

# Executive Branch Personnel

## Public Financial Disclosure Report:

### Periodic Transaction Report (OGE Form 278-T)

#### Filer's Information

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Nolan, Janet

Deputy Associate General Counsel, Department of Health & Human Services

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Nolan, Janet [electronically signed on 05/03/2021 by Nolan, Janet in Integrity.gov] - Filer received a 45 day filing extension.

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Olesh, Stanley, Certifying Official [electronically signed on 05/14/2021 by Olesh, Stanley in Integrity.gov]

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Other review conducted by

/s/ Olesh, Stanley, Ethics Official [electronically signed on 05/14/2021 by Olesh, Stanley in Integrity.gov]

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U.S. Office of Government Ethics Certification

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Data Revised 05/14/2021

Data Revised 05/04/2021

Data Revised 05/03/2021

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(05/14/2021, Olesh, Stanley): Filer & spouse moved all accounts from Fidelity back to Wells Fargo; sold and repurchased all holdings - SO
N/A	N/A	General	(05/14/2021, Olesh, Stanley): Continuing to keep all healthcare cumulatively below \$15K de minimis (Target, Danaher). Filer has no duties relating to food or health IT -- SO

## Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Fidelity IRA			No	
2	AT&T, Inc. (T)	Sale	03/22/2021	No	\$1,001 - \$15,000
3	Carrier Global Corp (CARR)	Sale	03/22/2021	No	\$1,001 - \$15,000
4	Caterpillar, Inc. (CAT)	Sale	03/22/2021	No	\$1,001 - \$15,000
5	DODIX - Dodge and Cox Income	Sale	03/22/2021	No	\$15,001 - \$50,000
6	Emerson Electric Co. (EMR)	Sale	03/22/2021	No	\$1,001 - \$15,000
7	Fidelity Spartan 500 Index Advtg Instl (FXAIX)	Sale	03/22/2021	No	\$100,001 - \$250,000
8	FIDELITY S/T TRSY INDEX (FUMBX)	Sale	03/22/2021	No	\$100,001 - \$250,000
9	Intl Business Machines Corp (IBM)	Sale	03/22/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
10	iShares MSCI World ETF (URTH)	Sale	03/22/2021	No	\$100,001 - \$250,000
11	Otis Worldwide Corp. (OTIS)	Sale	03/22/2021	No	\$1,001 - \$15,000
12	Raytheon Technologies Corp. (RTX)	Sale	03/22/2021	No	\$1,001 - \$15,000
13	Schlumberger Ltd (SLB)	Sale	03/22/2021	No	\$1,001 - \$15,000
14	Starbucks Corp. (SBUX)	Sale	03/22/2021	No	\$1,001 - \$15,000
15	Target Corp. (TGT)	Sale	03/22/2021	No	\$1,001 - \$15,000
16	The Walt Disney Co. (DIS)	Sale	03/22/2021	No	\$1,001 - \$15,000
17	T. Rowe Price Group, Inc. - Common Stock (TROW)	Sale	03/22/2021	No	\$1,001 - \$15,000
18	Fidelity IRA			No	
19	DocuSign, Inc. (DOCU)	Sale	03/22/2021	No	\$1,001 - \$15,000
20	Fidelity Advisor International Capital Appreciation Fund (FIVFX)	Sale	03/22/2021	No	\$15,001 - \$50,000
21	Fidelity Blue Chip Growth ETF (FBCG)	Sale	03/22/2021	No	\$50,001 - \$100,000
22	Fidelity Small Cap Index Fund (FSSNX)	Sale	03/22/2021	No	\$15,001 - \$50,000
23	Fidelity Spartan 500 Index Advtg Instl (FXAIX)	Sale	03/22/2021	No	\$100,001 - \$250,000
24	FUMBX (Fidelity Short Term T-Bond Fund)	Sale	03/22/2021	No	\$100,001 - \$250,000
25	General Mills, Inc. (GIS)	Sale	03/22/2021	No	\$1,001 - \$15,000
26	Hilton Worldwide Holdings, Inc. (HLT)	Sale	03/22/2021	No	\$1,001 - \$15,000
27	Intel Corp. (INTC)	Sale	03/22/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
28	Marriott International, Inc. (MAR)	Sale	03/22/2021	No	\$1,001 - \$15,000
29	Netflix, Inc. (NFLX)	Sale	03/22/2021	No	\$15,001 - \$50,000
30	New Fortress Energy Inc. (NFE)	Sale	03/22/2021	No	\$1,001 - \$15,000
31	OLLI (Ollie's Bargain Outlet Holdings)	Sale	03/22/2021	No	\$15,001 - \$50,000
32	Otis Worldwide Corporation (OTIS)	Sale	03/22/2021	No	\$1,001 - \$15,000
33	PepsiCo, Inc. (PEP)	Sale	03/22/2021	No	\$1,001 - \$15,000
34	Pershing Square Tontine Holdings (PSTH)	Sale	03/22/2021	No	\$1,001 - \$15,000
35	Renaissance IPO ETF (IPO)	Sale	03/22/2021	No	\$1,001 - \$15,000
36	ServiceNow, Inc. (NOW)	Sale	03/22/2021	No	\$1,001 - \$15,000
37	Starbucks Corp. (SBUX)	Sale	03/22/2021	No	\$15,001 - \$50,000
38	The Coca-Cola Co. (KO)	Sale	03/22/2021	No	\$1,001 - \$15,000
39	The Walt Disney Co. (DIS)	Sale	03/22/2021	No	\$15,001 - \$50,000
40	United Parcel Service, Inc. (UPS)	Sale	03/22/2021	No	\$15,001 - \$50,000
41	VEU (Vanguard FTSE All-World ex-US Fund)	Sale	03/22/2021	No	\$15,001 - \$50,000
42	Fidelity Joint Brokerage			No	
43	Baron WealthBuilder Fund Retail Class Shares (BWBFX)	Sale	03/22/2021	No	\$50,001 - \$100,000
44	Danaher Corp. (DHR)	Sale	03/22/2021	No	\$1,001 - \$15,000
45	Facebook, Inc. (FB)	Sale	03/22/2021	No	\$1,001 - \$15,000
46	Fidelity 500 Index Fund (FXAIX)	Sale	03/22/2021	No	\$15,001 - \$50,000
47	Salesforce.com, Inc. (CRM)	Sale	03/22/2021	No	\$1,001 - \$15,000
48	Snowflake Inc (SNOW)	Sale	03/22/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
49	Otis Worldwide Corp. (OTIS)	Sale	04/09/2021	No	\$1,001 - \$15,000
50	The Walt Disney Co. (DIS)	Sale	04/09/2021	No	\$1,001 - \$15,000
51	Carrier Global Corp. (CARR)	Sale	04/09/2021	No	\$1,001 - \$15,000
52	AT&T, Inc. (T)	Sale	04/09/2021	No	\$1,001 - \$15,000
53	Otis Worldwide Corp. (OTIS)	Sale	04/09/2021	No	\$1,001 - \$15,000
54	Invesco DB Commodity Index Tracking Fund (DBC)	Purchase	04/09/2021	No	\$15,001 - \$50,000
55	Fidelity Short-Term Treasury Bond Index Fund (FUMBX)	Sale	04/09/2021	No	\$15,001 - \$50,000
56	The Walt Disney Co. (DIS)	Sale	04/09/2021	No	\$15,001 - \$50,000
57	Alphabet, Inc. (GOOGL)	Purchase	04/09/2021	No	\$15,001 - \$50,000
58	Wells Fargo Advisors IRA			No	
59	AT&T, Inc. (T)	Purchase	03/22/2021	No	\$1,001 - \$15,000
60	Carrier Global Corp (CARR)	Purchase	03/22/2021	No	\$1,001 - \$15,000
61	Caterpillar, Inc. (CAT)	Purchase	03/22/2021	No	\$1,001 - \$15,000
62	DODIX - Dodge and Cox Income	Purchase	03/22/2021	No	\$15,001 - \$50,000
63	Emerson Electric Co. (EMR)	Purchase	03/22/2021	No	\$1,001 - \$15,000
64	Fidelity Spartan 500 Index Advtg Instl (FXAIX)	Purchase	03/22/2021	No	\$100,001 - \$250,000
65	FIDELITY S/T TRSY INDEX (FUMBX)	Purchase	03/22/2021	No	\$100,001 - \$250,000
66	Intl Business Machines Corp (IBM)	Purchase	03/22/2021	No	\$1,001 - \$15,000
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70	Schlumberger Ltd (SLB)	Purchase	03/22/2021	No	\$1,001 - \$15,000
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75	Wells Fargo Advisors IRA			No	
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77	Fidelity Advisor International Capital Appreciation Fund (FIVFX)	Purchase	03/22/2021	No	\$15,001 - \$50,000
78	Fidelity Blue Chip Growth ETF (FBCG)	Purchase	03/22/2021	No	\$50,001 - \$100,000
79	Fidelity Small Cap Index Fund (FSSNX)	Purchase	03/22/2021	No	\$15,001 - \$50,000
80	Fidelity Spartan 500 Index Advtg Instl (FXAIX)	Purchase	03/22/2021	No	\$100,001 - \$250,000
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## Endnotes

### Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

### Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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