

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Griffis, Kevin

Director of Communications, Department of Health & Human Services

Report Year: 2024

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Griffis, Kevin [electronically signed on 06/13/2024 by Griffis, Kevin in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

Other review conducted by

U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Charles Schwab	No			
1.1	IRA	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	centene corporation	No			
2.1	401-K	Yes	\$100,001 - \$250,000		None (or less than \$201)
3	Rollover IRA	No			
3.1	IRA - Fidelity	N/A	None (or less than \$1,001)		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	centene corporation	st. louis, Missouri	The plan sponsor discontinued contributions after my separation in 2022.	2/2019

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Meta	N/A	\$500,001 - \$1,000,000	salary, bonus	
2	Meta Platforms Inc. stock	N/A	\$250,001 - \$500,000	Dividends	None (or less than \$201)
3	Microsoft corp stock	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
4	Rollover IRA #1	No			
4.1	Fidelity Rollover IRA	N/A	\$100,001 - \$250,000		None (or less than \$201)
5	Fidelity SEP IRA	No	\$1,001 - \$15,000		None (or less than \$201)
5.1	Fidelity SEP IRA	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	Fidelity 401K	No			
6.1	Fidelity 401K	Yes	\$250,001 - \$500,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Marcus Savings Account	No	\$50,001 - \$100,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	Wealthfront Savings	No	\$250,001 - \$500,000	Interest	\$201 - \$1,000
3	Wealthfront Savings	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000
4	checking account	No	\$15,001 - \$50,000		None (or less than \$201)
5	Wells Fargo savings account	No	\$1,001 - \$15,000		None (or less than \$201)
6	Rental property	No	\$500,001 - \$1,000,000	Rent or Royalties	\$15,001 - \$50,000
7	529 plan 1	No	\$15,001 - \$50,000		None (or less than \$201)
8	529 plan 2	No	\$15,001 - \$50,000		None (or less than \$201)
9	529 plan 3	No	\$1,001 - \$15,000		None (or less than \$201)
10	iShares US Treasury Bond	Yes	\$1,001 - \$15,000		None (or less than \$201)
11	iShares US Treasury Bond	Yes	\$1,001 - \$15,000		None (or less than \$201)
12	iShares US Treasury Bond	Yes	\$1,001 - \$15,000		None (or less than \$201)
13	iShares US Treasury Bond	Yes	\$1,001 - \$15,000		None (or less than \$201)
14	iShares US Treasury Bond	Yes	\$1,001 - \$15,000		None (or less than \$201)
15	USAA Savings account	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16	USAA checking account	No	\$1,001 - \$15,000		None (or less than \$201)
17	Airbnb Inc. Class A Common Stock (ABNB)	No	\$15,001 - \$50,000		None (or less than \$201)
18	Fidelity California Municipal Income Fund (FCTFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
19	Fidelity Four-in-One Index Fund (FFNOX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
20	Fidelity MSCI Real Estate Index ETF (FREL)	Yes	\$15,001 - \$50,000		None (or less than \$201)
21	Fidelity ZERO International Index Fund (FZILX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
22	Fidelity ZERO Total Market Index Fund (FZROX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
23	iShares Core International Aggregate Bond ETF (IAGG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
24	Northern FundsGlobal Sustainability Index Fund (NSRIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
25	SPDR S&P 500 Fossil Fuel Reserves Free ETF (SPYX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
26	SQ BLOCK INC CL A	No	\$1,001 - \$15,000		None (or less than \$201)
27	T AT&T Inc	No	\$1,001 - \$15,000		None (or less than \$201)
28	California Long-Term Tax-Exempt Fund Investor Shares (VCITX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
29	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30	Vanguard 500 Index Fund ETF Shares (VOO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
31	Vanguard Tax-Exempt Bond Index Fund Investor Shares (VTEBX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
32	Vanguard Target Retirement 2030 Fund Investor Class Shares (VTHR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
33	Warner Bros. Discovery Inc. Series A Common Stock (WBD)	No	None (or less than \$1,001)		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Meta Platforms Inc. Class A Common Stock (META)	Sale	11/07/2023	\$15,001 - \$50,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Delta Credit	Car loan	\$15,001 - \$50,000	2023	4.38	5 years
2	TIAA	Mortgage on Personal Residence	\$250,001 - \$500,000	2015	3.25	10-year ARM

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

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