

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Cortez, Corina

Special Assistant to the President for Domestic Agency Personnel, White House - Biden-Harris Administration

Date of Termination: 03/30/2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Cortez, Corina [electronically signed on 08/01/2022 by Cortez, Corina in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Clarke, Victoria K, Certifying Official [electronically signed on 08/17/2022 by Clarke, Victoria K in Integrity.gov]

Other review conducted by

/s/ Clarke, Victoria K, Ethics Official [electronically signed on 08/17/2022 by Clarke, Victoria K in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 08/17/2022

Data Revised 08/02/2022

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA #1	No			
1.1	Fidelity Adv New Insights C (FNICX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.2	Morgan Stanley Uncommon Values Trust, 2021 Series Unit Investment Trust	Yes	\$50,001 - \$100,000		\$201 - \$1,000
1.3	Ally Financial Inc CPN 4.625% Due: 5/19/22	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4	MSPBNA Preferred Savings	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.5	First Trust High Yield Opprt 2027 (FTHY)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.6	Mainstay CBRE Megatrends Fund (MEGI)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.7	Western Asset Diversified Incm (WDI)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.8	ACAP Strategic Interval Common (XCAPX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.9	Dearborn Partners Rising Dividend Fund Class C Shares (DRDCX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.10	Fidelity Advisor New Insights Fund Class C Shares (FNICX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.11	Forethought Life, Securefore 3 year Fixed Annuity	N/A	\$100,001 - \$250,000		None (or less than \$201)
1.12	Jackson Perspective II, Variable Annuity	See Endnote			None (or less than \$201)
1.13	JNL/Mellon S&P 400 Midcap Index	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.14	JNL/American Funds Growth	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.15	JNL/WMC Value	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.16	JNL/T. Rowe Price Value	Yes	\$15,001 - \$50,000		\$15,001 - \$50,000
1.17	JNL/Invesco Small Cap Gr	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.18	JNL/Clearbridge Large Cap Growth	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.19	JNL Invesco International Growth	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.20	JNL/American Funds Global Growth	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.21	Franklin BSP Cap Corp	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.22	FS Credit REIT	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2	IRA #2	No			
2.1	Ares Capital Corp (business development corp)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.2	Cohen & Steers CEF OPP FD, Inc. (FOF)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.3	Doubleline Yield OPP CEF (DLY)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.4	Gabelli Div & INCM TR (GDV)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
2.5	IShares Silver Shares (SLV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.6	SPDR Gold TR Gold SHS	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.7	Cohen & Steers CEF Opp Fd Inc (FOF)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2.8	Doubleline Yield Opp CEF (DLY)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2.9	Ecofin Sustainable and Social (TEAF)	Yes	None (or less than \$1,001)		\$201 - \$1,000
2.10	Gabelli Dividend & Income Trust (GDV)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
2.11	iShares Silver Trust (SLV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.12	Oshares US Small-Cap Quali (OUSM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.13	Oshares US Quality Dividend (OUSA)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3	National Education Association, defined benefit plan (value not readily ascertainable): eligible for \$5,070.04 per month starting in May 2033.	N/A			None (or less than \$201)
4	Sale of 1/5 interest in family residential real estate property, George West, Texas	N/A	\$15,001 - \$50,000	Sale of interest in family real estate to family member	\$32,589

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	National Education Association	Washington, District of Columbia	I will continue to participate in this former employer's defined benefit plan.	8/2001

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. credit union #1 (cash)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
2	Brokerage account #1	No			
2.1	Morgan Stanley Bank NA	N/A	\$100,001 - \$250,000		None (or less than \$201)
2.2	Morgan Stanley Private Bank NA	N/A	\$100,001 - \$250,000		None (or less than \$201)
3	Brokerage Account #3	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1	First Trust Value Line Dividend Index Fund (FVD)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.2	iShares 20+ Year Treasury Bond ETF (TLT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.3	iShares MSCI Japan ETF (EWJ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	iShares Global REIT ETF (REET)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.5	AQR Managed Futures Strategy Fund Class I Shares (AQMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	Invesco Oppen Internatl Gro Fd Class Y Sh (OIGYX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
3.7	Blackstone Alternative Multi-Strategy Fund Class I Shares (BXMIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.8	Delaware Smid Cap Growth Fund Institutional Class Shares (DFDIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.9	First Eagle Overseas Fund Class I Shares (SGOIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3.10	JPMorgan Mid Cap Value Fund Class I Shares (JMVSX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
3.11	Growth Fund of America Class F2 Shares (GFFFX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3.12	JPMorgan Emerging Markets Equity Fund Class I Shares (JEMSX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.13	Lord Abbett Short Duration Income Fund Class I Shares (LLDYX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.14	Nationwide Geneva Mid Cap Growth Fund Institutional Service Class Shares (NWHYX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.15	Neuberger Berman Genesis Fund Institutional Class (NBGIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.16	Neuberger Berman Long Short Fund Institutional Class Shares (NLSIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.17	PIMCO Total Return Fund Class I-2 Shares (PTTPX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.18	PIMCO CommodityRealReturn Strategy Fund Class I-2 Shares (PCRFX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
4	Brokerage Account #2	No			
4.1	O'Shares Global Internet Giant (OGIG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.2	O'Shares US Quality Dividend (OUSA)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.3	O'Shares US Small-Cap Quali (OUSM)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.4	Morgan Stanley Private Bank NA	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.5	Ishares Russell MidCap V (IWS)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.6	Ishares Russell MidCap G ETF (IWP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.7	Ishares Russell 1000 Value ETF (IWD)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.8	Ishares Russell 1000 Grw ETF (IWF)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.9	Ishares Russell 2000 Value (IWN)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.10	Ishares Russell 2000 Grwth ETF (IWO)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.11	Ishares Core MSCI EAFE ETF (IEFA)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.12	Ishares Core MSCI Emerging (IEMG)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.13	TETON Westwood Mighty Mites Fund Class I Shares (WEIMX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5	Brokerage account #4	No			
5.1	Bank (cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.2	Morgan Stanley Private Bank	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.3	Avantis US Small Cap Value ETF (AVUV)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.4	First Trust Value Line Dividend Index Fund (FVD)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.5	First Trust Global Tactical Commodity Strategy Fund (FTGC)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.6	O'Shares Global Internet Giants ETF (OGIG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.7	AB Select US Long/Short Portfolio Advisor Class Shares (ASYLX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
5.8	Angel Oak Multi-Strategy Income Fund Institutional Class Shares (ANGIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.9	Ashmore Emerging Markets Total Return Fund Institutional Class Shares (EMKIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.10	Ashmore Emerging Markets Short Duration Fund Institutional Class Shares (ESFIX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
5.11	Columbia Select Large Cap Growth Fund Institutional Class Shares (UMLGX)	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.12	Janus Henderson Global Equity Income Fund Class I Shares (HFQIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.13	Catalyst/Millburn Hedge Strategy Fund Class I Shares (MBXIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.14	Virtus Vontobel Emerging Markets Opportunities Fund Class I Shares (HIEMX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	AMERICAN GW FD OF AMERICA F2	See Endnote	Purchase	01/10/2022	\$1,001 - \$15,000
2	PIMCO TOTAL RETURN I2	See Endnote	Purchase	01/10/2022	\$1,001 - \$15,000
3	AB SEL US LG/SHT ADV		Purchase	04/22/2021	\$1,001 - \$15,000
4	AQR MNGD FUTURES STRAT I		Purchase	01/28/2022	\$1,001 - \$15,000
5	ARES CAPITAL CORP		Purchase	03/09/2022	\$15,001 - \$50,000
6	CATALYST MILLBURN HGD STRAT I CONFIRM NBR	See Endnote	Purchase	04/22/2021	\$1,001 - \$15,000
7	JPMORGAN EMERGING MKTS	See Endnote	Purchase	12/16/2021	\$1,001 - \$15,000
8	O'SHARES GLOBAL INTERNET GIANT	See Endnote	Purchase	05/04/2021	\$1,001 - \$15,000
9	OSHARES US QUALITY DIVIDEND	See Endnote	Purchase	02/03/2021	\$1,001 - \$15,000
10	OSHARES US SMALL-CAP QUALITY	See Endnote	Purchase	03/10/2021	\$1,001 - \$15,000
11	SPDR BLOOMBERG 1-3 MONTH T-B	See Endnote	Purchase	12/09/2021	\$1,001 - \$15,000
12	SPDR GOLD TR GOLD SHS	See Endnote	Purchase	06/17/2021	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
13	TETON WESTWOOD MIGHTY MITES I CONFIRM NBR	See Endnote	Purchase	02/08/2021	\$1,001 - \$15,000
14	UNIT MORGAN STANLEY UNCOMMON VALUES	See Endnote	Purchase	08/25/2021	\$50,001 - \$100,000
15	VANGUARD TOTAL BOND MARKET		Purchase	12/09/2021	\$1,001 - \$15,000
16	VIRTUS VONTOBEL EMRG MKT OPP I		Purchase	04/22/2021	\$15,001 - \$50,000
17	WESTERN ASSET DIVERSIFIED		Purchase	06/25/2021	\$15,001 - \$50,000
18	LORD ABBETT SHT DURATION INC		Purchase	09/17/2021	\$1,001 - \$15,000
19	NATIONWIDE GENEVA MDCP GW F CONFIRM NBR		Purchase	12/23/2021	\$1,001 - \$15,000
20	MAINSTAY CBRE MEGATRENDS FUND		Purchase	10/27/2021	\$15,001 - \$50,000
21	ASHMORE EMERG MKTS SHORT DUR I		Purchase	04/22/2021	\$1,001 - \$15,000
22	ASHMORE EMERG MKTS TOT RET I		Purchase	04/22/2021	\$1,001 - \$15,000
23	AVANTIS US SMALL CAP VALUE		Purchase	04/22/2021	\$15,001 - \$50,000
24	BLACKSTONE ALT MULT-STRAT INST		Purchase	09/17/2021	\$1,001 - \$15,000
25	COLUMBIA SELECT LG CAP GW I		Purchase	04/22/2021	\$1,001 - \$15,000
26	DEARBORN PARTNERS RISING DIV		Purchase	11/30/2021	\$15,001 - \$50,000
27	ECOFIN SUSTAINABLE AND SOCIAL		Purchase	07/15/2021	\$1,001 - \$15,000
28	FEDERATED HRMS PRIME CS OBL WS		Purchase	04/08/2022	\$250,001 - \$500,000
29	FIDELITY ADV NEW INSIGHTS C		Purchase	07/15/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
30	FIRST TR VALU.LN DIV IDX	Purchase	04/22/2021	\$15,001 - \$50,000
31	FIRST TRUST GBL TACTICAL STG F	Purchase	04/22/2021	\$15,001 - \$50,000
32	GABELLI DIV & INCM TR	Purchase	01/28/2022	\$1,001 - \$15,000
33	INVESCO INTL GROWTH Y	Purchase	01/15/2021	\$1,001 - \$15,000
34	ISHARES 20+ YR TREASU BOND ETF	Purchase	03/18/2022	\$1,001 - \$15,000
35	ISHARES CORE MSCI EAFE ETF	Purchase	05/04/2021	\$50,001 - \$100,000
36	ISHARES CORE MSCI EMERGING	Purchase	05/04/2021	\$15,001 - \$50,000
37	ISHARES GLOBAL REIT	Purchase	06/04/2021	\$1,001 - \$15,000
38	ISHARES INC MSCI JAPAN ETF	Purchase	03/18/2022	\$1,001 - \$15,000
39	ISHARES RUSSELL 1000 GRW ETF	Purchase	05/04/2021	\$15,001 - \$50,000
40	ISHARES RUSSELL 1000 VALUE ETF	Purchase	05/04/2021	\$15,001 - \$50,000
41	ISHARES RUSSELL 2000 GRWTH ETF	Purchase	05/04/2021	\$1,001 - \$15,000
42	ISHARES RUSSELL 2000 VALUE ETF	Purchase	05/05/2021	\$1,001 - \$15,000
43	ISHARES RUSSELL MIDCAP G ETF	Purchase	05/04/2021	\$1,001 - \$15,000
44	ISHARES RUSSELL MIDCAP V ETF	Purchase	05/04/2021	\$1,001 - \$15,000
45	ISHARES SILVER SHARES	Purchase	05/04/2021	\$1,001 - \$15,000
46	JANUS HENDERSON GLB EQ	Purchase	05/04/2021	\$15,001 - \$50,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	1.12	The investments values within the annuity policy are reported separately within the report at entries 2.13 - 2.20.
7.	1	Multiple purchases.
7.	2	Multiple purchases.
7.	6	Multiple purchases.
7.	7	Multiple purchases.
7.	8	Multiple purchases.
7.	9	Multiple purchases.
7.	10	Multiple purchases.
7.	11	Multiple purchases.
7.	12	Multiple purchases.
7.	13	Multiple purchases.
7.	14	Multiple purchases.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
