Periodic Transaction Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 (Updated Nov. 2019) **Executive Branch Personnel Public Financial Disclosure Report:** Periodic Transaction Report (OGE Form 278-T) Filer's Information Cohn Hutcheson, Robin M Deputy Assistant Secretary for Safety Policy, Department of Transportation Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge. /s/ Cohn Hutcheson, Robin M [electronically signed on 07/26/2021 by Cohn Hutcheson, Robin M in Integrity.gov] Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below). /s/ Carlson, Terence W, Certifying Official [electronically signed on 09/19/2021 by Carlson, Terence W in Integrity.gov] Other review conducted by /s/ Deavers, Lynn, Ethics Official [electronically signed on 07/26/2021 by Deavers, Lynn in Integrity.gov]

U.S. Office of Government Ethics Certification

## **Transactions**

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	NEW YORK N Y GO BDS 2014E GO DUE 08/01/2029 05.000% FA 01DISCRETIONARY ORDER YIELD 0.400 % TO PAR CALL YIELD 3.496 % TO MATURITY	Purchase	03/17/2021	No	\$15,001 - \$50,000
2	MASSACHUSETTS ST GO CONSOLIDATED LN BDS 2018 C GO DUE 05/01/2032 05.000% MN 01DISCRETIONARY ORDER YIELD 0.400 % TO PAR CALL	Purchase	03/30/2021	No	\$15,001 - \$50,000
3	MASSACHUSETTS ST GO CONSOLIDATED LN BDS 2018 C GO DUE 05/01/2033 05.000% MN 01DISCRETIONARY ORDER YIELD 0.400 % TO PAR CALL	Purchase	04/09/2021	No	\$15,001 - \$50,000
4	MASSACHUSETTS ST GO BDS 2016A GO DUE 03/01/2034 05.000% MS 01DISCRETIONARY ORDER YIELD 0.400 % TO PAR CALL YIELD 3.730 % TO MATURITY	Purchase	05/06/2021	No	\$50,001 - \$100,000
5	NORTHSIDE TEX INDPT SCH DIST ULTD TAX REF BDS 2014A GO DUE 02/15/2030 04.000% FA 15DISCRETIONARY ORDER YIELD 0.400 % TO PAR CALL	Purchase	05/25/2021	No	\$15,001 - \$50,000
6	MASSACHUSETTS ST GO DUE 05/01/2034 05.000% MN 01DISCRETIONARY ORDER YIELD 0.350 % TO PAR CALL YIELD 4.137 % TO MATURITY	Purchase	06/24/2021	No	\$100,001 - \$250,000
7	WASHINGTON ST FOR ISSUES DTD P MTR VEH FUEL TAX DUE 02/01/2031 05.000% FA 01DISCRETIONARY ORDER YIELD 0.370 % TO PAR CALL YIELD 3.534 % TO MATURITY	Purchase	07/06/2021	No	\$50,001 - \$100,000
8	MASSACHUSETTS ST GO DUE 05/01/2034 05.000% MN 01DISCRETIONARY ORDER YIELD 0.425 % TO PAR CALL YIELD 4.181 % TO MATURITY	Sale	07/22/2021	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
9	NEW YORK N Y CITY TRANSITIONAL FUTURE TAX DUE 02/01/2032 05.000% FA 01DISCRETIONARY ORDER YIELD 0.516 % TO PAR CALL YIELD 4.199 % TO MATURITY	Sale	07/22/2021	No	\$50,001 - \$100,000
10	NEW YORK N Y CITY TRANSITIONAL REV BDS 2013 S DUE 07/15/2033 05.000% JJ 15DISCRETIONARY ORDER YIELD 0.546 % TO PAR CALL YIELD 4.530 % TO MATURITY	Sale	07/22/2021	No	\$50,001 - \$100,000
11	NEW YORK N Y CITY TRANSITIONAL REV BDS 2013 S DUE 07/15/2030 05.000% JJ 15DISCRETIONARY ORDER YIELD 0.677 % TO PAR CALL YIELD 4.431 % TO MATURITY	Sale	07/22/2021	No	\$15,001 - \$50,000
12	NEW YORK N Y CITY TRANSITIONAL REV BDS 2018 S DUE 07/15/2030 05.000% JJ 15DISCRETIONARY ORDER YIELD 0.434 % TO PAR CALL YIELD 4.954 % TO MATURITY	Sale	07/22/2021	No	\$50,001 - \$100,000
13	GEORGIA ST GO BDS 2012 A GO DUE 07/01/2025 05.000% JJ 01DISCRETIONARY ORDER YIELD 0.336 % TO PAR CALL YIELD 3.803 % TO MATURITY	Sale	07/22/2021	No	\$50,001 - \$100,000
14	NEW YORK N Y GO BDS 2012F GO DUE 08/01/2029 05.000% FA 01DISCRETIONARY ORDER YIELD 0.847 % TO PAR CALL YIELD 4.679 % TO MATURITY	Sale	07/22/2021	No	\$50,001 - \$100,000
15	EULESS TEX WTRWK & SWR SYS REV REV BDS 2013 DUE 07/15/2033 05.000% JJ 15DISCRETIONARY ORDER YIELD 0.761 % TO PAR CALL YIELD 4.118 % TO MATURITY	Sale	07/22/2021	No	\$15,001 - \$50,000
16	NEW YORK ST URBAN DEV CORP REV ST PERSONAL INCOME DUE 03/15/2028 05.000% MS 15DISCRETIONARY ORDER YIELD 0.469 % TO PAR CALL YIELD 3.734 % TO MATURITY	Sale	07/22/2021	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
17	DORM AUTH OF THE ST OF NY ST PERS INCOME TAX REV RFDG BDS GENERAL PURPOSE SER 2012 DUE 12/15/2029 05.000% JD 15DISCRETIONARY ORDER YIELD 0.432 % TO PAR CALL	Sale	07/22/2021	No	\$50,001 - \$100,000
18	NEW YORK ST TWY AUTH ST PERS I REV BDS DUE 03/15/2027 05.000% MS 15DISCRETIONARY ORDER YIELD 1.138 % TO PAR CALL YIELD 4.892 % TO MATURITY	Sale	07/22/2021	No	\$15,001 - \$50,000
19	NORTHSIDE TEX INDPT SCH DIST ULTD TAX REF BDS 2014A GO DUE 02/15/2030 04.000% FA 15DISCRETIONARY ORDER YIELD 0.782 % TO PAR CALL	Sale	07/22/2021	No	\$15,001 - \$50,000
20	NEW YORK N Y CITY MUN WTR FIN AUTH WTR AND SWR SYS SECOND GEN RESOLUTION REV BDS FISCAL DUE 06/15/2034 05.000% JD 15DISCRETIONARY ORDER YIELD 0.531 % TO PAR CALL	Sale	07/22/2021	No	\$50,001 - \$100,000
21	MASSACHUSETTS ST GO BDS 2016A GO DUE 03/01/2034 05.000% MS 01DISCRETIONARY ORDER YIELD 0.533 % TO PAR CALL YIELD 3.839 % TO MATURITY	Sale	07/22/2021	No	\$50,001 - \$100,000
22	NEW YORK ST DORM AUTH ST PERS REV BDS 2012B DUE 03/15/2032 05.000% MS 15DISCRETIONARY ORDER YIELD 0.682 % TO PAR CALL YIELD 4.670 % TO MATURITY	Sale	07/22/2021	No	\$50,001 - \$100,000
23	NEW YORK N Y CITY TRANSITIONAL FUTURE TAX DUE 05/01/2027 05.000% MN 01DISCRETIONARY ORDER YIELD 0.724 % TO PAR CALL YIELD 4.355 % TO MATURITY	Sale	07/22/2021	No	\$15,001 - \$50,000
24	NEW YORK N Y CITY TRANSITIONAL REV BDS 2018 S DUE 07/15/2030 05.000% JJ 15DISCRETIONARY ORDER YIELD 0.434 % TO PAR CALL YIELD 4.954 % TO MATURITY	Sale	07/22/2021	No	\$15,001 - \$50,000

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25	MASSACHUSETTS ST GO CONSOLIDATED LN BDS 2018 C GO DUE 05/01/2033 05.000% MN 01DISCRETIONARY ORDER YIELD 0.523 % TO PAR CALL	Sale	07/22/2021	No	\$15,001 - \$50,000
26	GEORGIA ST GO BDS 2012 A GO DUE 07/01/2025 05.000% JJ 01DISCRETIONARY ORDER YIELD 0.336 % TO PAR CALL YIELD 3.803 % TO MATURITY	Sale	07/22/2021	No	\$50,001 - \$100,000
27	NEW YORK N Y GO BDS 2012F GO DUE 08/01/2024 05.000% FA 01DISCRETIONARY ORDER YIELD 0.421 % TO PAR CALL YIELD 4.163 % TO MATURITY	Sale	07/22/2021	No	\$50,001 - \$100,000
28	NEW YORK N Y GO BDS 2014E GO DUE 08/01/2029 05.000% FA 01DISCRETIONARY ORDER YIELD 0.607 % TO PAR CALL YIELD 3.723 % TO MATURITY	Sale	07/22/2021	No	\$15,001 - \$50,000
29	NEW YORK ST URBAN DEV CORP REV INCOME TAX REV DUE 03/15/2029 05.000% MS 15DISCRETIONARY ORDER YIELD 0.584 % TO PAR CALL YIELD 3.903 % TO MATURITY	Sale	07/22/2021	No	\$15,001 - \$50,000
30	NEW YORK ST TWY AUTH SECOND GE TRUST FUND BDS DUE 04/01/2031 05.000% AO 01DISCRETIONARY ORDER YIELD 0.655 % TO PAR CALL YIELD 4.618 % TO MATURITY	Sale	07/22/2021	No	\$50,001 - \$100,000
31	AUSTIN TEX ELEC UTIL SYS REV REV REF BDS 2012 DUE 11/15/2028 05.000% MN 15DISCRETIONARY ORDER YIELD 0.623 % TO PAR CALL YIELD 4.093 % TO MATURITY	Sale	07/22/2021	No	\$15,001 - \$50,000
32	NEW YORK ST TWY AUTH ST PERS I REV BDS DUE 03/15/2027 05.000% MS 15DISCRETIONARY ORDER YIELD 1.138 % TO PAR CALL YIELD 4.892 % TO MATURITY	Sale	07/22/2021	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
33	DISTRICT COLUMBIA INCOME TAX R REV BDS 2011 A DUE 12/01/2027 05.000% JD 01DISCRETIONARY ORDER YIELD 1.554 % TO PAR CALL YIELD 4.780 % TO MATURITY	Sale	07/22/2021	No	\$15,001 - \$50,000
34	NEW YORK N Y GO BDS F-1 GO DUE 03/01/2031 05.000% MS 01DISCRETIONARY ORDER YIELD 0.411 % TO PREREFUND PRE-REF 03/01/23 AT 100.000	Sale	07/22/2021	No	\$15,001 - \$50,000
35	NEW YORK N Y CITY MUN WTR FIN AUTH WTR AND SWR SYS SECOND GEN RESOLUTION REV BDS FISCAL DUE 06/15/2034 05.000% JD 15DISCRETIONARY ORDER YIELD 0.531 % TO PAR CALL	Sale	07/22/2021	No	\$15,001 - \$50,000
36	NEW YORK N Y CITY TRANSITIONAL BDS 2019 S DUE 07/15/2029 05.000% JJ 15DISCRETIONARY ORDER YIELD 1.543 % TO PAR CALL YIELD 4.962 % TO MATURITY	Sale	07/22/2021	No	\$15,001 - \$50,000
37	NEW YORK N Y CITY TRANSITIONAL REV BDS 2013 S DUE 07/15/2033 05.000% JJ 15DISCRETIONARY ORDER YIELD 0.546 % TO PAR CALL YIELD 4.530 % TO MATURITY	Sale	07/22/2021	No	\$100,001 - \$250,000
38	MASSACHUSETTS ST GO CONSOLIDATED LN BDS 2018 C GO DUE 05/01/2032 05.000% MN 01DISCRETIONARY ORDER YIELD 0.517 % TO PAR CALL	Sale	07/22/2021	No	\$15,001 - \$50,000
39	GEORGIA ST GO BDS 2012 A GO DUE 07/01/2025 05.000% JJ 01DISCRETIONARY ORDER YIELD 0.336 % TO PAR CALL YIELD 3.803 % TO MATURITY	Sale	07/22/2021	No	\$50,001 - \$100,000
40	NEW YORK ST URBAN DEV CORP REV INCOME TAX REV DUE 03/15/2032 05.000% MS 15DISCRETIONARY ORDER YIELD 0.631 % TO PAR CALL YIELD 4.166 % TO MATURITY	Sale	07/22/2021	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
41	NEW YORK N Y GO BDS 2012A- GO DUE 08/01/2024 05.000% FA 01DISCRETIONARY ORDER YIELD - 0.323 % TO PAR CALL YIELD 4.861 % TO MATURITY	Sale	07/22/2021	No	\$15,001 - \$50,000
42	WASHINGTON ST FOR ISSUES DTD P MTR VEH FUEL TAX DUE 02/01/2031 05.000% FA 01DISCRETIONARY ORDER YIELD 0.599 % TO PAR CALL YIELD 3.627 % TO MATURITY	Sale	07/22/2021	No	\$50,001 - \$100,000
43	TRIBOROUGH BRDG & TUNL AUTH N GEN REV BDS 2013C DUE 11/15/2031 05.000% MN 15DISCRETIONARY ORDER YIELD 0.585 % TO PAR CALL YIELD 4.053 % TO MATURITY	Sale	07/22/2021	No	\$50,001 - \$100,000
44	NEW YORK ST DORM AUTH ST PERS REV BDS 2012B DUE 03/15/2034 05.000% MS 15DISCRETIONARY ORDER YIELD 0.652 % TO PAR CALL YIELD 4.708 % TO MATURITY	Sale	07/22/2021	No	\$50,001 - \$100,000
45	NEW YORK ST DORM AUTH ST PERS REV BDS 2012B DUE 03/15/2034 05.000% MS 15DISCRETIONARY ORDER YIELD 0.652 % TO PAR CALL YIELD 4.708 % TO MATURITY	Sale	07/22/2021	No	\$50,001 - \$100,000
46	N Y CITY TRANSITIONAL FIN AUTHFUTURE TAX SECURED BDS 2012F-1 DUE 05/01/2029 05.000% MN 01DISCRETIONARY ORDER YIELD 0.399 % TO PAR CALL	Sale	07/22/2021	No	\$50,001 - \$100,000

## **Endnotes**

## **Summary of Contents**

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

## **Privacy Act Statement**

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18: (2) to a Federal. State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections: (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order: (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.