \$100,000
139	Baidu (BIDU)	Purchase	03/18/2022	No	\$100,001 - \$250,000
140	Kinder Morgan, Inc. (KMI)	Sale	03/18/2022	No	\$50,001 - \$100,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the

requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
